



# BOSTON

Regional Compliance Conference

Friday, September 7, 2018

TOPICS: 42 C.F.R. Part 2 | Health Care Fraud Investigation  
EHR Phishing Attack | Billing Data Analysis



Questions? Contact Amber Zerin, [amber.zerin@corporatecompliance.org](mailto:amber.zerin@corporatecompliance.org)

*Network Locally & Earn CEUs*

## SPONSORS & EXHIBITORS



Register online at [hcca-info.org/regionals](http://hcca-info.org/regionals)

7:30 – 8:25 AM

## Registration & Continental Breakfast

8:25 – 8:30 AM

## Welcome and Announcements

Program Co-Chairs



**Karen Murray, MBA, FACHE, CHC, CHPC, CHRC**  
Senior Vice President  
Chief Compliance Officer  
Steward Health Care System



**Larry Vernaglia, JD, MPH**  
Partner  
Health Law Group, Foley & Lardner, LLP

8:30 – 9:30 AM

## Billing Compliance Issues in Inpatient and Outpatient Behavioral Health Programs



**Wendy Chartrand, CHC**  
Privacy Ofcr/Corp Compliance Director  
UMass Memorial Health Alliance –  
Clinton Hospital



**Kelly J. Sauders, CPA, MBA**  
Partner  
Deloitte Advisory



**Torrey Young, JD, MPH**  
Senior Counsel  
Foley and Lardner LLP

- Documentation requirements specific to various behavioral health programs
- Provider licensure and scope of services implications
- Training, auditing and monitoring, and tools

9:30 – 9:40 AM

Break

9:40 – 10:40 AM

## Billing Data Analysis



**Jim Bryant, BA, JD**  
Vice President & Chief Compliance Officer, Brigham Health



**Steve Gillis**  
Director, Compliance Coding Billing & Audit, Partners Healthcare



**David Yarin**  
Principal  
Deloitte Advisory

- Transforming Raw Data into Meaningful Analytics
- Understanding Data capture and use in Data analysis
- Discussion of existing technologies to facilitate compliance activities such as data analytics, auditing and monitoring
- Discussion and demonstration of robotics process automation (“Data bots”) and their use in compliance functions

10:40 – 10:50 AM

Break

10:50 – 12:35 PM

## How to Educate, Respond, and Survive an EHR Phishing Attack – Perspectives from the Fields of IT Security and Privacy



**Andrew (Andy) Seward**  
Chief Information Security Officer  
Elliot Health System



**Linda Jo Spencer**  
Chief Compliance and Privacy Officer  
Middlesex Health System



**Glenn Wilson**  
Senior Manager  
Deloitte

- What are the phishing attackers looking for and how can you prevent their success?
- How to educate your organization against a Phishing Attack from the IT Security and Privacy Officer Perspective
- A real life scenario of what happens when your organization experiences a phishing attack, and how Privacy and IT Security professionals coordinated the response
- Specific tools/trainings leading industry experts advise use to advise on how best to prepare, educate and defend against a phishing attack

12:35 – 1:30 PM

## Networking Lunch (provided)

1:30 – 2:30 PM

## Why do I have to worry about 42 C.F.R. Part 2 – Confidentiality of Substance Use Disorder Patient Records?



**Hannah Baldwin, JD, CHC, CHPC**  
Compliance Manager  
Elliot Health System



**Lisa Adragna**  
Clinical Integration Program Director  
Partners Healthcare

**Christine Griffin, JD, HIM**  
Director and Privacy Officer  
Massachusetts General Hospital



**Travis Smith, JD, MPH**  
Head of Policy & General Counsel  
Collective Medical Technologies

- Gain an understanding of 42 C.F.R. Part 2 and the various programs that may be considered SUD programs, including Drug Courts, Inpatient, Partial Hospitalization, Residential, Intensive Outpatient Treatment, and Medication Assisted Treatment Programs
- Sharing (or not sharing) health information
  - From a Provider’s perspective
  - From an Integrated Delivery Network’s perspective

2:30 – 2:45 PM

Break

2:45 – 3:30 PM

## Understanding the FBI’s Role in A Health Care Fraud Investigation

**Rita Iacone**  
Supervisory Special Agent  
Health Care Fraud Squad, Boston  
Division, FBI

- Explain the mission of the FBI with respect to Health Care Fraud
- Discuss the FBI’s partnership with federal, state agencies and private industry partners
- Discuss investigation framework & investigative techniques

3:30 – 4:30 PM

## Understanding the OIG’s Self-Disclosure Protocol: Experiences from the Field

**John W. O’Brien**  
Senior Counsel, Office of Counsel  
to the Inspector General, U.S.  
Department of Health and Human  
Services



**Lawrence W. Vernaglia, J.D., M.P.H.**  
Chair, Health Care Industry Team  
Foley & Lardner LLP

- Overview of the SDP process and its benefits
- OIG’s expectations and experiences for entities filing under the SDP
- Thoughts on what types of compliance challenges should be brought through the SDP vs. other reporting methodologies (MAC refund, CMS self-referral disclosure protocol, State Attorney General, Department of Justice, other law enforcement)
- Tips and advice to help make the SDP process be more efficient

4:30 PM

## Brief closing Remarks /Conference Adjourns

## REGISTRATION FEE

*Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.*

- HCCA Member**.....\$199
- Non-Member**.....\$245
- Registration & HCCA membership**.....\$399

*Save by joining HCCA today (first time members only). Annual dues regularly \$295.*

**TOTAL \$** \_\_\_\_\_

## ATTENDEE INFORMATION (please type or print)

Mr.  Mrs.  Ms.  Dr.

HCCA Member/Account Number \_\_\_\_\_

First Name \_\_\_\_\_ M.I. \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CHRC, CHPC, etc.) \_\_\_\_\_

Title \_\_\_\_\_

Place of Employment \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

E-mail (required for confirmation and conference information) \_\_\_\_\_

## SPECIAL REQUEST DIETARY ACCOMODATION

Gluten free  Kosher  Vegetarian  Vegan  other \_\_\_\_\_

## PAYMENT METHOD

**Mail check (including registration form) to:**

HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435

**Fax to:** +1 952.988.0146

Check enclosed (payable to HCCA)

Invoice me

I authorize HCCA to charge my credit card (choose below)

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Credit Card Account Number \_\_\_\_\_

Credit Card Expiration Date \_\_\_\_\_

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Cardholder's Signature \_\_\_\_\_

*If your total is miscalculated, HCCA will charge your card the correct amount. Hotel accommodations are not included in the registration fee, but continental breakfast and lunch are provided. (AREA0118)*

Due to PCI compliance, please do not provide any credit card information via email. You may email this form (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information, fax your registration to 952.988.0146, or visit [www.hcca-info.org/regionals](http://www.hcca-info.org/regionals) and register online

## HOTEL/CONFERENCE LOCATION:

### Marriott Boston Newton

2345 Commonwealth Ave., Newton, MA 02466

A special rate of \$189.00 (plus tax) per night has been arranged for the conference. Make your reservations directly with Marriott reservations at 800.228.9290 or 617.969.1000 by August 10, 2018. Mention the Health Care Compliance Association for the special rate. The room block may sell out prior to the hotel cutoff date

**NOTICE:** Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact 888.580.8373.

**CONTINUING EDUCATION:** HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email [CCB@ComplianceCertification.org](mailto:CCB@ComplianceCertification.org). Visit HCCA's website, [www.hcca-info.org](http://www.hcca-info.org), for up-to-date information.

**ACHE:** The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**COMPLIANCE CERTIFICATION BOARD (CCB):** CCB has awarded a maximum of 7.8 CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance–Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

**CONTINUING LEGAL EDUCATION (CLE):** The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of 6.5 clock hours of CLE credit will be available to attendees of this conference from these states. Upon request HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [learningmarket.org](http://learningmarket.org). The education level for this activity is considered basic. No prerequisites are required for this education. A recommended maximum of 7.5 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

**NURSING CREDIT:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 7.8 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [CCB@ComplianceCertification.org](mailto:CCB@ComplianceCertification.org) with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

**GROUP DISCOUNT:** 5 or more: \$25 discount for each registrant. 10 or more: \$40 discount for each registrant. Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**CANCELLATIONS/SUBSTITUTIONS:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original cancelled event. Conference credits may be used towards any HCCA service or product, except The Healthcare Compliance Professionals Manual. If a credit is applied towards an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email at [help@hcca-info.org](mailto:help@hcca-info.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**AGREEMENTS & ACKNOWLEDGEMENTS:** I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA may take photographs and/or video at this HCCA Regional Compliance conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA Regional conference, I grant HCCA the right to use my name, photograph, video and biography for such purposes.

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