

The Office of Inspector General of Health and Human Services expects board members and audit committee members of organizations to be experienced in compliance oversight. Get the tools and knowledge required to successfully meet this expectation at the 2020 Board & Audit Committee Compliance Conference.

Who should attend?

This event is designed to help organizations and boards work together to fulfill their fiduciary obligations in compliance oversight. Past attendees have included:

- Board chairs
- Board officers
- Board members
- Trustees
- Audit & compliance committee members
- Presidents/CEOs
- Chief compliance officers
- Healthcare senior internal auditors
- Senior directors of finance
- General counsel

Why should you attend?

This yearly conference offers a great opportunity for attendees to learn:

- How to fulfill fiduciary obligations as board/committee members and senior-level leaders
- How to help improve board performance for compliance oversight
- The latest on regulatory risk and compliance obligations
- Learn how law enforcement is combatting the opioid epidemic using a “Strike Force” model
- Learn why internal investigations are important
- How to improve overall compliance program performance at their organizations

HCCA's mission

HCCA exists to champion ethical practice and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.

Contact us

Please visit us online at hcca-info.org/2020audit to learn more about the conference and HCCA's other programs.

Sponsors



MONDAY, FEBRUARY 24

7:00 AM–5:30 PM	Registration
7:00–8:00 AM	Continental Breakfast <i>(provided)</i>
8:00–8:15 AM	Welcome and Opening Remarks
8:15–9:15 AM	<p>Introduction to Risk and Compliance</p> <p><i>Roz Cordini, JD, MSN, RN, CHC, CHPC, Senior Vice President, Coding & Compliance Services, Coker Group</i></p> <ul style="list-style-type: none"> • Understand healthcare's complex legal and regulatory environment • Identify key guidance pertaining to healthcare compliance and the governing body's oversight role • Identify mechanisms for identifying compliance risk areas • Understand mechanism for documentation of compliance risk in your organization
9:15–9:30 AM	Networking Break
9:30–10:30 AM	<p>Board and Management Responsibility for Compliance Oversight</p> <p>Moderator: <i>Dan Roach, Chief Compliance Officer, Optum360, SCCE & HCCA Board Member</i></p> <p>Panel: <i>Robert Michalski, Chief Compliance Officer, Baylor Scott & White Health, CHC, CHPC, CHRC</i> <i>Katherine C. Tarvestad JD, Vice President and Chief Compliance Officer, Medicare & Retirement, UnitedHealthcare</i> <i>Felicia Heimer, Esq., Senior Counsel, Office of Counsel to the Inspector General, U.S.</i></p> <ul style="list-style-type: none"> • What is reasonable oversight of a compliance and ethics program? • What are the ideal qualifications and characteristics of a board member for compliance oversight? • How do you know if compliance matters are getting sufficient attention by the Board and the C-suite?
10:30–10:45 AM	Networking Break
10:45–11:45 AM	<p>Cybersecurity</p> <p><i>Roy Wyman, Attorney, Nelson Mullins Broad and Cassel</i> <i>Barry Mathis, CCSFP, Consulting Principal, PYA, PC</i></p> <ul style="list-style-type: none"> • Cybercrime, hacking, and ransomware • Risks to privacy • How to become and remain compliant
11:45 AM–1:00 PM	<p>General Session Luncheon</p> <p><i>Gregory Demske, Chief Counsel to the Inspector General, Health and Human Services Office of the Inspector General</i></p>
1:00–2:00 PM	<p>Compliance Program and Your IRS 990 Filings</p> <p><i>James Sheehan, Esq., Chief, Charities Bureau, NY Attorney General</i></p> <ul style="list-style-type: none"> • The IRS 990 is an information return-32 plus pages of detail, 100 pages of instructions-now available as data-and more to come • Governance, Management, and insider transaction reporting- who completes the entries? Who is responsible to assure the answers are accurate? • Current research-predicting compliance risks from 990 responses
2:00–2:15 PM	Networking Break
2:15–3:30 PM	<p>Stark, Anti-Kickback and Foreign Corruption Practices Act Compliance</p> <p>Moderator: <i>Kathy Lauer, Partner, Latham & Watkins, LLP</i></p> <p>Panel: <i>Odell Guyton, CCEP, CCEP-I, SCCE Co-Founder, Compliance & Ethics Professional, SCCE & HCCA Board Member</i> <i>Steven W. Ortquist, JD, CHC-F, Founder & Principal, Arete Compliance Solutions, LLC</i> <i>Keshia Thompson, Senior Counsel, Office of Counsel to the Inspector General, Department of Health and Human Services</i></p> <ul style="list-style-type: none"> • An overview of both laws and how they intersect • The False Claims Act overlay • Recent enforcement actions • Practical approaches to mitigating risk
3:30–3:45 PM	Networking Break
3:45–4:45 PM	<p>Internal Investigations and Healthcare Compliance Matters</p> <p>Moderator: <i>Gabriel Imperato, Esq., CHC, Managing Partner at Nelson Mullins Broad and Cassel, SCCE & HCCA Board Member</i></p> <p>Panel: <i>James Rough, CCEP, CFE, CHC, Founder and President of SunHawk Consulting, LLC</i> <i>Shannon Sumner, CPA, CHC, Principal/Chief Compliance Officer, PYA</i></p> <ul style="list-style-type: none"> • Why internal investigations are important • Board direction of internal investigations • Internal investigations and resolving compliance problems
4:45–5:15 PM	Q&A/Wrap-Up
5:30 – 7:00 PM	Networking Reception

TUESDAY, FEBRUARY 25

7:00 AM–5:00 PM	Registration
7:00–8:00 AM	Continental Breakfast <i>(provided)</i>
8:00–8:15 AM	Day 2 Opening Remarks/Housekeeping
8:15–9:15 AM	<p>Effective Compliance Leadership</p> <p>Moderator: Dan Roach, Chief Compliance Officer, Optum360, SCCE & HCCA Board Member</p> <p>Panel: Laura Ellis, JD, Senior Counsel, Health and Human Services Office of the Inspector General Linda Epstein, Esq., General Counsel, North Broward Hospital Judy Ringholz, JD, RN, Vice President of Compliance and Ethics & Chief Compliance Officer, Jackson Health System</p> <ul style="list-style-type: none"> • What it takes to be an effective Compliance Officer • Board and leader role in developing an effective compliance leadership • The relationship and roles of the GC and Compliance Officer • What senior leaders should expect from the Compliance Officer
9:15–9:30 AM	Networking Break
9:30–10:30 AM	<p>Compliance Program Effectiveness</p> <p>Moderator: James Rose, Managing Director, SunHawk Consulting LLC</p> <p>Panel: Heather Fields, Attorney, Reinhart Boerner Van Duren Scott Remmich, Chief Compliance & Privacy Officer, Aspirus</p> <ul style="list-style-type: none"> • Measuring outcomes as a key to regulatory expectations • DOJ’s guidance from April 2019 on the evaluation of how compliance programs should factor into your metrics • Metrics and measuring outcomes, not just activities
10:30–10:45 AM	Networking Break
10:45–11:45 AM	<p>Government Enforcement and the Opioid Crisis</p> <p>Moderator: James Rough, CCEP, CFE, CHC, Founder and President of SunHawk Consulting, LLC</p> <p>Panel: Matthew Whitmire, Director, Medicaid Fraud Control Unit, Indiana Attorney Generals Office Chris Covington, Assistant Special Agent in Charge, Health and Human Services Office of the Inspector General Allan J. Medina, Chief of the Department of Justice, Health Care Fraud Unit, Criminal Division</p> <ul style="list-style-type: none"> • Understand the impact of the drug diversion on health care delivery systems • Learn how law enforcement is combatting the opioid epidemic using a “Strike Force” model • Discuss the impact of enforcement efforts and their unintended consequences
11:45 AM–12:45 PM	Lunch
12:45–1:45 PM	<p>Healthcare Privacy & Security: Responsibility for Breaches</p> <p>Darrell Contreras, Esq., CHC-F, CHRC, CHPC, JD, HealthCare Partners, LLC Blaine Kerr, CHPC, CISA, Chief Privacy Officer, Jackson Health System</p> <ul style="list-style-type: none"> • An overview of the HIPAA Privacy and Security regulations • A breakdown of these regulations in an easy to understand format • Understanding how to apply the Privacy and Security Regulations to their daily compliance activities
1:45–2:00 PM	Networking Break
2:00 – 3:00 PM	<p>Healthcare Fraud and Compliance Update</p> <p>Gabriel Imperato, Esq., CHC, Managing Partner at Nelson Mullins Broad and Cassel, SCCE & HCCA Board Member</p> <ul style="list-style-type: none"> • Recent developments in enforcement and compliance • Individual accountability for organizational healthcare fraud • Cooperation and compliance program assessment
3:00 – 3:15 PM	Networking Break
3:15 – 4:45 PM	<p>Parkland Hospital Case Study</p> <p>Moderator: Mary Findley, CHC, CHPC, Sr. Director, Alvarez & Marsal Healthcare Industry Group</p> <p>Panel: Felicia Heimer, Esq., Senior Counsel, Office of Counsel to the Inspector General, U.S. Kathleen Murphy, Managing Director, Alvarez & Marsal Healthcare Industry Group Robert Martinez, JD, CHC, Board Member, Parkland Health & Hospital System</p> <ul style="list-style-type: none"> • Implementing a Systems Improvement Agreement with CMS and a Corporate Integrity Agreement with OIG and monitoring Parkland’s transformation: Important perspectives from the Chief Compliance Officer, Board Member, Review Organization and Regulator. • Parkland’s governance and cultural overhaul - meaningful board oversight, tone at the top, disclosure/non-retaliation, effective communication, buy-in at all levels. • Using the experiences from Parkland’s SIA and CIA to stand up sustainable and effective compliance and quality programs.
4:45 – 5:00 PM	Wrap-Up/Adjourn

HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements.

CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences-home-page. Select your conference, and then select the "Continuing Education" option on the left hand menu.

AAPC: This program has the prior approval of the AAPC for **12.0** continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award **12.0** clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of **13.0** continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

Compliance Certification Board (CCB)®: CCB has awarded a maximum of **15.9** CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

Daily Breakdown:

Monday | 7.8 CCB CEUs

Tuesday | 7.8 CCB CEUs

*Totals subject to change.

Continuing Legal Education (CLE): The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, the Rhode Island MCLE Commission, and the State Bar of Texas. An approximate maximum of up to **10.75** clock hours of CLE credit will be available to attendees of this conference licensed in these states. HCCA's practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process for sponsors. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit conferences with qualifying sessions to additional states for consideration. **Only requests from registered attendees will be considered.** All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of **15.0** credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of **15.3** contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

Board & Audit Committee Compliance Conference

February 24–25, 2020 • Westin Fort Lauderdale Beach Resort • Fort Lauderdale, FL

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town

State/Province

Zip/Postal Code

Country

Work Phone

Email (required)

Dietary Needs Request

Dairy Free Gluten Free Kosher Vegetarian Vegan

Other _____

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at hcca-info.org/2020audit/tandc.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use or if you wish to opt-out, visit hcca-info.org/privacy.

By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

Registration Fees

<input type="checkbox"/>	1 st Attendee Registration	\$995
<input type="checkbox"/>	2 nd Attendee Registration	\$695

Name of 1st Attendee* _____

<input type="checkbox"/>	First-Time Membership**	\$220
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*To receive the discount, please provide the name of attendee who is paying full price.

**Save by joining today (first-time members only). Dues renew at \$325.

TOTAL \$ _____

Payment

Online registration at hcca-info.org/2020audit

Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

Fax this form to 952.988.0146

Email this form to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me Check enclosed (payable to HCCA)
 Wire transfer requested
 Credit card: I authorize HCCA to charge my:
 Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Hotel Information

Westin Fort Lauderdale Beach Resort,
320 North Lauderdale Beach Boulevard,
Fort Lauderdale, FL 33304

The Westin Fort Lauderdale Beach Resort is sold out on Monday, February 25, 2020. A limited number of rooms are available at the Hilton Fort Lauderdale Beach Resort, a 5 minute walk, or 1/8th of a mile from the Westin.

Hilton Fort Lauderdale Beach Resort
505 North Fort Lauderdale Beach Boulevard
Fort Lauderdale, FL 33304

A reduced rate of \$285.00 per night for single/double occupancy plus applicable state and local taxes (currently 13%) have been arranged for this program. Book online at <https://book.passkey.com/go/c0ab9e5c>. The cutoff date for making a reservation is Friday, February 7 or when the block is full, whichever comes first.

NOTICE: Neither SCCE nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of SCCE or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link on the conference website. If you have concerns or questions, please contact 952.933.4977 or 888.277.4977.