



Learn to effectively  
manage a compliance  
program and mitigate risk  
at your organization

# Healthcare Basic Compliance Academies

## Academies Remaining in 2020

Nashville, TN • Nov 2–5

Las Vegas, NV • Dec 7–10

**Sit for the CHC exam!** Demonstrate your expertise and enhance your career—take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam offered by the Compliance Certification Board (CCB)<sup>®</sup> at the conclusion of the Academy.

Academies are limited to 40 participants.  
Register early to secure your spot.

**Register today**  
[hcca-info.org/academies](https://hcca-info.org/academies)



# About our Academies

Building and expanding an effective healthcare compliance program starts with understanding the fundamentals. Whether you're getting started in the compliance field or need a refresher course, our Healthcare Basic Compliance Academy can expand your knowledge of compliance and shape your organization's program. Join us to learn from industry experts, and meet other professionals with real-world experiences to share.

## Who should attend?

Our Academies are designed for participants with basic knowledge of and professional experience in healthcare compliance.

This includes:

- Compliance officers
- Privacy officers
- Internal auditors
- Data privacy specialists
- Regulatory compliance specialists
- In-house or external compliance lawyers

## Why should you attend?

Kick-start your compliance program and head back to work with a wealth of new information and resources.

- Gain core healthcare compliance knowledge
- Learn from faculty hand-selected for their expertise
- Network with other compliance professionals
- Earn live continuing education units (CEUs)
- Take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam

Enrollment for each Academy is limited to 40 participants, ensuring personal interaction with our experts.

## Course materials and takeaways

In addition to the knowledge you gain by attending, you also receive:

- All course materials (A binder will be provided on the first day of the Academy.)
- One-year online access to *Compliance 101, 4<sup>th</sup> Edition* (A link will be sent to the email address in your HCCA account.)

## HCCA's mission

HCCA exists to champion ethical practice and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.

## Contact Us

Please visit [hcca-info.org](http://hcca-info.org) to learn more about the Academies and HCCA's other programs.

## Our faculty



**Dwight Claustre**, CHC-F, CHRC, CHPC  
Retired Compliance Professional



**Darrell Contreras**, Esq., LHRM, CHC-F, CHRC, CHPC  
Chief Compliance Officer,  
Millennium Health



**Jeff Driver**, JD, CHC  
Managing Director,  
Arete Compliance Solutions



**Lea Fourkiller**, JD, CHC, CCEP, CCEP-I, CHPC  
Managing Director,  
Ankura Consulting Group



**Gabe Imperato**, Esq., CHC  
Partner, Nelson Mullins Broad and Cassel;  
SCCE & HCCA Board Member



**Sheila Limmroth**, CHC, CIA  
Privacy Officer,  
DCH Health System



**Teri L. Morris**, RN, BSN, CHC  
Director of Quality/Patient Safety,  
Cherokee Indian Hospital



**Steven W. Ortquist**, Esq., CHC-F, CCEP, CHRC  
President, Arete Compliance Solutions;  
Of Counsel, Meade Roach & Annulis



**Joan M. Podleski**, CHC, CHPC, CHRC, CCEP  
Chief Privacy Officer,  
Children's Health



**Lisa Taylor**, Esq., CCEP  
Vice President & Chief Compliance Officer,  
UC Health



**Debbie Troklus**, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC  
President, Troklus Compliance Consulting;  
SCCE & HCCA Board Member



**Sheryl Vacca**, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC  
Chief Risk Officer, Providence St. Joseph  
Health; SCCE & HCCA Board Member



**Betsy Wade**, CHC  
Corporate Compliance Officer,  
Signature HealthCARE

*(Faculty subject to change.)*

# CHC<sup>™</sup> Do you qualify? Become certified!

Certification can enhance your career and give you credibility with your organization and peers. Immediately following each Academy, we offer an optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam. A separate application and fee submitted in advance directly to the Compliance Certification Board (CCB)<sup>®</sup> is required.

*PLEASE NOTE: Although the Academies can provide the CEUs you need to qualify, they are not a preparation class for the exam itself.*

## MONDAY

7:30–8:00 AM

Registration and Continental Breakfast (*provided*)

8:00–8:05 AM

Welcome / Introduction

8:05–10:05 AM

### Introduction to Healthcare Compliance

- High-level overview of healthcare compliance program elements
- Sharing problems and practices in implementing a compliance program
- Understanding of the seven elements

10:05–10:35 AM

Break

10:35 AM–12:20 PM

### Compliance Oversight and Infrastructure

- Understand the importance of structure vs. operations
- The components of an effective compliance infrastructure
- Implementation of a compliance program, selection of qualified staff, and budgeting for program

12:20–1:20 PM

Lunch (*provided*)

1:20–2:50 PM

### Standards, Policies, and Procedures

- The importance of having a standards of conduct
- Essentials components of policies and procedures
- Evaluating the adequacy of compliance standards and policies

2:50–3:20 PM

Break

3:20–5:20 PM

### HIPAA

- A high-level overview of the privacy and security regulations
- Understand how to break down HIPAA issues
- Apply HIPAA rules to scenarios and breaches

## TUESDAY

7:30–8:00 AM

Continental Breakfast (*provided*)

8:00–8:15 AM

CHC Certification Discussion

8:15–10:00 AM

### Risk Assessment

- Understanding the risk assessment process
- Effective ways to conduct a risk assessment
- Tools to implement a risk assessment process

10:00–10:30 AM

Break

10:30 AM–12:15 PM

### Auditing and Monitoring

- Understand the basics of auditing and monitoring
- Develop a compliance audit and monitoring risk based plan
- Reporting and communicating auditing and monitoring results

12:15–1:15 PM

Lunch (*provided*)

1:15–2:45 PM

### Education and Training

- Understanding how adults learn
- Adult learning techniques, effective teaching styles, topics of interest, and evaluation of the educational programs
- The difference between general and focused/targeted education

2:45–3:15 PM

Break

3:15–5:00 PM

### Organizational Ethics

- Ethical conduct and responsibilities of the compliance officer
- Duties of the compliance professional
- Board obligations related to an ethical culture

## WEDNESDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

### Conflicts, Discipline, and Incentives

- Understand conflicts of interest
- Practical ways to implement a conflicts of interest process
- How discipline and incentives should be integrated into the compliance program

9:30–10:00 AM

Break

10:00–11:45 AM

### Stark Law and Anti-Kickback Statute

- Understand the Stark Law and Anti-Kickback Statute and how they work in practice
- Discusses case studies that illustrate compliance risks and current areas of focus for enforcement agencies
- Explore compliance processes needed to prevent AKS and Stark violations

11:45 AM–12:45 PM

Lunch *(provided)*

12:45–2:30 PM

### Reimbursement

- Basic billing and reimbursement terminology
- Billing and reimbursement risk areas
- Mitigating billing and reimbursement risk areas

2:30–3:00 PM

Break

3:00–4:45 PM

### Internal and External Investigations

- Responding to enforcement agency investigations
- Compliance professionals and best practices for internal investigations
- Privilege, confidentiality, and internal investigations

## THURSDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

### Legal Risks, False Claims Act, and Self-Disclosure

- Legal risks and healthcare compliance
- False Claims Act and compliance programs
- Self-disclosure and resolution of compliance liability

9:30–10:00 AM

Break

10:00–11:45 AM

### Compliance Program Effectiveness

- Why to evaluate compliance programs
- Compliance program effectiveness indicators
- Response, reporting, and follow-up to compliance program effectiveness reviews

11:45 AM

Academy Ends

12:45 PM

### CHC Exam Check-In Time

1:00–4:30 PM

### Exam Time — Certified in Healthcare Compliance (CHC)<sup>®</sup> *(optional)*

The CHC exam is optional. You must apply and pay for the exam separately from the Academy.

To apply online, visit: [hcca-info.org/Certification/ApplyforCCBCertification.aspx](https://hcca-info.org/Certification/ApplyforCCBCertification.aspx).

PLEASE NOTE: If you are not present at the specified "Exam Check-in Time" as listed above, and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes, per the Candidate Handbook. The time range, listed at left, includes mandatory exam procedures and proctor instructions.

*(Agenda subject to change.)*

HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).

To see the most up-to-date CEU information, visit [hcca-info.org/all-conferences-home-page](http://hcca-info.org/all-conferences-home-page); select your conference; then select the "Continuing Education" option from the left-hand menu.

**AAPC:** This program has the prior approval of the AAPC for 24.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award 24.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for a total of 24.0 continuing education units (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**CCB:** The Compliance Certification Board (CCB)<sup>®</sup> has awarded a maximum of 29.1 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance–Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, and Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

**CLE:** The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 10.25 clock hours of Continuing Legal Education (CLE) credit will be available to attendees of this conference licensed in these states, along with Florida, Illinois, Louisiana, and Ohio. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 29.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or 952.988.0141.

**Nursing Credit:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 29.1 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey, and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

# HCCAnet

## SOCIAL NETWORK

- Join other compliance professionals in discussion and get answers to your most difficult compliance questions.
- Participate in study groups for certification exams.
- Discuss best practices, get advice, learn the newest trends, etc.



[hcca-info.org/hccanet](http://hcca-info.org/hccanet)



Healthcare Basic Compliance Academies and optional CHC exams are held at the locations listed below. The costs of hotel accommodations are not included in your conference registration fee. The hotel room rate listed for each Academy is good until the cutoff date indicated or until the room block is full, whichever comes first. Reservations received after the cutoff date or after the room block is full will be accepted based on space and rate availability. Please note that parking and Internet service charges are set by the hotel and may be subject to change.

**NOTICE:** Neither HCCA—nor any hotel with which it is affiliated—will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

### Lake Buena Vista, FL • January 13–16

**Hilton Orlando Lake Buena Vista**  
**1751 Hotel Plaza Boulevard, Lake Buena Vista, FL 32830**  
 A reduced rate of \$232 per night (plus taxes and state fees) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/academy011320](http://bit.ly/academy011320) or call 407.827.4000 and ask for the “HCCA Compliance Academy” group rate. All reservations must be secured with a valid credit card. This card will be used to prepay a deposit for the first night and will be charged immediately. This deposit is refundable up to 5 days in advance of your arrival date. The cutoff date for the group rate is Monday, December 23, 2019 or when the group room block is full, whichever comes first.

### Phoenix, AZ • February 3–6

**Hyatt Regency Phoenix**  
**122 North Second Street, Phoenix, AZ 85004**  
 A reduced rate of \$255 per night (plus taxes; currently 12.57%; subject to change) for single/double occupancy has been arranged. To make a reservation [bit.ly/academy020320](http://bit.ly/academy020320) or call 877.803.7534 and ask for the “HCCA Compliance Academy” group rate. All reservations must be guaranteed by a first night’s room deposit or a valid credit card. Cancellations made within 48 hours of scheduled arrival will result in a charge for one night’s room (plus tax). The cutoff date to receive the discounted rate is Thursday, January 9, 2020 or when the group block is full, whichever comes first.

### San Diego, CA • March 2–5

**The US Grant**  
**326 Broadway, San Diego, CA 92101**  
 A reduced rate of \$239 per night (plus applicable taxes; currently 12.77%; subject to change) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/academy030220](http://bit.ly/academy030220) or call 888.236.2427 and ask for the “HCCA Compliance Academy” group rate. All reservations must be guaranteed by a first night’s room deposit or a valid credit card. The cutoff date to receive the discounted rate is Friday, February 7, 2020 or when the group block is full, whichever comes first.

### Scottsdale, AZ • September 21–24

**Embassy Suites by Hilton Scottsdale Resort**  
**5001 North Scottsdale Road, Scottsdale, AZ 85250**  
 A reduced rate of \$159 per night (plus applicable taxes and fees) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/academy092120](http://bit.ly/academy092120) or call 800.362.2779 and ask for the “HCCA Compliance Academy” group rate. All reservations must be guaranteed by a first night’s room deposit or a valid credit card. Any room cancellations 24 hours (or less) prior to the arrival date or no-shows will result in a penalty of one night’s room plus tax. The cutoff date to receive the discounted rate is Monday, August 31, 2020 or when the group block is full, whichever comes first.

### Nashville, TN • November 2–5

**Hilton Nashville Downtown**  
**121 Fourth Avenue South, Nashville, TN 37201**  
 A reduced rate of \$254 per night (plus applicable taxes—currently 15.25%; subject to change—and fees) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/academy110220](http://bit.ly/academy110220) or call 615.620.1000 and ask for the “HCCA Compliance Academy” group rate. All reservations require a first night’s room (plus tax) deposit in the form of check or credit card. Any room cancellations 48 hours (or less) prior to the arrival date or a no-show will forfeit this deposit. The cutoff date to receive the discounted rate is Monday, October 12, 2020 or when the group block is full, whichever comes first.

### Las Vegas, NV • December 7–10

**MGM Grand**  
**3799 South Las Vegas Boulevard, Las Vegas, NV 89109**  
 A reduced rate of \$115 per night (plus applicable taxes; currently 13.38%; subject to change) for single/double occupancy has been arranged. A \$37 Daily Resort Fee plus applicable Clark County room tax (currently 13.38%; subject to change) at the time of stay is applied to each hotel room reservation and includes property-wide high-speed wireless Internet access, unlimited local and toll-free calls, airline boarding pass printing, and cardio room access for guests who are 18+ years of age. The Daily Resort Fee is separate and distinct from the room rate and taxes. To make a reservation, visit [bit.ly/academy120720](http://bit.ly/academy120720) or call 877.880.0880 and ask for the “HCCA Compliance Academy” group rate. To secure a reservation, submit a valid credit card with the name as it appears on the card, billing address, and credit card expiration date—or a deposit equal to the first night’s room rate (plus tax) as a guarantee—at the time the reservation is made. The credit card will be charged the first night’s room rate and tax at that time. Refunds will be issued on individual attendee’s reservation only if canceled at least 72 hours in advance of the confirmed arrival date. All guaranteed reservations will be held until 6:00AM only on the day after confirmed arrival date. The balance of the room, resort fee, tax and incidental charges must be paid at check-out. The cutoff date to receive the discounted rate is November 13, 2020 or when the group block is full, whichever comes first.



## FIND OUT WHAT MEMBERSHIP CAN DO FOR YOU

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**CEUs and Certifications**



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[hcca-info.org/join](http://hcca-info.org/join)

# 2020 Healthcare Basic Compliance Academies

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town

State/Province

Zip/Postal Code

Country

Work Phone

Email (required)

## Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  
 Other \_\_\_\_\_

## Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [hcca-info.org/academies](http://hcca-info.org/academies).

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

**Cancellation fee:** A \$250 fee will be charged for any registration changed within seven days of the start of an Academy.

## Academies

<input type="checkbox"/>	January 13-16 • Lake Buena Vista, FL <b>SOLD OUT</b>
<input type="checkbox"/>	February 3-6 • Phoenix, AZ <b>SOLD OUT</b>
<input type="checkbox"/>	March 2-5 • San Diego, CA <b>SOLD OUT</b>
<input type="checkbox"/>	September 21-24 • Scottsdale, AZ <b>SOLD OUT</b>
<input type="checkbox"/>	November 2-5 • Nashville, TN
<input type="checkbox"/>	December 7-10 • Las Vegas, NV

## Registration Fees

<input type="checkbox"/>	Member	\$2,750
<input type="checkbox"/>	Non-Member	\$3,250
<input type="checkbox"/>	Registration + First-Time Membership*	\$2,970
<input type="checkbox"/>	Group Discount for 5-9 Attendees	(\$200)
<input type="checkbox"/>	Group Discount for 10 or More	(\$400)

\*Save by joining today (first-time members only). Dues renew at \$325.

TOTAL \$ \_\_\_\_\_

## Payment

Online registration at [hcca-info.org/academies](http://hcca-info.org/academies)

Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me  
 Check enclosed (payable to HCCA)  
 Wire transfer requested  
 Credit card: I authorize HCCA to charge my:  
 Visa  MasterCard  Discover  American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature