#### AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN PACIFIC DAYLIGHT TIME (PDT)

8:25-8:30 AM PDT

### **Welcome & Announcements**

8:30-9:30 AM PDT

# Regulatory, Enforcement Updates, and Hot Topics

Raja Sekaran, Partner, Nossaman LLP

- Obtain an overview of the significant legal developments in compliance and fraud & abuse since the new federal administration
- Gain an appreciation for the kinds of information our attorney teammates seek from compliance professionals in evaluating legal risk
- Ask the legal questions that one does not have time or resource to ask at work

9:30 - 9:45 AM PDT

#### **Break**

9:45-10:45 AM PDT

# COVID-19 One Year Later from a Compliance Perspective

David Lane, Chief Compliance Officer, Providence

Cambria Haydon, Chief Privacy Officer, Providence

Tamra Smith, Privacy and Compliance Manager, Providence

- Discuss how COVID has affected the compliance and privacy program
- Learn about new elements of our compliance and privacy program that changed due to COVID
- Present on opportunities that arose because of the pandemic

10:45-11:00 AM PDT

### **Break**

11:00 AM - 12:00 PM PDT

## Managing and Addressing the Residual CARES Act Provider Relief Fund (PRF) Compliance Risks

Melaney Scott, CHC, CIA, Senior Manager, Moss Adams, LLP

- Understand the residual risks of retained PRF, even if subject to a single or financial related audit
- Consider the key compliance areas related to PRF funds
- Discuss audit strategies to address the risks and compliance areas

12:00-1:00 PM PDT

#### Mid-Conference Break

12:15 - 12:45 PM PDT

### **Networking & Discussion (optional)**

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom, and participants may choose to be on camera.

1:00-2:00 PM PDT

# Privacy and Security Changes Roundup

Moderator: Shirley Komoto, RAS Senior Manager, BDO USA

Leeann Habte, Partner, Best Best & Krieger LLP

Deepak Chaudry, Advisory Director, Strategy, Technology & Transformation, BDO Digital

Margaret Hambleton, President, Hambleton Compliance, LLC

- Become familiar with recent changes to behavioral health, health, and California privacy laws
- Become familiar with the information blocking rule and related regulatory requirements
- Gain an understanding of what these legal changes mean for healthcare providers and the compliance considerations

2:00 - 2:15 PM PDT

#### **Break**

2:15-3:15 PM PDT

# False Claims Act Liability in Post-Acute Care

Judith A. Waltz, Partner, Foley & Lardner LLP Lori Laubach, CHC, Partner, Moss Adams, LLP

- Discuss theories of FCA liability and ways to reduce associated risk, including the increase in CMP notifications
- · Personal liability as it relates to FCA
- Discuss risk areas such as physician certifications, "Worthless" services, staffing shortages, and substandard care in relation to survey deficiencies
- Additional FCA risks related to insufficient documentation related to PHE waivers, COVIDrelated reporting and PPP/PRF reporting

3:15-3:30 PM PDT

#### **Break**

3:30 - 4:30 PM PDT

# Recent Changes to Stark and AKS: What It Means for Compliance

Robert F. Miller, Partner, Hooper, Lundy & Bookman, P.C.

Rick Rifenbark, Principal, Polsinelli LLP

Bradley Ellis, Corporate Vice President and General Counsel, Scripps Health

- Understand recent changes to Stark and AKS and the reasons for such changes
- Apply knowledge of these recent changes to historical arrangements as well as new arrangements being proposed
- Sensitize participants to high-risk arrangements in light of the amended Stark and AKS regulations
- Inform future compliance program workplans

4:30 PM PDT

## **Conference Adjourns**

## **Registration Fee** HCCA Member \$155 Non-Member \$195 Registration + First-Time HCCA Membership Offer \$375 \*Save by joining HCCA today (first-time members only) Dues regularly \$325 **Group Discounts** Group Discount for 3 or More (\$20)\*See "Group Discount Policy" under "Acknowledgements" for details. TOTAL \$\_ **Contact Information** OMr OMrs OMs ODr Member/Account ID (if known) First Name MI Last Name Credentials (CHC, CCEP, etc.) Job Title Organization (Name of Employer) Street Address City/Town State/Province Zip/Postal Code Country Work Phone Email (required) **Payment** Online registration at hcca-info.org/regionals Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146 Email to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 952.988.0141 or 888.580.8373 with payment information. O Invoice me Check enclosed (payable to HCCA) Wire transfer requested Oredit card: I authorize HCCA to charge my: ○ Visa ○ MasterCard ○ Discover ○ American Express Credit Card Account Number Credit Card Expiration Date

### Virtual Conference

How does a virtual event work? On the day of the conference, log in during the scheduled session times using the link and access provided to watch and listen to the speakers and ask questions live.

#### **Continuing Education**

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC) $^{\circ}$ , Certified in Healthcare Compliance–Fellow (CHC-F) $^{\circ}$ , Certified in Healthcare Privacy Compliance (CHPC $^{\circ}$ ), Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional-Fellow (CCEP-F)®, Certified Compliance & Ethics Professional-International (CCEP-I)®.

AAPC: This program has the prior approval of the AAPC for 6.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of 6.0 continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 7.2 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

HCCA is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left hand menu.

### Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at hcca-info.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, vendors, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Cardholder Name

Cardholder Signature