

## Thursday, October 10

7:30 – 8:25 AM

### Registration and Continental Breakfast

8:25 – 8:30 AM

### Welcome and Opening Remarks

8:30 – 9:30 AM

### Using a Learning Management System to Improve Your Compliance Program

Robert Brown  
Director of Compliance, University of Washington

- Consolidate and track your training completions in a Learning Management System (LMS)
- Generate reports and enlist managers to help you police completions
- Say goodbye to decentralized paper files and hello to electronic signatures

9:30 – 9:45 AM

**Break**

9:45 – 10:45 AM

### Compliance Committee Functions

Lea Fourkiller  
Managing Director, Ankura Consulting Group

Industry has demonstrated that the formation of a Management Compliance Committee can be an effective addition to a compliance program. In this session we will discuss:

- Formation and composition of your compliance committee
- Gaining support and commitment for your compliance committee
- Compliance professionals role with the compliance committee
- The management compliance committee functions

10:45 – 11:00 AM

**Break**

11:00 AM – 12:00 PM

### Supporting Compliant Billing in Co-located Physician and Hospital Space – From Stem to Stern

Anne Daly  
Chief Compliance & Integrity Officer, Children's Hospital of Chicago Medical Center

- Providing all services in a convenient location to support patients' health, convenience, and adherence to their treatment plans
- The co-location that makes such care possible comes with rules and regulations that are not intuitive or easily understood

12:00 – 12:45 PM

**Lunch**

12:45 – 1:45 PM

### Effective Compliance Oversight for Physician Financial Arrangements

Robert Wade  
Partner, Barnes & Thornburgh LLP

- Applicable Statutes/Regulations compliance should consider for physician financial arrangements
- Pitfalls compliance should consider when evaluating volume/value of referrals prohibition
- Fair Market Value vs. Commercial Reasonableness compliance oversight
- Best practices during operation of financial arrangements

1:45 PM – 2:45 PM

### Examples of Monitoring Activities

Sheryl Vacca  
SVP/Chief Risk Officer, Providence St Joseph Health

At the end of this session, the participant will be able to:

- Identify how to utilize their risk assessment process for identifying key priority areas for compliance monitoring
- Utilize tools provided for two different monitoring examples
- Network with the group participants on ideas for future compliance monitoring

2:45 – 3:00 PM

**Break**

3:00 PM – 4:00 PM

### Top Things a Privacy Officer Needs to Know, But Won't Encounter Everyday

Marti Arvin  
VP, Audit Strategy, CynergisTek

- Dealing with the release of information in unique circumstances: minors, law enforcement, etc.
- Business associate agreements
- Marketing, fundraising, and research
- Photos, phones, and privacy

## Friday, October 11

8:30 – 9:30 AM

### Continental Breakfast

9:30 – 10:30 AM

### Learning Compliance and Risk Through the Movies

Isabella Porter  
Director of Compliance, District Medical Group

Denise Atwood  
Chief Risk Officer, District Medical Group

- Define significance of risk and compliance preparation before a crisis event occurs
- Provide interactive ethical scenarios to gauge sensitivity readiness in order to plan for crisis aversion
- Illustrate the nuances between compliance and ethical operations and considerations

10:30 – 10:45 AM

**Break**

10:45 – 11:45 AM

### What Hospital and Healthcare System Compliance Officers Need to Know When Relying on an External Institutional Review Board (IRB)

Caroline Miner  
Research Compliance Manager  
Kaiser Permanente Hawaii Region

- Overview of the circumstances when hospitals and healthcare systems may need an IRB to review proposed research, uses of investigational drugs, biologics or devices, or the use of PHI for research purposes
- Identify and explain the two regulatory changes (effective 1/2019 and 1/2020) designed to move institutions toward greater reliance on a single, external IRB
- Outline what compliance officers should understand about selecting an external IRB, documenting the arrangement, and managing the division of responsibilities between the reviewing IRB and the relying institution

11:45 – 12:45 PM

**Lunch**

12:45 – 1:45 PM

### Enhanced Visibility: How Artificial Intelligence Enables Contracting Compliance

Kimberly Crow Hartsfield  
Chief Data Officer, TractManager

Advanced healthcare contract analytics and management processes can be leveraged as the catalyst for more strategic, data-driven business decisions, enabling:

- Analysis and reduction of costs during mergers, acquisitions, or other integration efforts
- Identification and mitigation of healthcare-specific compliance risks across the enterprise, such as missing BAAs
- Standardization of service-level agreements and consolidation of suppliers
- Rapid mining of contracts for specific clauses or language in support of cybersecurity risk evaluation or other regulatory audits

1:45 – 2:00 PM

**Break**

2:00 – 3:00 PM

### Advanced Practice Practitioners: Risks and Benefits in the Hospital Setting

Marie Wagner  
Operations Manager, Corporate Compliance, The Queen's Health Systems

Janette Hermosura  
Corporate Compliance Coordinator, The Queen's Health Systems

- Hospital expense vs independently billable service
- Stark Law or the Anti-Kickback Statute concerns
- Billing and documentation when working with physicians

3:00 – 3:15 PM

**Break**

3:15 – 4:15 PM

### Is Your Compliance Program Effective? – Panel

**Panelists:**

Lea Fourkiller  
Senior Director, Ankura Consulting Group

Debbie Troklus  
Managing Director, Aegis Compliance & Ethics Center LLP

Sheryl Vacca  
SVP/Chief Risk Officer, Providence St Joseph Health

- Discussion of measurements to be used to monitor effectiveness
- Discussion of the DOJ/HCCA/OIG effectiveness documents
- Interactive discussion conduct compliance effectiveness assessments

## Registration Fee

- HCCA Member** .....\$320
- Non-Member** .....\$370
- Registration & HCCA Membership** .....\$540  
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**TOTAL \$** \_\_\_\_\_

## Contact Information

Mr  Mrs  Ms  Dr

Member/Account ID (if known) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_

Job Title \_\_\_\_\_

Organization (Name of Employer) \_\_\_\_\_

Street Address \_\_\_\_\_

City/Town \_\_\_\_\_ State/Province \_\_\_\_\_

Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_

Email (required) \_\_\_\_\_

## Dietary Needs Request

- Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan
- Other \_\_\_\_\_

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Mail this form to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

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### Hilton Hawaiian Village Waikiki Beach Resort

2005 Kalia Road, Honolulu, Hawaii, 96815 | [bit.ly/Hawaii-19](http://bit.ly/Hawaii-19)

Make your sleeping room reservations directly with the hotel at 808.949.4321 and mention booking code AUB.

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