

*Don't miss  
your chance  
to attend!*

## Healthcare Enforcement Compliance Conference

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November 5–7, 2023  
Washington, DC

Hear first-hand from government enforcement leaders about regulatory changes, expectations, and key priorities, and gain insight into current enforcement issues.

Come away with a greater understanding of how to detect problems and properly investigate violations within your organization.

Learn more  
[hcca-info.org/2023HECC](https://hcca-info.org/2023HECC)



# About the conference

The Healthcare Enforcement Compliance Conference is an annual event for healthcare compliance professionals looking to gain insight into properly monitoring, detecting, investigating, and managing violations.

Government enforcement leaders will provide updates and insights on regulatory changes, expectations, and key priorities. Speakers will include representatives from the HHS-OIG, Civil Division of the DOJ, U.S. Department of Health and Human Services, Office of Counsel to the Inspector General, and leaders in the healthcare enforcement compliance industry.

All conference sessions are organized by knowledge level: basic, intermediate, and advanced. These levels are a guideline, and you are welcome to attend sessions of any level.

Attendees will have the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs) for participating.

## Key subject areas:

- False Claims Act
- Cybersecurity and privacy
- Managed care
- EKRA, Stark, and Anti-Kickback
- Noncompliance and Internal investigations
- Hospital and physician compensation
- Medicaid fraud enforcement
- Federal Administrative Sanctions
- Post-pandemic enforcement and policy
- Behavioral health

## Who should attend?

- Compliance officers
- Chief quality officers
- Presidents/CEOs
- Senior internal auditors
- Directors of compliance
- Regulatory/risk officers
- Government employees
- General counsel
- Compliance attorneys

## Become Certified



### Gain career credibility: get certified.

Certification matters. It can enhance your career and give you credibility with your organization and peers.

Take the first step and apply to sit for a Compliance Certification Board (CCB)<sup>®</sup> exam.

Exams now offered online

[Learn more  
hcca-info.org/certification](https://hcca-info.org/certification)



# Schedule at a glance

## Sunday, November 5

7:00 AM–6:15 PM	Registration Desk Open		
9:00–10:30 AM Pre-Conference Breakout Sessions	<b>P1 Clinical Research and Compliance</b>	<b>P2 Whistleblowers: Who Are They and What Makes a Good Whistleblower Case</b>	<b>P3 Privacy Breach Response</b>
10:30–10:45 AM	Break		
10:45 AM–12:15 PM Pre-Conference Breakout Sessions	<b>P4 Anatomy of a FCA Case</b>	<b>P5 Managed Care Enforcement and Compliance</b>	<b>P6 Criminal and Civil Enforcement and Telehealth</b>
12:15–1:30 PM	Lunch (on own)		
1:30–3:00 PM Pre-Conference Breakout Sessions	<b>P7 Negotiating and Mediating an FCA</b>	<b>P8 Clinical Research and Enforcement 2023</b>	<b>P9 EKRA and Kickback Enforcement</b>
3:00–3:15 PM	Break		
3:15–4:45 PM Pre-Conference Breakout Sessions	<b>P10 Information Blocking</b>	<b>P11 Enforcement, Compliance Officer, and General Counsel</b>	<b>P12 Enforcement and Private Equity</b>
4:45–6:15 PM	Welcome Reception in Exhibit Hall		

## Monday, November 6

7:00 AM–5:15 PM	Registration Desk Open		
7:15–8:15 AM	Continental Breakfast (provided)		
8:00–8:15 AM	Opening Remarks		
8:15–9:15 AM	<b>General Session 1: Evaluation and Compliance Program Effectiveness: DOJ, OIG &amp; Industry Benchmarks</b>		
9:15–10:00 AM	<b>General Session 2: OIG Update</b>		
10:00–10:30 AM	Networking Break in Exhibit Hall		
10:30–11:30 AM Breakout Sessions	<b>101 Beneficiary Inducement and FCA Liability</b>	<b>102 Cybersecurity and Healthcare Privacy</b>	<b>103 Post Pandemic Enforcement</b>
11:30–11:45 AM	Networking Break in Exhibit Hall		
11:45 AM–12:45 PM Breakout Sessions	<b>201 Enforcement, Compliance, and Long-Term Care</b>	<b>202 FCA and Liability for Risk Adjustment</b>	<b>203 FCA Developments</b>
12:45–1:45 PM	Networking Lunch (provided)		
1:45–2:45 PM Breakout Sessions	<b>301 Internal Investigations, Repayment, and Self-Disclosure</b>	<b>302 Enforcement, Compliance, and Data Analytics</b>	<b>303 Hospital and Physician Compensation and Value-Based Arrangements</b>
2:45–3:15 PM	Networking Break in Exhibit Hall		
3:15–4:15 PM Breakout Sessions	<b>401 Medical Necessity: Criminal and Civil Enforcement</b>	<b>402 OIG Compliance Program Guidance</b>	<b>403 Medicaid Fraud Enforcement</b>
4:15–5:15 PM	Networking Reception in Exhibit Hall		

# Schedule at a glance

## Tuesday, November 7

7:15 AM–5:00 PM	Registration Desk Open		
7:30–8:30 AM	Continental Breakfast (provided)		
8:15–8:30 AM	Opening Remarks		
8:30–9:30 AM	General Session 3: DOJ Update		
9:30–9:45 AM	Networking Break in Exhibit Hall		
9:45–10:45 AM Breakout Sessions	501 Federal Administrative Sanctions	502 Hot Topics in Fraud and Abuse	503 Compliance and Due Diligence
10:45–11:15 AM	Networking Break in Exhibit Hall—Last Chance to Network with Exhibitors		
11:15 AM–12:15 PM Breakout Sessions	601 Published Guidance and Compliance Program Effectiveness	602 CIAs and IROs	603 Enforcement, Compliance, and Behavioral Health
12:15–1:15 PM	Networking Lunch (provided)		
1:15–2:15 PM Breakout Sessions	701 Response to Civil Investigative Demands	702 Enhanced Enforcement of Hospital Transparency Rule	703 Fraud and Compliance in Retail Pharmacy
2:15–2:30 PM	Networking Break		
2:30–3:30 PM Breakout Sessions	801 Handling a Criminal Healthcare Fraud Case	802 Internal Investigations and Remedial Actions	803 Enforcement and Compliance in Clinical Laboratories
3:30–3:45 PM	Networking Break		
3:45–5:00 PM	General Session 4: Anti-kickback Statute 50-Year Anniversary		

## Wednesday, November 8

8:15–8:30 AM	Exam Check-in Time: Certified in Healthcare Compliance (CHC) <sup>®</sup> Exam (optional)
8:30–11:30 AM	<p>Exam Time: Certified in Healthcare Compliance (CHC)<sup>®</sup> Exam (optional)</p> <p>The CHC exam is optional. You must apply and pay for the exam separately from the Academy. To apply online, visit <a href="https://hcca-info.org/Certification/ApplyforCCBCertification.aspx">hcca-info.org/Certification/ApplyforCCBCertification.aspx</a></p> <p>PLEASE NOTE: If you are not present at the specified “Exam Check-in Time” as listed above, and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes, per the Candidate Handbook. The time range, listed at left, includes mandatory exam procedures and proctor instructions.</p>

# Agenda

## Sunday, November 5

7:00 AM – 6:15 PM

### Registration Desk Open

9:00 – 10:30 AM

#### PRE-CONFERENCE BREAKOUT SESSIONS

### P1 Clinical Research and Compliance

LEVEL: INTERMEDIATE

PROF. RYAN MEADE, Faculty of Law, University of Oxford

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

- Define research compliance in clinical research
- Analyze regulatory regulations in research compliance
- Evaluate current enforcement and challenges sites face

### P2 Whistleblowers: Who Are They and What Makes a Good Whistleblower Case

LEVEL: INTERMEDIATE

RENEE BROOKER, Partner, Tycko and Zavareei

JACKLYN DEMAR, Director of Legal Education, The Anti-Fraud Coalition

DAVID JOCHNOWITZ, Associate, Outten Golden

MICHAEL RONICKHER, Partner, Constantine Cannon

- Internal reporting and response to non-compliant activity
- Understand why employees become whistleblowers and keys to recognizing potential whistleblower risk
- Key factors for actionable whistleblower cases

### P3 Privacy Breach Response

LEVEL: INTERMEDIATE

JOAN PODLESKI, Vice President & Chief Privacy Officer, Childrens Health System of Texas

GINA GREENWOOD, Partner, Co-Lead of Data Protection, Privacy, and Security Practice, Nelson Mullins

- Covered entity and business associates and breach response
- Obligations of covered entity and business associate
- Comprehensive, accurate and timely reports to the covered entity

10:30 – 10:45 AM

### Break

10:45 AM – 12:15 PM

#### PRE-CONFERENCE BREAKOUT SESSIONS

### P4 Anatomy of a FCA Case

LEVEL: INTERMEDIATE

AMY EASTON, Partner, Phillips & Cohen

GABRIEL IMPERATO, Managing Partner, Nelson Mullins Broad and Cassel, SCCE & HCCA Board Member

JORDAN KEARNEY, Partner, Hooper Lundy Bookman

- The investigation phase: Subpoenas/ CIDs, data analysis, witness interviews, and more
- The negotiation phase: Initiation, ADR, scope of the release, damages, and other issues
- The resolution phase: Settlement agreements, individual liability, CIAs/ OIG, and relator issues

### P5 Managed Care Enforcement and Compliance

LEVEL: INTERMEDIATE

SARAH KESSLER, Senior Counsel/Administrative and Civil Remedies Branch, HHS/OIG

TERESA MASON, Attorney, EBG Law

DAN MERON, Partner, Latham & Watkins, LLP

- Managed care fraud and enforcement
- Managing compliance risks in managed care: Risk adjustment and best practices
- Education and training and managed care compliance

### P6 Criminal and Civil Enforcement and Telehealth

LEVEL: INTERMEDIATE

JONATHAN PORTER, Partner, Husch Blackwell LLP

CATHERINE WAGNER, Acting Assistant Chief, U.S. DOJ

- Telemedicine fraud and enforcement efforts by DOJ and HHS-OIG
- COVID-19 fraud schemes: Examples and warning signs
- Genetic testing, urine drug testing and other lab fraud schemes and telehealth

12:15 – 1:30 PM

### Lunch (on own)

1:30 – 3:00 PM

#### PRE-CONFERENCE BREAKOUT SESSIONS

### P7 Negotiating and Mediating an FCA

LEVEL: ADVANCED

STEVE ALTMAN, Principle, Altman Dispute Resolution Services

MIKE THEIS, Partner - Litigation, Arbitration, and Employment, Hogan Lovells

SARA VANN, Senior Assistant Attorney General, Georgia MFCU

- Negotiating and mediating False Claims Act cases
- Effective settlement and mediation strategies
- Drafting False Claims Act settlement agreements

### P8 Clinical Research and Enforcement 2023

LEVEL: INTERMEDIATE

LINDA MALEK, Partner and Practice Leader, Moses Singer, LLP

LISA MURTHA, Vice President and US Compliance Officer, Walgreens

CLINT NARVER, Assistant Director, Consumer Protection Branch, U.S. DOJ.

- Latest developments in clinical research enforcement actions
- Common compliance risks for clinical research
- Best practices for managing clinical trial risk

# Agenda

## Sunday, November 5

(continued)

### P9 EKRA and Kickback Enforcement

LEVEL: INTERMEDIATE

JIM HAYES, Senior Trial Attorney, U.S. DOJ

TONY MAIDA, Partner, McDermott Will & Emery

- Similarities and differences between the Anti-Kickback Statute and Eliminating Kickbacks in the Recovery Act of 2018 (EKRA)
- AKS and EKRA criminal and civil enforcement
- Compliance tips and lessons

3:00 – 3:15 PM

### Break

3:15 – 4:45 PM

### PRE-CONFERENCE BREAKOUT SESSIONS

### P10 Information Blocking

LEVEL: INTERMEDIATE

JAMES HANSEN, Senior Counsel, HHS/OIG

SCOTT STIEFEL, Senior Counsel, HHS/OIG

- Overview of the information-blocking regulations
- Updates related to the information blocking enforcement regulations
- Practical information and tips related to investigations, civil monetary penalties, and settlements

### P11 Enforcement, Compliance Officer, and General Counsel

LEVEL: INTERMEDIATE

LAURA ELLIS, Senior Counsel/ Administrative and Civil Remedies Branch, OIG

LINDA EPSTEIN, General Counsel, Broward Health

REBEKAH STEWART, CCO, Texas Health Resources

- Effective compliance and management: General counsel and compliance officer
- OIG's perspective on the role of general counsel and compliance officers
- Effective compliance practices and priorities

### P12 Enforcement and Private Equity

LEVEL: INTERMEDIATE

KIRK OGROSKY, Partner, Goodwin Procter LLP

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

MATT TORMEY, EVP Compliance and Risk | Chief Compliance Officer, Ensemble Health Partners

- Private equity participation in the healthcare industry
- Basis for liability for private equity
- Managing risk for private equity investors

4:45 – 6:15 PM

### Welcome Reception in Exhibit Hall

## Monday, November 6

7:00 AM – 5:15 PM

### Registration Desk Open

7:15 – 8:15 AM

### Continental Breakfast (provided)

8:00 – 8:15 AM

### Opening Remarks

8:15 – 9:15 AM

### General Session 1: Evaluation and Compliance Program Effectiveness: DOJ, OIG & Industry Benchmarks

LAUREN KOOTMAN, Assistant Chief, U.S. DOJ

TOM O'NEIL, Managing Director, Berkley Research Group

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

9:15 – 10:00 AM

### General Session 2: OIG Update

ROBERT DECONTI, Chief Counsel to the Inspector General, Office of Inspector General

10:00 – 10:30 AM

### Networking Break in Exhibit Hall

# Agenda

## Monday, November 6

(continued)

10:30 – 11:30 AM

### BREAKOUT SESSIONS

#### 101 Beneficiary Inducement and FCA Liability

LEVEL: INTERMEDIATE

KIM STANGER, Partner, Holland & Hart

BEN WALLFISCH, Senior Counsel/  
Industry Guidance Branch, OIG

- Prohibitions against beneficiary inducement for healthcare services
- False claims and civil money penalty liability
- OIG fraud alerts and authorities on prohibited beneficiary inducement, including criminal and civil liability for routine waiver of co-payments

#### 102 Cybersecurity and Healthcare Privacy

LEVEL: INTERMEDIATE

- Cybersecurity and privacy legal landscape and enforcement actions
- Emerging technologies: Cybersecurity and privacy risks for healthcare-related entities
- Addressing risks from supply chain and third-party vendors

#### 103 Post Pandemic Enforcement

LEVEL: INTERMEDIATE

COLIN HUNTLEY, Deputy Director,  
DOJ-Civil Fraud

JUSTIN WOODARD,  
Assistant Chief, U.S. DOJ

- Status of post-pandemic enforcement actions
- Payment Protection Program and Provider Relief Fund Liability
- Responding to Administrative Actions to Recover Pandemic Funds

11:30 – 11:45 AM

### Networking Break in Exhibit Hall

11:45 AM – 12:45 PM

### BREAKOUT SESSIONS

#### 201 Enforcement, Compliance, and Long-Term Care

LEVEL: INTERMEDIATE

DAVID HOFFMAN, Practice Professor of Law, Drexel University

SUSAN LYNCH, Senior Trial Counsel for Elder Justice, DOJ-Civil Fraud

PAUL J. MAHONEY, Assistant Deputy Attorney General, Medicaid Fraud Control Unit, Office of the Attorney General of the State of New York

DR. ILENE WARNER- MARON, Assistant Professor, Department of Geriatrics, Philadelphia College of Osteopathic Medicine

- Criminal and civil enforcement actions
- Common basis for long term care liability
- Managing long term care risk

#### 202 FCA and Liability for Risk Adjustment

LEVEL: INTERMEDIATE

EDWARD BAKER, Of Counsel, Lief Cabraser Heimann & Bernstein

ALEX OLIPHANT, Managing Director, BRG

- Origins of liability for risk adjustment
- State of settlements and litigation
- Recent final RADV rule and audit liability

#### 203 FCA Developments

LEVEL: BASIC

JONATHAN DIESENHAUS, Partner, Hogan Lovells US LLP

BRIAN BEWLEY, Partner, Healthcare & Life Sciences, Reed Smith LLP

JAMIE ANN YAVELBERG, Director, DOJ-Civil Fraud

- Review of recent False Claims Act Supreme Court decisions
- Latest developments in False Claims Act liability and damages
- Dismissal and Summary Judgment in False Claims Act cases

12:45 – 1:45 PM

### Networking Lunch (provided)

1:45 – 2:45 PM

### BREAKOUT SESSIONS

#### 301 Internal Investigations, Repayment, and Self-Disclosure

LEVEL: INTERMEDIATE

CANDACE ASHFORD, Senior Counsel/  
Administrative and Civil Remedies Branch

TOM BARNARD, Shareholder,  
Baker Donelson

ELLEN GALLAGHER, Chief Compliance and Privacy Officer for Rochester Regional Health

- Authority and practical considerations for conducting internal investigations
- Methods and best practices for internal investigations
- Evaluation and report, potential self-disclosure, and resolution of compliance matters

#### 302 Enforcement, Compliance, and Data Analytics

LEVEL: INTERMEDIATE

MANDY ASGEIRSSON, Director, BRG

GREG BECKER, Senior Counsel/  
Affirmative Litigation Branch, HHS/OIG

NORA WITEK, Deputy Branch Chief, Data Provisioning & Ad-hoc Analysis Team - Division of Data Analytics, HHS-OIG Chief Data

- Overview of OIG administrative enforcement: Civil Monetary Penalties and Exclusion
- Data analytics as an OIG enforcement tool
- Case study and discussion

#### 303 Hospital and Physician Compensation and Value-Based Arrangements

LEVEL: BASIC

CHARLES B. OPPENHEIM, Partner, Hooper Lundy Bookman

RAUL ORDONEZ, Vice President & Chief Compliance Officer, Jackson Health System

BOB WADE, Partner, Nelson Mullins

- Legacy of liability for hospital/physician compensation arrangements
- Emerging compensation arrangements including value-based/bundled arrangements: Legal and regulatory requirements
- Compensation arrangements and hospital/physician alignment strategies

# Agenda

## Monday, November 6

(continued)

2:45 – 3:15 PM

### Networking Break in Exhibit Hall

3:15 – 4:15 PM

#### BREAKOUT SESSIONS

### 401 Medical Necessity: Criminal and Civil Enforcement

LEVEL: INTERMEDIATE

ANNA GRIZZLE, Partner,  
Bass, Berry & Sims PLC

ALISON ROUSSEAU, Assistant Director,  
DOJ-Civil Fraud

- Medical necessity and criminal and civil enforcement
- Compliance effectiveness and medical necessity
- Effective response to medical necessity compliance matters

### 402 OIG Compliance Program Guidance

LEVEL: INTERMEDIATE

AMANDA COPSEY, Senior Counsel/  
Industry Guidance Branch, OIG

LAURA ELLIS, Senior Counsel/  
Administrative and Civil  
Remedies Branch, OIG

- Discuss the vision of the updated General Compliance Program Guidance (GCPG) as part of the OIG's push to modernize its publicly available resources
- Provide input on the contents of the GCPG
- Highlight points of particular interest
- Preview the role of upcoming industry-specific CPGs (ICPGs)

### 403 Medicaid Fraud Enforcement

LEVEL: INTERMEDIATE

DAVID TANAY, Division Chief, Health  
Care Fraud Division, Michigan  
Department of Attorney General

JACK WENIK, Partner, Epstein  
Becker & Green PC

- Medicaid fraud control unit collaboration and coordination
- Medicaid fraud identification, investigation, and multi-state prosecutions
- Government and defense perspectives on Medicaid fraud investigation

4:15 – 5:15 PM

### Networking Reception in Exhibit Hall

## Tuesday, November 7

7:15 AM – 5:00 PM

### Registration Desk Open

7:30 – 8:30 AM

### Continental Breakfast (provided)

8:15 – 8:30 AM

### Opening Remarks

8:30 – 9:30 AM

### General Session 3: DOJ Update

9:30 – 9:45 AM

### Networking Break in Exhibit Hall

9:45 – 10:45 AM

#### BREAKOUT SESSIONS

### 501 Federal Administrative Sanctions

LEVEL: BASIC

JONATHAN CULPEPPER, Senior Counsel/  
Affirmative Litigation Branch, OIG

GREG DEMSKE, Partner,  
Goodwin Procter LLP

GEOFF HYMANS, Deputy Chief/  
Exclusions Branch, OIG

- Understand OIG enforcement sanctions, remedies, and appeals
- Appreciate differences from available CMS Sanctions
- Identify new CMS program integrity rules and authorities

### 502 Hot Topics in Fraud and Abuse

LEVEL: INTERMEDIATE

FELICIA HEIMER, Senior Counsel, Office  
of Counsel to the Inspector General, HHS

BRIAN MARTENS, Director of  
Strategic Projects and Initiatives/  
Assistant Special Agent in Charge  
within OIG's Office of Investigations

COLETTE MATZZIE, Attorney  
at Law, Phillips & Cohen

- Latest developments in fraud cases
- Kickback and Stark liability
- Medical necessity, risk adjustment, and common false claims

### 503 Compliance and Due Diligence

LEVEL: ADVANCED

BETTY KINSEY, Compliance/Privacy  
Director, Texas Health Physicians Group

CHARLES OVERSTREET, Senior Managing  
Director, FTI Consulting

- Compliance-centered due diligence process
- Transaction structure and scope of due diligence, best practices for monitoring post-transaction compliance
- Case studies, practice tips, and common risks and due diligence



# Agenda

## Tuesday, November 7

(continued)

10:45 – 11:15 AM

### Networking Break in Exhibit Hall — Last Chance to Network with Exhibitors

11:15 AM – 12:15 PM

#### BREAKOUT SESSIONS

### 601 Published Guidance and Compliance Program Effectiveness

LEVEL: INTERMEDIATE

EDWARD (TED) CASE, Partner, Harter Secrest & Emery LLP

JUDY RINGHOLZ, Chief Compliance Officer – Corporate Compliance Department, Broward Health; SCCE & HCCA Board Member

- What to ask and look for in effectiveness evaluation proposals
- Things to consider as your effectiveness review is being conducted
- Using review results to improve your compliance program's performance

### 602 CIAs and IROs

LEVEL: INTERMEDIATE

GARY KEILTY, Experienced Healthcare Regulatory Consultant, Independent

STEVE ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Corporate integrity agreements implementation and coordination with OIG Monitor
- Obligations and role of an IRO
- Keys to successful IRO engagements

### 603 Enforcement, Compliance, and Behavioral Health

LEVEL: INTERMEDIATE

ANDREW SCHULKE, Chief Deputy Attorney General, Medicaid Fraud Control Unit

CRANE POMERANTZ, Member, Clark Hill LLP

- Eliminating Kickbacks in Recovery Act and its application to behavioral health
- Criminal and civil federal and state enforcement against mental health and substance abuse treatment facilities and sober homes
- Common arrangements and kickback liability

12:15 – 1:15 PM

### Networking Lunch (provided)

1:15 – 2:15 PM

#### BREAKOUT SESSIONS

### 701 Response to Civil Investigative Demands

LEVEL: INTERMEDIATE

EDGAR BUENO, Partner, Nelson Mullins

JORDAN KEARNEY, Partner, Hooper Lundy Bookman

- Legal basis and scope of Civil Investigative Demands
- Civil Investigative Demand and subpoenas
- Civil Investigative Demands for e-data and response

### 702 Enhanced Enforcement of Hospital Transparency Rule

LEVEL: INTERMEDIATE

CHRISTINE BURKE WORTHEN, Partner, Nelson Mullins Riley & Scarborough LLP

RAUL ORDONEZ, Vice President & Chief Compliance Officer, Jackson Health System

- Overview of the price transparency rule
- Review CMS enforcement efforts
- Compliance Tips
- Upcoming changes

### 703 Fraud and Compliance in Retail Pharmacy

LEVEL: INTERMEDIATE

LISA MURTHA, Vice President, US Compliance and Ethics Officer, Walgreens

DREW WALGREEN, Store Manager, Walgreens

- Review of OIG Work Plan items relevant to pharmacies
- Review of DOJ, DEA, and other agency enforcement cases
- State Board of Pharmacy risks and fraud trends
- Common billing risk issues in pharmacies
- Implementing a Compliance Program for retail pharmacies

2:15 – 2:30 PM

### Networking Break

# Agenda

## Tuesday, November 7

(continued)

2:30 – 3:30 PM

### BREAKOUT SESSIONS

#### 801 Handling a Criminal Healthcare Fraud Case

LEVEL: INTERMEDIATE

RALPH CACCIA, Partner, Wiley Rein LLP

TOM TYNAN, Acting Assistant Chief, U.S. DOJ

- Emerging criminal enforcement trends
- Role of data in criminal cases
- Unique aspects of responding to criminal enforcement actions

#### 802 Internal Investigations and Remedial Actions

LEVEL: INTERMEDIATE

DWIGHT CLAUSTRE, Retired Compliance Professional

ROSALIND CORDINI, Senior Vice President, Coding & Compliance Services, Coker Group

- Discuss the importance of having a structured process for conducting an investigation
- When should legal counsel be brought into the investigation?
- Identify mitigation strategies based on the facts as developed from the investigation

#### 803 Enforcement and Compliance in Clinical Laboratories

LEVEL: INTERMEDIATE

DARRELL CONTRERAS, Chief Compliance Officer, Millennium Health

FELICIA HEIMER, Senior Counsel, Office of Counsel to the Inspector General, HHS

- EKRA, AKS and Clinical Laboratories
- Marketing Activities and Compliance Risk
- Identification and Response to EKRA and AKS Compliance Risk

3:30 – 3:45 PM

### Networking Break

3:45 – 5:00 PM

#### General Session 4: Anti-kickback Statute 50-Year Anniversary

SUSAN EDWARDS, Assistant Inspector General for Legal Affairs, OIG

LAURIE OBEREMBT, Senior Litigation Counsel, DOJ-Civil Fraud

FRITZ SCANLON, Assistant Chief, U.S. DOJ, Criminal Division, Fraud Section

SANFORD TEPLITZKY, Senior Counsel, Baker Donelson

- Discuss the 50-year history of legislative and regulatory changes to the Federal anti-kickback statute and its exceptions and safe harbors
- Understand the evolution of AKS-related case law and currently litigated issues
- Provide an overview of the development of the advisory opinion process and highlight some notable advisory opinions

## Wednesday, November 8

8:15 – 8:30 AM

#### Exam Check-in Time: Certified in Healthcare Compliance (CHC)<sup>®</sup> Exam (optional)

8:30 – 11:30 AM

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# Healthcare Enforcement Compliance Conference

November 5–7, 2023 • Washington, DC



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### Options

Full Conference (Sunday–Tuesday)		
<input type="checkbox"/>	Member	\$1,275
<input type="checkbox"/>	Non-Member	\$1,495
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,500
Main Conference (Monday–Tuesday only)		
<input type="checkbox"/>	Member	\$1,075
<input type="checkbox"/>	Non-Member	\$1,295
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,300

\*Save by joining today (first-time members only). Dues renew at \$325.

### Group Discount

<input type="checkbox"/>	Group Discount for 3–9**	(\$50)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$100)

\*\*Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ \_\_\_\_\_

**Group Discount:** Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

### Dietary Needs Request (for in-person attendees only)

Gluten Free  Kosher Certified  Lactose Intolerant  No Red Meat/Pork  Nut Allergy  
 Shellfish/Seafood Allergy  Vegan  Vegetarian  Other \_\_\_\_\_

**On-site Attendee Mobile Phone** (for emergency on-site use only) \_\_\_\_\_

**Emergency Contact** (name & phone) \_\_\_\_\_

**HCCA Membership:** By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at [hcca-info.org/membership-terms-and-conditions](http://hcca-info.org/membership-terms-and-conditions). Visit [hcca-info.org/privacy](http://hcca-info.org/privacy) to see the full use of your information or to opt out.

**Opt Out:** Select if you would like to opt out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

**Registration FAQs:** Visit [hcca-info.org/faqs-national-person](http://hcca-info.org/faqs-national-person) for answers to frequently asked questions (FAQs) about your registration.

## SECTION 3 Payment

Register online with credit card payment at [hcca-info.org/2023HECC](http://hcca-info.org/2023HECC)

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

Invoice me Purchase Order Number (attach PO) \_\_\_\_\_

Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

**Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.**

Email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) or call HCCA at 952.988.0141 or 888.580.8373.

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [hcca-info.org/event-terms-and-conditions](http://hcca-info.org/event-terms-and-conditions), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit [hcca-info.org/privacy](http://hcca-info.org/privacy) to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Questions? Call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

## Conference Location

**Capital Hilton**  
**1001 16th Street Northwest**  
**Washington, DC 20036**

A reduced rate of \$279.00 single/double occupancy per night plus applicable taxes (currently 15.95% per night) has been arranged for this program. To make reservations, call 800.445-8667 and ask for the 2023 Healthcare Enforcement Compliance Conference group rate or book online.

All reservations must be guaranteed and accompanied by a first-night room deposit or guaranteed with a valid credit card which will be charged at the time of reservation. Reservations not canceled within 72 hours prior to arrival or no shows will be billed the first night's room rate.

The cut-off date to receive this discounted rate is Thursday, October 12, 2023 or once the group block is full, whichever comes first. Confirmation of rooms after the cut-off date will only be accepted based on a space and rate availability basis.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.