# 2024 Healthcare Basic Compliance Academies

Learn to effectively manage a compliance program and mitigate risk at your organization

- Orlando, FL Jan 22–25
- Phoenix, AZ Feb 26–29
- Nashville, TN Mar 11–14
- San Antonio, TX May 6–9
- New Orleans, LA Jun 3–6
- Charlotte, NC Jul 15–18
- Washington, DC Aug 19–22
- Las Vegas, NV Sep 9–12
- Seattle, WA Oct 21–24
- Boston, MA Nov 18–21
- San Diego, CA Dec 9–12

# PLUS: Sit for the CHC exam!

Demonstrate your expertise and enhance your career—take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam offered by the Compliance Certification Board (CCB)<sup>®</sup> at the conclusion of the Academy.





# **About our Academies**

HCCA's Healthcare Basic Compliance Academy is a three-and-a-half-day intensive training program designed to help attendees better support, enhance, and manage a comprehensive healthcare compliance program usng the seven element approach. Our faculty provides guidance and insights geared to reinforce the fundamentals and expand your knowledge of healthcare compliance. Unlike larger events, the Healthcare Basic Compliance Academy offers attendees a classroom-style, hands-on approach to learning that encourages small-group interactions and networking opportunities. Seats are limited to allow no more than 75 participants per Academy.

# Who should attend?

Our Academies are designed for participants with basic knowledge of and professional experience in healthcare compliance.

- This includes:
- Compliance officers
- Privacy officers
- Internal auditors
- Data privacy specialists
- Regulatory compliance specialists
- In-house or external compliance
   lawyers

## Why should you attend?

Kick-start your compliance program and head back to work with a wealth of new information and resources.

- Gain core healthcare compliance
   knowledge
- Learn from faculty hand-selected for their expertise
- Network with other compliance
   professionals

- Earn live continuing education units (CEUs)
- Take the optional Certified in Healthcare Compliance (CHC)<sup>®</sup> exam

Enrollment for each Academy is limited, ensuring personal interaction with our experts.

# Course materials and takeaways

In addition to the knowledge you gain by attending, you also receive:

- All course materials
   (A binder will be provided on the first day of the Academy.)
- One-year online subscription to the *Complete Healthcare Compliance Manual* (a \$319 value) (A link will be sent on the first day of the Academy to the email address in your HCCA account.)

# **HCCA's mission**

HCCA exists to champion ethical practices and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.

# **Contact Us**

Please visit hcca-info.org to learn more about our Academies and HCCA's other programs.

### **Our faculty**



**Dwight Claustre**, CHC-F, CHRC, CHPC Retired Compliance Professional



Darrell Contreras, Esq., LHRM, CHC-F, CHRC, CHPC Chief Compliance Officer, Millennium Health



Jeff Driver, JD, CHC, CHPC, CHRC, CCEP-I Professor of Regulatory & Innovation Sciences, Arizona State University



Lea Fourkiller, JD, CHC, CCEP, CCEP-I, CHPC Corporate Compliance Officer, INTEGRIS Health



Gabe Imperato, Esq., CHC Partner, Nelson Mullins Broad and Cassel; SCCE & HCCA Board Member



**Teri L. Morris**, RN, BSN, CHC-F Director of Quality/Patient Safety, Cherokee Indian Hospital



**Steven W. Ortquist**, Esq., CHC-F, CCEP, CHRC President, Arete Compliance Solutions; Of Counsel, Meade Roach & Annulis



Joan M. Podleski, CHC, CHRC, CHPC, CCEP Chief Privacy Officer, Children's Health



Lisa Taylor, Esq., CCEP Vice President & Chief Compliance Officer, Ankura Consulting Group



Debbie Troklus, CHC-F, CCEP-F, CCEP4, CHRC, CHPC President, Troklus Compliance Consulting; SCCE & HCCA Board Member



Sheryl Vacca, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC Chief Risk Officer, Providence St. Joseph Health; SCCE & HCCA Board Member



Betsy Wade, CHC Corporate Compliance Officer, Signature HealthCARE

(Faculty subject to change.)

# CHC<sup>™</sup> Do you qualify? Become certified!

Certification can enhance your career and give you credibility with your organization and peers. Immediately following each Academy, we offer an optional Certified in Healthcare Compliance (CHC)<sup>\*</sup> exam. A separate application and fee submitted in advance directly to the Compliance Certification Board (CCB)<sup>\*</sup> is required.

PLEASE NOTE: Although Academies can provide the CEUs you need to qualify, they are not a preparation class for the exam itself.

# Agenda

MONDAY	
7:30-8:00 ам	Registration and Continental Breakfast (provided)
8:00-8:05 ам	Welcome / Introduction
8:05-10:05 ам	Overview of Healthcare Compliance
	High-level overview of healthcare compliance program elements
	<ul> <li>Problems and practices in implementing a compliance program</li> </ul>
	<ul> <li>Understanding the seven elements of an effective compliance program</li> </ul>
10:05-10:20 ам	Break
10:20 ам-12:05 рм	Compliance Oversight and Structure
	Understand the importance of structure vs. operations
	The components of an effective compliance infrastructure
	<ul> <li>Implementation of a compliance program, selection of qualified staff, and budgeting for program</li> </ul>
12:05-1:00 рм	Lunch (provided)
1:00-2:30 рм	Standards, Policies, and Procedures
	The importance of having standards of conduct
	Essential components of policies and procedures
	Evaluating the adequacy of compliance standards and policies
2:30-2:45 рм	Break
2:45-4:45 рм	HIPAA Privacy and Security
	A high-level overview of the privacy and security regulations
	Understand how to break down HIPAA issues     Apply HIPAA rules to scenarios and breaches
THEODAY	· Apply fill AA fules to scenarios and breaches
TUESDAY	
7:30-8:00 ам	Continental Breakfast (provided)
8:00-8:15 ам	Certified in Healthcare Compliance (CHC) <sup>®</sup> Exam Information
8:15-10:00 ам	Risk Assessment
	Understanding the risk assessment process
	Effective ways to conduct a risk assessment
	Tools to implement a risk assessment process
10:00-10:15 ам	Break
10:15 ам-12:00 рм	Auditing and Monitoring
	Understand the basics of auditing and monitoring
	Develop a compliance audit and monitoring risk-based plan
12.00 1.00	Reporting and communicating auditing and monitoring results
12:00-1:00 рм	Lunch (provided)
1:00-2:45 рм	Education and Training
	<ul> <li>Understanding how adults learn</li> <li>Adult learning techniques, effective teaching styles, topics of interest, and evaluation of the educational programs</li> </ul>
	The difference between general and focused/targeted education
2:45-3:00 рм	Break
3:00-4:45 рм	Organizational Culture and Ethics
	Ethical conduct and responsibilities of the compliance officer
	Duties of the compliance professional
	Board obligations related to an ethical culture
4:45-6:00 рм	Networking Reception

# Agenda

WEDNESDAY	
7:30-8:00 ам	Continental Breakfast (provided)
8:00-9:30 ам	Conflicts, Discipline, and Rewards
	Understand conflicts of interest
	<ul> <li>Practical ways to implement a conflicts of interest process</li> </ul>
	<ul> <li>How discipline and incentives should be integrated into the compliance program</li> </ul>
9:30-9:45 ам	Break
9:45-11:30 ам	Stark Law and Anti-Kickback Statute
	<ul> <li>Understand the Stark Law and Anti-Kickback Statute and how they work in practice</li> </ul>
	• Discuss case studies that illustrate compliance risks and current areas of focus for enforcement agencies
	Explore compliance processes needed to prevent AKS and Stark violations
11:30 ам-12:30 рм	Lunch (provided)
12:30-2:15 рм	Enterprise-Wide Billing and Reimbursement
	Basic billing and reimbursement terminology
	Billing and reimbursement risk areas
	<ul> <li>Mitigating billing and reimbursement risk areas</li> </ul>
2:15-2:30 рм	Break
2:30-4:15 рм	Internal and External Investigations
	<ul> <li>Responding to enforcement agency investigations</li> </ul>
	Compliance professionals and best practices for internal investigations
	Privilege, confidentiality, and internal investigations
THURSDAY	
7:30-8:00 ам	Continental Breakfast (provided)
8:00-9:30 ам	Legal Risks, False Claims Act, and Self-Disclosure
	Legal risks and healthcare compliance
	<ul> <li>False Claims Act and compliance programs</li> </ul>
	Self-disclosure and resolution of compliance liability
9:30-9:45 ам	Break
9:45-11:30 ам	Compliance Program Effectiveness
	Why evaluate compliance programs
	Compliance program effectiveness indicators
	<ul> <li>Response, reporting, and follow-up to compliance program effectiveness reviews</li> </ul>
11:30 ам	Academy Ends
12:45 рм	CHC Exam Check-In Time
1:00-4:30 рм	Exam Time — Certified in Healthcare Compliance (CHC) <sup>®</sup> (optional)
	The CHC exam is optional. You must apply and pay for the exam separately from the Academy. To apply online, visit: hcca-info.org/apply-exam.
	PLEASE NOTE: If you are not present at the specified "Exam Check-in Time" as listed above, and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes, per the

Candidate Handbook. The time range, listed at left, includes mandatory exam procedures and proctor instructions.

(Agenda subject to change.)

# **Healthcare Basic Compliance Academies**



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#### Healthcare Basic Compliance Academies

<del>January 22–25, 2024 • Orlando, FL</del>
February 26–29, 2024 • Phoenix, AZ
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November 18–21, 2024 • Boston MA
December 9–12, 2024 • San Diego, CA

**Registration FAQs:** Visit hcca-info.org/faqs-academy for answers to frequently asked questions (FAQs) about your registration.

#### **Dietary Needs Request**

□ Gluten Free □ Kosher Certified □ Lactose Intolerant □ No Red Meat/Pork □ Nut Allergy □ Shellfish/Seafood Allergy □ Vegan □ Vegetarian □ Other \_\_\_\_\_

On-site Attendee Mobile Phone (for emergency on-site use only)

Emergency Contact (name & phone) \_\_\_\_\_

Options

Member	\$2,750
Non-Member	\$3,250
Registration + First-Time Membership	\$2,975

\*Save by joining today (first-time members only). Dues renew at \$325.

#### Group Discount

	Group Discount for 3–9"	(\$200)
ſ	Group Discount for 10 or More"	(\$400)

\*\*Subtract the discount amount from your registration price.

#### TOTAL (BEFORE ANY APPLICABLE TAXES) \$ \_\_\_\_

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

HCCA Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at hcca-info.org/membership-terms-and-conditions. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

#### SECTION 3 Payment

Register online with credit card payment at hcca-info.org/basic-academies

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

Invoice me Purchase Order Number (attach PO)

☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. A \$250 fee will be charged for any registration changed within 14 days of the start of an Academy. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.

Email helpteam@hcca-info.org or call HCCA at 952.988.0141 or 888.580.8373.

#### SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at hcca-info.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

# **Healthcare Basic Compliance Academies**



### **Hotel and Academy Locations**

Healthcare Basic Compliance Academies and optional CHC exams are held at the locations listed below. The costs of hotel accommodations are not included in your conference registration fee. The hotel room rate listed for each Academy is good until the cutoff date indicated or until the room block is full, whichever comes first. Reservations received after the cutoff date or after the room block is full will be accepted based on space and rate availability. Please note that parking and Internet service charges are set by the hotel and may be subject to change.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

#### January 22–25, 2024 • Orlando, FL

Embassy Suites Orlando Lake Buena Vista South

4955 Kyngs Heath Road, Kissimmee, FL 34746

A reduced rate of \$209 per night (for single/double occupancy) plus applicable taxes (currently 13%; subject to change) has been arranged. To make a reservation, book online (bit.ly/012224orlando) or call 407.597.4000 and identify yourself as part of the Society of Corporate Compliance & Ethics and Health Care Compliance Association group. The cutoff date to receive this discounted rate is January 8, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### February 26–29, 2024 · Phoenix, AZ

Hyatt Regency Phoenix

122 North Second Street, Phoenix, AZ 85004

A reduced rate of \$269 per night (for single/double occupancy), plus applicable taxes (currently 12.57%; subject to change) has been arranged. To obtain the special discounted group rate, book online (bit.ly/022624phoenix) or call 602.252.1234 and ask for the Academy group rate. All reservations must be secured with a valid credit card and a first night's room deposit. The cutoff date to receive this discounted rate is February 5, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### March 11–14, 2024 • Nashville, TN The Joseph

401 Korean Veterans Boulevard, Nashville, TN 37203

A reduced rate of \$289 per night (for single/double occupancy), plus applicable taxes (currently 16.25%: 9.25% State Tax + 7% Occupancy Tax; subject to change) and a \$2.50 per night City Fee, has been arranged. To make a reservation, book online (bit.ly/031124nashville) or call 866.912.1022 and request the HCCA Academy group rate. The cutoff date to receive this discounted rate is February 12, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### May 6–9, 2024 • San Antonio, TX Hilton Palacio del Rio

#### 200 South Alamo Street, San Antonio, TX 78205

A reduced rate of \$229 per night (for single/double occupancy), plus applicable taxes (currently 16.75%; subject to change) and fees, has been arranged. To obtain the special discounted group rate, book online (bit.ly/050624sanantonio) or call 210.222.1400 and ask for the HCCA group rate. All reservations must be guaranteed by a first night's room deposit or a valid credit card. Any room cancelations 72 hours prior to the arrival date (or no-shows) will result in a penalty of one night's room plus tax. The cutoff date to receive this discounted rate is April 15, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### June 3–6, 2024 • New Orleans, LA

#### Windsor Court Hotel

#### 300 Gravier Street, New Orleans, LA 70130

A reduced rate of \$209 per night (for single/double occupancy), plus applicable taxes and fees, has been arranged. To make a reservation, book online (bit.ly/060324neworleans) or call 800.262.2662 and ask for the HCCA Academy group rate. All reservations must be guaranteed by a first night's room deposit or a valid credit card. Any room cancelations 72 hours prior to the arrival date and 3:00pm time (or no-shows) will result in a penalty of one night's room plus tax. The cutoff date to receive this discounted rate is May 13, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### July 15–18, 2024 • Charlotte, NC

#### Hilton Charlotte Uptown

222 East Third Street, Charlotte, NC 28202

A reduced rate of \$229 per night (for single/double occupancy), plus applicable taxes and fees, has been arranged. To obtain the special discounted group rate, book online (bit.ly/071524charlotte) or call 704.331.1500 and ask for the Academy group rate. All reservations must be guaranteed by a first night's room deposit or a valid credit card. Any room cancelations 48 hours prior to the arrival date (or no-shows) will result in a penalty of one night's room plus tax. The cutoff date to receive this discounted rate is June 24, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### August 19–22, 2024 • Washington, DC JW Marriott Washington DC

#### 1331 Pennsylvania Avenue Northwest, Washington, DC 20004

A reduced rate of \$229 per night (for single/double occupancy), plus applicable taxes (currently 15.95%; subject to change), has been arranged. To obtain the special discounted group rate, book online (bit.ly/081924washington) or call 800.535.4028 and ask for the Academy group rate. Room rates will be subject to a daily destination fee of \$25 per room per night. All reservations must be guaranteed by a first night's room deposit or a valid credit card. The cutoff date to receive this discounted rate is July 29, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### September 9–12, 2024 · Las Vegas, NV

Mandalay Bay Resort & Casino

#### 3950 Las Vegas Boulevard South, Las Vegas, NV 89119

Reduced rates ranging from \$128 to \$268 per night (for single/double occupancy), plus applicable taxes (currently 13.38%; subject to change) and a reduced daily resort fee of \$37, have been arranged. To make a reservation, book online (bit.ly/090924lasvegas) or call 877.632.7800 and ask for the HCCA group rate. All reservations must be guaranteed by a first night's room deposit or a valid credit card. Any room cancelations 72 hours prior to the arrival date (or no-shows) will result in a penalty of one night's room plus tax. The cutoff date to receive this discounted rate is August 12, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

#### October 21–24, 2024 · Seattle, WA

#### Hyatt at Olive 8

#### 1635 8<sup>th</sup> Avenue, Seattle, WA 98101

A reduced rate of \$279 per night (for single/double occupancy), plus applicable taxes (currently 15.7% per night; subject to change) and a 2.3% Seattle Tourism Assessment Fee, has been arranged. To obtain the special discounted group rate, book online (bit.ly/102124seattle) or call 402.501.9864 and identify yourself as part of the Society of Corporate Compliance & Ethics and Health Care Compliance Association group. The cutoff date to receive this discounted rate is October 1, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

### November 18–21, 2024 • Boston, MA

#### Hyatt Regency Boston 1 Avenue de Lafayette, Boston, MA 02111

A reduced rate of \$289 per night (for single/double occupancy), plus applicable taxes (currently 16.45%; subject to change), has been arranged. To obtain the special discounted group rate, book online (bit.ly/111824boston) or call 617.912.1234 and ask for the Academy group rate. All reservations must be secured with a valid credit card, along with a first night's room deposit. The cutoff date to receive this discounted rate is October 28, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).

### December 9–12, 2024 • San Diego, CA

#### San Diego Mission Bay Resort

**1775 East Mission Bay Drive, San Diego, CA 92109** A reduced rate of \$229 per night (for single/double occupancy), plus applicable taxes and a reduced daily resort fee of \$20, has been arranged. To obtain the special discounted group rate, book online (bit.ly/3GQSF04) or call 877.313.6645 and ask for the Academy group rate. All reservations must be guaranteed by a first night's room deposit or a valid credit card. Any room cancelations 72 hours prior to the arrival date (or no-shows) will result in a penalty of one night's room plus tax. The cutoff date to receive this discounted rate is November 19, 2024 or when the group block is full, whichever comes first. Confirmation of rooms after the cutoff date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates (subject to availability).