## Virtual Healthcare Compliance Essentials Workshop

This four-day virtual workshop is dedicated to helping you develop and improve your compliance management skills. Attendees will have the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs) from the convenience of their own home or office.

#### 2024 Workshops

February 5–8 • Pacific Time (PT) May 13–16 August 5–8 December 2–5 Workshops are held in Central Time (CT)

Workshops are held in Central Time (CT, unless otherwise noted

### **Register online** hcca-info.org/essentials



## About the Healthcare Compliance Essentials Workshop

HCCA's Healthcare Compliance Essentials Workshop is a four-day virtual conference dedicated to helping you develop and improve your compliance skills. Our instructors are industry leaders that will guide you through core subject areas and help you build a foundation for your compliance career.

#### Learning objectives

- Introduction and background to compliance and ethics programs
- Standards and procedures
- Governance, oversights, and authority
- Risk assessment
- Due diligence in delegation of authority
- Communication and training
- Incentives and enforcement
- Monitoring, auditing, and reporting systems
- Investigations
- Response to wrongdoing
- Program improvement
- HIPAA, Stark Law, Anti-Kickback Statute, and False Claims Act
- Key skills necessary for compliance professionals

#### Who should attend?

- Compliance officers
- Internal auditors
- Data privacy personnel
- Regulatory compliance specialists
- In-house or external compliance lawyers
- Compliance students
- Compliance analysts
- Compliance assistants
- Compliance specialists
- Compliance coordinators
- Compliance administrators

#### Our instructors



**Doriann Cain** Associate Faegre Drinker Biddle & Reath LLP



Sarah Couture, RN, CHC, CHRC Principal Couture Compliance



**Tomi Hagan, CHC, CHPC, MSN, RN** Chief Compliance Officer Great River Health System



**Steve Lokensgard, JD** Partner Faegre Drinker Biddle & Reath



Jim Passey, CHC, CHPC Vice President Chief Compliance & Privacy Officer Universal Health Services, Inc.



**Traci Rooks, JD, CHC** Program Coordinator, Health Justice Certificate University of Providence



Adam Turteltaub, CHC, CCEP Chief Engagement & Strategy Officer SCCE & HCCA



Kenneth Zeko, CHC, JD Principal Advisor Hall Render Advisory Services

Instructors subject to change.

# **Become certified**

Demonstrate your knowledge, gain credibility, and enhance your career with a Compliance Certification Board (CCB)<sup>®</sup> certification.

While there is no substitute for experience, this course covers healthcare compliance essentials and the continuing education units (CEUs) needed to help you prepare for your certification exam.







## Follow these 5 steps to certification







- Gain Work Experience
- Earn & Submit CEUs

Apply to Take Exam

Schedule Your Exam



Exam

## Take your exam online!

CCB now offers a remote proctored exam option. For more information, visit hcca-info.org/exam-info.



Learn more hcca-info.org/certification

## Agenda Healthcare Compliance Essentials Workshop

The February 5–8 workshop will be held in Pacific Time (PT). All other workshops will be held in Central Time (CT). All sessions listed are basic education level. Agenda and instructors are subject to change.

MONDAY / DAY ONE	
8:55-9:00 AM	Welcome and Introduction
9:00–10:15 AM	<ul> <li>Intro and Background to C&amp;E Programs</li> <li>History and evolution of compliance and ethics programs</li> <li>Introduction to the required elements of a compliance and ethics program</li> <li>Expectations of DHHS and other regulators</li> </ul>
10:15-10:30 ам	Coffee Break
10:30 am-12:00 pm	<ul> <li>Standards and Procedures</li> <li>Understanding the importance of written standards including policies, procedures, and the code of conduct</li> <li>Form, function, and maintenance of written standards of conduct to support the compliance program</li> <li>Types and purposes of written standards and procedures</li> </ul>
12:00-12:45 рм	Mid-Conference Break
12:45–2:15 рм	<ul> <li>Governance, Oversight, Authority</li> <li>Compliance responsibilities at each level of an organization</li> <li>Positioning and structure of the compliance function</li> <li>Relationship with the board of directors</li> </ul>
TUESDAY / DAY TWO	
9:00-10:00 AM	Risk Assessment: Part 1 • Performing compliance risk assessments • Risk criteria to consider • Design and implementation of risk responses
10:00-10:15 ам	Coffee Break
10:15–11:00 ам	<ul> <li>Risk Assessment: Part 2</li> <li>Performing compliance risk assessments</li> <li>Risk criteria to consider</li> <li>Design and implementation of risk responses</li> </ul>
11:00-11:15 ам	Coffee Break
11:15 am-12:15 pm	Hot/Common Compliance Issues: Part 1 • Overview of HIPAA, Stark, Anti-Kickback and False Claims Act • Identification of critical compliance requirements • Trends and emerging issues
12:15-1:00 рм	Mid-Conference Break
1:00–1:45 рм	<ul> <li>Hot/Common Compliance Issues: Part 2</li> <li>Overview of HIPAA, Stark, Anti-Kickback and False Claims Act</li> <li>Identification of critical compliance requirements</li> <li>Trends and emerging issues</li> </ul>
1:45-2:00 рм	Coffee Break
2:00-3:15 рм	<ul> <li>Due Diligence in Delegation of Authority</li> <li>Identifying who has important compliance responsibilities</li> <li>Issues with employee background checks</li> <li>Due diligence for vendors and other third parties</li> </ul>
3:15-4:15 рм	<b>Optional Networking Hour</b> Use this hour to interact and engage with fellow attendees and instructors. Recap the last two days, ask questions, and make connections.

## Agenda Healthcare Compliance Essentials Workshop

The February 5–8 workshop will be held in Pacific Time (PT). All other workshops will be held in Central Time (CT). All sessions listed are basic education level. Agenda and instructors are subject to change.

WEDNESDAY / DAY THREE		
9:00- 10:15 am	Communication & Training • Risk-based identification of training needs • Development and delivery of compliance training • Compliance and ethics-related communications	
10:15-10:30 ам	Coffee Break	
10:30–11:30 am	<ul> <li>Monitoring, Auditing &amp; Reporting Systems: Part 1</li> <li>Understanding the essential aspects of auditing and monitoring</li> <li>Developing the compliance auditing and monitoring plan</li> <li>Techniques and approaches to auditing and monitoring</li> </ul>	
11:30-11:45 ам	Coffee Break	
11:45 ам-12:45 рм	<ul> <li>Monitoring, Auditing &amp; Reporting Systems: Part 2</li> <li>Understanding the essential aspects of auditing and monitoring</li> <li>Developing the compliance auditing and monitoring plan</li> <li>Techniques and approaches to auditing and monitoring</li> </ul>	
12:45-1:30 рм	Mid-Conference Break	
1:30-2:45 рм	<ul> <li>Investigations</li> <li>Key steps in performing compliance investigations</li> <li>Conducting effective interviews and gathering evidence</li> <li>Concluding and reporting on investigations</li> </ul>	
THURSDAY / DAY FOU	UR	
9:00–10:15 am	Response to Wrongdoing         • Actions to take when wrongdoing is discovered         • Performing root cause analysis         • Designing and implementing remediation actions	
10:15-10:30 ам	Coffee Break	
10:30–11:45 am	Incentives and Enforcement <ul> <li>Active promotion of the compliance and ethics program</li> <li>Use of incentives to encourage compliance</li> <li>Discipline for identified wrongdoing</li> </ul>	
11:45 ам-12:00 рм	Coffee Break	
12:00-1:00 рм	<ul> <li>Program Improvement</li> <li>Methods of assessing performance of the compliance and ethics program</li> <li>Focus on continuous improvement of the program</li> <li>Internal vs. third-party assessments</li> </ul>	
1:00-1:30 рм	Mid-Conference Break	
1:30-2:30 рм	<ul> <li>What is Next for Me and My Program?</li> <li>Key skills necessary for compliance professionals</li> <li>Taking action to have a successful career in compliance</li> <li>Applying what you have learned to your compliance program</li> </ul>	
2:30-3:30 рм	<b>Optional Networking Hour</b> Use this hour to interact and engage with fellow attendees and instructors. Recap the last four days, ask questions, and make connections.	

#### Healthcare Compliance Essentials Workshop



SECTION 1 Attendee Information			
OMr OMrs OMs ODr OOther			
First Name	MI	Last Name	
Credentials (CHC, CCEP, etc.)	Job Title		
Organization (name of employer)			
Street Address			City/Town
State/Province Zip/Postal Code		Country	
Work Phone Em	ail (required)		

#### SECTION 2 Registration

#### **Compliance & Ethics Essentials Workshops**

February 5–8, 2024 • Virtual • Pacific Time (PT)	Price increase 1/8/24
May 13–16, 2024 • Virtual • Central Time (CT)	Price increase 4/15/24
August 5–8, 2024 • Virtual • Central Time (CT)	Price increase 7/8/24
December 2–5, 2024 • Virtual • Central Time (CT)	Price increase 11/4/24

Registration FAQs: Visit hcca-info.org/faqs-essentials-workshops for answers to frequently asked questions (FAQs) about your registration.

HCCA Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at hcca-info.org/membership-terms-and-conditions. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

Options		Early Bird*	Regular Price
	Member	\$945	\$995
	Non-Member	\$1,245	\$1,295
	Registration + First-Time Membership**	\$1,170	\$1,220

\*Early Bird: pricing increases 28 days prior to the start of the event. See dates of price increases at left. \*\*Save by joining today (first-time members only). Dues renew at \$325.

#### Group Discount

Group Discount for 3–9 <sup>***</sup>	(\$50)
Group Discount for 10 or More"	(\$100)

\*\*\*Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$\_\_\_\_\_

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registratis are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

#### SECTION 3 Payment

Register online with credit card payment at hcca-info.org/essentials

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

□ Invoice me Purchase Order Number (attach PO) \_

#### ☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.

Email helpteam@hcca-info.org or call HCCA at 952.988.0141 or 888.580.8373.

#### SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at hcca-info.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.