

VIRTUAL

# Healthcare Enforcement Compliance Conference

November 7–9, 2022

Hear first-hand from government enforcement leaders about regulatory changes, expectations, and key priorities.

Speakers will include representatives from the HHS-OIG, Civil Division of the DOJ, U.S. Department of Health and Human Services, Office of Counsel to the Inspector General, and leaders in the healthcare enforcement compliance industry.

Gain insight into current enforcement issues and come away with a greater understanding of how to detect problems and properly investigate violations within your organization.

**Register now**  
[hcca-info.org/2022HECC](https://hcca-info.org/2022HECC)



# About the conference

The Healthcare Enforcement Compliance Conference is an annual event for healthcare compliance professionals seeking the latest best-practice insights on monitoring, detecting, investigating, and managing violations.

Hear directly from government enforcement leaders about regulatory changes, expectations, and key priorities. Learn about real-world situations, challenges, and solutions. Get your questions answered by compliance industry experts and bring industry best practices back to your organization.

All conference sessions are organized by knowledge level: basic, intermediate, and advanced. These levels are intended as a guideline to help you find the content most relevant to your position; you are welcome to attend sessions of any level.

Attendees will have the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs) for participating.

## Who should attend?

- Compliance officers
- Chief quality officers
- Presidents/CEOs
- Senior internal auditors
- Directors of compliance
- Regulatory/risk officers
- Government employees
- General counsel
- Compliance attorneys

## Key subject areas:

- False Claims Act
- Cybersecurity and healthcare privacy
- Fraud enforcement
- Corporate integrity agreements and IRO
- Enforcement in clinical research
- Privacy regulations
- Managed care
- Telehealth

## Become Certified



### Gain career credibility: get certified.

Certification matters. It can enhance your career and give you credibility with your organization and peers.

Take the first step and apply to sit for a Compliance Certification Board (CCB)<sup>®</sup> exam.

===== Exams now offered online =====

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hcca-info.org/certification](https://hcca-info.org/certification)

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# Schedule at a glance

All times listed are in Central Standard Time (CST)

## Monday, November 7

8:55–9:00 AM CST	Welcome and Announcements
9:00–10:30 AM CST Concurrent Breakout Sessions	P1 Recent Developments: Kickback and Stark Law
	P2 CIAs and IROs
10:30–10:45 AM CST	Break
10:45 AM–12:15 PM CST Concurrent Breakout Sessions	P3 Anatomy of a False Claims Act Case
	P4 Compliance Experts and Compliance Program Effectiveness
12:15–1:00 PM CST	Mid-Conference Break
1:00–2:30 PM CST Concurrent Breakout Sessions	P5 Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing Between Regulatory Requirements and Guidance
	P6 Enforcement Liability and Insolvency/Bankruptcy
2:30–2:45 PM CST	Break
2:45–4:15 PM CST Concurrent Breakout Sessions	P7 Federal and State Enforcement and the Opioid Crisis
	P8 Hospital and Physician Compensation and Value-Based Arrangements

## Tuesday, November 8

8:55–9:00 AM CST	Opening Remarks
9:00–10:30 AM CST	General Session 1: Government Enforcement Panel
10:30–10:45 AM CST	Break
10:45–11:45 AM CST Concurrent Breakout Sessions	101 Effective Compliance and Due Diligence
	102 Federal Administrative Sanctions
	103 Privacy Breach Response through the Eyes of a Business Associate
11:45 AM–12:30 PM CST	Mid-Conference Break
12:30–1:30 PM CST Concurrent Breakout Sessions	201 Effective Responses to Civil Investigative Demands
	202 Enforcement and Compliance and Electronic Health Records
	203 Enforcement, Compliance Officer, and General Counsel
1:30–1:45 PM CST	Break
1:45–2:45 PM CST Concurrent Breakout Sessions	301 Cybersecurity in Healthcare Privacy
	302 DOJ Criminal Enforcement, Priorities and Practices
	303 Whistleblowers: Who Are They, and What Makes a Good Whistleblower Case
2:45–3:00 PM CST	Break
3:00–4:00 PM CST Concurrent Breakout Sessions	401 Criminal and Civil Enforcement and Telehealth
	402 Collateral Consequences to Audits and Adverse Enrollment Actions in a Post COVID-19 World
	403 Using Data in Enforcement and Compliance
4:00–4:15 PM CST	Break
4:15–5:15 PM CST	General Session 2: OIG Investigate Efforts: Strike Force, Managed Care, and the Pandemic

# Schedule at a glance

All times listed are in Central Standard Time (CST)

## Wednesday, November 9

8:55–9:00 AM CST	<b>Opening Remarks</b>
9:00–10:00 AM CST	<b>General Session 3: Evaluating a Corporate Compliance Program</b>
10:00–10:15 AM CST	<b>Break</b>
10:15–11:15 AM CST	<b>501 Criminal and Civil Liability and Medical Necessity</b>
Concurrent Breakout Sessions	<b>502 Litigating a False Claims Act Case and Taking a False Claims Act Case to Trial</b>
	<b>503 The Medicare Secondary Payer Act: Best Practices and Emerging Issues</b>
11:15–12:00 PM CST	<b>Mid-Conference Break</b>
12:00–1:00 PM CST	<b>601 Beneficiary Inducement and False Claims Act</b>
Concurrent Breakout Sessions	<b>602 Managed Care Enforcement and Compliance</b>
	<b>603 False Claims Act Liability and Post-acute Care</b>
1:00–1:15 PM CST	<b>Break</b>
1:15–2:15 PM CST	<b>701 Handling a Criminal Healthcare Fraud Case</b>
Concurrent Breakout Sessions	<b>702 Negotiating and Mediating False Claims Act Cases</b>
	<b>703 Enforcement and Compliance Clinical Research</b>
2:15–2:30 PM CST	<b>Break</b>
2:30–3:30 PM CST	<b>801 Medicaid Fraud Enforcement</b>
Concurrent Breakout Sessions	<b>802 Behavioral Health</b>
	<b>803 Internal Investigation, Repayment and Self-Disclosure</b>

# Agenda

All times listed are in Central Standard Time (CST)

## Monday, November 7

8:55–9:00 AM CST

### Welcome and Announcements

9:00–10:30 AM CST

### CONCURRENT BREAKOUT SESSIONS

#### **P1** Recent Developments: Kickback and Stark Law

**LEVEL: INTERMEDIATE**

TONY MAIDA, Partner, McDermott Will & Emery

NIALL O'DONNELL, Assistant Chief, U.S. Department of Justice Criminal Division, Fraud Section

CHRISTOPHER TERRANOVA, Senior Trial Counsel, DOJ

- Overview of Anti-Kickback or Stark Law developments and settlements
- Summary of recent regulatory developments including value-based payment arrangements
- Review and developments in all-payer kickback statute (EKRA 2018)

#### **P2** CIAs and IROs

**LEVEL: INTERMEDIATE**

STEVEN ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

DARRELL CONTRERAS, Chief Compliance Officer, Millennium Health

NICOLE CAUCCI, Deputy Branch Chief, Office of Inspector General, Department of Health & Human Services

- Corporate integrity agreements and requirements for an Independent Review Organization (IRO)
- Obligations and role of an IRO
- Keys to successful IRO engagements

10:30–10:45 AM CST

### Break

10:45 AM–12:15 PM CST

### CONCURRENT BREAKOUT SESSIONS

#### **P3** Anatomy of a False Claims Act Case

**LEVEL: INTERMEDIATE**

MODERATOR: GABRIEL IMPERATO, Managing Partner, Nelson Mullins Broad and Cassel; SCCE & HCCA Board Member

LAURA F. LAEMMLE-WEIDENFELD, Partner, Jones Day

PAUL PERKINS, Deputy Director, DOJ Civil Department

- The investigation phase: Subpoenas/CIDs, data analysis, witness interviews, and more
- The negotiation phase: Initiation, ADR, scope of release, monetary, and other issues
- The resolution phase: Settlement agreements, individual liability, CIAs/OIG, and relator issues

#### **P4** Compliance Experts and Compliance Program Effectiveness

**LEVEL: INTERMEDIATE**

JUDY RINGHOLZ, SCCE & HCCA Board Member

FELICIA HEIMER, Senior Counsel, Office of Counsel to the Inspector General, U. S.

- What to ask and look for in effectiveness evaluation proposals
- Things to consider as your effectiveness review is being conducted
- Using review results to improve your compliance program's performance

12:15–1:00 PM CST

### Mid-Conference Break

1:00–2:30 PM CST

### CONCURRENT BREAKOUT SESSIONS

#### **P5** Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing Between Regulatory Requirements and Guidance

**LEVEL: INTERMEDIATE**

DAVID GLASER, Shareholder, Fredrikson & Byron

- Suggestions for improving your hiring process for compliance professionals
- Tips for explaining complicated rules and legal hierarchy to compliance and medical professionals
- Strategies for analyzing contradictory "expert" opinions reducing legal anxiety

#### **P6** Enforcement Liability and Insolvency/Bankruptcy

**LEVEL: INTERMEDIATE**

LAWRENCE FREEDMAN, Member, Mintz

SAMUEL R. MAIZEL, Chair, Distressed Health Care

- Tactical and practical considerations for entities and individuals under FCA investigation considering submitting financial disclosure documentation
- Discussion of Department of Justice policies and procedures for considering "ability to pay" in False Claims Act cases
- Discussion of FCA settlement process and documentation if entity or individual submitted financial disclosure documentation

2:30–2:45 PM CST

### Break

# Agenda

All times listed are in Central Standard Time (CST)

2:45–4:15 PM CST

## CONCURRENT BREAKOUT SESSIONS

### P7 Federal and State Enforcement and the Opioid Crisis

LEVEL: INTERMEDIATE

MICHAEL CLARK, Senior Counsel, Womble Bond Dickinson

DAVID LAZERUS, Partner, Health Care and White-Collar Defense, Verrill Dana LLP

KILBY MACFADDEN, Principal Assistant Chief, U.S. Department of Justice

ASHLEE MARTIN, Partner, Greger Hennessy & Martin

- Criminal and civil federal and state enforcement initiatives to combat the opioid crisis
- The legal basis for criminal and civil enforcement and the changing landscape
- Pain management and pain doctors and the opioid crisis

### P8 Hospital and Physician Compensation and Value-Based Arrangements

LEVEL: BASIC

BOB WADE, Partner, Barnes & Thornburg LLP

ED WHITE, Attorney, Nelson Mullins Law Firm

- Emerging compensation arrangements including value-based/bundled arrangements, quality, and access
- How are emerging contracting compensation arrangements complying with legal/regulatory requirements?
- How do emerging contracting compensation arrangements further integration for hospitals/physicians?

## Tuesday, November 8

8:55–9:00 AM CST

### Opening Remarks

9:00–10:30 AM CST

### General Session 1: Government Enforcement Panel

MODERATOR: KIRK OGROSKY, Partner, Goodwin Procter

GUSTAV W. EYLER, Director Consumer Protection Branch, U.S. Department of Justice

JACOB FOSTER, Acting Principal Assistant Chief at U.S. Department of Justice, Criminal Division, Fraud Section

LISA RE, Assistant Inspector General for Legal Affairs, Office of Inspector General, Department of Health & Human Services

TOBY UNGER, Director, Massachusetts MFCU

JAMIE YAVELBERG, Director, DOJ Civil

10:30–10:45 AM CST

### Break

10:45 AM–11:45 PM CST

## CONCURRENT BREAKOUT SESSIONS

### 101 Effective Compliance and Due Diligence

LEVEL: ADVANCED

KATIE DUNN, Counsel, Manatt

CHARLES OVERSTREET, Global Segment Leader, FTI Consulting

- Explore the foundation of a comprehensive compliance-centered due diligence process
- Explore how transaction structures affect the scope and approach of due diligence, review the minimum compliance due diligence that should occur, and learn best practices for monitoring post-transaction compliance and mitigation of identified risks
- Examine case studies, lessons learned, practice tips, risks, and common pitfalls of conducting due diligence

### 102 Federal Administrative Sanctions

LEVEL: BASIC

JACOB HARPER, Partner, Morgan Lewis & Bockius

HOWARD YOUNG, Partner, Morgan, Lewis & Bockius LLP

- Understand OIG enforcement actions, remedies, and appeals
- Appreciate differences between available CMS enrollment actions
- Identify new CMS program integrity rules and authorities

### 103 Privacy Breach Response through the Eyes of a Business Associate

LEVEL: INTERMEDIATE

BLAINE KERR, AVP, Deputy Chief Privacy Officer, Ensemble Health Partners

GREG KERR, Chief Privacy Officer, Ensemble Health Partners

- Recognize the importance of establishing communication with the Covered Entity's Privacy Office at or near the time the Business Associate Agreement is signed
- Identify what obligations and tasks the Business Associate may have as part of the Privacy Breach Response
- Learn the basics of providing comprehensive, accurate and timely reports to the Covered Entity

11:45 AM–12:30 PM CST

### Mid-Conference Break

12:30–1:30 PM CST

## CONCURRENT BREAKOUT SESSIONS

### 201 Effective Responses to Civil Investigative Demands

LEVEL: INTERMEDIATE

ILENE ALBALA, Partner, Goodwin Procter LLP

LINDSEY BREEDLOVE, Senior Counsel, Ultragenyx

- Learn the legal authority and the scope of civil investigative demands
- Learn the difference between a civil investigation demand and a subpoena
- Learn how to respond to civil investigative demands requesting e-data

## 202 Enforcement and Compliance and Electronic Health Records

LEVEL: INTERMEDIATE

JOEY BURBY, Partner, Alston & Bird LLP

KELLEY HAUSER, Senior Trial Counsel, DOJ

- DOJ enforcement priorities related to electronic health records and recent enforcement actions
- Emerging compliance concerns and the impact of the 21st Century Cures Act
- Opportunities for collaborative efforts by EHR vendors, their counsel and the government related to compliance

## 203 Enforcement, Compliance Officer, and General Counsel

LEVEL: INTERMEDIATE

LAURA ELLIS, Senior Counsel, Office of Inspector General, Department of Health & Human Services

SHANNON SUMNER, Principal, PYA

JOHN KELLY, Partner, Barnes & Thornburg LLP

- Learn about compliance and the role of general counsel management and the compliance officer
- OIG's perspective on the general counsel and compliance officers
- Learn about management general counsel and compliance officers what works and what doesn't work for effective compliance

1:30–1:45 PM CST

### Break

1:45–2:45 PM CST

## CONCURRENT BREAKOUT SESSIONS

### 301 Cybersecurity in Healthcare Privacy

LEVEL: INTERMEDIATE

CORY HALL, Executive Director, Information Technology, Nicklaus Children's Health System

TIMOTHY NOONAN, Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services, Office for Civil Rights

- Assessing the current cybersecurity and privacy legal landscape and enforcement actions
- Emerging technologies: Cybersecurity and privacy risks for healthcare-related entities
- Addressing risks from supply chain and third-party vendors

## 302 DOJ Criminal Enforcement, Priorities and Practices

LEVEL: ADVANCED

KURT ERSKINE, Shareholder, Polsinelli

KIRK OGROSKY, Partner, Goodwin Procter

- Learn about the Department of Justice's criminal enforcement priorities in the Biden administration
- Review recent cases that reflect DOJ's criminal enforcement policies and learn important takeaways from these enforcement actions
- A view of what we can expect from DOJ and other federal agencies in the area of criminal enforcement in the next couple of years

## 303 Whistleblowers: Who Are They, and What Makes a Good Whistleblower Case

LEVEL: BASIC

HAMSA MAHENDRANATHAN, Partner, Constantine Cannon

JOSH RUSS, Partner, Reese Marketos

SHELLEY SLADE, Partner, Vogel, Slade & Goldstein, LLP

JEB WHITE, President & CEO, Taxpayers Against Fraud Education Fund

- Understand why employees become whistleblowers
- Advocate compliance culture that encourages internal reporting
- Recognize concerns that devolve into whistleblower cases
- List key factors of actionable whistleblower cases

2:45–3:00 PM CST

### Break

3:00–4:00 PM CST

## CONCURRENT BREAKOUT SESSIONS

### 401 Criminal and Civil Enforcement and Telehealth

LEVEL: INTERMEDIATE

NADIA DE LA HOUSSAYE, Partner, Jones Walker LLP

RAUL G. ORDONEZ, Vice President & Chief Compliance Officer, Jackson Health System

BRYNN SCHIESS, Dallas, Texas, Acting Assistant Chief, U.S. Department of Justice, Criminal Division

JUSTIN WOODARD, Greater New Orleans Region, Assistant Chief, U.S. Department of Justice, Criminal Division

- Telemedicine fraud and enforcement efforts by DOJ and HHS-OIG
- COVID-19 fraud schemes: Examples and warning signs
- Genetic testing, urine drug testing and other lab fraud schemes

### 402 Collateral Consequences to Audits and Adverse Enrollment Actions in a Post COVID-19 World

LEVEL: INTERMEDIATE

ROSS BURRIS, Shareholder, Polsinelli PC

ANDREW B. WACHLER, Partner, Wachler & Associates, P.C.

- Enrollment, claims audits, and False Claims Act liability
- Responding to adverse enrollment actions
- 60-day rules and investigations related to Covid-19 related services

### 403 Using Data in Enforcement and Compliance

LEVEL: INTERMEDIATE

MARTI ARVIN, VP, Chief Compliance Officer, Erlanger Health System

LAURA ELLIS, Senior Counsel, Office of Inspector General, Department of Health & Human Services

- How OIG uses data to fulfill its mission
- Why OIG recommends compliance officers have access to all the data
- How to find and use the data healthcare providers have

4:00–4:15 PM CST

### Break

# Agenda

All times listed are in Central Standard Time (CST)

4:15–5:15 PM CST

## General Session 2: OIG Investigate Efforts: Strike Force, Managed Care, and the Pandemic

CHRISTIAN SCHRANK, Deputy  
Inspector General for Investigations,  
Office of Inspector General, Department  
of Health & Human Services

- Participants should be able to understand OI's role in Managed Care criminal, civil, and administrative proceedings
- Participants should understand the necessary collaboration between OIG, DOJ, law enforcement partners, CMS contractors, as well as compliance offices and special investigative units to effectively protect the Medicare Trust Fund
- Participants should understand the interaction and collaboration of OIG components associated with our pandemic response

## Wednesday, November 9

8:55–9:00 AM CST

### Opening Remarks

9:00–10:00 AM CST

## General Session 3: Evaluating a Corporate Compliance Program

LAUREN KOOTMAN, D.C., Assistant  
Chief, Corporate Enforcement,  
Compliance & Policy Unit, Fraud  
Section, U.S. Department of Justice

10:00–10:15 AM CST

### Break

10:15–11:15 AM CST

## CONCURRENT BREAKOUT SESSIONS

### 501 Criminal and Civil Liability and Medical Necessity

LEVEL: INTERMEDIATE

ROZ CORDINI, Senior Vice President,  
Coding & Compliance, Coker Group

JEFFERY DICKSTEIN, Attorney at  
Law, Phillips & Cohen LLP

JUDY RINGHOLZ, SCCE & HCCA  
Board Member

HOLLY SNOW, Senior Trial Counsel, DOJ

- What medical necessity means and how it is established
- How medical necessity cases are enforced and the primary factors for distinguishing a civil medical necessity case from a criminal case
- Do robust compliance measures prevent medical necessity cases, and how to respond when a medical necessity matter arises within your organization

### 502 Litigating a False Claims Act Case and Taking a False Claims Act Case to Trial

LEVEL: ADVANCED

MIKE BITTMAN, Partner, Nelson  
Mullins Broad and Cassel LLP

BRADLEY A. KLEIN, Partner, Litigation;  
Government Enforcement and White-Collar  
Crime; Cross-Border Investigations, Skadden,  
Arps, Slate, Meagher & Flom LLP and Affiliates

TOM MORRIS, Senior Trial Counsel, DOJ

- Define and discuss key provisions of the False Claims Act that regularly get litigated
- Identify emerging issues that often arise during FCA litigation
- Learn strategies for maximizing discovery in FCA cases

### 503 The Medicare Secondary Payer Act: Best Practices and Emerging Issues

LEVEL: BASIC

WILLIAM MCCLINTOCK, Senior  
Associate, King & Spalding

YELENA KOTLARSKY, Senior  
Associate, King & Spalding

- Understand the reporting and repayment obligations under the MSP statute
- Learn best practices to mitigate CMS penalties and other exposure
- Understand emerging issues facing your workforce given the changing regulatory landscape

11:15 AM–12:00 PM CST

### Mid-Conference Break

12:00–1:00 PM CST

## CONCURRENT BREAKOUT SESSIONS

### 601 Beneficiary Inducement and False Claims Act

LEVEL: INTERMEDIATE

ILENE ALBALA, Partner, Goodwin

KAREN GLASSMAN, Senior Counsel,  
Office of Counsel to the IG, Department  
of Health & Human Services

- Prohibitions against beneficiary inducement for healthcare services
- False claims and civil money penalty liability
- OIG fraud alerts and authorities on prohibited beneficiary inducement
- Criminal and civil liability for routine waiver of co-payments

### 602 Managed Care Enforcement and Compliance

LEVEL: INTERMEDIATE

NIURKA ADORNO-DAVIES, Associate  
Vice President, Compliance Officer,  
Molina Healthcare; Member of the  
Board of Directors, SCCE & HCCA

DANIEL MERON, Partner,  
Latham & Watkins LLP

- Managed care fraud and enforcement actions
- Managing compliance risks in managed care
- Education and training and managed care compliance



# Agenda

All times listed are in Central Standard Time (CST)

## 603 False Claims Act Liability and Post-acute Care

LEVEL: INTERMEDIATE

JANET FELDKAMP, Partner, Benesch, Friedlander, Coplan & Aronoff LLP

LISA RENAHER, Chief Compliance Officer, CommuniCare Family of Companies

- A review of recent False Claims Act cases, both civil and criminal, and current enforcement focus areas in Medicare for SNF, home health, and hospice providers
- Challenges facing post-acute providers, including those associated with new payments systems and regulatory requirements, and new claim audit initiatives
- Current enforcement trends, including potential liability for providers and management, sources of data to manage risk, expectations from CMS, and enhanced focus on “worthless care” concerns

1:00–1:15 PM CST

### Break

1:15–2:15 PM CST

### CONCURRENT BREAKOUT SESSIONS

## 701 Handling a Criminal Healthcare Fraud Case

LEVEL: INTERMEDIATE

RALPH CACCIA, Partner, Wiley Rein LLP

FRITZ SCANLON, Washington, District of Columbia, United States, Assistant Chief, U.S. Department of Justice, Criminal Division

- The role of data in criminal cases
- Emerging criminal enforcement trends
- Responding to criminal investigations and prosecutions

## 702 Negotiating and Mediating False Claims Act Cases

LEVEL: INTERMEDIATE

SARAH ARNI, Senior Trial Counsel, DOJ

SEAN O'CONNELL, Counsel, Hunton Andrews Kurth

JAN SYMCHYCH, Mediator and Arbitrator, JAMS

- False Claims Act settlements and DOJ policy and procedure
- Negotiating and mediating False Claims Act cases
- Drafting False Claims Act settlement agreements

## 703 Enforcement and Compliance Clinical Research

LEVEL: INTERMEDIATE

LISA MURTHA, Partner, Moses & Singer LLP

CLINT NARVER, Assistant Director, Consumer Protection Branch, U.S. Department of Justice

- This session will explain new research-related laws and regulations as well as potential fraud focus areas affecting research across the United States
- The speakers will outline the circumstances surrounding recent OIG/DOJ investigations and settlements over the last 24 months
- The speakers will make practical recommendations for compliance oversight of research programs in an effort to avoid investigations and maintain ongoing compliance

2:15–2:30 PM CST

### Break

2:30–3:30 PM CST

### CONCURRENT BREAKOUT SESSIONS

## 801 Medicaid Fraud Enforcement

LEVEL: INTERMEDIATE

ANDREW SCHULKE, Chief Deputy Attorney General, Medicaid Fraud Control Unit, Nevada

JACK WENIK, Partner, Epstein Becker & Green PC

- Medicaid fraud control unit collaboration and coordination
- Medicaid fraud identification, investigation, and multi-state prosecution
- Government and defense perspectives on Medicaid fraud investigations

## 802 Behavioral Health

LEVEL: INTERMEDIATE

JAMES HAYES, Washington, District of Columbia, United States, Senior Litigation Counsel, U.S. Department of Justice, Criminal Division

- Eliminating kickbacks in the recovery act and its application to behavioral health
- Federal and state enforcement against mental health and substance abuse treatment facilities and sober homes
- Common arrangements and kickback liability

## 803 Internal Investigation, Repayment and Self-Disclosure

LEVEL: INTERMEDIATE

BRIAN BEWLEY, Partner, Manatt, Phelps & Phillips, LLP

TAMAR TERZIAN, Senior Counsel, Office of Inspector General, HHS

- Authority and practical considerations for conducting internal investigations
- Procedures and best practices for internal investigations
- Evaluation and report and potential self-disclosure

# Healthcare Enforcement Compliance Conference

November 7–9, 2022 • Virtual



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_ Member/Account ID (if known/applicable) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### Options

<input type="checkbox"/>	Member	\$799
<input type="checkbox"/>	Non-Member	\$1,049
<input type="checkbox"/>	Registration + First-Time Membership Offer*	\$1,019

\*Save by joining today (first-time members only). Dues renew at \$325. See "Acknowledgements" below for details.

### Group Discount

<input type="checkbox"/>	Group Discount for 3–9**	(\$100)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$150)

\*\*Subtract the discount amount from your registration price. See "Group Discount Policy" under "Acknowledgements" below for more details.

TOTAL \$ \_\_\_\_\_

**HCCA Membership:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc). To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

**Opt-Out:** Select if you would like to opt-out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

## SECTION 3 Payment

Online registration at [hcca-info.org/2022HECC](http://hcca-info.org/2022HECC)

Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146

Email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. Email this form without credit card information, then call HCCA at 952.988.0141 or 888.580.8373 with your payment.

Invoice me (your registration is not complete until you confirm your payment) Purchase Order Number \_\_\_\_\_

Check enclosed (payable to HCCA)

Wire transfer requested

I authorize HCCA to charge my credit card:  Visa  MasterCard  Discover  American Express

Credit Card Account Number \_\_\_\_\_ Expiration Date \_\_\_\_\_

Cardholder Name \_\_\_\_\_ Cardholder Signature \_\_\_\_\_

Billing Address \_\_\_\_\_ Billing Zip/Postal Code \_\_\_\_\_

## SECTION 4 Acknowledgements

By registering for this event, you agree to the full event Terms and Conditions, viewable at [hcca-info.org/conference/tandc](http://hcca-info.org/conference/tandc), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

This virtual event registration form allows only the registered attendee to stream, participate, and earn CEUs for the event. If a second person would like to join, they must register themselves and have their own unique login to participate. No audio or video recording by attendees is allowed.

**Registration Payment Terms:** Your registration is not complete until the full registration payment is received. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event.

**Group Discount Policy:** Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Subtract the discount amount from your registration price. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**Photo/Video Release:** By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

**New Members:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc).

## Frequently Asked Questions

### How will I access this event the day it begins?

Before the event starts, you will receive an access email with links to the virtual platform and additional conference information like the presentations. The email goes out 1–3 days prior to the event and is sent to the primary email address on your account. Please be sure to check your spam folder.

### What is included in the cost of my attendance?

Virtual access to the sessions, access to any supplemental conference materials, and networking opportunities.

### What software is required to attend this event?

We use a variety of platforms based on the needs of each conference, but they are all web-based platforms and require a strong and stable internet connection. We highly recommend testing the platform once the access email is received to confirm you can access the platform, view the video player, and utilize the chat feature. Occasionally, those who access these digital platforms over a VPN can experience technical difficulties. Zoom will be utilized for small group discussions at applicable events, but downloading Zoom is not necessary, as they have a web-based version as well.

### Can my co-workers listen in with me using screen sharing or sitting together in the same room?

No, each individual who would like to participate in the conference needs to complete and submit an individual registration form. We do offer group discounts; please see our group discount options below.

### Will I receive a recording of this conference?

Registered paid attendees will receive 60 days of access to session recordings approximately two weeks post-conference. Beyond 60 days, session recordings will be available for purchase. Registered attendees will have the option to purchase the recordings at a discounted rate. Please visit the event web page to access the recording order form. No audio or video recording by attendees is allowed.

### Is there a group discount, and if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 20 or more, please call +1 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

### What do I get with “Registration + First Time Membership?”

If you’ve never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*® magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at [hcca-info.org/membership](http://hcca-info.org/membership). Your membership will begin once payment is received.

### Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations’ conferences; however, this cannot be done online. Please send your registration form via email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) to complete your registration.

### How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org). Cancellations received less than 14 calendar days prior to an event start date are subject to a \$75 cancellation fee. No refunds will be given for cancellations received on or after the start date of the event.

Alternatively, you may choose to send a substitute attendee in your place up to two business days prior to the event date. An additional fee may apply depending upon the membership status of the substitute.

### Who can I notify of special needs or concerns prior to the conference?

Please call HCCA at +1 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) if you have a special need and/or require an accommodation to participate.

## Continuing Education

### Can I learn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)® continuing education units (CEUs) for participation as well as other external credit types. For those attendees seeking these external credit types, you must participate in the conference using the online virtual conference format (not just using the dial-in) for attendance monitoring purposes.

To see the most up-to-date CEU approval information go to HCCA’s website, [hcca-info.org/all-conferences](http://hcca-info.org/all-conferences), choose this conference, and then select the Continuing Education option on the left-hand menu.

### How many CEUs will I learn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

### How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Only registered attendees are eligible to request CEUs for participation.

### When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can login to your online [hcca-info.org](http://hcca-info.org) account, go to your Account Dashboard, and scroll down to View My CEUs.

### I would like to sit for one of the Compliance Certification Board (CCB)® exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at [hcca-info.org/candidate-handbooks](http://hcca-info.org/candidate-handbooks) to ensure you meet the CEU requirement as well as the work experience requirement.

### I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what’s next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook, submitted your Application for Continuing Education Units (CEUs), and confirmed you will meet the CEU requirements, you can go ahead and apply online for your exam at [hcca-info.org/apply-exam](http://hcca-info.org/apply-exam).

### Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA’s website, [hcca-info.org/exam-information](http://hcca-info.org/exam-information).

### I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).