

Agenda and Learning Objectives

A Virtual Conference

Eastern Standard Time (EST)

8:25–8:30 AM EST

Welcome and Announcements

8:30–9:30 AM EST

Government Enforcement Panel

Kathleen Von Hoene
 Director - Medicaid Fraud Control Unit
 Office of the Attorney General

Randy Harwell
 Chief, Civil Division
 Office of The United States Attorney
 Middle District of Florida

Roger Handberg
 Chief, Orlando Division
 Office of The United States Attorney
 Middle District of Florida

Karen Glassman
 Senior Counsel
 Office of Counsel to the IG, DHHS

- Criminal, Civil and Administrative Enforcement During 2020
- Department of Justice and Medicaid Enforcement Priorities for Criminal and Civil Actions
- Office of Inspector General Developments and Initiatives for 2021

9:30–9:45 AM EST

Break

9:45–10:45 AM EST

Transform Your Policies: A (Relatively) Pain-Free Guide

Andrea Falcione
 Principal & Head of Advisory Services
 Rethink Compliance

Desiree Ramirez
 Chief Compliance & Integrity Officer UNT
 Health Science Center

- Building on momentum from its digital Code of Culture initiative, University of North Texas Health Science Center (UNTHSC) embarked upon a policy transformation journey to fundamentally change its approach to policy management
- Learn about UNTHSC's mandate to decrease the number of its policies from 425 to a more manageable 90 (or so!)
- Hear how UNTHSC evaluated its existing policy inventory and policy management process and then crafted and executed on a massive policy transformation plan

10:45–11:00 AM EST

Break

11:00 AM–12:00 PM EST

Compliance, Internal Investigations and Self Disclosure: Building the Team, Process, and Resolution

Gabriel Imperato
 Managing Partner
 Nelson Mullins Broad and Cassel
 SCCE & HCCA Board Member

Nancy Hayt
 VP, Corporate Responsibility, AdventHealth

- Identifying potential non-compliance through an effective compliance program
- Organizational governance and internal investigations; authority and practical considerations
- Methodology for internal investigations; establishment, personnel and resources, probable cause and preponderance of evidence, privilege, and findings of fact
- Practical tips; investigation workplan, conducting interviews, collecting documents and e-data, establishing facts and final report
- Resolution; remedial action and self-disclosure and managing the risk
- Compliance professionals and best practices

12:00–1:00 PM EST

Mid-Conference Break

12:15–12:45 PM EST

Networking and Discussion (optional)

Connect with your fellow attendees and enjoy a free-flowing conversation about what stood out during the sessions or a compliance challenge you want feedback on. The objective here is to enable conference participants to network with and learn from each other and help overcome the isolation of the past months. This optional networking event will be held on Zoom and participants may choose to be on camera.

1:00–2:00 PM EST

DOJ Updated Guidance: June 2020

Judy Ringholz
 VP of Compliance and Ethics & Chief Compliance Officer, Jackson Health System
 SCCE & HCCA Board Member

Sally Molloy
 Chief, Strat, Policy/Training Unit
 U.S. DOJ Criminal Division, Fraud

- Review DOJ's areas of focus when evaluating corporate compliance programs
- Recognize specific changes that have been made to DOJ's guidance document as it has evolved
- Explore processes compliance officers can design to engender confidence in their program's effectiveness

2:00–2:15 PM EST

Break

2:15–3:15 PM EST

Strategies and Best Practices for Responding to Enforcement Activities

Gabriel Imperato
 Managing Partner
 Nelson Mullins Broad and Cassel
 SCCE & HCCA Board Member

David Glaser
 Shareholder
 Fredrikson & Byron PA

- Responding to criminal, civil or administrative enforcement actions
- Best practices for interaction with government attorneys, agents and auditors
- Preparing current and former employees for government contacts

3:15–3:30 PM EST

Break

3:30–4:30 PM EST

Physician Compensation and Recent Changes to the Stark, Anti-Kickback and Beneficiary Inducement Laws

Lester Perling
 Partner
 Nelson Mullins Riley & Scarborough

Hannah Cross
 Associate
 Nelson Mullins Riley & Scarborough

- Case Law Developments and Hospital/Physician Compensation Arrangements
- Recent Stark and Anti-Kickback Statute Clarifications and Modifications and Physician Compensation
- Remuneration and Beneficiary Inducement and Telehealth

Registration Fee

<input type="checkbox"/>	HCCA Member	\$155
<input type="checkbox"/>	Non-Member	\$195
<input type="checkbox"/>	Registration & HCCA membership offer*	\$375

*Save by joining HCCA today (first-time members only) Dues regularly \$325

Group Discounts

<input type="checkbox"/>	Groups of 3 or more**	(\$20)
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**See "Group Discount Policy" under "Acknowledgements" for details.

TOTAL \$ _____

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

Payment

Online registration at hcca-info.org/regionals

Mail this form to

HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax this form to 952.988.0146

Email this form to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me
 Check enclosed (payable to HCCA)
 Wire transfer requested
 Credit card: I authorize HCCA to charge my:
 Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Virtual Conference

How does a virtual event work?

On January 29 login using the link and access provided during the scheduled session times to watch and listen to the speakers and ask questions live.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

COMPLIANCE CERTIFICATION BOARD (CCB)®: CCB has approved a maximum of 7.2 CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance— Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

AAPC: This program has the prior approval of the AAPC for 6.0 continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award 6.0 clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of 7.2 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

NURSING CREDIT: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 6.0 contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

HCCA is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. CEU totals are subject to change.

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. Only requests from registered attendees will be considered. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences. Select your conference, and then select the "Continuing Education" option on

ACKNOWLEDGMENTS: By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at hcca-info.org/regionals.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for marketing and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Virtual sessions will be recorded. By participating in an HCCA conference, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic medium any photograph or video containing your image or likeness for educational, news, or promotional purposes without compensation.

This virtual conference is only for the registered attendee and does not allow for more than one person on each dial in. If a second person would like to join they must fill a second registration form out. Only registered attendees are eligible to request CEUs for participation.

GROUP DISCOUNT POLICY: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.