



# 28<sup>th</sup> Annual Compliance Institute

April 14–17, 2024 • Nashville  
April 15–17, 2024 • Virtual

## JOIN US IN NASHVILLE!

We hope you're as excited as we are to gather in Nashville for the compliance insights, professional connections, and energizing experience that only the CI can provide.



Learn from  
experienced industry  
professionals



Get updates on  
timely, insightful, and  
practical content



Connect with fellow  
attendees and  
solution providers



Earn continuing  
education units

Learn more  
[hcca-info.org/2024CI](https://hcca-info.org/2024CI)





# About the Compliance Institute

HCCA's flagship healthcare compliance conference has provided first-class education and networking opportunities to healthcare compliance professionals for more than two decades. As a participant, you will learn about regulatory updates, new and emerging issues, and program best practices, and gain insights on key compliance issues from experienced industry leaders.

With many different options to choose from, educational sessions are categorized by knowledge level: basic, intermediate, or advanced. Levels are meant as a guideline to allow you to find the content most appropriate for your role; for a description of each level, please see the next page.

## In-person and virtual opportunities

For the full conference experience with the sights and sounds of Nashville as a backdrop, join us in-person at Music City Center for:

- Access to 100+ educational sessions
- SpeedNetworking\*
- Evening receptions (Sun & Tue)
- Breakfast, lunch, & refreshments (Mon & Tue)
- Dialogue with solution providers & exhibitors
- Professional headshots
- Souvenir T-shirt
- Pre-conference volunteer opportunity\*
- Informal connections, prizes, fun!
- Post-conference session recordings
- Lasting memories + 1,500 new peers
- Optional CCB certification exam offered on the last day (requires separate registration)

Those unable to travel can choose the virtual option, offered April 15–17, for:

- Access to 50 live-streamed educational sessions
- Peer connections via our robust virtual platform
- Virtual exhibit hall experience
- Post-conference session recordings
- Information on remote CCB certification exam options

### Session recordings

Registered attendees receive access to the recorded sessions for 60 days starting two weeks after the conference. Beyond 60 days, session recordings will be available for purchase.

# Choosing your sessions

## Session tracks

Sessions are categorized by topic to help you select the options that best meet your educational needs and interests.

### **NEW for 2024!**

#### **Technology & Innovation**

Learn about risks and innovative opportunities associated with artificial intelligence and other emerging technologies.

#### **Auditing & Monitoring**

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

#### **Behavioral Health**

How do you balance behavioral health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

#### **Case Studies**

Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

#### **Compliance Law**

Learn the legal basis for the healthcare compliance issues you manage, such as False Claims Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

#### **Discussion Group**

These sessions are interactive and designed to involve everyone in the room. Join roundtable discussions on popular healthcare compliance issues facilitated by industry leaders. Offered in-person only due to the interactive nature of these groups.

#### **General Compliance/Hot Topics**

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

#### **Investigations**

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

#### **Physician Compliance**

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

#### **Post-Acute Care**

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

#### **Privacy & Security**

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

#### **Professional Skills**

Your leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

#### **Risk Management**

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

#### **Telehealth**

Telehealth is changing the accessibility and availability of patient care. Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

## Knowledge levels

All breakout sessions have a knowledge level associated with them. These levels are just guidelines, and you are free to attend sessions of any level.

**Basic:** Program knowledge level most beneficial to compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic understanding. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.



# Interested in becoming certified?

The Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC<sup>®</sup>), and Certified in Healthcare Research Compliance (CHRC)<sup>®</sup> exams will be offered at the in-person Compliance Institute on Wednesday, April 17.

**Exam check-in:** 1:30 PM

**Exam time:** 1:45–4:45 PM

**Cost:** HCCA members: \$350 | Non-members: \$450

**Individuals must be preapproved to sit for the exams.** To qualify, 20 CCB CEUs and the necessary work experience are required.

Compliance Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs.

For more information on how to apply for these exams, visit [hcca-info.org/certification](https://hcca-info.org/certification).

**Questions?** Email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org)

## Remote proctored exam option available

Attending the virtual Compliance Institute? CCB also offers the flexibility for candidates to take their exam remotely or at a local testing site. Please visit [hcca-info.org/exam-information](https://hcca-info.org/exam-information) for more information.





# Schedule at a glance

ALL TIMES LISTED ARE IN CENTRAL TIME (CT)

## Saturday, April 13 (in-person only)

12:00–4:00 PM Volunteer Project – Pre-registration required; space is limited. Visit the [website](#) for details.

1:00–6:00 PM Registration Open

## Sunday, April 14 (in-person only)

7:30 AM–6:30 PM Registration Open

**8:30–10:00 AM**  
**BREAKOUT SESSIONS**

- P1 Compliance 101: Beginning to Implementation
- P2 How Has AI Impacted Your Privacy Program? Think It Hasn't? Think Again!
- P3 CEO Whisperer 101: Mastering the Art of Executive Communication
- P4 Enterprise Risk Management: Compliance Is Not Enough
- P5 Stark and the Anti-kickback Statute: Fairmarket Value and Commercial Reasonableness
- P6 Top IT, Digital and Cyber Risks to Include in Your Audit Plan: 2024 Update
- P7 Developing an Ethics and Compliance Program in Behavioral Health Programs
- P8 Experienced Compliance Officers Roundtable

10:00–10:15 AM Break

**10:15–11:45 AM**  
**BREAKOUT SESSIONS**

- P9 MUDA, MURA, MURI to VENI, VIDI, VICI: Applying Project Management and Process Improvement to Your Compliance Program
- P10 The Importance of a HIPAA Compliance, Privacy, and Cybersecurity Awareness Program
- P11 Basic Statistics for Healthcare Professionals
- P12 Risky Business: The Hidden Compliance Hazards of AI
- P13 Are You Thinking About Your Invisible Providers? Shining a Light on PA and NP Practice Compliance Considerations
- P14 Anatomy of A False Claims Act Case
- P15 Telehealth Pharmacy Compliance
- P16 Let's Audit: A Hands-On Session for Auditing E/M Services

11:45 AM–1:15 PM Lunch (on your own)

12:00–1:00 PM SpeedNetworking – Pre-registration required; space is limited. Visit the [website](#) for details.

12:00–1:00 PM Lunch & Learn: Demonstrating Compliance Wins While Playing the Long Game: Thoughtfully Integrating Contract Lifecycle Management Into Your Compliance Program – Pre-registration required; space is limited. Visit the [website](#) for details.

**1:15–2:45 PM**  
**BREAKOUT SESSIONS**

- P17 State Attorneys General Oversight of Nonprofit Hospital Mergers, Acquisitions, and Closures
- P18 One Health System's Experience: Using Technology and Analytics to Manage Critical Compliance Risks, Coding, Billing and Physician Oversight
- P19 Facilitating Engagement and a Culture of Compliance in the C-suite
- P20 Risk Assessments
- P21 Understanding the Why and How of External Reviews: TPEs, RAC, MIC, etc.
- P22 The Revenue Cycle and Coding Compliance Matrix: Risks Between the Cracks
- P23 Conducting an Effective and Efficient Internal or Parallel Investigation
- P24 Privacy Officer Roundtable: Part 1

2:45–3:00 PM Break

**3:00–4:30 PM**  
**BREAKOUT SESSIONS**

- P25 Understanding Offshoring Risks and Challenges
- P26 Beyond the Hype of AI and Tracking with a Focus on Ensuring Data Protection
- P27 Policies! Important Information to Know about the First Element of an Effective Compliance Program
- P28 Paving the Way for Medicare Advantage Changes in 2024
- P29 Whistleblowers, Case Assessment and Best Practices
- P30 Current Compliance Issues in Physician Coding and Billing
- P31 SNF Provider Compliance: Addressing Common Compliance Issues and Pitfalls to Avoid
- P32 Privacy Officer Roundtable: Part 2

4:30–6:30 PM Opening Reception in Exhibit Hall

### SESSION TRACK KEY

■ Auditing & Monitoring  
 ■ Behavioral Health  
 ■ Case Studies  
 ■ Compliance Law  
 ■ Professional Skills  
■ Discussion Group  
 ■ General Compliance/Hot Topics  
 ■ Investigations  
 ■ Physician Compliance  
■ Post-Acute Care  
 ■ Privacy & Security  
 ■ Risk Management  
 ■ Technology & Innovation  
 ■ Telehealth

# Schedule at a glance

ALL TIMES LISTED ARE IN CENTRAL TIME (CT)

## Monday, April 15

7:45 AM – 5:15 PM	Registration Open
8:00 – 8:45 AM	Continental Breakfast in Exhibit Hall
8:45 – 9:00 AM	Welcome/Opening Remarks/Award Presentation • <i>LIVE BROADCAST</i>
9:00 – 9:30 AM	GS1A General Session: HHS OIG Enforcement and Compliance Update • <i>LIVE BROADCAST</i>
9:30 – 10:00 AM	GS1B General Session: An Update on OCR’s 2024 Priorities • <i>LIVE BROADCAST</i>
10:00 – 10:30 AM	Break
10:30 – 11:30 AM <i>BREAKOUT SESSIONS</i>	101 OIG’s General Compliance Program Guidance • <i>LIVE BROADCAST</i>
	102 Point of View (POV) from Compliance on How to Implement Technology with Less Stress and More Success • <i>LIVE BROADCAST</i>
	103 To Disclose or Not to Disclose? Navigating a Compliance Complaint from A-Z with General Counsel • <i>LIVE BROADCAST</i>
	104 Root Cause Analysis in Practice • <i>LIVE BROADCAST</i>
	105 Addressing Health Equity in Your Behavioral Health Organization • <i>LIVE BROADCAST</i>
	106 How Safe Is “Safe Harbor”? Balancing De-identification, Anonymization, and Pseudonymization of Health Data with Privacy Risks
	107 Exercising Thorough Oversight: Developing a Comprehensive Research Monitoring Program
	108 Hot Topics in Physician Compliance
	109 Research Compliance
11:30 AM – 1:00 PM	Lunch in Exhibit Hall
1:00 – 2:00 PM <i>BREAKOUT SESSIONS</i>	201 340B Compliance: Audits, Legislation, and Restrictions • <i>LIVE BROADCAST</i>
	202 Update on HIPAA Compliance and Enforcement • <i>LIVE BROADCAST</i>
	203 EMTALA: Achieving Compliance in a Changing Legal Landscape • <i>LIVE BROADCAST</i>
	204 Auditing and Monitoring Next Level: Unlocking the Potential of Technology in a Data-Driven World • <i>LIVE BROADCAST</i>
	205 Integrating Artificial Intelligence into Your Compliance Plan & Risk Assessments • <i>LIVE BROADCAST</i>
	206 Making Friends in High Reliability Places
	207 The Compliance Fortress: Building a Resilient Compliance Program to Ward Off CIAs
208 Telehealth vs. In-Person: What Is the Future of Patient Meetings?	
209 Partnerships and Boundaries	
2:00 – 2:45 PM	Break in Exhibit Hall
2:45 – 3:45 PM <i>BREAKOUT SESSIONS</i>	301 Benchmarking Your Organization’s Compliance Program • <i>LIVE BROADCAST</i>
	302 Transitioning Compliance Program Leadership Seamlessly • <i>LIVE BROADCAST</i>
	303 A Dose of Preventative Medicine for Your Physician Compliance Program: Challenges, Successes and Solutions from 3 Diverse Organizations • <i>LIVE BROADCAST</i>
	304 ESG, Compliance, and ERM: Bridging the Gaps • <i>LIVE BROADCAST</i>
	305 Hot Point Hospice Compliance Issues and Recommendations • <i>LIVE BROADCAST</i>
	306 Pixelating Pixel Tracking: The Regulatory Risks of Tracking Technology in Healthcare and Pathways for Compliance
	307 Top 8 OIG Coding and Billing Risk Areas
308 Corporate Compliance & Self-Disclosure: A Conversation with DOJ’s Health Care Fraud Unit	
309 How to Implement the OIG’s New General Compliance Program Guidance	
3:45 – 4:15 PM	Break
4:15 – 5:15 PM <i>BREAKOUT SESSIONS</i>	401 How to Construct an Effective Compliance Program: Lessons Learned from CIAs • <i>LIVE BROADCAST</i>
	402 A New Sheriff in Town: The FTC’s Emergence in Healthcare Privacy Enforcement and Newly Issued Guidance • <i>LIVE BROADCAST</i>
	403 Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing between Regulatory Requirements and Guidance • <i>LIVE BROADCAST</i>
	404 Documenting Your Monitoring Efforts—Keeping It Simple • <i>LIVE BROADCAST</i>
	405 Identifying Potential E&M Billing Risk in a Large and Complex Organization • <i>LIVE BROADCAST</i>
	406 Internal Investigations in a Crisis
	407 Risk Management: Strategies for Safeguarding Your Organization
	408 Data Analytics: Using Computing Power to Ensure Compliance in the Revenue Cycle
	409 Cybersecurity and Incident Response Roundtable

### SESSION TRACK KEY

- Auditing & Monitoring   ■ Behavioral Health   ■ Case Studies   ■ Compliance Law   ■ Professional Skills
- Discussion Group   ■ General Compliance/Hot Topics   ■ Investigations   ■ Physician Compliance
- Post-Acute Care   ■ Privacy & Security   ■ Risk Management   ■ Technology & Innovation   ■ Telehealth

# Schedule at a glance

ALL TIMES LISTED ARE IN CENTRAL TIME (CT)

Tuesday, April 16

8:00 AM – 7:00 PM	Registration Open
8:00 – 9:00 AM	Continental Breakfast in Exhibit Hall
9:00 – 10:00 AM	GS2 General Session: AI in Healthcare • <a href="#">LIVE BROADCAST</a>
10:00 – 10:45 AM	Break in Exhibit Hall
10:45 – 11:45 AM <b>BREAKOUT SESSIONS</b>	501 Top Regulatory and Enforcement Developments You Should Know about in 2024 • <a href="#">LIVE BROADCAST</a>
	502 Backstage Pass: How to Maximize Your Vendor Relationships (from the Vendor Perspective) • <a href="#">LIVE BROADCAST</a>
	503 Physician Documentation: Getting It Right When the Compliance Officer Tells You to, Instead of the Government! • <a href="#">LIVE BROADCAST</a>
	504 Alignment of Enterprise Risk Management with Strategic Planning • <a href="#">LIVE BROADCAST</a>
	505 Telehealth: The New Normal • <a href="#">LIVE BROADCAST</a>
	506 Not Just Compliancy but Necessity: Security Risk Assessments and 405(d)
	507 Voluntary but Not Optional: The Nuts and Bolts of a High-Functioning Voluntary Refund Process Led by Compliance and outside Counsel
	508 The Intersection between Research, Privacy, and AI in Healthcare
11:45 AM – 1:15 PM	Lunch in Exhibit Hall
1:15 – 2:15 PM <b>BREAKOUT SESSIONS</b>	601 The All Surprises Acts: Avoiding Compliance Pitfalls and Responding to Administrative Enforcement Actions under the Surprise Billing Laws • <a href="#">LIVE BROADCAST</a>
	602 Key Challenges in Health Care Privacy • <a href="#">LIVE BROADCAST</a>
	603 Enforcement and Compliance in Managed Care • <a href="#">LIVE BROADCAST</a>
	604 What Is the Value of a Good Audit Plan? • <a href="#">LIVE BROADCAST</a>
	605 Eeny, Meeny, Miny, Moe, I Need a New Vendor, but Where Do I Go? One Company's Vendor Selection Journey • <a href="#">LIVE BROADCAST</a>
	606 Design Thinking, Improv, and the Enneagram: Staying Inspired and Innovative as a Compliance Leader
	607 The VIPER Approach
608 Ready, Set, Audit! Integrating Regulatory Licensing, Incident Management, and On-Site Staff Collaboration for Survey Readiness in a Multi-Site Org	
2:15 – 2:45 PM	Break
2:45 – 3:45 PM <b>BREAKOUT SESSIONS</b>	701 Price Check 2024: Fire Drill from Price Transparency • <a href="#">LIVE BROADCAST</a>
	702 Next Generation Root Cause Analysis • <a href="#">LIVE BROADCAST</a>
	703 Cyberattacks in Healthcare: Ransomware and Other Risks • <a href="#">LIVE BROADCAST</a>
	704 Stark Law & Valuation: Fixing Problematic Structures • <a href="#">LIVE BROADCAST</a>
	705 What's Compliance Got to Do with It: Coordinating Complex Investigations • <a href="#">LIVE BROADCAST</a>
	706 Monitoring Access to Protected Health Information (PHI)
	707 Establishing and Operating an Effective Compliance Program for AKS and Stark Risks
708 Realizing the Promise of Nursing Home Care for Residents: An OIG Perspective	
3:45 – 4:00 PM	Break
4:00 – 5:00 PM	GS3 The Art of Collaboration • <a href="#">LIVE BROADCAST</a>
5:00 – 5:05 PM	Closing Remarks • <a href="#">LIVE BROADCAST</a>
5:05 – 7:00 PM	Closing Reception

## SESSION TRACK KEY

- Auditing & Monitoring
- Behavioral Health
- Case Studies
- Compliance Law
- Professional Skills
- Discussion Group
- General Compliance/Hot Topics
- Investigations
- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Risk Management
- Technology & Innovation
- Telehealth

# Schedule at a glance

ALL TIMES LISTED ARE IN CENTRAL TIME (CT)

## Wednesday, April 17

8:00 AM – 12:00 PM	Registration Open
	<ul style="list-style-type: none"> <li><span style="color: red;">■</span> W1 The Compliance Committee: From Just Another Meeting to a Vital Component of Your Compliance Program • <i>LIVE BROADCAST</i></li> <li><span style="color: blue;">■</span> W2 A New Era of Ethical Executives: Compliance Officers Becoming CEOs and Board Members • <i>LIVE BROADCAST</i></li> </ul>
8:30 – 9:30 AM	<ul style="list-style-type: none"> <li><span style="color: purple;">■</span> W3 Hospital Compliance Audits • <i>LIVE BROADCAST</i></li> <li><span style="color: orange;">■</span> W4 Dancing with Therapy: How to Collaborate Beautifully with Your Therapy Team in Investigations in Long-Term Care Sector • <i>LIVE BROADCAST</i></li> <li><span style="color: green;">■</span> W5 Developing and Implementing an Audit Program for Physician Services</li> <li><span style="color: blue;">■</span> W6 Update on Stark, Anti-kickback and EKRA Developments</li> <li><span style="color: red;">■</span> W7 Quality Report = Compliance Investigation + FCA Payback</li> </ul>
	<b>BREAKOUT SESSIONS</b>
9:30 – 9:45 AM	Break
	<ul style="list-style-type: none"> <li><span style="color: red;">■</span> W8 Setting Up Success in Provider Relief Fund Decision Review Requests • <i>LIVE BROADCAST</i></li> <li><span style="color: yellow;">■</span> W9 Information Blocking • <i>LIVE BROADCAST</i></li> <li><span style="color: pink;">■</span> W10 Auditing Professional Telehealth Claims for Coding Risk • <i>LIVE BROADCAST</i></li> <li><span style="color: brown;">■</span> W11 New Paradigm in Ethics &amp; Regulation of Research That Use Technology-Enabled Data: AI, Machine Learning, Real-World Data, and Research in a Virtual Age • <i>LIVE BROADCAST</i></li> <li><span style="color: blue;">■</span> W12 Navigating the Compliance Landscape: Overcoming Obstacles on the Path to Success</li> <li><span style="color: purple;">■</span> W13 PCI 4.0 and What It Means to Healthcare Compliance</li> <li><span style="color: tan;">■</span> W14 When Pigs Fly: Facing the Impossible Task of Formulating Policies That Meet the Needs of Employees and the Expectations of Regulators</li> </ul>
9:45 – 10:45 AM	<ul style="list-style-type: none"> <li><span style="color: red;">■</span> W15 Progression of Civil Rights in Healthcare: Section 1557 of the Affordable Care Act and Title IX of the Education Amendments • <i>LIVE BROADCAST</i></li> <li><span style="color: blue;">■</span> W16 Enforcement and Private Equity • <i>LIVE BROADCAST</i></li> <li><span style="color: green;">■</span> W17 Navigating the New Enforcement Agenda: Tools and Tips • <i>LIVE BROADCAST</i></li> <li><span style="color: teal;">■</span> W18 Wholehearted Journey to Ethics and Compliance! Using the Research Based Work of Brené Brown to Engage Staff, Empower Teams and Enhance Organizational Culture in Behavioral Healthcare • <i>LIVE BROADCAST</i></li> <li><span style="color: yellow;">■</span> W19 Who's Watching Your Residents? A Guide for Collaboration between Academic Institutions and Health Systems</li> <li><span style="color: tan;">■</span> W20 Do the Right Thing: Emergency Preparedness in the Post-pandemic World</li> <li><span style="color: darkgreen;">■</span> W21 The Journey to In-House Therapy: A Senior Care Community's Quest for Success</li> </ul>
	<b>BREAKOUT SESSIONS</b>
10:45 – 11:00 AM	Break
11:00 AM – 12:00 PM	<ul style="list-style-type: none"> <li><span style="color: red;">■</span> W15 Progression of Civil Rights in Healthcare: Section 1557 of the Affordable Care Act and Title IX of the Education Amendments • <i>LIVE BROADCAST</i></li> <li><span style="color: blue;">■</span> W16 Enforcement and Private Equity • <i>LIVE BROADCAST</i></li> <li><span style="color: green;">■</span> W17 Navigating the New Enforcement Agenda: Tools and Tips • <i>LIVE BROADCAST</i></li> <li><span style="color: teal;">■</span> W18 Wholehearted Journey to Ethics and Compliance! Using the Research Based Work of Brené Brown to Engage Staff, Empower Teams and Enhance Organizational Culture in Behavioral Healthcare • <i>LIVE BROADCAST</i></li> <li><span style="color: yellow;">■</span> W19 Who's Watching Your Residents? A Guide for Collaboration between Academic Institutions and Health Systems</li> <li><span style="color: tan;">■</span> W20 Do the Right Thing: Emergency Preparedness in the Post-pandemic World</li> <li><span style="color: darkgreen;">■</span> W21 The Journey to In-House Therapy: A Senior Care Community's Quest for Success</li> </ul>
	<b>BREAKOUT SESSIONS</b>
1:30 – 1:45 PM	CCB Exams Check-in
1:45 – 4:45 PM	CCB Exams (optional—preapproval required): Certified in Healthcare Compliance (CHC) <sup>®</sup> , Certified in Healthcare Privacy Compliance (CHPC) <sup>®</sup> , and Certified in Healthcare Research Compliance (CHRC) <sup>®</sup>

### SESSION TRACK KEY

- Auditing & Monitoring
- Behavioral Health
- Case Studies
- Compliance Law
- Professional Skills
- Discussion Group
- General Compliance/Hot Topics
- Investigations
- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Risk Management
- Technology & Innovation
- Telehealth



## Saturday, April 13

12:00–4:00 PM

### Volunteer Project

Pre-registration required; space is limited. Visit the [website](#) for details.

1:00–6:00 PM

### Registration Open

## Sunday, April 14

7:30 AM–6:30 PM

### Registration Open

8:30–10:00 AM

### P1 Compliance 101: Beginning to Implementation

*Track: General Compliance/Hot Topics*

*Level: Basic*

DEBBIE TROKLUS, President, Troklus Compliance Consulting

SHERYL VACCA, SCCE/HCCA Board Member; Former Chief Risk Officer, Consultant

- Understand the seven elements and how to implement them
- Discuss how to structure an effective compliance program
- Understanding what is necessary for the compliance program to be effective.

### P2 How Has AI Impacted Your Privacy Program? Think It Hasn't? Think Again!

*Track: Privacy & Security*

*Level: Basic*

MICKI JERNIGAN, Senior VP, Privacy and Compliance, CompliancePro Solutions

KAREN REYNOLDS, Director, Health Information Management / Privacy Officer, North Kansas City Hospital

- Discover what you should be looking for to determine where AI is being used by your institution
- Explore options for creating privacy guard rails while supporting approved uses of AI
- Establish protocols for privacy reviews prior to initiation of future AI projects

### P3 CEO Whisperer 101: Mastering the Art of Executive Communication

*Track: Professional Skills*

*Level: Basic*

SHUBHA LAKSHMANAN, Senior Director of Compliance & Privacy, Waud Capital Partners

ELLEN HUNT, Principal Consultant & Advisor, Spark Compliance Consulting

MELANIE SPONHOLZ, Chief Compliance Officer, WCP Healthcare, Waud Capital Partners

- How to create a communication plan to effectively deliver your message at any meeting
- Define what's in it for your audience: Use the power of 'What?', 'So what?', and 'What next?'
- Be a strategic listener to create authentic connections with your audience

### P4 Enterprise Risk Management: Compliance Is Not Enough

*Track: Risk Management*

*Level: Advanced*

ANDREA EKLUND, SVP Chief Compliance Officer, Baptist Health System

PAULA FONTANA, VP, Global Marketing, iluminir

JAMES GREEN, Co-founder, Illuminate Advisory

- Learn how to develop a comprehensive risk and compliance game plan by leveraging scenario testing, microsimulations, and playbooks
- Discover the importance of collaboration between departments and stakeholders to ensure a unified approach toward managing risk and compliance
- Learn how to develop playbooks that provide clear guidelines to enhance decision-making during fast-moving and novel threats

### P5 Stark and the Anti-kickback Statue: Fairmarket Value and Commercial Reasonableness

*Track: Compliance Law*

DARYL P. JOHNSON, Managing Partner, HealthCare Appraisers, Inc.

MARK MORRELL, System Director and Senior Counsel, CommonSpirit Health

ROBERT WADE, Partner, Nelson Mullins

- Overview of the Anti-Kickback Statute and Stark Law developments
- Summary of recent regulatory developments including value-based payment arrangements
- Case examples

### P6 Top IT, Digital and Cyber Risks to Include in Your Audit Plan: 2024 Update

*Track: Auditing & Monitoring*

*Level: Intermediate*

JOHAN LIDROS, President, Eminere Group

- Understand latest key IT, digital and cyber threats that can challenge the ability to deliver quality outcomes
- Provide updates in regulatory requirements and control frameworks related to IT and cybersecurity
- Health IT's impact on your audit plan: Top tips to prioritize your IT risks and establish a well-balanced risk-based IT audit plan

### P7 Developing an Ethics and Compliance Program in Behavioral Health Programs

*Track: Behavioral Health*

*Level: Intermediate*

TIM TIMMONS, Compliance and Privacy Officer, Community Counseling Solutions

KIMBERLY LINDSAY, Executive Director, Community Counseling Solutions

- Addressing the unique challenges faced in developing ethics and compliance programs in behavioral health organizations
- How to implement an effective ethics and compliance program that addresses limited resources, internal operational constraints and state regulations
- How to identify and mitigate the most significant compliance risk exposures in behavioral health

## P8 Experienced Compliance Officers Roundtable

*Track: Discussion Group*

*Level: Advanced*

LISA TAYLOR, VP & Chief Compliance Ofcr, Ankura Consulting Group, LLC

BRETT SHORT, Chief Compliance Officer, University of Kentucky

- In-depth discussion with other CCO's about current events and hot topics
- Share and hear about best practices in healthcare compliance
- Share thoughts with others on what's coming up and how to prepare

10:00 – 10:15 AM

**Break**

10:15 – 11:45 AM

## P9 MUDA, MURA, MURI to VENI, VIDII, VICI: Applying Project Management and Process Improvement to Your Compliance Program

*Track: General Compliance/Hot Topics*

*Level: Basic*

KRISTA MUSZAK, Senior Manager, P&O and M&A, Pfizer

- Discuss how applying project management basics and lean principles can help organize and optimize your program to add value to the business
- Utilize process mapping with stakeholders to identify defects and how automation or other enhancements can be added to reduce error
- Case scenarios to identify and improve problems using DMAIC methodology (Define, Measure, Analyze, Improve, and Control)

## P10 The Importance of a HIPAA Compliance, Privacy, and Cybersecurity Awareness Program

*Track: Privacy & Security*

*Level: Basic*

WES RHEA, Compliance and Security Officer, Marigold Health

SONU KUMAR, Cybersecurity | Technology | Healthcare | GRC

- Discuss the fundamental foundation of establishing a solid HIPAA compliance, privacy, and cyber security awareness program
- Explain the importance of end-user HIPAA compliance, privacy, and cyber security training for business and technical employees
- Examine the rise in phishing attempts on individuals and organizations and identify key metrics for an effective security awareness program

## P11 Basic Statistics for Healthcare Professionals

*Track: Professional Skills*

*Level: Intermediate*

FRANK COHEN, Director of Analytics, Doctors Management LLC

- Get a hands-on introduction to the world of statistics and how it can benefit your organization and your professional
- Learn the difference between data analysis and statistics and the difference between descriptive and inferential techniques
- Apply the techniques you learn in this session to improve your overall management and decision-making skills

## P12 Risky Business: The Hidden Compliance Hazards of AI

*Track: Risk Management*

*Level: Intermediate*

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

ELIZABETH WADE, Chief Compliance & Ethics Officer, Signature Healthcare Consulting Services, LLC

- Analyze the impact of AI on healthcare compliance today and in the future
- Define difference in "artificial" vs "human" intelligence by doing pilot projects and risk assessments
- Compare engagement vs distraction with privacy and regulatory issues while evaluating monitoring plans

## P13 Are You Thinking About Your Invisible Providers? Shining a Light on PA and NP Practice Compliance Considerations

*Track: Physician Compliance*

*Level: Intermediate*

KEVIN HICKMAN, Physician Assistant, A.T. Still University

- Analyze state legislative changes removing physician supervision from PA and NP practice
- Discuss recent national fraud and abuse trends in PA and NP practice
- Describe compliance considerations of employing PA and NP in outpatient and inpatient settings

## P14 Anatomy of A False Claims Act Case

*Track: Compliance Law*

*Level: Intermediate*

ILENE ALBALA, Partner, Goodwin Procter LLP

AMY EASTON, Partner, Phillips & Cohen LLP

GABRIEL IMPERATO, Managing Partner, Nelson Mullins

- The investigation phase: Subpoenas/ CIDs, data analysis, witness interviews, and more
- The negotiation phase: Initiation, ADR, scope of the release, damages, and other issues
- The resolution phase: Settlement agreements, individual liability, CIAs/ OIG, and relator issues

## P15 Telehealth Pharmacy Compliance

*Track: Telehealth*

*Level: Intermediate*

FATIMAH MUHAMMAD, Director of 340B Pharmaceutical Services and Drug Reimbursement, Saint Peter's Healthcare System

- Overview of the regulatory landscape for telehealth pharmacy and the regulations that apply to telepharmacy practices
- Best practices for compliance: Share practical strategies and guidelines for ensuring compliance with telehealth pharmacy regulations and standards
- Navigate challenges in telepharmacy and provide insight to effectively manage compliance in a rapidly evolving healthcare landscape

## P16 Let's Audit: A Hands-On Session for Auditing E/M Services — SESSION CANCELED

*Track: Discussion Group*

*Level: Intermediate*

SHANNON DECONDA, Partner DoctorsManagement & President of NAMAS, DoctorsManagement LLC

- Confront the subjectivity in auditing E/M service along with understanding the concept of medical necessity and how it relates to E/M services
- Understand the ethical considerations in E/M auditing and assess potential risks associated with E/M coding and billing in your healthcare setting
- Discuss provider feedback on how to improve their documentation to support accurate coding and billing based on the audit outcomes

11:45 AM – 1:15 PM

## Lunch (on your own)

12:00 – 1:00 PM

## SpeedNetworking

Pre-registration required; space is limited. Visit the [website](#) for details.

12:00 – 1:00 PM

## Lunch & Learn

### Demonstrating Compliance Wins While Playing the Long Game: Thoughtfully Integrating Contract Lifecycle Management Into Your Compliance Program

Pre-registration required; space is limited. Visit the [website](#) for details.

1:15 – 2:45 PM

## P17 State Attorneys General Oversight of Nonprofit Hospital Mergers, Acquisitions, and Closures

*Track: General Compliance/Hot Topics*

*Level: Advanced*

SARA NOEL, Assistant Attorney General, Minnesota Attorney General's Office

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

COURTNEY ALADRO

- Understand the compliance issues and risks involved in nonprofit health system mergers, acquisitions, and related entity activity
- Understand and anticipate state Attorney General challenges to mergers, acquisitions, and closures, based on access, diversity, and equity concerns
- Understand governance issues for nonprofit health systems and the relationships between the controlling entity and affiliates

## P18 One Health System's Experience: Using Technology and Analytics to Manage Critical Compliance Risks, Coding, Billing and Physician Oversight

*Track: Case Studies*

*Level: Intermediate*

DAWN CRUMP, Sr. Director Revenue Integrity Solutions, MRO Corporation

KAREN SMITH, Vice President and Billing Compliance Officer, Penn Medicine

AMBER FARKAS, Manager of Government Audit and Appeals, Penn Medicine

- Using technology and analytics to create and monitor a robust annual compliance audit plan
- Gain insight into how to focus your limited resources on coding and billing compliance auditing activities
- Physician audit and oversight tips to automate provider based and practice audits to minimize risk exposure

## P19 Facilitating Engagement and a Culture of Compliance in the C-suite

*Track: Professional Skills*

*Level: Intermediate*

MELANIE ROBERTS, Chief Compliance and Ethics Officer, Parkland Health

FELICIA MILLER, EVP/CHIEF TALENT OFFICER, Parkland Health

DONNA RICHARDSON, Chief Nursing Executive, EVP, Parkland Health

- Provide practical tips for increasing and maintaining engagement of executive leaders in compliance and ethics
- Understand how to best influence operational leaders to foster a culture of compliance and ethics across the organization
- Explore opportunities to effectively provide information on compliance topics to operational and clinical leadership

## P20 Risk Assessments

*Track: Risk Management*

*Level: Intermediate*

FELICIA E. HEIMER, Senior Counsel, Office of Counsel to the Inspector General

BERNARD FORD, Senior Managing Director, Ankura Consulting Group, LLC

- Implementing risk identification and mitigation strategies that align with OIG's General Compliance Program Guidance
- Testing the effectiveness of internal compliance controls over risk response
- Assessing quality of care ramifications throughout the risk assessment process
- Developing comprehensive remediation and reporting plans

## P21 Understanding the Why and How of External Reviews: TPEs, RAC, MIC, etc.

*Track: Auditing & Monitoring*

*Level: Intermediate*

KENNETH JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

COLLEEN DENNIS, Audit & Compliance Supervisor, Children's Hospital Colorado

EDWARD CASE, Partner, Harter Secrest & Emery LLP

- Understand the role, mission, responsibilities and authority of the key players in the external review space
- Understand the types of reviews that take place in the external review space
- Best practices for working with the reviewer, appeal rights, and strategies for addressing the issues and findings to reduce risk

## P22 The Revenue Cycle and Coding Compliance Matrix: Risks Between the Cracks

*Track: Physician Compliance*

*Level: Intermediate*

MAYA TURNER, Lead Ambulatory Coding Analyst, Franciscan Alliance

- Understand the entire revenue cycle process with consideration of revenue integrity
- Providing clarity on coding compliance by means of documentation and if the documentation billed was supported
- Having a plan to address the revenue cycle and compliance risks as it related to government payers

## P23 Conducting an Effective and Efficient Internal or Parallel Investigation

*Track: Investigations*

*Level: Intermediate*

JULIE JANEWAY, General Counsel

- Understand and acknowledge the various types of internal investigations that occur in healthcare, how they arise, and the general law related to them
- Understand and internalize the planning and execution steps of parallel fraud investigations versus other types such as employment investigations
- Identify types of situations that arise, and learn/apply a variety of techniques to legally and effectively complete the interviews and investigation

## P24 Privacy Officer Roundtable: Part 1

*Track: Discussion Group*

*Level: Intermediate*

MARTHA ARVIN, VP, Chief Compliance Officer, Erlanger Health System

ADAM GREENE, Partner, Davis Wright Tremaine LLP

JOAN PODLESKI, Vice President & Chief Privacy Officer, Children's Health System of Texas

- Share information with colleagues to support increased knowledge for the group a whole
- Raise questions on shared areas of concern and receive meaningful, cost effective solutions
- Bring your experience to support those in privacy and information security with less experience

2:45 – 3:00 PM

**Break**

3:00 – 4:30 PM

## P25 Understanding Offshoring Risks and Challenges

*Track: General Compliance/Hot Topics*

*Level: Intermediate*

DANIEL ROACH, Chief Compliance Officer, Optum

- Understanding the offshoring regulatory scheme and risks
- Building an effective strategy for evaluating offshoring proposals
- Key components of an offshoring compliance strategy

## P26 Beyond the Hype of AI and Tracking with a Focus on Ensuring Data Protection

*Track: Technology & Innovation*

*Level: Intermediate*

DAWN POLEN-MORGENSTERN, Senior Director & CPO, Clearwater Compliance LLC

ANDREW DROKE, Shareholder, Baker Donelson

- What are HHS' priorities and goals for the application and development of AI and machine learning spanning across healthcare delivery
- Embrace benefits and respond to risks that AI and tracking technologies introduce into your ecosystem
- Reconciling OCR's guidance on the use of online tracking technologies that could result in impermissible disclosures of PHI

## P27 Policies! Important Information to Know about the First Element of an Effective Compliance Program

*Track: Professional Skills*

*Level: Basic*

JODI RIDDER, Compliance Officer, Buchanan County Health Center

TOMI HAGAN, Chief Compliance Officer, Great River Health

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health

- Explore how policies lay the foundation for each of the other elements of a compliance program
- Identify how well-written policies amplify the effectiveness of training and education and the auditing and monitoring function
- Learn tips on how to manage policies to keep them current with ongoing regulatory changes

## P28 Paving the Way for Medicare Advantage Changes in 2024

*Track: Risk Management*  
*Level: Intermediate*

AMY PRITCHETT, Manager, HCC Coding/Audit & Education Services, Pinnacle Enterprise Risk Consulting Services

- Review Medicare Advantage changes from Version 24 to Version 28
- Discuss the impact of the model change(s)
- Educate regarding the impact to Accountable Care Organizations, Individual Plan Administrators, and Medicare Advantage Organizations

## P29 Whistleblowers, Case Assessment and Best Practices

*Track: Compliance Law*  
*Level: Intermediate*

JACKLYN DEMAR, Director of Legal Education, Taxpayers Against Fraud

ANNE HARTMAN, Attorney, Morgan Verkamp LLC

MARLAN WILBANKS, Senior Partner, Wilbanks and Gouinlock LLP

- Internal reporting and response to non-compliant activity
- Understand why employees become whistleblowers and keys to recognizing potential whistleblower risk
- Key factors for actionable whistleblower cases

## P30 Current Compliance Issues in Physician Coding and Billing

*Track: Physician Compliance*  
*Level: Advanced*

C.J. WOLF, Faculty, BYU

- Examine current compliance issues associated with Evaluation and Management coding for physicians and other professional billing providers
- Discuss recent physician enforcement actions related to medical necessity
- Explore compliance strategies for detecting high risk physician coding and billing issues in your organization

## P31 SNF Provider Compliance: Addressing Common Compliance Issues and Pitfalls to Avoid

*Track: Post-Acute Care*  
*Level: Intermediate*

ANNA GRIZZLE, Partner, Bass Berry & Sims

J. VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

- Review fact-based scenarios of compliance issues, such as referral source arrangements, regulatory and documentation requirements, and billing issues
- Understand regulatory framework for identified issues and potential legal ramifications arising from compliance missteps
- Learn action steps to investigate and correct issues and mitigate risk, including recommendations for arrangement structure and compliance oversight

## P32 Privacy Officer Roundtable: Part 2

*Track: Discussion Group*  
*Level: Intermediate*

MARTHA ARVIN, VP, Chief Compliance Officer, Erlanger Health System

ADAM GREENE, Partner, Davis Wright Tremaine LLP

JOAN PODLESKI, Vice President & Chief Privacy Officer, Children's Health System of Texas

- Share information with colleagues to support increased knowledge for the group as a whole
- Raise questions on shared areas of concern and receive meaningful, cost effective solutions
- Bring your experience to support those in privacy and information security with less experience

4:30 – 6:30 PM

## Opening Reception in Exhibit Hall

Join us for appetizers & beverages as we kick off the annual conference. Visit with solution providers and network with peers.



## Monday, April 15

7:45 AM – 5:15 PM

### Registration Open

8:00 – 8:45 AM

### Continental Breakfast in Exhibit Hall

8:45 – 9:00 AM

### Welcome/Opening Remarks/ Award Presentation • *LIVE BROADCAST*

9:00 – 9:30 AM

### GS1A GENERAL SESSION: HHS OIG Enforcement and Compliance Update • *LIVE BROADCAST*

ROBERT K. DECONTI, Chief Counsel to the Inspector General, Office of Inspector General

9:30 – 10:00 AM

### GS1B GENERAL SESSION: An Update on OCR's 2024 Priorities • *LIVE BROADCAST*

MELANIE FONTES RAINER, Director of the Office for Civil Rights, Department of Health and Human Services

- 2024 outlook and HHS OCR priorities
- Status update on pending regulations and future guidance
- Nondiscrimination in health programs and activities

10:00 – 10:30 AM

### Break

10:30 – 11:30 AM

### 101 OIG's General Compliance Program Guidance • *LIVE BROADCAST*

*Track: General Compliance/Hot Topics*  
*Level: Basic*

AMANDA COPSEY, ACRB Senior Counsel, OIG/HHS

LAURA ELIZABETH ELLIS, Senior Counsel, Office of Inspector General

- Understand the OIG's Modernization Initiative and its plan for providing new and updated Compliance Guidance
- Learn tips for navigating the GCPG and questions to ask about legal issues related to compliance with Federal health care program laws and regulations
- Learn about new recommendations from OIG about implementing the seven elements of a Compliance Program
- Learn about other considerations OIG considers important for compliance, including quality and patient safety and financial arrangements tracking

### 102 Point of View (POV) from Compliance on How to Implement Technology with Less Stress and More Success • *LIVE BROADCAST*

*Track: Professional Skills*  
*Level: Basic*

NICK GALLO, Chief Servant, Co-CEO, Ethico

MARY SHIRLEY, Head of Compliance, Masimo

- Learn ways to decide which technology to implement in order to work toward an effective compliance program
- Gain tools to evaluate vendors based on the needs of your compliance program
- Learn how to achieve approval for the chosen technology implementation

### 103 To Disclose or Not to Disclose? Navigating a Compliance Complaint from A-Z with General Counsel • *LIVE BROADCAST*

*Track: Investigations*  
*Level: Intermediate*

KELLIE MENDOZA, Compliance Manager, MUSC

ALISSA FLEMING, Partner, Baker, Donelson, Bearman, Caldwell & Berkowitz, PC

- Recognize when there is an actual complaint and develop an appropriate response
- Identify & describe the process for developing an action plan for an internal investigation and best practices for working through the investigation
- Understand how to evaluate your investigation's findings relevant to potential disclosures and internal organizational corrective action plans

### 104 Root Cause Analysis in Practice • *LIVE BROADCAST*

*Track: Risk Management*  
*Level: Advanced*

ANGELA SMART, Senior Compliance and Ethics Partner, Intermountain Healthcare

BRENDEN O'NEAL, Area Compliance Officer, Intermountain Healthcare

SCOT BAYLES, Compliance & Ethics Sr Partner, Intermountain Healthcare

- Why an RCA process is one of the best ways to demonstrate compliance program effectiveness
- Case study: How Intermountain Health designed and implemented its RCA Process
- Key tools and documents used in Intermountain Health's RCA Process

## 105 Addressing Health Equity in Your Behavioral Health Organization • LIVE BROADCAST

*Track: Behavioral Health*

*Level: Basic*

DAVID CHIN, Director of Accreditation & Documentation, Rutgers, The State University of NJ

CINDY KENNEDY, Senior Compliance Officer, Rutgers, The State University of NJ

- Gain a basic understanding of the new CMS Framework for Health Equity 2022-2032
- Define Social Determinants of Health (SDOH), reporting requirements, and use of Z Codes to improve health equity and quality of care
- Discuss The Joint Commission's (TJC) new National Patient Safety Goal (NPSG) standard to reduce health care disparities and what you must do now

## 106 How Safe Is "Safe Harbor"? Balancing De-identification, Anonymization, and Pseudonymization of Health Data with Privacy Risks

*Track: Privacy & Security*

*Level: Basic*

ANDREW MAHLER, VP, Privacy and Compliance, Clearwater

JOSEPH DICKINSON, Shareholder, Nexsen Pruet, PLLC

- Understand the differences between de-identification, anonymization, and pseudonymization of data
- Understand the risks and rewards when attempting to anonymize data
- Using a case study, discuss ethical concerns, even when data is de-identified properly

## 107 Exercising Thorough Oversight: Developing a Comprehensive Research Monitoring Program

*Track: Auditing & Monitoring*

*Level: Intermediate*

JOHN BAUMANN, Associate Vice President for Research Compliance, Indiana University

- Articulate the principles on which PAM is founded and identify objectives of a robust PAM program
- Describe different models of conducting PAM and identify approaches to deciding who and what to monitor
- Reporting PAM results to stakeholders and how PAM results can be used when evaluating the overall human research protection program

## 108 Hot Topics in Physician Compliance

*Track: Physician Compliance*

*Level: Advanced*

CHRISTINE ANUSBIGIAN, BS, MBA, CHC, CPC, Principal, CMEA Consulting LLC

STACY GERBER WARD, Partner, Von Briesen & Roper

- Update on audit activity related to physician practices by the Office of the Inspector General and other third party payers
- Explore new and emerging coding risks, including recent E/M coding changes, risk adjustment, non-physician services, and risk-based auditing
- Evaluate recent guidance and proposed changes on refunding overpayments, extrapolation, and voluntary disclosures

## 109 Research Compliance

*Track: Discussion Group*

*Level: Basic*

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

- Discuss hot topics in research compliance
- Challenge attendees to consider new areas such as AI, CTET and Medicaid
- Illustrate common best practices through question/answers

**11:30 AM – 1:00 PM**

## Lunch (Exhibit Hall)

**1:00 – 2:00 PM**

## 201 340B Compliance: Audits, Legislation, and Restrictions • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Intermediate*

STEVEN MILLER, Vice President, Pharmacy Services, 340B Health, 340B Health

MAUREEN TESTONI

- Implement 340B compliance guardrails considering recent government audits and ongoing litigation
- Prepare for new 340B-specific compliance requirements related to the Inflation Reduction Act
- Explain considerations for providers related to manufacturer restrictions on 340B pricing

## 202 Update on HIPAA Compliance and Enforcement • LIVE BROADCAST

*Track: Privacy & Security*

*Level: Intermediate*

MELANIE FONTES RAINER, Director of the Office for Civil Rights, Department of Health and Human Services

BARBARA STAMPUL, Supervisory Equal Opportunity Specialist, U.S. Department of Health and Human Services

- 2024 OCR priorities for HIPAA privacy, security, and breach notification
- Status update on pending regulations and future guidance
- Current HIPAA privacy, security, and breach notification issues and enforcement trends

## 203 EMTALA: Achieving Compliance in a Changing Legal Landscape • LIVE BROADCAST

*Track: Compliance Law*

*Level: Advanced*

KARIN ANDERSON

MICHELLE PENDERGRASS, Chief Compliance Officer/Privacy Officer, Midland Health

TRACI WAUGH, Senior Manager, PYA

- Overview of EMTALA requirements and enforcement
- Impact on provider liability in the face of changing and conflicting state abortion laws
- Provide an EMTALA compliance checklist

## 204 Auditing and Monitoring Next Level: Unlocking the Potential of Technology in a Data-Driven World • LIVE BROADCAST

*Track: Auditing & Monitoring*  
*Level: Intermediate*

MELISSA SANDBERG, Revenue Compliance Analyst, Mayo Clinic

DORI GIBBS, Revenue Compliance Analyst, Mayo Clinic

- Identify how technology including data analytics can be used to create an efficient, risk-based auditing and monitoring process
- Understand how data visualization tools can enhance the auditing and monitoring process by communicating risks, findings, and root causes
- Recognize how EMR analytics tools paired with automation can extend auditing and monitoring resources

## 205 Integrating Artificial Intelligence into Your Compliance Plan & Risk Assessments • LIVE BROADCAST

*Track: Technology & Innovation*  
*Level: Intermediate*

MICHELE STEVENS, Senior Associate, PricewaterhouseCoopers

CHANDRA STEPHENSON, Senior Manager, Health Industries Advisory, PWC

- Understanding Artificial Intelligence, what it means, and how it could be used in healthcare settings
- Integration of Artificial Intelligence into your compliance plans and risk assessment
- Importance of updating compliance plans and risk assessments to include any Artificial Intelligence being used in your organization

## 206 Making Friends in High Reliability Places

*Track: Professional Skills*  
*Level: Basic*

DOLORES (DEE) BAUGHMAN, Director, Inspira Health

- Learn the basic terminology of high reliability organizations and how these principles apply to compliance
- Incorporating high reliability tools into your compliance program
- Compliance's role in promoting patient safety and quality outcomes

## 207 The Compliance Fortress: Building a Resilient Compliance Program to Ward Off CIAs

*Track: Physician Compliance*  
*Level: Basic*

JENNIFER SARTOR, Chief Compliance Officer, ARSA

AMY DILCHER, Special Counsel, Sheppard Mullin

- Acquire first-hand insights into how a robust compliance program plays a pivotal role in mitigating the risk of a CIA
- Identify key components to enhance your compliance program to mitigate potential risk(s) of implementation of a CIA
- Explore collaborative strategies for improving outcomes by fostering synergies between legal and compliance departments

## 208 Telehealth vs. In-Person: What Is the Future of Patient Meetings?

*Track: Telehealth*  
*Level: Intermediate*

JOHN DELORENZO, Data Analytics Manager, Bergen's Promise

DIANE RISTAINO, Director of Quality Assurance and Compliance, Bergen's Promise

- We will compare youth receiving telehealth to youth who are seen in-person to see if there is a significant difference in family attendance rates
- We will use Youth Risk Analysis Scores given to both groups to determine if one group is at higher risk than another
- We will determine if there is a more significant return to services (&ldquo;recidivism&rdquo;) rate in either the telehealth group or the in-person group

## 209 Partnerships and Boundaries

*Track: Discussion Group*  
*Level: Basic*

TOMI HAGAN, Chief Compliance Officer, Great River Health

GARY JONES, Attorney, Midwest Compliance Associates, LLC

- Identify key departments that are crucial to the success of the compliance program
- Leverage interdepartmental relationships to promote compliance throughout the organization
- Manage interdepartmental relationship boundaries to maintain objectivity

2:00 – 2:45 PM

### Break in Exhibit Hall

2:45 – 3:45 PM

## 301 Benchmarking Your Organization's Compliance Program • LIVE BROADCAST

*Track: General Compliance/Hot Topics*  
*Level: Intermediate*

GERRY ZACK CEO, SCCE & HCCA

- Understand where benchmarking fits into the expectations of a compliance program
- Effective techniques for applying benchmarking techniques to your program
- Highlights from the HCCA benchmarking survey

## 302 Transitioning Compliance Program Leadership Seamlessly • LIVE BROADCAST

*Track: Professional Skills*  
*Level: Intermediate*

JUDY RINGHOLZ, Founder and Principal, Sage Compliance Advisors, LLC

RAUL ORDONEZ, Vice President & Chief Compliance Officer, Jackson Health System

CHRISTOPHER MARTIN, Regional Compliance Officer, Broward Health

- Discuss what to consider when developing a succession plan
- Determine important characteristics when identifying a successor
- Illustrate ways to prepare your organization for a seamless transition

### 303 A Dose of Preventative Medicine for Your Physician Compliance Program: Challenges, Successes and Solutions from 3 Diverse Organizations • LIVE BROADCAST

*Track: Physician Compliance*

*Level: Intermediate*

MATTHEW VOGELIEN, Division Corporate Responsibility Officer, AdventHealth

TED LOTCHIN, Vice President, Chief Compliance & Privacy Officer, UNC Health

KATIE DEVER, Director of Compliance and Privacy, Tryon Management Group, LLC

- Hear physician compliance challenges, successes, and focus areas from three diverse organizations
- Discuss case scenarios, elements of an effective physician compliance program, and solutions for risk mitigation
- Share experiences, examples, and practical ideas related to physician compliance program topics

### 304 ESG, Compliance, and ERM: Bridging the Gaps • LIVE BROADCAST

*Track: Risk Management*

*Level: Intermediate*

NAKIS URFI, Compliance Officer & ESG Leader, Babylon Healthcare, Inc

XIMENA RESTREPO, Compliance and Privacy Partner, Logan Health

- Learn ways to eliminate barriers to foster a cohesive ESG, compliance, and ERM strategy among your leaders
- Hear examples on how ESG activities tie into ERM and compliance domains
- Understand strategic ways on aligning your ESG, compliance, and ERM programs with reporting to stakeholders

### 305 Hot Point Hospice Compliance Issues and Recommendations • LIVE BROADCAST

*Track: Post-Acute Care*

*Level: Intermediate*

SEAN FAHEY, Health Law/Compliance Attorney, Hall Render Killian Heath & Lyman P.C.

- Current types of hospice compliance issues and enforcement trends: CMS audits and OIG investigations
- Key issues in UPIC audits
- Hospice operational compliance issues: Patient eligibility and medical necessity

### 306 Pixelating Pixel Tracking: The Regulatory Risks of Tracking Technology in Healthcare and Pathways for Compliance

*Track: Privacy & Security*

*Level: Intermediate*

SHUBHA LAKSHMANAN, Senior Director of Compliance & Privacy, Waud Capital Partners

MICAH DESAIRE

- Understand tracking technologies, including tracking pixels and cookies
- Potential regulatory risks associated with tracking technologies
- Best practices for ensuring compliance while leveraging tracking technologies

### 307 Top 8 OIG Coding and Billing Risk Areas

*Track: Auditing & Monitoring*

*Level: Intermediate*

HEIDI FREY, Billing Compliance Coordinator, Renown Health

ASHLYNN MARTIN, Sr. Compliance Analyst, Renown Health

- An overview of the 8 coding and billing risk areas that have been frequent subjects of investigations and audits by the OIG
- Review fraud cases for each of the areas outlined within the OIG Work Plan to provide context to the learning objectives outlined
- Review techniques to prevent and detect improper billing patterns, NCCI edits, E&M Bell Curve Reports, onsite visits to providers ,etc.

### 308 Corporate Compliance & Self-Disclosure: A Conversation with DOJ's Health Care Fraud Unit

*Track: Compliance Law*

*Level: Intermediate*

JACOB FOSTER, Assistant Chief, U.S. Department of Justice

MURAD HUSSAIN, Partner, Arnold & Porter

- Overview of the DOJ Criminal Division's new Corporate Enforcement and Voluntary Self-Disclosure Policy as applied to the healthcare industry
- Discuss recent criminal healthcare fraud enforcement decisions under the Policy
- Share feedback with DOJ's Health Care Fraud Unit about how it can better explain and implement the Policy to the public

### 309 How to Implement the OIG's New General Compliance Program Guidance

*Track: Discussion Group*

*Level: Intermediate*

FRANK SHEEDER III, Partner, The Sheeder Firm

- Discuss the nuances of OIG's updated compliance principles
- Identify the impact of the new guidance on your organization
- Share strategies to align with the OIG's latest expectations

**3:45 – 4:15 PM**

**Break**

**4:15 – 5:15 PM**

### 401 How to Construct an Effective Compliance Program: Lessons Learned from CIAs • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Intermediate*

DANIELLE GORDET, Associate, Akerman Senterfitt

LUCIA PIZANO-URBINA, VP, Chief Compliance & Privacy Officer, Mount Sinai Medical Ctr

ADAM RIBNER, Senior Counsel, U.S. Department of Health and Human Services

- Learn the current compliance requirements of a CIA
- Recognize how CIA requirements help establish compliance best practices
- Understand how to effectively operationalize a compliance program based on the CIA requirements

## **402 A New Sheriff in Town: The FTC's Emergence in Healthcare Privacy Enforcement and Newly Issued Guidance** • LIVE BROADCAST

*Track: Privacy & Security*

*Level: Intermediate*

NICHOLAS MERKIN, CEO, Compliagent

ADELLA KATZ

- Understanding the push for FTC's recent use of its long-dormant Health Breach Notification Rule against direct-to-consumer healthcare organizations
- Understanding the impact of FTC's newly-issued privacy guidance through a compliance lens and the importance of healthcare privacy and security
- How healthcare organizations should evaluate risks and modify their compliance programs in light of recent FTC enforcement and newly-issued guidance

## **403 Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing between Regulatory Requirements and Guidance** • LIVE BROADCAST

*Track: Compliance Law*

*Level: Intermediate*

DAVID GLASER, Shareholder, Fredrikson & Byron, PA

MICHELLE FRAZIER, Chief Compliance & Privacy Officer, Advocate Health

- Suggestions for improving your hiring process for compliance professionals
- Tips for explaining complicated rules and legal hierarchy to compliance and medical professionals
- Strategies for analyzing contradictory "expert" opinions and reducing legal anxiety

## **404 Documenting Your Monitoring Efforts: Keeping It Simple** • LIVE BROADCAST

*Track: Auditing & Monitoring*

*Level: Intermediate*

JAMES ROSE, Managing Director, SunHawk Consulting LLC

- Identify the types of metrics you need to monitor
- Understand how to cross walk your compliance risks to key metrics
- Learn how to leverage and enhance business self-monitoring

## **405 Identifying Potential E&M Billing Risk in a Large and Complex Organization** • LIVE BROADCAST

*Track: Case Studies*

*Level: Intermediate*

JAMES RIELLY, Senior Manager Revenue Cycle Compliance, Providence

ERIC BRUNER, Manager, Innovation and Technology, Providence

- Ingesting the data required to build provider bell curves, evaluating risk against CMS Normative data, and aggregating risk for leadership
- The process involved for distribution and the rollout strategy to a large and highly complex organization
- The technology used to create the data and distribution tools

## **406 Internal Investigations in a Crisis**

*Track: Investigations*

*Level: Basic*

GABRIEL IMPERATO, Managing Partner, Nelson Mullins

MICHAEL MORSE, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP

- Discuss the unique challenges of conducting internal investigations in times of crisis
- Learn best practices for formulating and implementing an investigative plan during a crisis
- Explore how to manage internal and external pressures during an internal investigation

## **407 Risk Management: Strategies for Safeguarding Your Organization**

*Track: Risk Management*

*Level: Intermediate*

ALESSANDRA PEQUENO

MEGHAN LYONS, Financial Analyst, Texas Department of State Health Services

- Identify and categorize project risk sources for effective risk management and goal achievement despite changing conditions
- Establish and apply a systematic process to define and use risk parameters to analyze, categorize, and control risks in a project
- Aligning key elements with a shared vision, involving stakeholders, and fostering understanding for success

## **408 Data Analytics: Using Computing Power to Ensure Compliance in the Revenue Cycle**

*Track: Technology & Innovation*

*Level: Basic*

RACHEL PUGLIANO, Director, Healthcare, Eide Bailly LLP

- Define what data analytics is and why it is important
- Identify the impact data analytics has on your entity
- Discuss common risk exposures within the revenue cycle

## **409 Cybersecurity and Incident Response Roundtable**

*Track: Discussion Group*

*Level: Advanced*

DEBRA GEROUX, Shareholder, Butzel Long

SCOTT WROBEL, Managing Member, N1 Discovery

- Collaborative discussion of challenging issues privacy professionals are facing related to cybersecurity incident response
- Audience-driven topics for discussion with colleagues and panelist related to collaboration between legal, forensics and insurance carrier
- Sharing practical solutions from experts and peers in the event of a cybersecurity incident/data breach



## Tuesday, April 16

8:00 AM – 7:00 PM

### Registration Open

8:00 – 9:00 AM

### Continental Breakfast in Exhibit Hall

9:00 – 10:00 AM

### GS2 GENERAL SESSION: AI in Healthcare

BRETT SHORT, Chief Compliance Officer, University of Kentucky

CHRISTINE MOUNDAS, Partner and Digital Health Initiative Co-Lead, Ropes & Gray

JOHN NASH, Associate Professor, Educational Leadership Studies, University of Kentucky

ELIZABETH WADE, Chief Compliance & Ethics Officer, Corporate Compliance, Signature Healthcare Consulting Services, LLC

10:00 – 10:45 AM

### Break in Exhibit Hall

10:45 – 11:45 AM

### 501 Top Regulatory and Enforcement Developments You Should Know about in 2024 • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Intermediate*

GREGORY DEMSKE, Partner, Goodwin Procter LLP

KIM BRANDT, Partner, Tarplin, Downs & Young

- Most significant recent policy, regulatory, and enforcement developments from Congress, CMS, OIG, and DOJ that impact healthcare compliance
- Issues on the horizon that healthcare compliance professionals should know about
- Potential impact of elections later this year for healthcare compliance in 2025 and beyond

### 502 Backstage Pass: How to Maximize Your Vendor Relationships (from the Vendor Perspective) • LIVE BROADCAST

*Track: Professional Skills*

*Level: Basic*

KIM BAESLER, Director, Account Management, SAI360

JOHN RAABE, Product Manager, SAI360

- Are you leveraging the relationships as an extension of your internal team? Maximize partnering with Customer Success, Account Management, and Support
- The question of budgets: How to justify the expenditure for software licenses, and demonstrate value to your management and stakeholders
- What to do if a technology partnership needs resuscitation: Co-collaboration best practices when things haven't gone as planned

### 503 Physician Documentation: Getting It Right When the Compliance Officer Tells You to, Instead of the Government! • LIVE BROADCAST

*Track: Physician Compliance*

*Level: Intermediate*

TONJA WISE, Vice President of Compliance, Privacy, and Ethics, Compliance Officer, Florida Cancer Specialists

- Not this again... Common documentation errors and how to avoid them
- Making every word count... ensuring documentation is focusing on the right thing
- Oops, we missed one... How to compliantly correct documentation that is less than satisfactory

### 504 Alignment of Enterprise Risk Management with Strategic Planning • LIVE BROADCAST

*Track: Risk Management*

*Level: Advanced*

CHARLES MAZER, Senior Director of Corporate Compliance, Governance & Ethics, New York Presbyterian

TRACY DAVIS, Director of Compliance, New York Presbyterian

LISA R. TEJERA (ADINOLFI), Chief Audit Compliance Exec, NewYork-Presbyterian

- Understand the connection between enterprise risk management and strategic planning
- Learn about the value of aligning enterprise risk management and strategic planning
- Best practices and barriers to aligning enterprise risk management with strategic planning

### 505 Telehealth: The New Normal • LIVE BROADCAST

*Track: Telehealth*

*Level: Basic*

JOY KRUSH, Senior Healthcare Consultant

- Explain Medicare telehealth coverage and billing guidelines & discuss various commercial plans' coverage of telehealth
- Describe behavioral health updates in telehealth and illustrate the impact of state regulations around telehealth licensing and requirements
- Demonstrate use of digital E/M services and remote patient monitoring and highlight compliance considerations in documentation for telehealth service

## 506 Not Just Compliance but Necessity: Security Risk Assessments and 405(d)

*Track: Privacy & Security*

*Level: Intermediate*

CHRISTOPHER LYONS, Director of Cybersecurity, Lyons CyberSecurity Compliance Consulting

- Learn the origin of the HIPAA risk assessment and how a general assessment is no longer adequate for the current threat landscape
- Understand how to incorporate 405(d) cybersecurity best practices into their assessments
- Articulate why the long-used HIPAA risk assessment framework must be expanded voluntarily to lower the organization's attack surface

## 507 Voluntary but Not Optional: The Nuts and Bolts of a High-Functioning Voluntary Refund Process Led by Compliance and Outside Counsel

*Track: Compliance Law*

*Level: Intermediate*

TRACY FIELD, Partner, Parker, Hudson, Rainer & Dobbs, LLP

KATIE DEVER, Director of Compliance and Privacy, Tryon Management Group, LLC

- How to spot potential compliance issues that need further investigation
- When to engage outside experts, including outside counsel and statisticians, and how to conduct the review under privilege
- How to manage internal communications about the matter and what communication should be left to outside counsel from the start

## 508 The Intersection between Research, Privacy, and AI in Healthcare

*Track: Technology & Innovation*

*Level: Advanced*

LISA TAYLOR, VP & Chief Compliance Ofcr, Ankura Consulting Group, LLC

ARASH NAEIM

MICHAEL PFEFFER, Chief Information Officer and Associate Dean, Stanford University

- AI has tremendous amount of potential in a wide range of areas in healthcare, including diagnostics, medical imaging, and personalized treatment
- Dependence on AI adds a new vulnerability for attacks and manipulation
- There are challenges balancing the risks and benefits associated with AI if the goals are to advance science and health through innovation

11:45 AM – 1:15 PM

### Lunch (Exhibit Hall)

1:15 – 2:15 PM

## 601 The All Surprises Acts: Avoiding Compliance Pitfalls and Responding to Administrative Enforcement Actions under the Surprise Billing Laws • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Advanced*

BRIAN STIMSON, Partner, McDermott, Will & Emery LLP

- Identify common compliance pitfalls under federal and state surprise billing laws
- Learn best practices for avoiding common compliance pitfalls
- Understand current administrative enforcement activities and how to respond to administrative inquiries

## 602 Key Challenges in Health Care Privacy • LIVE BROADCAST

*Track: Privacy & Security*

*Level: Advanced*

KIRK NAHRA, Partner, WilmerHale LLP

- Learn about the newest developments in the law of health care privacy
- Understand how these new laws create challenges for your compliance program
- Evaluate options for how best to apply these new obligations to your company

## 603 Enforcement and Compliance in Managed Care • LIVE BROADCAST

*Track: Compliance Law*

*Level: Basic*

ISAAC BLEDSOE, Special Agent/ Operations Officer, U.S. Department of Health and Human Services

CAROLYN KAPUSTIJ, Senior Advisor for Managed Care, OIG/HHS

SARAH KESSLER, Senior Counsel, OIG/HHS

JACQUALINE REID, Social Science Analyst, OIG/HHS

- OIG's strategy for oversight of Medicare and Medicaid managed care
- Insights from OIG's work
- Updates on enforcement actions

## 604 What Is the Value of a Good Audit Plan? • LIVE BROADCAST

*Track: Auditing & Monitoring*

*Level: Intermediate*

ELLIOTT COWARD

KELLY LOYA, Associate Partner, Pinnacle Enterprise Risk Consulting Services

JEFFREY FITZGERALD, Shareholder, Polsinelli

CARMEN TITELMAN, Senior Director Coding Compliance, Piedmont Healthcare

- Explore the various types of audits and investigations: Why, how and what importance do they have and what value or risk do they bring?
- Review or Audit: Gain a better understanding of audit types & differences, purpose, impact, risk potential when incorrectly used, and best practice
- Government programs and some program sponsored commercial payors "Hot Topics" which include the "fall out" of the COVID-19 era

## 605 Eeny, Meeny, Miny, Moe, I Need a New Vendor, but Where Do I Go? One Company's Vendor Selection Journey • LIVE BROADCAST

*Track: Case Studies*

*Level: Intermediate*

BRIAN COLONNA, Director of Compliance, Renown Health

- Create objective metrics to rate vendor products based on your needs
- Learn to review your organization's needs before approaching vendors
- Identify hidden costs unique to different types vendor services

## 606 Design Thinking, Improv, and the Enneagram: Staying Inspired and Innovative as a Compliance Leader

*Track: Professional Skills*

*Level: Intermediate*

MELANIE SPONHOLZ, Chief Compliance Officer, WCP Healthcare, Waud Capital Partners

- Uncover new sources of inspiration to power your compliance program and capture the hearts and minds of your teammates
- Learn how to use design thinking to streamline and supercharge compliance program development and implementation
- Banish the doldrums and get out of your rut by bringing fun, creativity, and freedom to your work

## 607 The VIPER Approach

*Track: Risk Management*

*Level: Intermediate*

JOHN RAABE, Product Manager, SAI360

LEIGH HICKMAN, Chief Legal Officer, CaroMont Health

JENNIFER BLYTHE, Corporate Counsel

- An overview of ERM and framework considerations including risk, technology, and reporting needs
- Internal audit rollup: Auditing and an overview of VIPER including standardized risk assessments, checklists, policies and procedures
- How to set goals, optimize internal audit tools, link decisions and risk impact, and work nimbly with your existing teams and resources

## 608 Ready, Set, Audit! Integrating Regulatory Licensing, Incident Management, and On-Site Staff Collaboration for Survey Readiness in a Multi-Site Org

*Track: Behavioral Health*

*Level: Intermediate*

ATHILA LAMBINO, Director of Licensing & Certification, HealthRIGHT360

DMITRY SHULTZ, Incidents & Licensing Coordinator, HealthRIGHT360

BRANDY NESTROYL, Compliance Manager for Southern California, HealthRIGHT360

- How to support programs through proactive licensing and certification management and monitoring, with a particular focus on behavioral health orgs
- Revamping the incident management process to gain buy-in for building an ethical and compliant organization
- Conducting pre-audit surveys for readiness and creating a Command Center for efficient communication on the day of your site visit

2:15 – 2:45 PM

### Break

2:45 – 3:45 PM

## 701 Price Check 2024: Fire Drill from Price Transparency • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Intermediate*

AMY GENDRON, Dir Clinical & Regulatory Compliance, Trinity Health Corporation

PATRICIA HAMON, Manager, Data Analytics, Trinity Health Corporation

- Understanding Price Transparency requirements 45 C.F.R. § 180 and 2024 OPPS changes
- How to conduct a Price Transparency compliance assessment for large healthcare organizations
- How to analyze Price Transparency data for C-Suite decision-making

## 702 Next Generation Root Cause Analysis • LIVE BROADCAST

*Track: Professional Skills*

*Level: Intermediate*

JAMES ROSE, Managing Director, SunHawk Consulting LLC

- Identify true root causes that resonate with management
- Understand how to align remediation with risk
- Document root cause analysis and DOJ continuous improvement expectations

## 703 Cyberattacks in Healthcare: Ransomware and Other Risks • LIVE BROADCAST

*Track: Risk Management*

*Level: Basic*

BEN OWINGS, Senior Manager, FORVIS

RAY BAXTER, Director, FORVIS

- Review recent cyber events and corresponding statistics that have impacted healthcare organizations
- Discuss why the healthcare industry remains the number one target for cyber-attacks and how organizations can better prepare themselves
- Reveal best practice recommendations for organizations to limit the risk, impact, and exposure of a cyber-attack

## 704 Stark Law & Valuation: Fixing Problematic Structures • LIVE BROADCAST

*Track: Physician Compliance*

*Level: Intermediate*

JANA KOLARIK, Partner, Foley & Lardner LLP

CAROL CARDEN, Principal, PYA

- Refresher on valuation dos and don'ts related to establishing fair market value
- Compliance considerations regarding subsidy arrangements
- Stark Law issues related to wRVU models including advance practice practitioner (APP) work and tiered models

## 705 What's Compliance Got to Do with It: Coordinating Complex Investigations • *LIVE BROADCAST*

*Track: Investigations*

*Level: Intermediate*

NATALIE RAMELLO, Vice President Chief Institutional Compliance Officer & Chief Audit Executive, UT Southwestern Medical Center

MEREDITH THOMAS, Lead Investigator, Compliance & Internal Audit, UT Southwestern Medical Center

ABIGAIL JACKSON, Assistant Vice President of Compliance & Internal Audit Operations, Privacy Officer, UT Southwestern Medical Center

- Learn how to develop a structured framework for effectively coordinating investigations involving multiple stakeholders to ensure successful outcomes
- Learn how to leverage workflows and case management systems to promote collaboration among stakeholders and expedite the resolution of investigations
- Examine communication tools, templates, and strategies designed to convey investigation findings across diverse disciplines

## 706 Monitoring Access to Protected Health Information (PHI)

*Track: Auditing & Monitoring*

*Level: Basic*

BRENDA ARGUELLO, Compliance Auditor, University Health

DARRYL RHAMES, Director of Internal Compliance, University Health

- What types of PHI access should you monitor for?
- What policy content should you have in place to support your monitoring process?
- Explain examples of access issues and tools used to decrease HIPAA and other policy violations

## 707 Establishing and Operating an Effective Compliance Program for AKS and Stark Risks

*Track: Compliance Law*

*Level: Intermediate*

STEVEN ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Discuss (and work with peers on designing) key elements of an arrangements compliance program
- Understand how compliance personnel can work most effectively with other organizational personnel to assure AKS and Stark compliance
- Operating arrangements compliance programs, self-disclosing relevant instances of non-compliance, and serving as a focus arrangements IRO

## 708 Realizing the Promise of Nursing Home Care for Residents: An OIG Perspective

*Track: Post-Acute Care*

*Level: Intermediate*

KATIE ARNHOLT, Deputy Branch Chief, Office of Counsel to the Inspector General

DANIELLE FLETCHER, Deputy Reg Inspector General, DHHS/OIG/OEI

VICKI ROBINSON, Senior Counsel for Policy, Office of the Inspector General

- OIG's plans for oversight of nursing homes
- Current and emerging risk areas
- The role of compliance in addressing risks and improving nursing home care

3:45–4:00 PM

## Break

4:00–5:00 PM

## GS3 GENERAL SESSION: The Art of Collaboration

CHARLES ESTEN, Singer/Songwriter & Actor

- Ways to collaborate more effectively with others
- The value of understanding the vision of colleagues while staying true to your principles
- What points are negotiable and which are worth fighting over

5:00–5:05 PM

## Closing Remarks

5:05–7:00 PM

## Closing Reception

Close out the conference with appetizers and beverages, and Nashville's signature live music

## Wednesday, April 17

8:00 AM – 12:00 PM

### Registration Open

8:30 – 9:30 AM

### **W1 The Compliance Committee: From Just Another Meeting to a Vital Component of Your Compliance Program** • *LIVE BROADCAST*

*Track: General Compliance/Hot Topics*

*Level: Basic*

ADAM RIBNER, Senior Counsel, U.S. Department of Health and Human Services

TAMAR TERZIAN, Senior Counsel, OIG/HHS

STEVE FORMAN, Managing Senior Consultant, Strategic Management Services, LLC

- Understanding the basics: Committee role/environment, membership, meeting frequency, committee size
- Managing the advanced: Committee functions, indicators of success, evaluating committee effectiveness, committee oversight
- Addressing the challenges: Engaging committee members in committee work and promoting positive contributions to the compliance program
- Knowing where to go for additional help, guidance, or resources

### **W2 A New Era of Ethical Executives: Compliance Officers Becoming CEOs and Board Members** • *LIVE BROADCAST*

*Track: Professional Skills*

*Level: Advanced*

NAKIS URFI, Compliance Officer & ESG Leader, Babylon Healthcare, Inc

DAVID ORBUCH, Executive Vice President (retired), UnitedHealth Group

JENNY O'BRIEN, President and Principal, Blackbridge Advisors

- Listen to former and current compliance officers discuss their journeys towards working with and becoming CEOs and board members
- Understand the skillsets required to become a CEO and/or board member
- Identify how aspiring compliance officers can equip themselves with the experiences and tools to become a CEO and/or board member

### **W3 Hospital Compliance Audits** • *LIVE BROADCAST*

*Track: Auditing & Monitoring*

*Level: Basic*

TRUMAN MAYFIELD, Supervisor Auditor, U.S. Department of Health and Human Services – OIG – OAS

SCOTT PERRY, Sr. Auditor, OIG/HHS

MICHAEL JOSEPH, Senior Counsel, Office of Counsel to the Inspector General

- Why does the OIG focus on hospital compliance
- How does the OIG select hospitals for audit and what to expect during a hospital compliance audit
- What are common legal misunderstandings and points of contention

### **W4 Dancing with Therapy: How to Collaborate Beautifully with Your Therapy Team in Investigations in Long-Term Care Sector** • *LIVE BROADCAST*

*Track: Post-Acute Care*

*Level: Intermediate*

J. VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

- Recognize and understand the challenges involved in working and building rapport with your internal or contract therapy team
- Identify compliance areas and opportunities for collaboration with your internal or contract therapy team
- Share strategies and best practices to increase efficiency and effectiveness and remove points of friction in the collaboration process

### **W5 Developing and Implementing an Audit Program for Physician Services**

*Track: Physician Compliance*

*Level: Intermediate*

SANDRA GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen LLP

- What factors should be considered when developing a physician audit program?
- Deciding when to reach out to legal counsel and considerations for putting the audits under attorney-client privilege
- Which payers should be included in the provider's audit and best practices for how to handle government payers



## **W6 Update on Stark, Anti-kickback and EKRA Developments**

*Track: Compliance Law*

*Level: Intermediate*

AARON DANZIG, Partner,  
Arnall Golden Gregory LLP

GREGORY DEMSKE, Partner,  
Goodwin Procter LLP

TONY MAIDA, Partner,  
McDermott Will & Emery

- The most significant enforcement actions and settlements related to the Anti-Kickback Statute, Stark Law, and the Eliminating Kickbacks in Recovery Act
- Government guidance and health care fraud enforcement trends
- Takeaways on how to reduce AKS, Stark, and EKRA compliance risks and address possible violations

## **W7 Quality Report = Compliance Investigation + FCA Payback**

*Track: Investigations*

*Level: Advanced*

D. SCOTT JONES, Vice President, Compliance / Chief Compliance and Privacy Officer,  
Augusta Health

JANICE KITTS-COOK, Policy and Process Manager,  
Augusta Health

- Learn how a consulting quality report led to a False Claims Act investigation and CMS repayment
- Discuss how to manage and review draft reports for issues that could become compliance concerns
- Establish a process for consulting report assessment and review, including manager and consultant collaboration with compliance and legal

**9:30 – 9:45 AM**

## **Break**

**9:45 – 10:45 AM**

## **W8 Setting Up Success in Provider Relief Fund Decision Review Requests • LIVE BROADCAST**

*Track: General Compliance/Hot Topics*

*Level: Basic*

HANNAH CROSS, Partner, Nelson Mullins

- Lay out the fundamental terms and conditions of the Provider Relief Fund (PRF), and explain background regarding the purpose of the PRF
- Review various demand letter formats, the receipt of the final repayment demand, and the decision review request rights that are attached
- Outline how to prepare for a decision review request, and what requests are likely to be granted based upon HRSA determinations to date

## **W9 Information Blocking • LIVE BROADCAST**

*Track: Privacy & Security*

*Level: Basic*

JAMES HANSEN, Senior Counsel, OIG/HHS

SCOTT STIEFEL, Senior Counsel, OIG/HHS

- Describe conduct that constitutes information blocking
- Name OIG's enforcement priorities for information blocking investigations of health IT developers of certified health IT and health information exchanges or networks
- Describe the general investigation and enforcement process for information blocking investigations of health IT developers of certified health IT and health information exchanges or networks

## **W10 Auditing Professional Telehealth Claims for Coding Risk • LIVE BROADCAST**

*Track: Telehealth*

*Level: Basic*

JACOB GEERTSEN, Technical Manager,  
Intermountain Healthcare

- Become familiar with the coding and billing requirements for professional telehealth-related services
- Identify all areas of coding risk to be evaluated in a telehealth audit
- Understand what telehealth guidelines and requirements may be changing at the end of 2024

## **W11 New Paradigm in Ethics & Regulation of Research That Use Technology-Enabled Data: AI, Machine Learning, Real-World Data, and Research in a Virtual Age • LIVE BROADCAST**

*Track: Technology & Innovation*

*Level: Intermediate*

RENEE PIERRE-LOUIS, Executive Compliance Officer, Human Subjects Research & Academic Affairs, Nuvance Health

- Learn about novel and emerging pathways for collecting data and their impacts on decentralized clinical trials
- Review FDA guidance documents and SACHRP recommendations and explore privacy and confidentiality in the context of technology-enabled research
- Equip compliance officers to apply knowledge gained to strengthen compliance programs and support growing strategic needs of organizations

## W12 Navigating the Compliance Landscape: Overcoming Obstacles on the Path to Success

*Track: Professional Skills*

*Level: Intermediate*

NIURKA ADORNO-DAVIES, AVP Compliance, Molina Healthcare, Inc

SCOTT INTNER, Chief Compliance Officer, Consultant

- Balancing act: Managing stress and maintaining perspective
- Solving the puzzle: Finding your place and building relationships
- Leveraging experience: Identifying risks and providing value

## W13 PCI 4.0 and What It Means to Healthcare Compliance

*Track: Auditing & Monitoring*

*Level: Intermediate*

CARLYN NICHOLS, Ascension

JUSTIN BLAIR

- Gain a general understanding of the Payment Card Industry (PCI) within healthcare
- Deeper dive into PCI Data Security Standard (DSS) 4.0 and a practical application to your environment
- Explore the latest PCI healthcare risks and trends

## W14 When Pigs Fly: Facing the Impossible Task of Formulating Policies That Meet the Needs of Employees and the Expectations of Regulators

*Track: Risk Management*

*Level: Intermediate*

MARY SHIRLEY, Head of Compliance, Masimo

LISA ESTRADA, Chief Administrative Officer and General Counsel, ScionHealth

- Learn the hard truths behind why approaching policies the way we always have always falls short
- Learn from one company's case study of disrupting the conventional process for a better approach
- Take away actionable ideas to disrupt the conventional mindset and level up your company's approach to policies and policy management

**10:45 – 11:00 AM**

### Break

**11:00 AM – 12:00 PM**

## W15 Progression of Civil Rights in Healthcare: Section 1557 of the Affordable Care Act and Title IX of the Education Amendments • LIVE BROADCAST

*Track: General Compliance/Hot Topics*

*Level: Basic*

CATHERINE MASOUD, Compliance Manager, University of Kentucky

SARAH HESLER, Language Services Manager, University of Kentucky

MARTHA ALEXANDER

- Outline specific requirements for language services, medical residency, and other educational programs that accept federal funds
- Discuss common goals and useful tools for educating staff and operationalizing processes
- Share practical tips for developing or improving patient grievance and complaint policy

## W16 Enforcement and Private Equity • LIVE BROADCAST

*Track: Compliance Law*

*Level: Intermediate*

JAMES SHEEHAN, Chief, Charities Bureau, NY Attorney General

KIRK OGROSKY, Partner, Goodwin Procter LLP

MATTHEW F. TORMEY, Chief Compliance Officer, Ensemble Health Partners

- Private equity participation in the healthcare industry
- Basis for liability for private equity
- Managing risk and compliance

## W17 Navigating the New Enforcement Agenda: Tools and Tips • LIVE BROADCAST

*Track: Physician Compliance*

*Level: Intermediate*

SEAN MCKENNA, Partner, Nelson Mullins

AARON NARVA, CEO, Confluxis

MELISSA SCOTT, Managing Director, Stout

- Leverage knowledge from a former federal prosecutor, current compliance official, and former law enforcement analyst how to effectively manage enforcement and liability concerns
- Obtain tips on conducting internal audits/ investigations, as well as responding to external ones, through data mining and other techniques
- Learn leading practices to mitigate adverse consequences and conflicts of interest implicating state and federal fraud and abuse laws

## **W18 Wholehearted Journey to Ethics and Compliance! Using the Research Based Work of Brené Brown to Engage Staff, Empower Teams and Enhance Organizational Culture in Behavioral Healthcare** • *LIVE BROADCAST*

*Track: Behavioral Health*

*Level: Basic*

MAEVE O'NEILL, National Compliance Director, Circa BehavioralHealthcare Solutions

- Understand concepts of resilience in mental health and addiction professionals
- Apply to daily work in treatment center teams
- Plan how to integrate concepts into compliance plan and program in behavioral health care organizations

## **W19 Who's Watching Your Residents? A Guide for Collaboration between Academic Institutions and Health Systems**

*Track: Privacy & Security*

*Level: Intermediate*

BRIAN COLONNA, Director of Compliance, Renown Health

MELODY ALIJANI

- How to integrate GME policies in handling sensitive cases while maintaining compliance with HIPAA regulations in a community hospital setting
- Identify gaps in current GME policies and how to improve them to effectively maintain patient privacy and ensure compliance with HIPAA requirements
- Improve collaboration between the hospital and GME program for education, investigations, and sanctions while upholding program and HIPAA standards

## **W20 Do the Right Thing: Emergency Preparedness in the Post-pandemic World**

*Track: Risk Management*

*Level: Intermediate*

ROBYN HOFFMANN, Senior Manager, BerryDunn

- Analyze federal emergency preparedness requirements for federally qualified health centers (FQHCs) and rural health centers (RHCs)
- Define the core elements of a compliant emergency preparedness plan, based on a documented, facility-based, and community-based risk assessment
- Develop a communication plan that addresses trainings, testing exercises, and documents the outcomes of all drills, exercises, and emergency events

## **W21 The Journey to In-House Therapy: A Senior Care Community's Quest for Success**

*Track: Case Studies*

*Level: Intermediate*

ANGELE TRAN, Compliance Manager, Friends Services Alliance

ERIN ALEXANDER

- Identify factors why in-house therapy is a quality option
- Celebrate achievements and share lessons learned which ultimately lead to better outcomes and enhanced services
- Discuss how a dynamic partnership with therapy management and compliance consultant can guide team success and growth

1:30 – 1:45 PM

### **CCB Exams Check-in**

1:45 – 4:45 PM

### **CCB Exams (optional, preapproval required)**

- Certified in Healthcare Compliance (CHC)<sup>®</sup>
- Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>
- Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>

# 28th Annual Compliance Institute

April 14–17, 2024 • Nashville, TN / April 15–17, 2024 • Virtual



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### In-Person Options

Full Conference (Sunday–Wednesday)		
<input type="checkbox"/>	Member	\$1,699
<input type="checkbox"/>	Non-Member	\$1,999
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,924
Main Conference (Monday–Wednesday only)		
<input type="checkbox"/>	Member	\$1,399
<input type="checkbox"/>	Non-Member	\$1,699
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,624

\*Save by joining today (first-time members only). Dues renew at \$325.

### Group Discount

<input type="checkbox"/>	Group Discount for 3–9**	(\$50)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$100)

\*\*Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ \_\_\_\_\_

### Volunteer Project • Saturday, April 13, 12:00–4:00 PM

Sign me up for the in-person Volunteer Project

### Dietary Needs Request (for in-person attendees only)

Gluten Free  Kosher Certified  Lactose Intolerant  No Red Meat/Pork  Nut Allergy  
 Shellfish/Seafood Allergy  Vegan  Vegetarian  Other \_\_\_\_\_

On-site Attendee Mobile Phone (for emergency on-site use only) \_\_\_\_\_

Emergency Contact (name & phone) \_\_\_\_\_

### Virtual Options

Main Conference (Monday–Wednesday only)		
<input type="checkbox"/>	Member	\$1,049
<input type="checkbox"/>	Non-Member	\$1,299
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,274

\*Save by joining today (first-time members only). Dues renew at \$325.

### Group Discount

<input type="checkbox"/>	Group Discount for 3–9**	(\$50)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$100)

\*\*Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ \_\_\_\_\_

**Group Discount:** Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

**HCCA Membership:** By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at [hcca-info.org/membership-terms-and-conditions](http://hcca-info.org/membership-terms-and-conditions). Visit [hcca-info.org/privacy](http://hcca-info.org/privacy) to see the full use of your information or to opt out.

**Opt Out:** Select if you would like to opt out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

**Registration FAQs:** Visit [hcca-info.org/faqs-national-person](http://hcca-info.org/faqs-national-person) for answers to frequently asked questions (FAQs) about your registration.

## SECTION 3 Payment

Register online with credit card payment at [hcca-info.org/2024CI](http://hcca-info.org/2024CI)

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

Invoice me Purchase Order Number (attach PO) \_\_\_\_\_

Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

**Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.**

Email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) or call HCCA at 952.988.0141 or 888.580.8373.

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [hcca-info.org/event-terms-and-conditions](http://hcca-info.org/event-terms-and-conditions), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit [hcca-info.org/privacy](http://hcca-info.org/privacy) to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Questions? Call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

# 28th Annual Compliance Institute

April 14–17, 2024 • Nashville, TN / April 15–17, 2024 • Virtual



## Primary Venue

**Music City Center**  
201 Rep. John Lewis Way South  
Nashville, TN 37203

All conference sessions/breakouts and exhibit space for the Compliance Institute will be at the Music City Center.

**HOUSING:** You have a choice of where you stay when you attend the annual conference, but when booking through the official housing block with the links provided at right, you are supporting the association by avoiding fees that result from significant sections of the room blocks being reserved but left unfilled or canceled at the time of the conference. If HCCA does not achieve a minimum number of overnight accommodations, the price of service can increase registration fees for future programs. Without your support, HCCA faces financial penalties for unused sleeping rooms.

**PLEASE NOTE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

## HCCA has group room blocks at the following hotels.

**HCCA has group room blocks at the following hotels. Please note that the Embassy Suites and Hyatt House are SOLD OUT of all nights. Omni & Westin have very limited availability, depending on your dates of travel. Hyatt Place currently has all nights available.**

**Hyatt Place Nashville Downtown**  
301 3rd Ave South  
Nashville, TN 37201

[Click here](#) to make hotel reservations online. You may also call 1.800.993.4751, referencing the code G-HCCA. The group rate is \$299 per night for single/double occupancy plus tax. The cutoff date to receive the group rates is Thursday, March 21, 2024, or once the group block is full, which may be prior to this date. Please cancel your reservation 72 hours prior to arrival to avoid penalty.

**Omni Nashville Hotel**  
250 5th Avenue South  
Nashville, TN 37203

[Click here](#) to make hotel reservations online or call 1.800.THE.OMNI and reference 2024 HCCA Compliance Institute. The group rate is \$292 per night for single/double occupancy plus tax. The cutoff date to receive the group rates is Thursday, March 21, 2024, or once the group block is full, which may be prior to this date. Please cancel your reservation 72 hours prior to arrival to avoid penalty. There is no deposit required at the time of booking.

**The Westin Nashville**  
807 Clark Place  
Nashville, TN 37203

[Click here](#) to make hotel reservations online. The group rate is \$289 per night for single/double occupancy plus tax. The cutoff date to receive the group rates is Thursday, March 21, 2024, or once the group block is full, which may be prior to this date. Please cancel your reservation by 4pm 48 hours prior to arrival to avoid penalty. There is no deposit required at the time of booking.

**Hyatt House® Nashville Downtown / Convention Center**  
535 Rep. John Lewis Way S  
Nashville, TN 37203

**The Hyatt House is currently SOLD OUT of rooms at the group rate.**

[Click here](#) to make hotel reservations online. The group rate is \$289 per night for single/double occupancy plus tax. The cutoff date to receive the group rates is Thursday, March 21, 2024, or once the group block is full, which may be prior to this date. Please cancel your reservation 72 hours prior to arrival to avoid penalty. There is no deposit required at the time of booking. The group rate is only available Friday, April 12 through Wednesday, April 17, 2024.

**Embassy Suites by Hilton Nashville Downtown**  
708 Demonbreun St  
Nashville, TN 37203

**The Embassy Suites is currently SOLD OUT of rooms at the Group Rate.**

[Click here](#) to make hotel reservations online. The group rate is \$299 per night for single/double occupancy plus tax. The cutoff date to receive the group rates is Sunday, March 17, 2024, or once the group block is full, which may be prior to this date. Please cancel your reservation by 4pm 72 hours prior to arrival to avoid penalty. There is no deposit required at the time of booking.