

Network Locally & Earn CEUs



Health Care  
Compliance  
Association®

# HONOLULU

## Regional Conference

Thursday & Friday, October 12–13, 2017



### SPONSORS & EXHIBITORS



Questions? Contact Beckie Smith, CMP, [beckie.smith@corporatecompliance.org](mailto:beckie.smith@corporatecompliance.org)

## THURSDAY, OCTOBER 12

8:30 – 9:30 AM

### Compliance Program Effectiveness



Debbie Troklus, CCEP-F, CCEP-I, CHC-F, CHPC, CHRC  
 Managing Director  
 Aegis Compliance & Ethics Center LLP

- An overview of the OIG’s published document on measuring effectiveness.
- Provide an overview of measureable elements of a compliance program.
- Attendees will understand how to measure the effectiveness a compliance program

9:30 – 9:45 AM

### Break

9:45 – 10:45 AM

### Design and Implement an Effective Research Compliance Program



Dwight Claustre, CHC-F, CHPC, CHRC  
 Director  
 Aegis Compliance & Ethics Center, LLP

- Discuss the research compliance rules and regulations
- Designing and Implementing an effective research compliance program
- Deciding where research compliance fits in the organization.

10:45 – 11:45 AM

### How to Engage Board in Compliance/Culture of Integrity



Bill Musick, CHC, CHPC  
 Senior Associate & Project Manager  
 Corridor

- Importance of engaging your board/  
 Levels of Engagement
- Tactics for engaging your board
  - Your reporting
  - Meeting agendas
  - Relationships
- Tone at the Top: Culture of Integrity
- Barriers to Engagement
- Q&A

11:45 – 12:45 PM

### Lunch

12:45 – 1:45 PM

### Compliance Audit Process



Lori Laubach, CHC  
 Partner Reg. Compliance Practice  
 Moss Adams

- Identified a Risk – now what do we do to complete an audit
- Audit plan and considerations
- Case examples of unique audits.
- Potential corrective action ideas and how to provide results without creating additional risk.

1:45 – 2:00 PM

### Break

2:00 PM – 3:00 PM

### Compliance 101



Lynda Hilliard, CHC, CCEP  
 Healthcare Compliance Professional

- List the key elements of an effective compliance program;
- Outline the structures and processes required to build a program;
- Understand the need for a collaborative approach to implementing a program.

3:00 – 4:00 PM

### Compliance Hot Topics Panel



Dwight Claustre, CHC-F, CHPC, CHRC  
 Director  
 Aegis Compliance & Ethics Center, LLP



Debbie Troklus, CCEP-F, CCEP-I, CHC-F, CHPC, CHRC  
 Managing Director  
 Aegis Compliance & Ethics Center LLP

- Discussion of hot topics in compliance today

4:00 PM

### Conference Adjourns

**NO AUDIO OR VIDEO RECORDING OF HCCA CONFERENCES IS ALLOWED.**

**PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.**

Register online at [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals)

**Tweet #HCCAhon**

## FRIDAY, OCTOBER 13

8:30 – 9:30 AM

### The Compliance Connection – Putting all the pieces together



**Renee Shimabukuro**  
CHC, CHPC, CHRC, RHIA  
Chief Compliance and Privacy Officer  
The Queen’s Health Systems

- Compliance in a comprehensive health system - putting the pieces together
- Three keys to improving compliance - engagement, connections and collaboration
- Building awareness through effective communication

9:30 – 10:30 AM

### Coding and CDI Compliance Programs – Avoiding Headaches

Diana Adams, RHIA  
Independent Healthcare Auditor  
RRA

- Discovering who are the healthcare industry watchdogs for coding and CDI programs
- Understand the history of Clinical Documentation Improvement (CDI) programs
- Getting involved with the CDI and coding teams from the compliance standpoint
- Ensuring the coding and CDI reflect an accurate picture of clinical care being given under compliance-audit and monitor

10:30 – 10:45 AM

### Break

10:45 – 11:45 AM

### At the Crossroads of HIPAA and Language Access



**Gino R. Merez, JD, MPH**  
HIPAA Privacy Officer  
State of Hawaii Department of Health



**Rebecca P. Gardner, JD**  
Legal Analyst  
Office of Language Access  
Hawaii State Department of Health

- Language Access background and history through Supreme Court holding(s) and federal and State laws/regs. who are subject to Language Access laws.
- Federal and Hawaii State requirements for compliance with Language Access (provision of interpreters/translators, 4 factor analysis for meaningful language access, notice/tagline and tagline posting, etc.).
- What HIPAA requires for compliance with Language Access (Interpreters who work on behalf of CE who are not workforce -BAA), etc.; translation of “vital documents”, what are considered vital documents, Minimum Necessary requirements (not exposing interpreters to PHI of other clients at the facility, etc.).

11:45 – 12:45 PM

### Lunch

12:45 – 1:45 PM

### Advanced Fair Market Value: Complex Hospital-Physician Compensation Arrangements



**Robert Wade**  
Partner  
Barnes & Thornburg LLP

- Ensuring your hospital has a defensible fair market value analysis process;
- Must organizations start to focus on commercial reasonableness when analyzing fair market value?
- How will organizations address fair market value in the move from volume to value?

1:45 – 2:45 PM

### OCR Phase II HIPAA Audit Update/Findings

**Yun-kyung Lee**  
Deputy Regional Manager  
Office for Civil Rights  
U.S. Department of Health & Human Services , Pacific Region (Formerly Regions IX & X)

- Office for Civil Rights recent policy development, including access, cloud computer, cybersecurity and ransomware guidance
- Breach Notification – Federal breach notification requirements, where breaches occur and how OCR investigates breaches
- Recent OCR resolution agreements and lessons to learn from the agreements and a brief overview of the current HITECH Audits

2:45 – 3:00 PM

### Break

3:00– 4:00 PM

### The Importance of Coding in Population Health—Implications for Corporate Compliance



**Emmanuel Kintu**  
Chief Executive Officer  
Kalihi-Palama Health Center

- How do we respond to the heightened focus on medical documentation and coding that are being brought on by the Health Care Transformation movement?
- What do we need to do about the increased propensity to up-code, which ups the need to spruce-up coding practices which would call for additional training and an enhanced toolkit for providers and coders?
- What does it mean for Health Care Coders and Compliance that stakeholders and regulators will be striving to make sure that bad actors are kept at bay?

4:00 PM

### Conference Adjourns



### REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- HCCA Member**..... \$199
- Non-Member** ..... \$245
- Registration & HCCA membership** ..... \$399
- Save by joining HCCA today (new members only). Annual dues regularly \$295.

**TOTAL \$** \_\_\_\_\_

### ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

HCCA Member Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CHRC, CHPC, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

### SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free  Kosher  Vegetarian  Vegan  other \_\_\_\_\_

### PAYMENT METHOD

**Mail check (including registration form) to:**  
HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

**Fax to:** +1 952 988 0146

- Check enclosed (payable to HCCA)
- Invoice me

I authorize HCCA to charge my credit card (choose below)

American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

*If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA1117)*

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at (888)580-8373 or (952)988-0141 with your credit card information, fax your registration to 952.988.0146, or visit [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals) and register online

### HOTEL/CONFERENCE LOCATION:

## Hilton Hawaiian Village

2005 Kalia Road, Honolulu, Hawaii 55987

A special rate of \$239.00 (plus tax/resort fee of \$15.00) per night has been arranged for the conference. Please note that the room block may sell out prior to the hotel cut-off date. Make your reservations directly with the hotel by calling (800) 445-8667 or (808) 949-4321 or book online <http://bit.ly/2pdf55I> Reservations must be made no later than Saturday, September 9th to receive the special rate

**NOTICE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888-580-8373.

**CONTINUING EDUCATION:** HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952-988-0141 or 888-580-8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit HCCA's website, [www.hcca-info.org](http://www.hcca-info.org), for up-to-date information.

**AAPC:** This program has the prior approval of the AAPC for 12.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award 12.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for 12.0 continuing education unit(s) for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 14.4 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance-Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional-Fellow (CCEP-F)®, Certified Compliance & Ethics Professional-International (CCEP-I)®.

**CLE:** The Health Care Compliance Association is a State Bar of California approved MCLE provider, a Pennsylvania, Alabama, and Rhode Island accredited sponsor, and an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 12.0 clock hours of CLE credit will be available to attendees of this conference for these states and Hawaii. Upon request HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.learningmarket.org](http://www.learningmarket.org). A recommended maximum of 14.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.

**NURSING CREDIT:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 14.4 contact hour(s). The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate "Nursing" on the CEU form).

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except The Healthcare Compliance Professionals Manual. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [www.hcca-info.org/privacy.aspx](http://www.hcca-info.org/privacy.aspx).