



# SCOTTSDALE

Regional Compliance Conference

Friday, November 9, 2018

TOPICS: Communicating with Regulators | Individual Liability  
Recent Legal Developments | Privacy | Board Fiduciary Duty

Questions? Contact Amber Zerin, [amber.zerin@corporatecompliance.org](mailto:amber.zerin@corporatecompliance.org)

*Network Locally & Earn CEUs*

## SPONSORS & EXHIBITORS



Register online at [hcca-info.org/regionals](http://hcca-info.org/regionals)

7:30 – 8:20 AM

## Registration and Continental Breakfast

8:20 – 8:30 AM

## Welcome and Announcements

### Program Co-Chairs



**James Rough, CHC, CCEP, CFE**  
President  
SunHawk Consulting, LLC.

**Kimulet Winzer**  
Western Regional Community  
Compliance Lead  
UnitedHealthcare

8:30 – 9:30

## How to Deal Effectively With Recent Legal Developments



**Frank Sheeder**  
Partner  
Alston & Bird

- Regulatory and enforcement trends
- Challenges for compliance professionals
- Practical and effective approaches

9:30 – 9:45 AM

## Networking Break

Register online at [hcca-info.org/regionals](http://hcca-info.org/regionals)

No audio or video recording is allowed.

Sessions and/or speakers are subject to change.

9:45 – 10:45 AM

## Communicating with Regulators and Enforcement: Try an Open Dialogue

### Moderator:



**James Rough, CHC, CCEP, CFE**  
President  
SunHawk Consulting, LLC.

### Panelists:

**Lon Leavitt**  
Assistant United States Attorney  
District of AZ, Affirmative Civil Enforcement  
Coordinator and the Civil Health Care Fraud  
Coordinator, District of AZ.

**Christian Schrank**  
Special Agent in Charge, Los Angeles  
Region, Office of Inspector General,  
Health and Human Services, Office of  
Investigations



**James Schwegel**  
Supervising Special Agent  
Arizona Attorney General's office

**Vanessa Templeman**  
Deputy Inspector General  
Office of Inspector General – Arizona  
Health Care Cost Containment System

- Management know all too well the consequences of receiving regulatory inquiries, or worst, a subpoena can have on their reputation, bottom line, and their time.
- Learn the importance of building a good working relationship with government representatives.
- Learn the importance of accurate, timely, and complete disclosure when reporting or self-disclosing to government representatives.

10:45 – 11:00 AM

## Networking Break

11:00 – 12:00 PM

## Compliance Program Effectiveness in a Time of Healthcare Transformation



**Jenny O'Brien**  
Chief Compliance Officer  
UnitedHealthcare

- Keeping up with a moving target
- Finding the right formula
- Moving the meteor

12:00 – 1:00 PM

## Networking Lunch (provided)

1:00 – 2:00 PM

## Board Fiduciary Duty of Care and Individual Liability



**Bob Rabecs**  
Partner  
Husch Blackwell

- Responsibilities of healthcare governing Boards in overseeing an organization's compliance program.
- DOJ's Yates Memo outlines standards for holding individuals responsible for corporate misconduct.
- Recent enforcement actions and court decisions involving board member and manager liability.

2:00 – 2:15 PM

## Networking Break

2:15 – 3:15 PM

## The Pitfalls and Pleasures of Privacy



**Shawn DeGroot, CHPC, CHRC, CCEP**  
President  
Compliance Vitals

- Status of regulations
- Gaps to avoid
- Privacy effectiveness

3:15 – 4:15 PM

## To Infinity and Beyond! Banner's Compliance Program

**Kristina Corlette**  
Senior Director of Compliance  
Banner Health

**Amanda Cross, CHC**  
Senior Director of Compliance  
Banner

- At the beginning: Banner Health Compliance program - circa 2008.
- Today: Banner Health's current compliance program on its Provider side of the fence.
- Today: Banner Health's current compliance program on its Payer side of the fence.

4:15 PM

## Conference Adjourns

### REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- HCCA Member**.....\$199
- Non-Member**.....\$245
- Registration & HCCA membership**.....\$399

Save by joining HCCA today (first time members only). Annual dues regularly \$295.

**TOTAL \$** \_\_\_\_\_

### ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

Member / Account Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CHRC, CHPC, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

### SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

### PAYMENT METHOD

Mail check (including registration form) to:

HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to HCCA)

Invoice me

I authorize HCCA to charge my credit card (choose below)

American Express  MasterCard  Visa  Discover

Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

Cardholder's Name \_\_\_\_\_

Cardholder's Signature \_\_\_\_\_

If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA1818)



### HOTEL/CONFERENCE LOCATION:

#### Scottsdale Marriott at McDowell Mountains

16770 North Perimeter Drive, Scottsdale, AZ 85260

<https://book.passkey.com/e/49685677>

A special rate of \$229 (plus tax) per night has been arranged for the conference.

Make your reservations directly with the hotel by Friday, October 12, 2018 at

408.502.3836 Mention the Health Care Compliance Association Meeting for the special rate. Please note that the room block may sell out prior to the hotel cut-off date.

**NOTICE:** Neither HCCA or any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

**CONTINUING EDUCATION:** HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Visit HCCA's website, [hcca-info.org](http://hcca-info.org), for up-to-date information.

**AAPC:** This program has the prior approval of the AAPC for 6.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for a total of 6.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC), Certified in Healthcare Compliance- Fellow (CHC-F), Certified in Healthcare Privacy Compliance (CHPC), Certified in Healthcare Research Compliance (CHRC), Certified Compliance & Ethics Professional (CCEP), Certified Compliance & Ethics Professional-Fellow (CCEP-F), Certified Compliance & Ethics Professional-International (CCEP-I).

**CONTINUING LEGAL EDUCATION (CLE):** The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states, along with Alabama, Ohio, and Rhode Island. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

**NURSING CREDIT:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 7.2 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

**REGISTRATION PAYMENT TERMS:** Checks are payable to HCCA. Credit cards accepted: American Express, MasterCard, Visa, or Discover. HCCA will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email [help@hcca-info.org](mailto:help@hcca-info.org) for instructions.

**CANCELLATIONS/SUBSTITUTIONS:** Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original canceled event. Conference credits may be used towards any HCCA service or product. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at [help@hcca-info.org](mailto:help@hcca-info.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**TAX DEDUCTIBILITY:** Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

**USE OF INFORMATION:** By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit [www.hcca-info.org/privacy.aspx](http://www.hcca-info.org/privacy.aspx).

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information, fax your registration to 952.988.0146, or visit [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals) and register online