



HAWAII

Regional Compliance Conference

HCCA™ October 11 - 12, 2018

**TOPICS: Corrective Actions | Board Compliance/Culture
Conflicts of Interest | Branding Your Program**



Questions? Contact Amber Zerin, amber.zerin@corporatecompliance.org

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HCCA's Regional Conferences provide high quality, convenient, inexpensive education and networking opportunities.

Register online at hcca-info.org/regionals

Thursday, October 11th

8:30 – 9:45 AM

Effective Third Party Due Diligence for Compliance



Gerry Zack, CCEP, CFE, CIA
Incoming CEO
SCCE/HCCA

- A framework for third (and fourth) party due diligence
- Vicarious liability for third parties
- Protecting the organization with well-drafted audit clauses

9:45 – 10:00 AM

Break

10:00 – 11:15 AM

Corrective Actions: When it goes wrong—Root Cause



Dwight Claustre, CHC-F, CHPC, CHRC
Director
Ankura Consulting Group

- Where do we start when it all goes wrong?
- Who, What, Where, When, Why and How.
- What do we do with the final answer?

11:15 – 12:30 PM

Risk Assessment: The Next Generation. Using Data to Drive Your Compliance Risk Assessment Process.



Steven W. Ortquist, JD, CHC-F
Senior Managing Director
Ankura Consulting Group

- What is a Compliance Risk Assessment? What is the Role of Risk Assessment in the Overall Operation of a Compliance Program?
- Risk Assessment Generation One: What We've Been Doing.
- Data Based Risk Assessment: How to Conduct a Data Based Assessment. Why a Data Driven Approach May Make Your Program More Effective.

12:30 – 1:15 PM

Lunch

1:15 – 2:30 PM

Branding Your Compliance Program — An Interactive Session



Debbie Troklus, CCEP-F, CCEP-I,
CHC-F, CHPC, CHRC
Managing Director
Aegis Compliance & Ethics Center LLP

- Discuss why marketing the compliance program is important.
- Identify various avenues to market your compliance program.
- Develop a brand for a fictional compliance program to enable this activity to be used in attendees organizations.

2:30 – 2:45 PM

Break

2:45 – 4:00 PM

Implementing and Operating an Effective Physician Arrangements Compliance Program



Steven W. Ortquist, JD, CHC-F
Senior Managing Director
Ankura Consulting Group

- What Do Stark and AKS Require?
- What Causes Organizations Physician Arrangements Problems?
- How to Implement and Operate an Effective Arrangements Compliance Program

4:00 PM

Conference Adjourns

Friday, October 12th

8:30 – 9:30 AM

Conflicts of Interest



Lea Fourkiller, CHC
Senior Director
Ankura Consulting Group

- What are Conflicts of Interest?
- What are Conflicts of Commitment?
- Why should you be concerned?
- Consequences if not handled properly.

9:30 – 10:30 AM

What is regulated research and why do we care?

Caroline Miner
Research Compliance Manager
IRB Administrator
Kaiser Permanente Hawaii Region
Regional Compliance, KFH

- Examine the ethical framework of research vs. clinical operations
- Define the fine line between research and clinical operations in the regulations,
- Review Kaiser Permanente Hawaii Region's process for making research vs clinical operations determinations

10:30 – 10:45 AM

Break

10:45 – 11:45 AM

CyberSecurity



Doug Shackelford
Director IT/HIPAA Security
The Queen's Health Systems

- HIPAA Security Rule quick overview
- Risk Assessments & Management
- Security Challenges
- Key Security Threats; Key Security Controls & Mitigants

11:45 – 12:45 PM

Lunch

12:45 – 1:45 PM

Navigating Commercial Reasonableness/Fair Market Value Issues, Including Recent Cases/Settlements



Robert Wade
Partner
Barnes & Thornburg LLP

- Types of physician financial arrangements that are being attacked under the Stark Law.
- What does "based upon/varies with 'volume or value' of referrals" really mean under the Stark Law?
- Is "commercial reasonableness" overshadowing "fair market value"?
- What should providers be doing from a legal/compliance perspective based upon recent settlements?

1:45 – 2:45 PM

Compliance, Ethics and Irrational People (All of Us)



Adam Turteltaub
Vice President of Strategic Initiatives & International Programs
SCCE/HCCA

- Learn how our brain makes decisions
- Gain a better understanding of how strong the temptation to cheat is in people
- Takeaway new techniques to encourage compliant, ethical behavior

2:45 – 3:00 PM

Break

3:00 – 4:00 PM

Issues to Watch: Overview of Hot Topics in Health Care Compliance

Marie Wagner, CHC, CHRC
Sr. Corp Compliance Coordinator
The Queen's Health Systems

- New types of MAC reviews
- Telemedicine and upcoming changes
- Continued scrutiny of device credits
- Provider-Based location challenges
- 340B scrutiny
- More appearances for Shared Decision Making

4:00 PM

Conference Adjourns

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- HCCA Member**\$199
- Non-Member**\$245
- Registration & HCCA membership**\$399
- Save by joining HCCA today (first time members only). Annual dues regularly \$295.

TOTAL \$ _____

ATTENDEE INFORMATION (please type or print)

Mr. Mrs. Ms. Dr.

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Title _____

Place of Employment _____

Address _____

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E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Kosher Vegetarian Vegan other _____

PAYMENT METHOD

Mail check (including registration form) to:

HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to HCCA)

Invoice me

I authorize HCCA to charge my credit card (choose below)

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Credit Card Account Number _____

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Cardholder's Signature _____

If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA1118)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information, fax your registration to 952.988.0146, or visit hcca-info.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

Hilton Hawaiian Village

2005 Kalia Road, Honolulu, Hawaii 96815

A special rate of \$223.00 (plus tax/resort fee of \$15.00) per night has been arranged for the conference. Please note that the room block may sell out prior to the hotel cut-off date. Make your reservations directly with the hotel by calling 800.445.8667 or 808.949.4321. Reservations must be made no later than Friday, September 9th to receive the special rate.

NOTICE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

CONTINUING EDUCATION: HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. Visit HCCA's website, www.hcca-info.org, for up-to-date information.

ACHE: The Health Care Compliance Association is authorized to award 12.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of 12.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 14.7 CEUs for these certifications: Certified in Healthcare Compliance (CHC), Certified in Healthcare Compliance - Fellow (CHC-F), Certified in Healthcare Privacy Compliance (CHPC), Certified in Healthcare Research Compliance (CHRC), Certified Compliance & Ethics Professional (CCEP), Certified Compliance & Ethics Professional - Fellow (CCEP-F), Certified Compliance & Ethics Professional - International (CCEP-I).

CONTINUING LEGAL EDUCATION (CLE): The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 10.0 clock hours of CLE credit will be available to attendees of this conference from these states, along with Alabama. Upon request HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 14.5 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

NURSING CREDIT: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 14.7 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

REGISTRATION PAYMENT TERMS: Checks are payable to HCCA. Credit cards accepted: American Express, MasterCard, Visa, or Discover. HCCA will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@hcca-info.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except The Healthcare Compliance Professionals Manual. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit hcca-info.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.