

# Healthcare Basic Compliance Academies

Learn to effectively manage a compliance program  
and mitigate risk at your organization

## Upcoming 2021 Academies

New York, NY • Jul 19–22

Washington, DC • Oct 4–7

Seattle, WA • Aug 2–5

Fort Lauderdale, FL • Nov 15–18

New Orleans, LA • Aug 9–12

Las Vegas, NV • Dec 13–16

Austin, TX • Sep 27–30

**Sit for the CHC exam!** Demonstrate your expertise and enhance your career—take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam offered by the Compliance Certification Board (CCB)<sup>®</sup> at the conclusion of the Academy.

Register today  
[hcca-info.org/academies](https://hcca-info.org/academies)



# About our Academies

HCCA's Healthcare Basic Compliance Academy is a three-and-a-half-day intensive training program designed to help attendees better support, enhance, and manage a comprehensive healthcare compliance program based on the Seven Element approach. Our faculty of experts provide guidance and insights geared to reinforce the fundamentals and expand your knowledge of healthcare compliance. Unlike larger conferences, the Healthcare Basic Compliance Academy offers attendees a classroom-style, hands-on approach to learning that encourages small-group interactions and networking opportunities.

## Who should attend?

Our Academies are designed for participants with basic knowledge of and professional experience in healthcare compliance.

This includes:

- Compliance officers
- Privacy officers
- Internal auditors
- Data privacy specialists
- Regulatory compliance specialists
- In-house or external compliance lawyers

## Why should you attend?

Kick-start your compliance program and head back to work with a wealth of new information and resources.

- Gain core healthcare compliance knowledge
- Learn from faculty hand-selected for their expertise
- Network with other compliance professionals

- Earn live continuing education units (CEUs)
- Take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam

Enrollment for each Academy is limited, ensuring personal interaction with our experts.

## Course materials and takeaways

In addition to the knowledge you gain by attending, you also receive:

- All course materials (A binder will be provided on the first day of the Academy.)
- One-year online access to *Compliance 101, 4<sup>th</sup> Edition* (A link will be sent on the first day of the Academy to the email address in your HCCA account.)

## HCCA's mission

HCCA exists to champion ethical practice and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.

## Contact Us

Please visit [hcca-info.org](http://hcca-info.org) to learn more about the Academies and HCCA's other programs.

## Our faculty



**Dwight Claustre**, CHC-F, CHRC, CHPC  
Retired Compliance Professional



**Darrell Contreras**, Esq., LHRM, CHC-F, CHRC, CHPC  
Chief Compliance Officer,  
Millennium Health



**Jeff Driver**, JD, CHC, CHRC, CCEP-I  
Managing Director,  
Arete Compliance Solutions



**Lea Fourkiller**, JD, CHC, CCEP, CCEP-I, CHPC  
Managing Director,  
Ankura Consulting Group



**Gabe Imperato**, Esq., CHC  
Partner, Nelson Mullins Broad and Cassel;  
SCCE & HCCA Board Member



**Sheila Limmroth**, CHC, CIA  
Privacy Officer,  
DCH Health System



**Teri L. Morris**, RN, BSN, CHC-F  
Director of Quality/Patient Safety,  
Cherokee Indian Hospital



**Steven W. Ortquist**, Esq., CHC-F, CCEP, CHRC  
President, Arete Compliance Solutions;  
Of Counsel, Meade Roach & Annulis



**Joan M. Podleski**, CHC, CHRC, CHPC, CCEP  
Chief Privacy Officer,  
Children's Health



**Lisa Taylor**, Esq., CCEP  
Vice President & Chief Compliance Officer,  
UC Health



**Debbie Troklus**, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC  
President, Troklus Compliance Consulting;  
SCCE & HCCA Board Member



**Sheryl Vacca**, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC  
Chief Risk Officer, Providence St. Joseph  
Health; SCCE & HCCA Board Member



**Betsy Wade**, CHC  
Corporate Compliance Officer,  
Signature HealthCARE

*(Faculty subject to change.)*

# CHC<sup>™</sup>

## Do you qualify? Become certified!

Certification can enhance your career and give you credibility with your organization and peers. Immediately following each Academy, we offer an optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam. A separate application and fee submitted in advance directly to the Compliance Certification Board (CCB)<sup>®</sup> is required.

*PLEASE NOTE: Although the Academies can provide the CEUs you need to qualify, they are not a preparation class for the exam itself.*

## MONDAY

7:30–8:00 AM

Registration and Continental Breakfast (*provided*)

8:00–8:05 AM

Welcome / Introduction

8:05–10:05 AM

### Introduction to Healthcare Compliance

- High-level overview of healthcare compliance program elements
- Sharing problems and practices in implementing a compliance program
- Understanding of the seven elements

10:05–10:35 AM

Break

10:35 AM–12:20 PM

### Compliance Oversight and Infrastructure

- Understand the importance of structure vs. operations
- The components of an effective compliance infrastructure
- Implementation of a compliance program, selection of qualified staff, and budgeting for program

12:20–1:20 PM

Lunch (*provided*)

1:20–2:50 PM

### Standards, Policies, and Procedures

- The importance of having a standards of conduct
- Essentials components of policies and procedures
- Evaluating the adequacy of compliance standards and policies

2:50–3:20 PM

Break

3:20–5:20 PM

### HIPAA

- A high-level overview of the privacy and security regulations
- Understand how to break down HIPAA issues
- Apply HIPAA rules to scenarios and breaches

## TUESDAY

7:30–8:00 AM

Continental Breakfast (*provided*)

8:00–8:15 AM

Certified in Healthcare Compliance (CHC)<sup>®</sup> Exam Information

8:15–10:00 AM

### Risk Assessment

- Understanding the risk assessment process
- Effective ways to conduct a risk assessment
- Tools to implement a risk assessment process

10:00–10:30 AM

Break

10:30 AM–12:15 PM

### Auditing and Monitoring

- Understand the basics of auditing and monitoring
- Develop a compliance audit and monitoring risk based plan
- Reporting and communicating auditing and monitoring results

12:15–1:15 PM

Lunch (*provided*)

1:15–2:45 PM

### Education and Training

- Understanding how adults learn
- Adult learning techniques, effective teaching styles, topics of interest, and evaluation of the educational programs
- The difference between general and focused/targeted education

2:45–3:15 PM

Break

3:15–5:00 PM

### Organizational Ethics

- Ethical conduct and responsibilities of the compliance officer
- Duties of the compliance professional
- Board obligations related to an ethical culture

## WEDNESDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

### Conflicts, Discipline, and Incentives

- Understand conflicts of interest
- Practical ways to implement a conflicts of interest process
- How discipline and incentives should be integrated into the compliance program

9:30–10:00 AM

Break

10:00–11:45 AM

### Stark Law and Anti-Kickback Statute

- Understand the Stark Law and Anti-Kickback Statute and how they work in practice
- Discusses case studies that illustrate compliance risks and current areas of focus for enforcement agencies
- Explore compliance processes needed to prevent AKS and Stark violations

11:45 AM–12:45 PM

Lunch *(provided)*

12:45–2:30 PM

### Reimbursement

- Basic billing and reimbursement terminology
- Billing and reimbursement risk areas
- Mitigating billing and reimbursement risk areas

2:30–3:00 PM

Break

3:00–4:45 PM

### Internal and External Investigations

- Responding to enforcement agency investigations
- Compliance professionals and best practices for internal investigations
- Privilege, confidentiality, and internal investigations

## THURSDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

### Legal Risks, False Claims Act, and Self-Disclosure

- Legal risks and healthcare compliance
- False Claims Act and compliance programs
- Self-disclosure and resolution of compliance liability

9:30–10:00 AM

Break

10:00–11:45 AM

### Compliance Program Effectiveness

- Why to evaluate compliance programs
- Compliance program effectiveness indicators
- Response, reporting, and follow-up to compliance program effectiveness reviews

11:45 AM

Academy Ends

12:45 PM

### CHC Exam Check-In Time

1:00–4:30 PM

### Exam Time — Certified in Healthcare Compliance (CHC)<sup>®</sup> *(optional)*

The CHC exam is optional. You must apply and pay for the exam separately from the Academy.

To apply online, visit: [hcca-info.org/Certification/ApplyforCCBCertification.aspx](https://hcca-info.org/Certification/ApplyforCCBCertification.aspx).

PLEASE NOTE: If you are not present at the specified "Exam Check-in Time" as listed above, and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes, per the Candidate Handbook. The time range, listed at left, includes mandatory exam procedures and proctor instructions.

*(Agenda subject to change.)*

Credits are assessed based on actual attendance and credit type requested. Should overall number of education hours decrease or increase, the maximum number of continuing education units (CEUs) available will be changed accordingly.

**CCB:** The Compliance Certification Board (CCB)<sup>®</sup> has awarded a maximum of 29.4 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance–Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, and Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

Daily Breakdown:

Monday = 7.25 hours / 8.7 credits

Tuesday = 7.25 hours / 8.7 credits

Wednesday = 6.75 hours / 8.1 credits

Thursday = 3.25 hours / 3.9 credits

\*Hours are subject to change

**ACHE:** The Health Care Compliance Association is authorized to award 24.5 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are

required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 29.4 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or 952.988.0141.

**Nursing Credit:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 29.4 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey, and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

HCCA is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).

To see the most up-to-date CEU information, visit [hcca-info.org/all-conferences-home-page](http://hcca-info.org/all-conferences-home-page); select your conference; then select the "Continuing Education" option from the left-hand menu.

# HCCAnet

## SOCIAL NETWORK

- Join other compliance professionals in discussion and get answers to your most difficult compliance questions.
- Participate in study groups for certification exams.
- Discuss best practices, get advice, learn the newest trends, etc.



[hcca-info.org/hccanet](http://hcca-info.org/hccanet)



Healthcare Basic Compliance Academies and optional CHC exams are held at the locations listed below. The costs of hotel accommodations are not included in your conference registration fee. The hotel room rate listed for each Academy is good until the cutoff date indicated or until the room block is full, whichever comes first. Reservations received after the cutoff date or after the room block is full will be accepted based on space and rate availability. Please note that parking and Internet service charges are set by the hotel and may be subject to change.

**NOTICE:** Neither HCCA—nor any hotel with which it is affiliated—will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

## New York, NY • July 19–22

**Crowne Plaza**  
1605 Broadway, New York, NY 10019

A reduced rate of \$235 traditional king or \$255 two double beds (plus applicable taxes) for single/double occupancy has been arranged. To make a reservation, call 888.233.9527 and ask for the HCCA group rate. All reservations must be guaranteed and accompanied by a first night room deposit or guaranteed with a valid credit card. Any room cancellation 24 hours prior to the arrival date or no-shows will result in a penalty of one night's room and tax. The cutoff date to receive this discounted rate is Sunday, June 27, 2021 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the Hotel's prevailing rates.

## Seattle, WA • August 2–5

**The Westin Seattle**  
1900 5th Avenue, Seattle, WA 98101

A reduced rate of \$239 per night (plus applicable taxes; currently 15.6%; subject to change) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/080221seattle](http://bit.ly/080221seattle) or call 800.937.8461 and ask for the HCCA group rate. All reservations must be guaranteed by a first night room deposit or a valid credit card. The cutoff date to receive this discounted rate is Sunday, July 11, 2021 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the Hotel's prevailing rates. For those who wish to extend their stay, sleeping room rates are available three days before and three days after the academy, based on availability.

## New Orleans, LA • August 9–12

**Windsor Court Hotel**  
300 Gravier Street, New Orleans, LA 70130

A reduced rate of \$195 per night (plus applicable taxes—currently 16.2%, subject to change—a 1.75% assessment fee; and an occupancy tax of \$2 per night) for single/double occupancy has been arranged. To receive this discounted rate, visit [www.windsorcourthotel.com](http://www.windsorcourthotel.com); click “Reservations”; choose dates on calendar; click the arrow underneath “Codes”; enter code 4166136 in the “Group Code” field; click “Check Availability”. To reserve by phone, call 800.262.2662 (Mon–Fri, 7AM–9PM or Sat/Sun, 8AM–8PM Central Time). All reservations must be guaranteed by a first night room deposit or a valid credit card. Cancellations must be received within 72 hours prior to the arrival date, at which point a one night room and tax will be assessed to the credit card on file. The cutoff date to receive this discounted rate is July 13, 2021 or when the group block is full, whichever comes first. After the cutoff date, it is at Hotel's discretion whether to accept additional reservations, which will be subject to prevailing rates and availability.

## Austin, TX • September 27–30

**Omni Austin Hotel Downtown**  
700 San Jacinto Street, Austin, TX 78701

A reduced rate of \$249 per night (plus applicable taxes—currently 15%; subject to change—and fees) for single/double occupancy has been arranged. To make a reservation, visit [bit.ly/092721austin](http://bit.ly/092721austin) or call 800-THE-OMNI (800.843.6664) and ask for the HCCA group rate. All reservations must be guaranteed by a first night room deposit or a valid credit card. Any room cancellation 72 hours prior to the arrival date will result in a one night's room and tax cancellation fee. The cutoff date to receive this discounted rate is Sunday, September 3, 2021 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the Hotel's prevailing rates. For those who wish to extend their stay, sleeping room rates are available three days before and three days after the academy, based on availability.

## Washington, DC • October 4–7

**Washington Marriott at Metro Center**  
775 12th Street NW, Washington, DC 20005-3901

A reduced rate of \$289 per night (plus applicable taxes; currently 14.95%; subject to change) for single/double occupancy has been arranged. To make a reservation, call 800.228.9290 or 202.737.2200 and ask for the “HCCA & SCCE Basic Compliance Academy” group rate. All reservations must be guaranteed by a first night room deposit or a valid credit card. Cancellations must be received by 3:00PM Eastern Time two days prior to arrival, at which point a one night room and tax will be assessed to the credit card on file. The cutoff date to receive this discounted rate

is 6:00PM Eastern Time Friday, September 7, 2021 or when the group block is full, whichever comes first. After the cutoff date, it is at Hotel's discretion whether to accept additional reservations, which will be subject to prevailing rates and availability.

## Fort Lauderdale, FL • November 15–18

**Hilton Fort Lauderdale Marina**  
1881 SE 17th Street Causeway, Fort Lauderdale, FL 33316

A reduced rate of \$185 per night (plus applicable taxes; currently 13%; subject to change) for single/double occupancy has been arranged. To make a reservation, call 954.463.4000 and ask for the HCCA group rate. All reservations must be guaranteed by a first night room deposit or a valid credit card. Cancellation policies may vary depending on the rate or dates of your reservation. Please refer to your reservation confirmation to verify your cancellation policy. The cutoff date to receive this discounted rate is October 22, 2021 or when the group block is full, whichever comes first. After the cutoff date, it is at Hotel's discretion whether to accept additional reservations, which will be subject to prevailing rates and availability.

## Las Vegas, NV • December 13–16

**MGM Grand**  
3799 South Las Vegas Boulevard, Las Vegas, NV 89109

A reduced rate of \$48 per night per night plus applicable taxes (currently 13.38%, subject to change) for single/double occupancy has been arranged. A \$37 Daily Resort Fee plus applicable Clark County room tax at the time of stay (currently 13.38%, subject to change) is applied to each hotel room reservation and includes property-wide high-speed wireless internet access, unlimited local and toll-free calls, airline boarding pass printing, and cardio room access for guests 18+. The Daily Resort Fee is separate and distinct from the room rate and taxes. Reservations can be made by calling 877.880.0880 and asking for the HCCA Academy group rate. To secure a reservation, submit a valid credit card with the name as it appears on the card, billing address, and credit card expiration date—or a deposit equal to the first night's room rate plus tax as a guarantee—at the time the reservation is made. The credit card will be charged the first night's room rate plus tax at that time. Refunds will be issued on individual attendee's reservation only if canceled at least seventy-two (72) hours in advance of the confirmed arrival date. All guaranteed reservations will only be held until 6:00AM on the day after confirmed arrival date. The balance of the room, resort fee, tax, and incidental charges must be paid at check-out. The cutoff date to receive this discounted rate is November 12, 2021 or when the group block is full, whichever comes first. After the cutoff date, it is at Hotel's discretion whether to accept additional reservations, which will be subject to prevailing rates and availability.

# GROW YOUR HEALTHCARE COMPLIANCE CAREER WITH

# HCCA MEMBERSHIP

## EDUCATION | PROFESSIONAL GROWTH CCB CERTIFICATION | NETWORKING



# 2021 Healthcare Basic Compliance Academies

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town

State/Province

Zip/Postal Code

Country

Work Phone

Email (required)

## Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  
 Other \_\_\_\_\_

## Acknowledgments

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at [hcca-info.org/academies](http://hcca-info.org/academies).

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

**Cancellation Fee:** A \$250 fee will be charged for any registration changed within seven days of the start of an Academy.

**Group Discount Policy:** Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

## Academies

<input type="checkbox"/>	July 19–22 • New York, NY
<input type="checkbox"/>	August 2–5 • Seattle, WA
<input type="checkbox"/>	August 9–12 • New Orleans, LA
<input type="checkbox"/>	September 27–30 • Austin, TX
<input type="checkbox"/>	October 4–7 • Washington, DC
<input type="checkbox"/>	November 15–18 • Fort Lauderdale, FL
<input type="checkbox"/>	December 13–16 • Las Vegas, NV

## Registration Fees

<input type="checkbox"/>	Member	\$2,750
<input type="checkbox"/>	Non-Member	\$3,250
<input type="checkbox"/>	Registration + First-Time Membership*	\$2,970

\*Save by joining today (first-time members only). Dues renew at \$325.

## Group Discounts

<input type="checkbox"/>	Group Discount for 5–9 Attendees**	(\$200)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$400)

\*\*See "Group Discount Policy" under "Acknowledgments" for details.

TOTAL \$ \_\_\_\_\_

## Payment

Online registration at [hcca-info.org/academies](http://hcca-info.org/academies)

Mail this form to Health Care Compliance Association,  
6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax this form to 952.988.0146

Email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me  
 Check enclosed (payable to HCCA)  
 Wire transfer requested  
 Credit card: I authorize HCCA to charge my:  
 Visa  MasterCard  Discover  American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature