

7:30 – 8:25 AM

**Registration and Continental Breakfast**

8:25 – 8:30 AM

**Welcome and Announcements**

8:30 – 9:30 AM

**Communicating With Regulators & Enforcement: Avoiding Pitfalls**

**Moderator:**

**James Rough**  
President  
SunHawk Consulting

**Panel:**

**Lon Leavitt**  
Partner  
Halunen Law

**Christian Schrank**  
Special Agent in Charge, Los Angeles Region, Office of Inspector General, Health and Human Services, Office of Investigations

**James Schwegel**  
Supervising Special Agent  
Arizona Attorney General’s Office

**Vanessa Templeman**  
Deputy Inspector General  
Office of Inspector General  
Arizona Health Care Cost Containment System

- Management know all too well the consequences of receiving regulatory inquiries, or worst, a subpoena can have on their reputation, bottom line, and their time
- Learn the importance of building a good working relationship with government representatives
- Learn the importance of accurate, timely, and complete disclosure when reporting or self-disclosing to government representatives

9:30 – 9:45 AM

**Break**

9:45 –10:45 AM

**Prosecution and Defense: Points of Collaboration and Contention**

**Frank Sheeder**  
Partner  
Alston & Bird

**Lon Leavitt**  
Partner  
Halunen Law

- Common divergent viewpoints
- Establishing collaboration and cooperation
- Practical approaches in government investigations

10:45 – 10:55 AM

**Break**

10:55 – 11:55 AM

**HIPAA Updates**

**Alicia Brown**  
Supervisory Equal Opportunity Specialist, Office for Civil Rights  
U.S. Department of Health and Human Services: Pacific Region

- Policy development
- Breach notification
- Enforcement and audit

11:55 AM – 12:45 PM

**Lunch (provided)**

12:45 – 1:45 PM

**Kickback Enforcement Update**

**Kathy Lauer**  
Global Head  
Latham Watkins

- New private payor anti-kickback provisions of EKRA
- Recent DOJ criminal and civil enforcement
- New areas of focus

1:45 – 2:00 PM

**Break**

2:00 – 3:00 PM

**Setting Up a Compliance Program for ACOs**

**Kathy Harris**  
Senior Director Government Operations  
Banner Health Network

- Overview of CMS ACO programs
- ACO program requirements
- How compliance can support their ACO

3:00 – 3:15 PM

**Break**

3:15 – 4:15 PM

**Implementing and Operating an Effective Physician Arrangements Compliance Program**

**Steve Ortquist**  
President  
Arete Compliance Solutions

- What do Stark and AKS require?
- What causes organizations physician arrangements problems?
- How to implement and operate an effective arrangements compliance program

4:15 PM

**Brief Closing Remarks / Conference Adjourns**

## Registration Fee

<input type="checkbox"/> HCCA Member .....	\$220
<input type="checkbox"/> Non-Member .....	\$270
<input type="checkbox"/> Registration & HCCA Membership .....	\$440
Save by joining HCCA today (first-time members only) Dues regularly \$325	
<input type="checkbox"/> Group Discount for 5–9 Attendees .....	(\$25)
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<b>TOTAL \$</b> _____	

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

## Dietary Needs Request

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  
 Other \_\_\_\_\_

## Payment

Online registration at [hcca-info.org/regionals](http://hcca-info.org/regionals)

Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me  
 Check enclosed (payable to HCCA)  
 Wire transfer requested  
 Credit card: I authorize HCCA to charge my:  
 Visa  MasterCard  Discover  American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

## HOTEL/CONFERENCE LOCATION:

### Scottsdale Marriott at McDowell Mountains

16770 North Perimeter Drive, Scottsdale, AZ 85260 | [bit.ly/scots19](http://bit.ly/scots19)

A special rate of \$229 (plus tax) per night has been arranged for the conference. Make your reservations directly with the hotel by Friday, October 17, 2019, at [bit.ly/scots19](http://bit.ly/scots19). Please note that the room block may sell out prior to the hotel cut-off date

**NOTICE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

**CONTINUING EDUCATION:** HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). To see the most up-to-date CEU information go to HCCA's website, [hcca-info.org/all-conferences](http://hcca-info.org/all-conferences). Select your conference, and then select the "Continuing Education" option on the left hand menu.

**AAPC:** This program has the prior approval of the AAPC for 6.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for a total of 6.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance—Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional—Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional—International (CCEP-I)<sup>®</sup>.

**CONTINUING LEGAL EDUCATION (CLE):** The Health Care Compliance Association is a provider/sponsor, approved/accredited by the Pennsylvania Bar Association and the State Bar of Texas. An approximate maximum of 6.0 clock hours of CLE credit will be available to attendees of this conference licensed in these states. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [nasbaregistry.org](http://nasbaregistry.org). Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

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