

7:00 – 7:55 AM

Registration and Continental Breakfast

7:55 – 8:00 AM

Welcome and Announcements

8:00 – 9:00 AM

Metrics for Compliance Program Effectiveness

James Rose
 Managing Director
 SunHawk Consulting LLC

- DOJ's guidance from April 2019 on the evaluation of compliance programs should factor into your metrics
- Measuring outcomes not just activities is key to regulatory expectations
- Perfection is not the expectation – Authentic measurement and reasonable mitigation builds regulatory trust

9:00 – 9:15 AM

Break

9:15 – 10:15 AM

Helpful Hints on the Top Things Every Privacy Officer Needs to Know But Won't Encounter Every Day

Marti Arvin
 VP of Audit Strategy
 Cynergis Tek

- Dealing with release of information in unique circumstances, minors, law enforcement, etc.
- Business associate agreements
- Marketing, fundraising, and research
- Photos, phones, and privacy

10:15 – 10:30 AM

Break

10:30 – 11:30 AM

Behavioral Health Integration: The Road to Success: Building and Sustaining an Integrated Behavioral Health and Primary Care Model

Charise Frazier
 Attorney
 Hall, Render, Killian, Heath & Lyman, P.C.

Successful integration requires more than increasing the availability of behavioral health services. This presentation will explore legal challenges and solutions on the road to success of integrating behavioral health and primary care including:

- Types of integrated Models
- Regulatory Issues
- Practical Takeaways

11:30 AM – 12:30 PM

Lunch (provided)

12:30 – 1:30 PM

Drug Diversion: Enforcement Trends and Provider Best Practices

Moderator:

Lisa M. Martin
 Chief Compliance Officer
 Indiana University Health

Panelists:

Josh Minkler
 United States Attorney in the Southern District of Indiana

Andrew Ratcliff
 DEA

Kimberly Sharp BSN, RN-BC, CHTP
 Director Pain Management
 Community Health Network

- Current DOJ Initiatives to combat Drug Diversion
- How federal, state, and local agencies coordinate in diversion investigation and enforcement
- Best practices for providers before and during a diversion investigation

1:30 – 1:45 PM

Break

1:45 – 2:45 PM

Doing Quality Improvement: Beyond Auditing and Monitoring

John R. Baumann, Ph.D
 Associate Vice President for Research Compliance, Indiana University

- Discuss how institutions are developing quality improvement programs based in part on the analysis of auditing and monitoring findings
- Review how audit and monitoring findings can be integrated into a program of quality improvement
- Explore how to develop quality improvement programs based on audit and monitoring findings

2:45 – 3:00 PM

Break

3:00 – 4:00 PM

Whistleblower Case Study

Katea M. Ravega
 Partner
 Quarles & Brady LLP

Edwin J. Broecker
 Partner
 Quarles & Brady LLP

- Role of whistleblowers in context of fraud waste and abuse laws
- Requirements and policies (no retaliation, etc.)
- Walk through reported decisions involving whistleblowers

4:00 PM

Brief Closing Remarks / Conference Adjourns

Registration Fee

- HCCA Member**\$220
- Non-Member**\$270
- Registration & HCCA Membership**\$440
Save by joining HCCA today (first-time members only) Dues regularly \$325
- Group Discount for 5–9 Attendees**(\$25)
- Group Discount for 10 or More**(\$40)

TOTAL \$ _____

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

Dietary Needs Request

- Dairy Free Gluten Free Kosher Vegetarian Vegan
- Other _____

Payment

Online registration at hcca-info.org/regionals

Mail this form to HCCA, 6500 Barrie Road, Suite 250,
Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me
- Check enclosed (payable to HCCA)
- Wire transfer requested
- Credit card: I authorize HCCA to charge my:
- Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

HOTEL/CONFERENCE LOCATION:

Indianapolis Marriott North

3645 River Crossing Parkway, Indianapolis, IN 46240
bit.ly/indy19 | 1 800.228.9290

A special rate of \$154 (plus tax) per night has been arranged for the conference. Make your reservations directly with the hotel by Thursday, September 5, 2019, at 1 800.228.9290, or by clicking this link bit.ly/indy19, and mention the Healthcare Compliance Association for the special rate. Please note that the room block may sell out prior to the hotel cut-off date.

NOTICE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

CONTINUING EDUCATION: HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences-home-page. Select your conference, and then select the "Continuing Education" option on the left hand menu.

AAPC: This program has the prior approval of the AAPC for 6.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of 6.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

CONTINUING LEGAL EDUCATION (CLE): The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, the Rhode Island MCLE Commission, and the State Bar of Texas. An approximate maximum of 5.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

NURSING CREDIT: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 7.2 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

ACKNOWLEDGEMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at hcca-info.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use or if you wish to opt-out, visit hcca-info.org/privacy.

By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.