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Health Care  
Compliance  
Association®

# INDIANAPOLIS Regional Conference

## Friday, September 29, 2017



### SPONSORS & EXHIBITORS



Questions? Contact Beckie Smith, CMP, [beckie.smith@corporatecompliance.org](mailto:beckie.smith@corporatecompliance.org)

8:25 – 8:30 AM

## Welcome and Announcements

### Program Chair

Joseph Zielinski, JD, CHC  
Program Director  
Eskenazi Health

8:30 – 9:30 AM

## Corrective Actions: When it goes wrong—Getting to correctness



Dwight Claustre, CHRC  
Director  
Aegis Compliance & Ethics Center, LLP

- Where do we start when it all goes wrong?
- Who, What, Where, When, Why and How.
- What do we do with the final answer?

9:30 – 9:45 AM

## Break

9:45 – 10:45 AM

## Conducting a Compliant Investigation (Effective Internal Investigation)



David Glaser  
Shareholder  
Fredrikson & Bryon PA

- Strategies for how to conduct interviews and analyze data.
- How to approach practical problems with the review, including statistical analysis and document review.
- Determining whether, and how, to disclose information to the government or private payors, including creative argument that may avoid refunds.

10:45 – 11:45 AM

## Compliance program effectiveness: How confident are you?



Marti Arvin, CCEP-F, CHC-F, CHPC, CHRC  
Vice President, Audit Strategy  
CynergisTek, Inc.

- Using the OIG/HCCA Resource Guide to demonstrate effectiveness
- What the DOJ guidance on program effectiveness means to healthcare organizations
- Methods and tools for demonstrating effectiveness, what gets measured gets done

11:45 – 12:45 PM

## Lunch

12:45 – 1:45 PM

## HIPAA Compliance: Inside OCR's Phase 2 Audit Program



Deepali Doddi  
Associate  
Ice Miller

- Purpose and structure of the Phase 2 HIPAA Audit program
- Key compliance areas on which OCR is focusing during the Phase 2 HIPAA audits
- Tips from a former OCR investigator for successfully undergoing a HIPAA audit
- Preparing for a HIPAA audit using lessons learned from OCR enforcement actions

1:45 – 2:45 PM

## Fraud and Abuse Update



Jonathan A. Bont  
Assistant United States Attorney  
United States Attorney's Office,  
Southern District of Indiana

- Overview of national and local federal law enforcement
- Recently publicized healthcare investigations, indictments, settlements, etc. in the Southern District of Indiana
- DOJ healthcare enforcement priorities under the new administration
  - Fraud
  - Controlled substances

2:45 – 3:00 PM

## Break

3:00 – 4:15 PM

## Evaluating and Assessing Risk



Vicki Yamasaki  
CEO, Yamasaki ERM Solutions,  
former Chief Risk Officer  
OneAmerica Financial Partners



Mike Salazar  
Vice President, Client Services  
Gregory & Appel Insurance



Michele Calderon Johns, JD, CPHRM  
Indiana University Health  
Vice President and Chief Risk Officer  
IU Health Risk Retention Group, Inc.

- What is Enterprise Risk Management?
- Constructing an Enterprise Risk Management framework
- Top strategic risks for healthcare entities.

4:15 PM

## Conference Adjourns

**NO AUDIO OR VIDEO RECORDING OF HCCA CONFERENCES IS ALLOWED.**

**PLEASE NOTE: SESSIONS AND/OR SPEAKERS ARE SUBJECT TO CHANGE.**

Register online at [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals)

**Tweet #HCCAindy**



## REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- HCCA Member** ..... \$199
- Non-Member** ..... \$245
- Registration & HCCA membership** ..... \$399

Save by joining HCCA today (new members only). Annual dues regularly \$295.

**TOTAL \$** \_\_\_\_\_

## ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

HCCA Member Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CHRC, CHPC, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

## SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free  Kosher  Vegetarian  Vegan  other \_\_\_\_\_

## PAYMENT METHOD

Mail check (including registration form) to:

HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952 988 0146

Check enclosed (payable to HCCA)

Invoice me

I authorize HCCA to charge my credit card (choose below)

American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA1517)

By submitting this registration you agree to the terms and conditions, including the use of your information as stated on this page, and our privacy statement located at [www.hcca-info.org/privacy.aspx](http://www.hcca-info.org/privacy.aspx).

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at (888)580-8373 or (952)988-0141 with your credit card information, fax your registration to 952.988.0146, or visit [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals) and register online

## HOTEL/CONFERENCE LOCATION:

# Indianapolis Marriott North

3645 River Crossing Parkway, Indianapolis, IN 46240

A special rate of \$144.00 (plus tax) per night has been arranged for the conference. Please note that the room block may sell out prior to the hotel cut-off date. Make your reservations directly with the hotel by September 7, 2017, by calling (800) 228 9290 or online book your group rate for HCCA <http://bit.ly/2oRprsm>

**NOTICE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888-580-8373.

**CONTINUING EDUCATION:** HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance their requirements. Credits are assessed based on actual attendance and credit type requested.

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit HCCA's website, [www.hcca-info.org](http://www.hcca-info.org), for up-to-date information.

**ACHE:** The Health Care Compliance Association is authorized to award 6.25 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.5 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance—Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional—Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional—International (CCEP-I)<sup>®</sup>.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.learningmarket.org](http://www.learningmarket.org). A recommended maximum of 7.5 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.

**NURSING CREDIT:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 7.5 contact hour(s). The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate "Nursing" on the CEU form).

**CLE:** The Health Care Compliance Association is a State Bar of California approved MCLE provider, a Pennsylvania accredited provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 6.25 clock hours of CLE credit will be available to attendees of this conference for these states. Upon request HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant.  
10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except The Healthcare Compliance Professionals Manual. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at [help@hcca-info.org](mailto:help@hcca-info.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

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