Examining the foundational features of a patient protection-driven compliance program.

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Topics for Discussion

1. The Evolution of the Compliance Professional
2. The Impact of an Effective Compliance Program
3. Components of Best Practices Compliance
1 THE EVOLUTION OF THE COMPLIANCE PROFESSIONAL

• What have been the drivers that shaped the skills of the health care compliance professional historically?

• What are the required characteristics of a compliance officer today? And going forward?

1 THE EVOLUTION OF THE COMPLIANCE PROFESSIONAL

• Understanding laws, regulations and standards

• New formats of health care delivery

• Automation, technology and AI
2 THE IMPACT OF AN EFFECTIVE COMPLIANCE PROGRAM

• What is the impact on the operation of an organization?

• What is the impact on patient safety?

• What is the impact on talent retention?

2 THE IMPACT OF AN EFFECTIVE COMPLIANCE PROGRAM

• Must counteract these facts:

• Between 250,000 and 440,000 people die from medical errors annually.

• As much as 10% of U.S. health care spending is lost to fraud.
2 THE IMPACT OF AN EFFECTIVE COMPLIANCE PROGRAM

Between 250,000 and 440,000 people die from medical errors annually. That’s TWO 747’s crashing and killing the entire crew and all passengers every day each year.

As much as 10% of U.S. health care spending is lost to fraud. $350 Billion estimated in health care fraud of the $3.5 Trillion estimated health care spending in 2017.
3 COMPONENTS OF BEST PRACTICES COMPLIANCE

• Federal and State Laws

• Accreditation to Industry Standards

• Best Practices
**LAWS** Governing Health Care Compliance

Major Federal Acts of Legislation

- 1945 – Medicare
- 1965 – Medicaid
- 1986 – Healthcare Quality Improvement Act (HCQIA)
- 1996 – Health Insurance Portability and Accountability Act (HIPPA)
- 2005 – Patient Safety and Quality Improvement Act (PSQIA)
- 2009 – Children’s Health Insurance Program (CHIP)
- 2010 – Affordable Care Act (ACA)
- 2012 – Hospital Readmissions Reduction Program (HRRP)

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**STANDARDS** Guiding Health Care Compliance

- Det Norske Veritas Healthcare, Inc. (DNV)
- National Committee for Quality Assurance (NCQA)
- Medicare
- The Joint Commission on Accreditation of Healthcare Organizations (JCAHO)
- Utilization Review Accreditation Commission (URAC)
- Facility and service-specific accrediting organizations
**BEST PRACTICES** Assuring Health Care Compliance

Screening, verification and continuous monitoring against:

- Each licensing board nationwide across all taxonomies,
- Exclusions, sanctions, disciplinary actions, debarments, arrests, for every jurisdiction,
- All Federal enforcement agencies including: OIG, SAM, FBI, DEA, U.S. DOJ, FDA, TRICARE, FHEP,
- All state data sources including: state Medicaid exclusions, AG news, state contractor disqualification/debarment lists.

**Conclusion**

A highly effective compliance program is all-inclusive of adherence to the law, standards and custom-designed best practices that map to patient safety and reduction of fraud, waste and abuse.
Questions

Thank you