Still time to register!

Research Compliance Conference

June 11–13, 2023
Phoenix, AZ

- Research Misconduct
- Compliance Program Effectiveness
- Conflicts of Interest
- Investigations

PLUS: Receive *complimentary access* to SCCE's *Higher Education Compliance Conference*

Learn how to address emerging risks in research, best practices for dealing with unique compliance challenges, and make valuable industry connections.

Learn more and register
hcca-info.org/2023research

HCCA
Health Care Compliance Association

ABOUT

Healthcare research has a unique set of compliance challenges—find out how to meet them at HCCA's Research Compliance Conference, as you learn about emerging risks and solutions in research institutions, share best practices, and network with peers and colleagues.

Research Compliance Conference educational sessions will provide you with the opportunity to earn live Compliance Certification Board (CCB)® continuing education units (CEUs). Sessions are led by industry professionals and are organized by knowledge level: basic, intermediate, and advanced.

With registration, attendees also gain admittance to SCCE's Higher Education Compliance Conference held at the same time.

Session topics

- Clinical billing research
- Conflict of interest
- FDA issues
- Investigations
- Risk assessments
- Research compliance workplans
- Animal research
- Fraud, waste, and abuse

Session levels

Basic: Program knowledge level most beneficial to Compliance Professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

Intermediate: Program knowledge level that builds on a basic program, most appropriate for Compliance Professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

Advanced: This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations, and professionals with specialized knowledge in a subject area.

Who should attend?

This conference is ideal for any compliance professional or person in a related role who works in the clinical research setting. Past attendees have included:

- Compliance officers
- Audit professionals
- Scientists
- Research administrators
- Healthcare executives
- Attorneys

HCCA's mission

Health Care Compliance Association® (HCCA) exists to champion ethical practices and compliance standards and provide resources for healthcare professionals and others who share these principles.

Become a member of HCCA to enhance and stay current on best practices in compliance

Member-exclusive benefits include:

- **Four free webinars each year**
- ***Compliance Today*® Magazine**
- ***Ethikos*® quarterly newsletter**
- **Discounts on:**
  - **Educational conferences**
  - **Publications and products**
  - **CCB certification**

Learn more
hcca-info.org/membership

SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

SUNDAY, JUNE 11 (Pre-Conference)

7:00 AM–5:30 PM	**Registration Open**	
8:00–9:30 AM	**P1** Haven't Seen a Conflict That Didn't Interest Us	**P2** Pursuing Research Compliance Program Effectiveness: Why It's Essential and How to Get There
9:30–9:45 AM	**Networking Break**	
9:45–11:15 AM	**P3** The Great Resignation, Compliance, and How to Restock the Pond!	**P4** Privacy and Data Security Issues in Research: The Ongoing Struggle
11:15 AM–12:45 PM	**Lunch** (on own)	
12:45–2:15 PM	**P5** Navigating the Pitfalls of Research Compliance Auditing	**P6** Identifying and Mitigating Organizational Risks in Research Compliance: A Case Example
2:15–2:30 PM	**Networking Break**	
2:30–4:00 PM	**P7** Secondary Uses of Research Data and Specimens: Legal and Compliance Considerations	**P8** Investigations, Root Cause, and Corrective Actions: Tools, Tips & Tricks
4:00–5:30 PM	**Welcome Reception**	

MONDAY, JUNE 12

7:00 AM–6:00 PM	**Registration Open**	
8:00–8:15 AM	**Welcome and Opening Remarks**	
8:15–9:15 AM	**GENERAL SESSION:** Fiduciary Duties and Compliance Program Oversight: Helping Your Board Succeed	
9:15–9:45 AM	**Networking Break** (Exhibit Hall)	
9:45–10:45 AM	**101** Human Research Protection Programs and Research Operations: Partnering for Success	**102** The CHIPS and Science Act of 2022: The New Compliance Challenges It Created, and Those That Are Still to Come
10:45–11:00 AM	**Networking Break**	
11:00 AM–12:00 PM	**103** A Roadmap of Faculty External Affiliations: Investigating Disclosures and Understanding Impact	**104** Cardinal Sin in Research: Research Misconduct—What It Is, Relationship to Noncompliance, and Responsibilities to Sponsors
12:00–1:00 PM	**Lunch** (provided)	
1:00–2:00 PM	**105** Where Ethics, Compliance, Diversity, and Rural Health Research Intersect!	**106** Unraveling Current US and Foreign Legislation Affecting International Research
2:00–2:15 PM	**Networking Break**	
2:15–3:15 PM	**107** Managing and Auditing Federal Grants	**108** Navigating Data Protection Requirements and Participant Rights in Research Studies
3:15–3:30 PM	**Networking Break**	
3:30–4:30 PM	**GENERAL SESSION:** Research Year in Review	
4:30–6:00 PM	**Networking Reception** (Exhibit Hall)	

TUESDAY, JUNE 13

7:00 AM–4:30 PM	**Registration Open**	
8:00–9:00 AM	**GENERAL SESSION:** OHRP Compliance Oversight: What's New	
9:00–9:30 AM	**Networking Break** (Exhibit Hall)	
9:30–10:30 AM	**111** Increasing Diversity in Clinical Research	**112** Supercharge Your Compliance Infrastructure: Strategies for Data Management and Sharing (DMS) Policy Support
10:30–10:45 AM	**Networking Break**	
10:45–11:45 AM	**113** Did Someone Say DIVERSION? What to Do When Controlled Substances Go Missing	**114** Implementing Foreign Influence Screening to Address Research Security Concerns: Applied Strategies for Research Applicant Screening Procedures in Response to Institutional or Regulatory Change
11:45 AM–12:45 PM	**Lunch** (provided)	
12:45–1:45 PM	**115** Compliance Considerations for Decentralized Clinical Trials	**116** Facilitating Innovation in a Post-COVID19 World
1:45–2:00 PM	**Networking Break**	
2:00–3:00 PM	**117** Investigational Device Exception (IDE) Clinical Trial Reimbursement	**118** Not Just a Research Issue: Building a University-Wide Export Control Program
3:00–3:15 PM	**Networking Break**	
3:15–4:15 PM	**GENERAL SESSION:** Compliance with Psychedelics and Emerging Therapies	

AGENDA

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

Sunday, June 11
Pre-Conference

7:00 AM–5:30 PM

Registration Open

8:00–9:30 AM

P1 Haven't Seen a Conflict That Didn't Interest Us

Level: Intermediate

DEEPIKA BHATIA, Associate Vice President, Research Compliance & Regulatory Affairs, Emory University

SEFILAT AJISHAFE, Assistant Director – COI/COC, Emory University

LAILA AZIZ, Program Administrator, Research Compliance Effectiveness, Emory University

- Emory's research portfolio and streamlining of COI infrastructure across the enterprise
- External program assessment, stakeholder collaborations for program development and redesign
- Policies, SOPs, trainings, and everything in between for a revamped compliant program

P2 Pursuing Research Compliance Program Effectiveness: Why It's Essential and How to Get There

Level: Intermediate

SARAH COUTURE, Managing Director, Ankura Consulting Group

KATHERINE COHEN, Chief Compliance Officer, Southern Illinois University Medicine

- Identify what effectiveness means for a research compliance program and why it matters
- Provide a framework for evaluating your program effectiveness
- Develop and plan a response to the evaluation and an ongoing plan for effectiveness

9:30–9:45 AM

Networking Break

9:45–11:15 AM

P3 The Great Resignation, Compliance, and How to Restock the Pond!

Level: Intermediate

CYNTHIA DUNN, Clinical Research Consultant, Crescent City Research Consulting, LLC

ALEXIS SHAFFER, Senior Business Development Director, Life Sciences, Medix

WENDY PORTIER, Independent Consultant, Portier and Associates LLC

- Discuss the critical impact of research staff turnover on research study execution and how to mitigate potential compliance issues
- Describe the strategies to attract, retain and motivate research staff to build a successful research program and share lessons learned
- Identify challenges, opportunities, and successes when onboarding new staff and managing remote workers

P4 Privacy and Data Security Issues in Research: The Ongoing Struggle

Level: Intermediate

MARTI ARVIN, JD, CHC-F, CCEP-F, CHPC, CHRC, Vice President, Chief Compliance and Privacy Officer, Erlanger Health System

BLAZE WALESKI, Of Counsel, Moses & Singer LLP

- The challenges of multi-center studies, central IRBs, and approved documents
- Secondary use of data by your organization or by others
- Big data, data retention: risk and challenges

11:15 AM–12:45 PM

Lunch (on own)

12:45–2:15 PM

P5 Navigating the Pitfalls of Research Compliance Auditing

Level: Intermediate

GABRIELLA NEFF, Research Compliance Officer, Moffitt Cancer Center

DAWN PITTINGER, Research Financial Compliance Officer, Moffitt Cancer Center

- Describe challenges in research compliance auditing
- Identify and discuss opportunities to avoid pitfalls through collaboration
- Develop a plan to improve outcomes utilizing audit results

P6 Identifying and Mitigating Organizational Risks in Research Compliance: A Case Example

Level: Intermediate

DAWN BACKLUND, Chief Compliance Officer, North Memorial Health

CHERYL BYERS, Vice President, Institutional Partnerships, Advarra

- List the key components of a research compliance program
- Identify the warning signs of research compliance concerns
- Discuss ways in which an organization mitigated compliance risks

2:15–2:30 PM

Networking Break

2:30–4:00 PM

P7 Secondary Uses of Research Data and Specimens: Legal and Compliance Considerations

Level: Advanced

MARCIA GONZALES, Vice President & Chief Compliance Officer, Fred Hutchinson Cancer Center

CLAIRE TEMPEL, Health Privacy Officer, Indiana University

- Legal and regulatory safeguards
- Collaborations, consortiums, and data sharing
- Subsequent disclosures and uses by third parties

P8 Investigations, Root Cause, and Corrective Actions: Tools, Tips & Tricks

Level: Intermediate

ELEANOR KUSZMAR, Associate Director for Research Compliance, Harvard Medical School

KELE PIPER, Director, Research Compliance, Massachusetts General Hospital

- An organized approach to conducting an investigation: checklists, timelines, scoping, and reports
- I know what happened, how do I figure out why? Using Root Cause Analysis to determine issues
- How do I make sure it doesn't happen again? Multi-level corrective action plans to address issues

4:00–5:30 PM

Opening Reception

Monday, June 12

7:00 AM–6:00 PM

Registration Open

8:00–8:15 AM

Welcome and Opening Remarks

8:15–9:15 AM

General Session: Fiduciary Duties and Compliance Program Oversight: Helping Your Board Succeed

STEVEN ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Understand Board of Directors' duty of loyalty and duty of care
- Review recent case law and settlement developments affecting the board's oversight duties
- Discuss strategies for helping your board succeed in its oversight role

9:15–9:45 AM

Networking Break (Exhibit Hall)

9:45–10:45 AM

101 Human Research Protection Programs and Research Operations: Partnering for Success

Level: Intermediate

JUSTIN OSBORNE, Associate Vice President, The HRP Consulting Group

KATHERINE COHEN, Chief Compliance Officer, Southern Illinois University Medicine

- Examine the roles of the HRPP and research operations and identify overlap
- Discuss bridging the gap between HRPPs and operations and establishing a partnership
- Brainstorm how HRPPs and operations can support each other to ensure long term success

102 The CHIPS and Science Act of 2022: The New Compliance Challenges It Created, and Those That Are Still to Come

Level: Intermediate

CALLAN STEIN, Partner, Troutman Pepper

MICHAEL LOWE, Partner, Troutman Pepper

- Identify the directives in the Act and forthcoming regulations that create new compliance challenges
- Describe the practical/legal risks of these challenges and likely areas of high government scrutiny
- Define compliance enhancements and risk mitigation strategies every research institute can implement

10:45–11:00 AM

Networking Break

AGENDA

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

11:00 AM–12:00 PM

103 A Roadmap of Faculty External Affiliations: Investigating Disclosures and Understanding Impact

Level: Intermediate

STACY PRITT, DVM, MS, MBA, CPIA, CHRC, ECoP (EAR), DACAW, Associate Vice President, Research Support & Regulatory Management, Assistant Professor, Psychiatry (Ethics Division), University of Texas Southwestern Medical Center

MEREDITH NOTO, MBA, MA, CHRC, EXCS, Associate Director, Conflict of Interest and Export Control, Office of Research Support and Regulatory Management, University of Texas Southwestern Medical Center

VINCE SNODDY, MBA, Regulatory Analyst, Conflict of Interest, Office of Research Support and Regulatory Management, University of Texas Southwestern Medical Center

- Describe the scope of faculty relationships that create affiliations with external entities
- Identify strategies to gather relevant context and implement appropriate oversight
- Develop communication channels to promote internal congruence and systematic reporting of affiliations

104 Cardinal Sin in Research: Research Misconduct—What It Is, Relationship to Noncompliance, and Responsibilities to Sponsors

Level: Intermediate

JOHN BAUMANN, Associate Vice President for Research Compliance, Indiana University

- What is research misconduct and what are the processes undertaken upon receiving an allegation
- The relationship between research misconduct and research non-compliance
- What may be required if the research misconduct occurs in a project with an external sponsor—reporting and possible payback

12:00–1:00 PM

Lunch (provided)

1:00–2:00 PM

105 Where Ethics, Compliance, Diversity, and Rural Health Research Intersect!

Level: Intermediate

KYLIE SANDS, MBA, CCRP, Clinical Research Operations Manager, St. Lawrence Health

WENDY PORTIER, Independent Consultant, Portier and Associates LLC

- Describe the current landscape of rural health research and identify key stakeholders
- Understand where rural health research intersects with human subjects protections (IRB review), compliance, diversity, and ethical principles
- Identify actionable steps for sites, sponsors, and other key stakeholders for engaging and supporting rural sites

106 Unraveling Current US and Foreign Legislation Affecting International Research

Level: Intermediate

XINNING SHIRLEY LIU, Esq., President, XL Law & Consulting P.A.

KRISTEN WEST, Director, Research Ethics & Compliance, Council on Governmental Relations (COGR)

- Take a deep dive into current US and international laws, regulations and related governmental policies affecting international research activities, with a focus on Chinese laws and regulations; covered topics will include recent US efforts to protect research from foreign influence, China's Personal Information Protection Law (PIPL) and other laws impacting privacy and data transfer, PRC Regulations on the Management of Human Genetic Resources and China's Export Control Law
- Discuss practical implications of US and foreign laws and policies on international research
- Share strategies and best practices on how to navigate complex issues such as monitoring research integrity in international collaborations, complying with data privacy regulations when conducting human subjects research, managing conflicts of interests and conflicts of commitment to address inappropriate foreign influence, enhancing export control compliance and other security considerations

2:00–2:15 PM

Networking Break

2:15–3:15 PM

107 Managing and Auditing Federal Grants

Level: Intermediate

SUMMER BUCHANAN, Vanderbilt University Medical Center

- Understand the various phases of the grant life cycle
- Identify key risks in post-award management
- Discuss various audit procedures to implement in your organization
- Review case study examples of non-compliance and discuss financial impact

108 Navigating Data Protection Requirements and Participant Rights in Research Studies

Level: Intermediate

ANDREW MAHLER, Vice President, Privacy and Compliance, CynergisTek, Inc.

- Understand the current data protection and privacy rules that could apply to research data
- Understand when and how research participants have rights to data collected about them
- Discuss evolving rules and laws and the foundational ethical considerations

3:15–3:30 PM

Networking Break

AGENDA ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

3:30–4:30 PM

General Session:
Research Year in Review

LISA MURTHA, Partner, Moses & Singer LLP

- This session will present relevant new laws, regulations, and guidance from federal and state government agencies on the conduct of research
- We will review new OIG Workplan and its research initiatives as well as key enforcement initiatives and settlements related to research
- We will discuss novel approaches to addressing these new government initiatives within research compliance programs

4:30–6:00 PM

Networking Reception (Exhibit Hall)

Tuesday, June 13

7:00 AM–4:30 PM

Registration Open

8:00–9:00 AM

General Session:
OHRP Compliance Oversight: What's New

LAURA ODWAZNY, Senior Attorney, OGC US Department of Health and Human Services

- What's new at OHRP
- Ending of the COVID-19 public health emergency
- Update on OHRP's compliance oversight activities

9:00–9:30 AM

Networking Break (Exhibit Hall)

9:30–10:30 AM

111 Increasing Diversity in Clinical Research

Level: Intermediate

JASON E. JOHNSON, Partner, Moses & Singer LLP

- Identifying and understanding the importance and benefits of diversity in research
- Discussing recent FDA/NIH/state/industry laws, guidance, and requirements for addressing diversity in research
- Practical strategies for increasing diversity in research to meet laws, guidance, and requirements

112 Supercharge Your Compliance Infrastructure: Strategies for Data Management and Sharing (DMS) Policy Support

Level: Intermediate

STACY PRITT, DVM, MS, MBA, CPIA, CHRC, ECoP (EAR), DACAW, Associate Vice President, Research Support & Regulatory Management, Assistant Professor, Psychiatry (Ethics Division), University of Texas Southwestern Medical Center

MELISSA I. TORRES-ALTORO, PhD, CPIA, Project Manager, Research Support and Regulatory Management, University of Texas Southwestern Medical Center

- Identify the administrative infrastructure to support the NIH Data Management and Sharing Policy
- Learn how to effectively communicate new policy requirements to researchers
- Discuss the latest developments with the NIH Data Management and Sharing Policy

10:30–10:45 AM

Networking Break

10:45–11:45 AM

113 Did Someone Say DIVERSION? What to Do When Controlled Substances Go Missing

Level: Intermediate

ELEANOR KUSZMAR, Associate Director for Research Compliance, Harvard Medical School

KELE PIPER, Director, Research Compliance, Massachusetts General Hospital

- Don't panic! What to do first: Discuss how to review the situation and determining next steps
- After the first 24 hours... what to do next? Interviews, investigations, audits, and immediate action
- The aftermath, implementing corrective actions, working with regulators, and proactive precautions

114 Implementing Foreign Influence Screening to Address Research Security Concerns: Applied Strategies for Research Applicant Screening Procedures in Response to Institutional or Regulatory Change

MAGGIE RUBRECHT-SCAFFE, International Compliance Officer, Moffitt Cancer Center & Research Institute

ADRIENNE LINDSAY, Foreign Influence Program Manager, Moffitt Cancer Center & Research Institute

- Techniques and tools for publication/grant reviews and education/employment verifications
- Approaches for restricted party screenings and export control assessments
- Strategies for risk evaluations of non-disclosures, licenses, and leadership review

11:45 AM–12:45 PM

Lunch (provided)

AGENDA

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

12:45–1:45 PM

115 Compliance Considerations for Decentralized Clinical Trials

Level: Intermediate

SELENA EVANS, Senior Director-Compliance, Walgreens

ADAM SAMSON, Head of Clinical Delivery Operations, RWE Clinical Trials, Walgreens

- Ethics & equity
- Technology adoption & implementation
- Control design for decentralization

116 Facilitating Innovation in a Post-COVID19 World

Level: Basic

JOE PEREZ, Senior Systems Analyst, NC Department of Health & Human Services

- How can you avoid stagnation without sacrificing quality and security in the process?
- Did COVID19 make you see the need to adopt a more agile way of thinking to recover from this global pandemic?
- Do you FACILITATE innovation with solutions, or do you FRUSTRATE it with problems?

1:45–2:00 PM

Networking Break

2:00–3:00 PM

117 Investigational Device Exception (IDE) Clinical Trial Reimbursement

Level: Basic

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

- Overview of clinical trial coverage and Medicare coverage pathways
- Medicare investigational device exemption (IDE) coverage requests
- Compliance considerations, risks for billing/payment, and example enforcement actions

118 Not Just a Research Issue: Building a University-Wide Export Control Program

Level: Basic

NAOMI COLL, Director of Research Policy and Compliance, Lehigh University

AMANDA FERGUSON, Director, Huron Consulting Group

- Review the basics of export control regulation and recent federal guidance addressing university export control
- Identify common university-wide stakeholders of export compliance programs, addressing the naturally distributed processes that impact export control and strategies for implementing compliance controls across a wide variety of university functions
- Discuss approaches to building a comprehensive program through collaboration and shared ownership, addressing obtaining leadership buy-in, educating the broader university administration and faculty, and shared process development

3:00–3:15 PM

Networking Break

3:15–4:15 PM

General Session: Compliance with Psychedelics and Emerging Therapies

LINDA IOVANNI, Director, Clinical Operations, Multidisciplinary Association of Psychedelic Studies

KRISTEN WEST, Director, Research Ethics & Compliance, Council on Governmental Relations (COGR)

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

- Discussion of emerging therapies including clinical trials and the future of psychotherapy
- Discussion of steps that can be taken to mitigate risk and increase protective factors for the subjects
- Review of integration techniques, resources, legal and ethical issues with these emerging therapies

Wednesday, June 14

7:45 – 8:00 AM

CHRC Exam Check-In

8:00 – 11:00 AM

CHRC Exam

Research Compliance Conference

June 11–13 • Phoenix, AZ

SECTION 1 Attendee Information

○ Mr ○ Mrs ○ Ms ○ Dr ○ Other _____ Member/Account ID (if known/applicable) _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

Options

☐	Member (Monday & Tuesday)	$999
☐	Non-Member (Monday & Tuesday)	$1,199
☐	Registration + First-Time Membership Offer*	$1,224
☐	Member Pre-Conference (Sunday)	$259
☐	Non-Member Pre-Conference (Sunday)	$289

*Save by joining today (first-time members only). Dues renew at $325.
**Free only with paid Monday & Tuesday conference registration.

Group Discount

☐	Group Discount for 3–9**	($50)
☐	Group Discount for 10 or More**	($100)

***See "Group Discount Policy" in Acknowledgements below.

TOTAL (TAX MAY APPLY) $ _____

Dietary Needs Request (for in-person attendees only)

☐ Gluten Free ☐ Kosher Certified ☐ Lactose Intolerant ☐ No Red Meat/Pork ☐ Nut Allergy
☐ Shellfish/Seafood Allergy ☐ Vegan ☐ Vegetarian ☐ Other _____

On-Site Cell Phone (for emergency on-site use only) _____

HCCA Membership: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Opt-Out: Select if you would like to opt-out of the following:

☐ Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

SECTION 3 Payment

Online registration with credit card payment at hcca-info.org/2023research

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Registration is not complete until full payment is received. Payments received with incorrect amounts will be returned. Due to PCI compliance, do not provide credit card information via email.

Email helpteam@hcca-info.org or call HCCA at 952.988.0141 or 888.580.8373.

☐ Invoice me Purchase Order Number (attach PO) _____

☐ Wire transfer requested

SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at hcca-info.org/conference/tandc, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at hcca-info.org/conference/tandc.

Registration Payment Terms: Tax may apply. Your registration is not complete until the full registration payment is received. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event.

Group Discount Policy: Registration for group discounts should be submitted online in one transaction. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

Photo/Video Release: By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

New Members: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc.

Research Compliance Conference

June 11–13 • Phoenix, AZ

Frequently Asked Questions

Where will the conference take place?

Sheraton Grand at Wild Horse Pass
5594 West Wild Horse Pass Boulevard, Phoenix, AZ 85226

A reduced rate of $209 per night (plus a $15 daily resort fee and applicable taxes; currently 13%, subject to change) for standard room with single/double occupancy has been arranged. To make reservations, visit bit.ly/2023HeRe or call 866.837.4156 and ask for the SCCE Higher Education and HCCA Research Compliance Conference group rate. All reservations must be guaranteed and accompanied by a first night room deposit or guaranteed with a major credit card. The cutoff date to receive this event rate is 5:00 PM Arizona time on Thursday, May 18, 2023.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

What is included in the cost of my attendance?

You will receive access to the sessions, supplemental conference materials, networking opportunities, exhibitor booths, and a complimentary lunch.

What COVID-19 safety precautions will be implemented?

HCCA considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, HCCA will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, HCCA will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

Can I see what sessions will be presented before I arrive on-site?

Yes, program information is posted on the conference website.

Will I receive a recording of this conference?

No. Registered attendees must participate in this event in real time as recordings are not available for any missed sessions. No audio or video recording by attendees is allowed.

Is there a group discount, and if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars).

Registration for group discounts should be submitted online in one transaction. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

For groups of 20 or more, please call 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org.

What do I get with "Registration + First Time Membership?"

If you've never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*® magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at hcca-info.org/membership. Your membership will begin once payment is received.

Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to helpteam@hcca-info.org to complete your registration.

How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to helpteam@hcca-info.org. Cancellations received less than 14 calendar days prior to an event start date are subject to a $75 cancellation fee. No refunds will be given for cancellations received on or after the start date of the event.

Alternatively, you may choose to send a substitute attendee in your place up to two business days prior to the event date. An additional fee may apply depending upon the membership status of the substitute.

Who can I notify of special needs or concerns prior to the conference?

Please call HCCA at +1 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org if you have a special need and/or require an accommodation to participate.

Continuing Education

Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)® continuing education units (CEUs) for participation as well as other external credit types.

To see the most up-to-date CEU approval information, go to hcca-info.org/2023research and choose the Continuing Education option on the left-hand menu.

How many CEUs will I earn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to ccb@compliancecertification.org. Only registered attendees are eligible to request CEUs for participation.

When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your hcca-info.org account, go to your Account Dashboard, and scroll down to View My CEUs.

I would like to sit for one of the Compliance Certification Board (CCB)® exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at hcca-info.org/candidate-handbooks to ensure you meet the CEU requirement as well as the work experience requirement.

I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what's next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook, submitted your Application for Continuing Education Units (CEUs), and confirmed you will meet the CEU requirements, you can go ahead and apply online for your exam at hcca-info.org/apply-exam.

Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA's website, hcca-info.org/exam-information.

I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org.

Research Compliance Conference

June 11–13 • Phoenix, AZ

Event Terms and Conditions for In-person Attendees

Personal Accountability Commitment: Any public space where other people are present holds an inherent risk of exposure to COVID-19 and other communicable diseases. I will take necessary precautions while at the event, including but not limited to, personal hygiene and hand sanitization, adherence to pathway signage, and self-monitoring and self-reporting.

You are asked to contact HCCA at april.kiel@corporatecompliance.org if you experience symptoms of COVID-19 within 10 days after participating in the HCCA event. Any private health or personal data that may be received by HCCA in connection with such measures and precautions will be treated as confidentially as possible. You should not attend an HCCA event if you are experiencing, or within the 10 days prior to the program have experienced, symptoms associated with the flu or COVID-19. You also should not attend if you believe that you may have been exposed to a confirmed or suspected case of COVID-19 or have been diagnosed with COVID-19 and are not yet cleared as non-contagious by state or local public health authorities or the healthcare team responsible for your treatment.

Assumption of Risk: By submitting this registration, I acknowledge the contagious nature of COVID-19 and other communicable diseases, and voluntarily assume the risk that I may be exposed to or infected by COVID-19 or other communicable disease by attending this HCCA event and the consequences of such exposure. It is my choice to participate in this event, knowing that attending this event may increase the risk of becoming exposed to and infected by COVID-19 or other communicable disease. I voluntarily agree to assume the risk of contracting COVID-19 or other communicable disease, and I accept sole responsibility for any injury or illness to myself or others.

Liability Waiver and Release: In consideration of being permitted to participate in the HCCA event, I hereby waive, release from liability, assume all risks, and covenant not to sue SCCE & HCCA or its officers, board members, employees, agents, and representatives (the "SCCE & HCCA Parties") for any expense, loss, damage, personal injury (including loss of life, disability, or serious harm), property damage or theft, negligence, or actions (each, a "Loss") resulting from or arising in connection with my travel to, attendance at, or participation in the HCCA event and any related activities unless said Loss is caused by the sole, gross negligence of HCCA. I further hereby release, agree not to sue, discharge, and hold harmless SCCE & HCCA, its officers, board members, employees, agents, and representatives, from all Losses relating to COVID-19 or other communicable diseases. I understand and agree that this release includes any and all claims based on the actions, omissions, or negligence of SCCE & HCCA, its officers, employees, agents, or representatives.

This assumption of risk and waiver applies even if the undersigned asserts that SCCE & HCCA was at fault for not taking greater precautions to manage exposure or infection from COVID-19 and other communicable diseases. I agree that this waiver and release shall bind me and my personal representatives, shall be enforceable to the fullest and broadest extent of the law, and, if any portion is held invalid, the remainder should continue in full legal force and effect.