

New York Regional Healthcare Compliance Conference

Friday, May 10, 2019



Learn, Network & Earn CEUs in Your Neighborhood

The Regional Healthcare Compliance Conference offers convenient opportunities for healthcare compliance training, updates on the latest news in regulatory requirements and enforcement, and strategies to develop effective compliance programs. Additionally, attendees will have ample opportunity to network with industry peers. Breakfast and lunch are also included in the conference price.

hcca-info.org/regionals

Questions? amber.zerin@corporatecompliance.org



7:00 – 8:00 AM

Registration and Continental Breakfast

8:00 – 8:05 AM

Welcome and Announcements

Program Chair:



Robert Hussar, Esq., CHC
Partner
Barclay Damon LLP

8:05 – 9:05 AM

Making the Most of Mandatory: Best Practices for Healthcare Compliance Training and Communications



Frank Caria, MPA, CHC, AEMT
Executive Compliance Officer
NYC Health + Hospitals



Greg Rider, CHC, CPLP
Director, Corporate Compliance
EmblemHealth, Inc.



C.J. Wolf, MD,
Senior Compliance Executive and Advisor
Healthicity, Inc.

- Effective compliance training needs to adapt to the learning styles of busy adult learners. Adult Learning Theory is a well-studied educational psychology paradigm.
- Learn how a large healthcare entity has applied the principles of adult learning and technology to advance their training efforts.
- Lessons learned from another large healthcare system and their compliance training efforts; dynamic and complex entities often in flux.

9:05 – 9:15 AM

Break

9:15 – 10:15 AM

The New Kids on the HIPAA Block—Privacy Enforcement Activity by State Attorneys General



Thora A. Johnson, Esq.
Partner and Co-Chair
Healthcare Initiative, Venable, LLP



Debra M. Lightner
Senior Vice President & Chief Compliance Officer, EmblemHealth, Inc.



Jaime Pego, JD, CHC
Managing Director
KPMG, Inc.

- New Kids: Overview of recent HIPAA settlements and enforcement activity by state Attorneys General
- Life cycle of a breach: How to deal with state regulators before, during and after an investigation from the perspective of a covered entity, outside counsel and a HIPAA consultant
- Getting back to normal: How can you move on, but not forget? Ways a breach can be the cCatalyst to improve your privacy program

10:15 – 10:25 AM

Break

10:25 – 11:25 AM

M&A and Joint Venture Compliance Due Diligence



Philip Z. Glorieux
Senior Underwriting Counsel
Healthcare and Intellectual Property
Ambridge Partners LLC



Christopher Greene
Partner
Barclay Damon LLP

- Overview of private equity and strategic partner activity in the healthcare deal-making space
- Steps that healthcare investors and compliance professionals can take to ensure their business is ready when a potential investor or JV partner comes knocking
- Allocation and shifting of risk through the use of transactional insurance products

11:25 AM – 12:30 PM

Working Lunch (provided)

11:40 AM – 12:10 PM

The Accidental Compliance Professional (stories and lessons learned)



Roy Snell
Former CEO
SCCE/HCCA

- Sowing the Seeds of Compliance around the World
- College Pranks Gone Wrong

12:30 – 1:30 PM

Vendor Risk Management



Andrew Namoury
Director
PriceWaterhouseCoopers



Shreya Gopal
Manager
PriceWaterhouseCoopers

- Overview of the vendor management lifecycle
- Organizational structure and alignment of the vendor management office
- Objectives and role of the vendor management office

1:30 – 1:40 PM

Break

1:40 – 2:40 PM

Identifying and Managing Compliance Risk



Margaret J. Davino, Esq.
Partner
Fox Rothschild LLP



Joel D. Dziengielewski
Director, Healthcare
Navigant



Lynn A. Stansel, Esq.
Vice President & Counsel, Compliance
Montefiore Medicine Academic Health System

- An overview and tool kit / methodology for conducting a risk assessment
- Getting buy in from the C-Suite to ensure meaningful and comprehensive participation in the process
- How to ensure appropriate mitigation plans are developed and implemented
- Reporting of risk assessment results to executive leadership and to the board level compliance committee

2:40 – 2:45 PM

Break

2:45 – 4:15 PM

FWA Investigations Panel

Moderator:

Paul Kaufman
Vice President, Office of Legal Affairs
Northwell Health, Inc.

Panel:



Shawn Mullen
Supervisory Special Agent, Health Care Task Force
Federal Bureau of Investigation

A. Brendan Stewart
Assistant Chief, Fraud Section, Criminal Division, U.S. Department of Justice

Kenneth M. Abell
Partner
Abrams Fensterman LLP

- Current law enforcement priorities, importance of companies having effective compliance programs and the types of mistakes that companies have made with those programs.
- Key tips for responding to government inquiries and conducting effective internal investigations.
- Different types of self-disclosures that companies and individuals can make when they identify compliance issues

REGISTRATION FEE

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

- HCCA Member**\$220
- Non-Member**\$270
- Registration plus HCCA Membership**\$440
Save by joining HCCA today (first-time members only) Dues regularly \$325

TOTAL \$ _____

ATTENDEE INFORMATION (PLEASE TYPE OR PRINT)

Mr. Mrs. Ms. Dr.

Member/Account Number (if applicable/known) _____

First Name _____ M.I. _____ Last Name _____

Credentials (CHC, CHRC, CHPC, etc.) _____

Job Title _____

Organization (Name of Employer) _____

Address _____

City _____ State _____ Zip _____

Phone _____

Fax _____

E-mail (required for confirmation and conference information) _____

SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free Kosher Vegetarian Vegan Other _____

PAYMENT METHOD

Mail check (including registration form) to:
HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

Fax to: +1 952.988.0146

Check enclosed (payable to HCCA)

Invoice me

I authorize HCCA to charge my credit card (choose below)

American Express MasterCard Visa Discover

Credit Card Account Number _____

Credit Card Expiration Date _____

Cardholder's Name _____

Cardholder's Signature _____

If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA0319)

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information, fax your registration to 952.988.0146, or visit hcca-info.org/regionals and register online

HOTEL/CONFERENCE LOCATION:

Sheraton LaGuardia East Hotel

135-20 39th Avenue, Flushing, New York 11354

A special rate of \$199.00 (plus tax) per night has been arranged for the conference. Call 888-268-0717 or email reservations@sheratonlaguardia.com by April 16, 2019 to make reservations.

Mention the HCCA New York Regional for the special room block rate. Please note that the room block may sell out prior to the cut-off date.

NOTICE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

REGISTRATION PAYMENT TERMS: Checks are payable to HCCA. Credit cards accepted: American Express, MasterCard, Visa, or Discover. HCCA will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email helpteam@hcca-info.org for instructions.

CANCELLATIONS/SUBSTITUTIONS: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply depending upon the membership status of the substitute. Substitution requests must be submitted by email to helpteam@hcca-info.org.

GROUP DISCOUNT: 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

TAX DEDUCTIBILITY: Expenses of training (including tuition, travel, lodging and meals) incurred to maintain or improve skills in your profession may be tax deductible. Consult your tax advisor.

USE OF INFORMATION: By submitting this registration form, you agree to the use of your information and the terms and conditions stated on this form. To view our Privacy Statement visit hcca-info.org/privacy.aspx.

AGREEMENTS & ACKNOWLEDGEMENTS: I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video, and biography for such purposes.

CONTINUING EDUCATION: HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. Visit HCCA's website, hcca-info.org, for up-to-date information.

AAPC: This program has the prior approval of the AAPC for 7.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award 7.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of 7.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

COMPLIANCE CERTIFICATION BOARD (CCB): CCB has awarded a maximum of 8.4 CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance—Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional—Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

CONTINUING LEGAL EDUCATION (CLE): The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. At present, an approximate maximum of 5.5 clock hours of CLE credit will be available to attendees of this conference licensed in these states. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 8.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

NURSING CREDIT: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 8.4 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form)