

#### HCCA's 25<sup>th</sup> Annual Compliance Institute Virtual Healthcare Compliance Conference *April 19 - 22, 2021*

Complete and submit this application to receive a certificate of attendance or continuing education units (CEUs). Check the box(es) below corresponding to the credit type(s) you wish to receive.

If you listened to the session recordings of this conference following the live original air dates

<u>CLICK HERE</u> to access the NON-LIVE CEU Application

Submit this application to the Compliance Certification Board (CCB)®:

email: ccb@compliancecertification.org | phone: 952.988.0141 | fax: 952.988.0146

CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F  (Upon receipt of this completed application, this CEU type is automatically assessed)	FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)   Certain sessions may qualify for CLE credits. Submit this application within seven days to allow for state reporting, if required.			
☐ AAPC   60-minute hour				
☐ ACHE   60-minute hour	Many states require active attendance			
☐ AHIMA   60-minute hour	monitoring. Please verify your CLE requirements with your state.			
□ NAB   60-minute hour				
State/License #	State & license #			
CE Registry # R	State & license #			
■ NASBA/CPE   Individuals MUST participate in the active attendance monitoring.	State & license #			
☐ RN – CA Board of Registered Nursing	☐ <b>Other</b>   Credit type not already listed.			
State/License #				
Active attendance monitoring will be	conducted during this virtual conference.			
<u> </u>	d certificates will be posted and viewable line within four weeks.			
AAPC, CLE, NAB, NASBA, RN, or Other: External credit certificates will be emailed within four weeks.				
By signing below, I attest that I HAVE ATTEND	DED THE SESSION(S) I indicated on this application:			
Print your name:				
Email:	Phone:			
Signature	Date:			

CONTINUED NEXT PAGE →

- ★ ATTENDEES must indicate "Attendee" for attendance below ONLY check sessions attended!
- ★ **SPEAKERS** must indicate "<u>Speaker</u>" for sessions presented and "<u>Attendee</u>" for sessions attended.
- ★ NOTE any session time missed if you dialed/logged in late or left early.

Monday, April 19				
9:40 – 10:10 am CDT (0.5 clock hour or 30 minutes)				
☐ Attendee	☐ Speaker	GENERAL SESSION 1: OIG Update		
10:10 – 10:40 a	m CDT (0.5 clo	ck hour or 30 minutes)		
☐ Attendee	☐ Speaker	<b>GENERAL SESSION 2:</b> A HIPAA Compliance and Enforcement Update from		
11:00 am – 12:0	00 pm CDT (1.0	clock hour or 60 minutes)		
☐ Attendee	☐ Speaker	M1A HIPAA in the Time of COVID-19: Considerations for Compliance		
☐ Attendee	☐ Speaker	M1B Essentials in Physician Practice Compliance		
☐ Attendee	☐ Speaker	M1C False Claims Act Part 1		
☐ Attendee	☐ Speaker	M1D Navigating Enforcement Actions, Investigations, and Settlements		
☐ Attendee	☐ Speaker	M1E Real-Time Audit and Monitoring: Compliance Favors Only		
☐ Attendee	☐ Speaker	M1F Compliance and Ethics Programs during and Post-Pandemic		
☐ Attendee	☐ Speaker	M1G Coronavirus 19: Where was ERM?		
☐ Attendee	☐ Speaker	M1H New to Compliance? Learn the Essential Components to Address		
☐ Attendee	☐ Speaker	M1I 25 Years of CI and HCCA: Where We've Been and Where We're		
☐ Attendee	☐ Speaker	M1J Internal Investigations: What's In Your Organization's Toolkit?		
12:20 – 1:20 pm	CDT (1.0 clock	c hour or 60 minutes)		
☐ Attendee	☐ Speaker	M2A Building a Cybersecurity Auditing and Monitoring Plan		
☐ Attendee	☐ Speaker	M2B Get Your Docs in a Row with a Physician Contracting Compliance		
☐ Attendee	☐ Speaker	M2C False Claims Act: Part 2		
☐ Attendee	☐ Speaker	M2D Retooling Your Compliance Program: Operationalizing the New		
☐ Attendee	☐ Speaker	M2E Addressing the Operational Challenges of Auditing Investigator		
☐ Attendee	☐ Speaker	M2F A Federal Regulatory Visit is on the Horizon: Are You Prepared		
☐ Attendee	☐ Speaker	M2G CARES Act: Risks and Mitigating Responses		
☐ Attendee	☐ Speaker	M2H Why Diversity and Inclusion for the Ethics and Compliance Professionals?		
☐ Attendee	☐ Speaker	M2I Avoiding Unnecessary Refunds		
☐ Attendee	☐ Speaker	M2J Utilizing 4 Leafed Clover of Compliance: Privacy & Security		
2:20 – 3:20 pm CDT (1.0 clock hour or 60 minutes) or (** = 45 Minutes)				
☐ Attendee	☐ Speaker	M3A How to Assess and Address the Unique Cybersecurity and Data		
☐ Attendee	☐ Speaker	M3B What is the Maximum Permissible Dose of Morphine or Fentanyl?		
☐ Attendee	☐ Speaker	M3C What Compliance Professionals Should Know About Peer Review		
☐ Attendee	☐ Speaker	M3D Investing in Yourself: Strategies for Individual Development as a		
☐ Attendee	☐ Speaker	**M3E Electronic Management of Medicare Short-Stay Admissions to		
☐ Attendee	☐ Speaker	M3F Addressing High-Risk Compliance Areas and Avoiding Common		
☐ Attendee	☐ Speaker	M3G Can Compliance Help Crisis Proof Your Organization?		
☐ Attendee	☐ Speaker	M3H Board Oversight of Compliance Programs: What is Required and How to		
☐ Attendee	☐ Speaker	M3I Building Best-In-Class Compliance Programs for Small Organizations		
☐ Attendee	☐ Speaker	M31 Telehealth & Cutting Edge Ventures: The Partnership between Strategic		

cc	continued Monday, April 19				
3:40	3:40 – 4:40 pm CDT (1.0 clock hour or 60 minutes) or (** = 50 minutes)				
	Attendee		Speaker	M4A Notice of Breach of Unsecured Protected Health Information from a	
	Attendee		Speaker	M4B Who Should Own Making Sure Physician Arrangements are Compliant?	
	Attendee		Speaker	M4C Legal and Ethical Considerations When Conducting an Internal Investigation	
	Attendee		Speaker	M4D Selling Effectiveness: Getting the Board On Board with Building an Effective	
	Attendee		Speaker	M4E ACOs and CINs: Auditing & Monitoring for Antitrust Compliance and	
	Attendee		Speaker	**M4F How to Virtually Evaluate the Effectiveness of Your Skilled Nursing Facility	
	Attendee		Speaker	M4G Emerging Risks of a Remote Workforce	
	Attendee		Speaker	M4H Building a New Research Compliance Program: Where Do I Begin?	
	Attendee		Speaker	M4I Hot Compliance and Enforcement Topics Regarding COVID-19	
	Attendee		Speaker	M4J Community Mental Health Centers: An Insider's View for an Effective	
Tu	esday, Ap	ril	20		
				k hour or 60 minutes) or (** = 50 minutes)	
	Attendee		Speaker	T1A Virtual Privacy Investigations During COVID-19	
_	Attendee	$\exists$	Speaker	T1B Designing and Implementing an Audit Program for Physician Services	
_	Attendee		Speaker	T1C Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel During	
_	Attendee		Speaker	<b>T1D</b> Work Smarter Not Harder: Effective Annual Work & Audit Plan Development	
_	Attendee		Speaker	T1E How to Successfully Navigate a CMS Risk Adjustment Data Validation Audit	
_	Attendee	$\exists$	Speaker	<b>T1F</b> Skilled Nursing Abuse and Neglect: CMS Developments and Compliance	
_	Attendee		Speaker	<b>T1G</b> The Opioid Crisis a.k.a. Prescription Drug Abuse Epidemic: From the	
_	Attendee	$\exists$	Speaker	** <b>T1H</b> Art of War: Using Time-Tested Strategies and Principles in Advancing Your	
_	Attendee		Speaker	T1I Conducting Internal Investigations in 2021 and Beyond	
_	Attendee		•	<b>T1J</b> Top IT and Cyber-Risks to Include in Your Audit Plan: 2021 Update	
		_			
		m CI	=	ck hour or 60 minutes) or (** = 50 minutes)	
_	Attendee		Speaker		
	Attendee		Speaker	,	
	Attendee		Speaker		
_	Attendee		Speaker	<b>T2E</b> The Anatomy and Physiology of a Managed Care Organization Compliance	
	Attendee		Speaker	<b>T2F</b> Clinical-Community Partnerships to Address Health-Related Social Needs	
_	Attendee		Speaker	<b>T2G</b> Somebody Call the Risk Manager: How to Turn Every Unexpected Event	
	Attendee		Speaker		
	Attendee		Speaker	<b>T2I</b> The Conflict of Interest Gamble: How Compliance and Internal Audit Used	
Ш	Attendee	Ш	Speaker	T2J Physician Coding and Billing Cases Gone Badly	
12:1	L5 – 1:15 pm	CD	T (1.0 cloc	k hour or 60 minutes)	
	Attendee		Speaker	GENERAL SESSION 3: Health Equity: Potential Risks and Opportunities	
2:15	5 – 3:15 pm (	CDT	(1.0 clock	hour or 60 minutes)	
_	Attendee		Speaker	T3A The NIST Privacy Framework: Measurable Objectives for Managing Privacy	
_	Attendee		Speaker	<b>T3B</b> Physician Compliance During Crisis: Successfully Navigating COVID-19	
_	Attendee		Speaker	T3C Ask the Stark Law Professionals	
_	Attendee		•	T3D Living In Paradise: Thriving and Succeeding in a Remote Work	

C	ontinued T	ues	day, Apri	1 20				
2:1	2:15 – 3:15 pm CDT (1.0 clock hour or 60 minutes)							
	Attendee		Speaker	T3E Who's Who in Your Hospital or Practice? Third Party Risk				
	Attendee		Speaker	T3F Compliance in the Era of Millennials				
	Attendee		Speaker	T3G ERM/New COSO Framework for Compliance				
	Attendee		Speaker	T3H COI ALERT: Sunshine Expansion and International Influence				
	Attendee		Speaker	T3I To the Pandemic and Beyond! Achieving and Sustaining				
	Attendee		Speaker	T3J Compliance Challenges and Best Practices for Providers of				
3:3	3:35 – 4:35 pm CDT (1.0 clock hour or 60 minutes)							
	Attendee		Speaker	<b>T4A</b> An Advanced Look at Basics: Business Relationships under				
	Attendee		Speaker	T4B Medicare Wellness Visits, Patient Visits, and Additional Services				
	Attendee		Speaker	<b>T4C</b> CARES ACT and Pandemic Stimulus Act Fraud				
	Attendee		Speaker	<b>T4D</b> 8th Commandment: Implementing Effective Disciplinary				
	Attendee		Speaker	,				
	Attendee		Speaker	· · · · · ·				
	Attendee		Speaker	,				
	Attendee		Speaker	,				
	Attendee		Speaker	T4I What Should You Do?				
	Attendee		•	<b>T4J</b> From Bumpy Road to Fast Lane in Telehealth: How a Pandemic				
4:4:	- 1	וט. רח	-	nour or 60 minutes)				
	Attendee	님	•	TB1 Interoperability: Industry Perspectives				
	Attendee	님	-	TB2 Core Elements of EMTALA for the Compliance Professional				
	Attendee	片		TB3 Compliance in the COVID-19 Era: What Just Happened				
Ш	Attendee	Ш	Speaker	<b>TB4</b> What's Next for You and Your Compliance Programs: A Session				
W	ednesday	, A	pril 21					
8:1	5 – 9:15 am	CDT	(1.0 clock	hour or 60 minutes)				
	Attendee		Speaker	WB1 Effective Compliance through Organizational Design				
	Attendee		Speaker	WB2 Two-Headed Beast: Compliance and Research Billing				
	Attendee		Speaker	WB3 COVID-19 Oversight: An Integral Part of an Effective Corporate				
	Attendee		Speaker	WB4 Controlled Substance Diversion Prevention Programs: What				
9:30 – 10:30 am CDT (1.0 clock hours or 60 minutes)								
	Attendee		Speaker	W1A Business Continuity and Disaster Recovery: A Critical				
	Attendee		Speaker	W1B The Evolving Landscape of Clinical Documentation: Exploring				
	Attendee		Speaker	W1C Electronic Health Records: Regulatory Risks and				
	Attendee		Speaker	W1D Compliance Datamining and Benchmarking: How Data-Driven				
	Attendee		Speaker	W1E SNF Compliance on a Quarter: Leveraging Your Resources to				
	Attendee		Speaker	W1F Impacts of COVID-19 Compliance Protocols to Post-Acute Care				
	Attendee		Speaker	W1G Internal Audit's Role in Enhancing Your Risk Program				
	Attendee		Speaker	W1H New Year, New Administration, New Priorities!				
	Attendee		Speaker	W1I Investigations: Living in the House that Jack Built				
	Attendee		Speaker	W1J COVID-19 Pandemic Lessons Learned Case Studies: CMS				

conti	continued Wednesday, April 21				
10:50 -	11:50 ar	n CE	T (1.0 clo	ck hour or 60 minutes)	
☐ Atte	endee		Speaker	W2A Cybersecurity & Incident Response: The Nuts & Bolts of	
☐ Atte	endee		Speaker	<b>W2B</b> The Physicians in My Practice Want to Get Involved in Clinical	
☐ Atte	endee		Speaker	W2C Reports of Non-Compliant Activity, Internal Investigations	
☐ Atte	endee		Speaker	W2D How to Revitalize the Culture of Compliance with Innovative	
☐ Atte	endee		Speaker	W2E Developing Metrics that Matter: Building a Meaningful	
☐ Atte	endee		Speaker	<b>W2F</b> Is Your Hotline "Hot?": Approaches to Improving and Promoting	
☐ Atte	endee		Speaker	W2G Role of Compliance in the IoT World	
☐ Atte	endee		Speaker	W2H Rethinking Compliance Program Effectiveness Reviews	
☐ Atte	endee		Speaker	W2I Proven Techniques to Increase the Value of Internal Audit and	
☐ Atte	endee		Speaker	W2J Sampling and Extrapolations: How to Address Problematic	
12:15 –	1:15 pm	CD	Γ (1.0 clock	k hour or 60 minutes)	
☐ Atte	endee		Speaker	GENERAL SESSION 4: Compliance on the Pandemic Front Lines	
2:15 – 3	3:15 pm (	CDT	(1.0 clock	hour or 60 minutes)	
_	endee		Speaker	W3A From Silos to Synergy: Collaborating Across Departments to	
☐ Atte	endee		Speaker		
☐ Atte	endee		Speaker	<b>W3C</b> Whistleblowers: Who Are They and Why Do They Become	
☐ Atte	endee		Speaker		
☐ Atte	endee		Speaker	W3E Effective Auditing of Your Clinical Practice with Less Resources	
☐ Atte	endee		Speaker	W3F Integrity Works	
☐ Atte	endee		Speaker	W3G GRC Triangle: Governance, Risk, and Compliance	
☐ Atte	endee		Speaker	W3H OIG Developments	
☐ Atte	endee		Speaker	W3I How to Effectively and Legally Implement Telehealth in Your	
☐ Atte	endee		Speaker	W3J Effectiveness Reboot: Rebuilding an Existing Program	
3:35 – 4	l:35 pm (	CDT	(1.0 clock	hour or 60 minutes)	
☐ Atte	endee		Speaker	W4A Reconciling Part 2 and HIPAA: How To Protect Your	
☐ Atte	endee		Speaker	W4B Provider Compensation—A Valuator's Perspective:	
☐ Atte	endee		Speaker	W4C Emerging Trends in Physician Employment/Independent	
☐ Atte	endee		Speaker	W4D Compliance and Legal: From Opposition to Partnership	
☐ Atte	endee		Speaker	W4E What is a Compliance Risk Assessment?	
☐ Atte	endee		Speaker	W4F Simple Solutions for Optimizing SNF Therapy	
☐ Atte	endee		Speaker	W4G Compliance Alignment and Joint Ventures	
☐ Atte	endee		Speaker	W4H Medical Marijuana: Practical Considerations for Health Care	
☐ Atte	endee		Speaker	W4I Harnessing Business Intelligence Systems to Enhance Response	
☐ Atte	endee		Speaker	W4J Nothing is Certain but Change Dealing with the Changing	
Thurse	Thursday, April 22				
		•		hour or 60 minutes)	
_	endee		Speaker		
☐ Atte	endee		Speaker	H1B Compliance and Liability Considerations for Physicians	
☐ Atte	endee		Speaker	H1C Exclusions	
☐ Atte	endee		Speaker	H1D How to Become a Data-Driven Compliance Professional	

C	ontinued T	hur	sday, Apr	il 22
9:3	0 – 10:30 am	CD	T (1.0 clock	c hour or 60 minutes)
	Attendee		Speaker	H1E Program Integrity Compliance & FWA Innovation Using Data
	Attendee		Speaker	H1F PDPM Year One: Insights from the Auditors
	Attendee		Speaker	H1G Conducting a Rehab-Focused Risk Assessment
	Attendee		Speaker	H1H Pandemics, Politics, and Value-Based Models, Oh My!
	Attendee		Speaker	H1I The Roles of the Compliance Officer and the General
	Attendee		Speaker	H1J Health Compliances Role in Multi-Unit, Cutting Edge
10:	50 – 11:50 a	m Cl	DT (1.0 clo	ck hour or 60 minutes)
	Attendee		Speaker	H2A The Privacy Considerations of Interoperability
	Attendee		Speaker	H2B Got Comparative Data? CBRs for Physicians/Other
	Attendee		Speaker	<b>H2C</b> Anti-Kickback and Stark Law Developments: Where Are We
	Attendee		Speaker	H2D Congratulations New Compliance Officer and Risk Manager
	Attendee		Speaker	H2E Self-Audits: Are You Looking at the Right Data?
	Attendee		Speaker	H2F Laboratory Risk Assessment: The Top Risks and
	Attendee		Speaker	H2G Cost Effective and Practical Solutions to Compliance
	Attendee		Speaker	<b>H2H</b> Read (Hidden) Emotions: Know What Others are Thinking
	Attendee		Speaker	H2I 340B Drug Pricing Program: Recent Updates and Compliance Tips
	Attendee		Speaker	H2J Oh No! What? Another Risk Assessment? A Fraud Risk
12:	10 – 1:10 pn	1 CD	T (1.0 clock	k hour or 60 minutes)
	Attendee		Speaker	H3A Privacy Officer Roundtable: Part 1
	Attendee		Speaker	H3B Physician Arrangements Compliance: Identifying, Assessing
	Attendee		Speaker	H3C Clinical Research v. Innovative Medical Treatment: Understanding
	Attendee		Speaker	H3D Ways Leaders Can Engage in Compliance Leadership
	Attendee		Speaker	H3E Achieving Revenue Cycle Success Through Compliance
	Attendee		Speaker	H3G Weathering the Compliance Storm Forecast for the Future!
	Attendee		Speaker	H3H Understanding and Meeting Language Access Requirements
	Attendee		Speaker	H3I Corporate Integrity Agreements: A Closer Look at How OIG
	Attendee		Speaker	<b>H3J</b> Telehealth: Where Do We Go from Here? Insights from a Panel of
2:1	0 – 3:10 pm	CDT	(1.0 clock	hour or 60 minutes)
	Attendee		Speaker	H4A Privacy Officer Roundtable: Part 2
	Attendee		Speaker	H4B Three Ways to Enhance Your Physician Compensation
	Attendee		Speaker	H4C Lab Testing During the COVID Pandemic
	Attendee		Speaker	<b>H4D</b> From Compliance Support to Compliance Officer: Making it to the
	Attendee		Speaker	H4E OIG Mandated Six-Year Look Back Audits
	Attendee		Speaker	H4F COPS & OPS: Leveraging a Matrix Leadership Mindset to
	Attendee		Speaker	<b>H4G</b> Compliance Program Transformation: Opportunities, Strategies
	Attendee		Speaker	<b>H4H</b> The Evolution of FDR and Delegation Oversight: What are Health
	Attendee		Speaker	H4I Evaluating and Executing Physician Practice Acquisitions in
	Attendee		Speaker	H4J 42 CFR Part 2 In Complex Service Settings
3:35 – 4:35 pm CDT (1.0 clock hour or 60 minutes)				
	Attendee		Speaker	<b>GENERAL SESSION 5:</b> Inclusive Intelligence in Practice: Lead Better by