

**Innovation & Compliance**

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OVERVIEW

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**About us**  
**Innovation & Compliance**  
**Design Thinking**  
**Q&A**



THE RISK AUTHORITY  
STANFORD

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ABOUT US


**The Risk Authority Stanford**



**Stanford**  
HEALTH CARE  
STANFORD MEDICINE



**Stanford**  
Children's Health



**Stanford** | MEDICINE

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ABOUT US

ISO 31000

Value Protected & Value Created

Identify, Assess, Evaluate, Monitor

PEARL The Process for Early Assessment and Resolution of Loss

INSPIRE GENERATE IMPLEMENT

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THE NEED FOR INNOVATION

**210,000 to 400,000 patients who go to the hospital for care each year suffer some type of preventable harm that contributes to their death.**

Subcommittee on Primary Health and Aging, 113th Cong. 2nd session (July 17, 2014).

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THE NEED FOR INNOVATION

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**Risk Management has not delivered on its promise.**

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PROVING THE NEGATIVE

A good compliance officer will keep leadership informed when they find a problem and that they've fixed it. Really good ones will sit down every once in a while and say, 'What if we hadn't found this, we could have been like others who waited several years until the government found it.'

Roy Snell, Chief Executive  
Society of Corporate Compliance and Ethics

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THE NEED FOR INNOVATION

We can improve the patient experience through empathy, expertise and technology.



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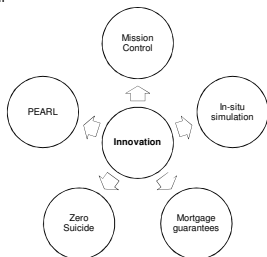
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PROACTIVE RISK MANAGEMENT



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THE VALUE OF COMPLIANCE

**83% of compliance professionals say their program prevented misconduct at least once in the last two years.**

**46% said their program stopped problems before they happened on six or more occasions in that time span.**

**Society of Corporate Compliance and Ethics**

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**INNOVENCE  
LAB**

POWERED BY  
THE RISK AUTHORITY STANFORD

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THE CHALLENGES OF INNOVATION

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**Solving the wrong problems**  
**Not enough resources or incentives**  
**Choosing the wrong solutions**  
**Failing to sustain change**  
**Not proving impact in human and financial terms**

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WHAT IS DESIGN THINKING?

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**Design thinking is adopting the mindsets of designers for solving problems outside the traditional fields of design.**

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WHAT IS DESIGN THINKING?

**The designer's mindset.**

<b>Creative confidence</b>	<b>Deep empathy</b>	<b>Radical collaboration</b>	<b>Data &amp; People</b>	<b>Go wide to go narrow</b>	<b>Rapid prototyping</b>
					

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WHAT IS DESIGN THINKING?

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**Design thinking is a proven methodology for problem-solving and innovation.**

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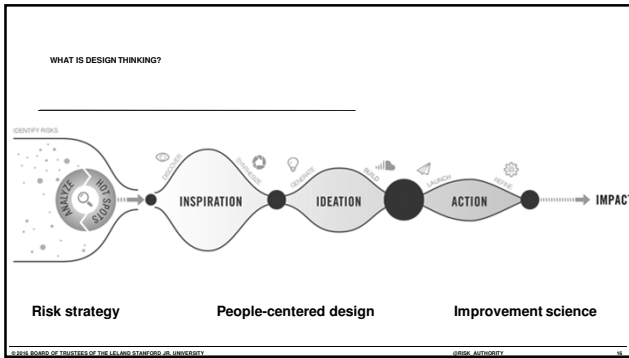
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PROJECT EXAMPLE

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**How might we prevent pediatric medication administration errors?**

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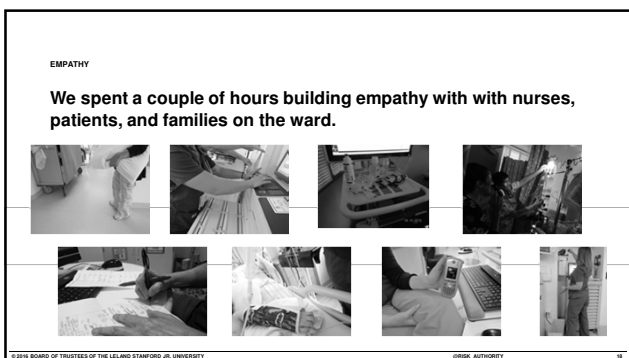
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DATA

**Our data indicated significant losses due to medication administration errors.**

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SYNTHESIS

**We unpacked our notes, making sense of what we had learned.**



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INSIGHTS

**Nurses have been trained in safety, but are often distracted by patients and families.**

**Patients and families care a great deal about safety but don't always know what to look out for. Whether something is going seriously wrong or not, often looks exactly the same to them.**

**Some patients and families try and be alert and protective all the time (which is exhausting), and some focus on what they are familiar with.**

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"I don't know what I should be watching out for. I guess I watch whether a nurse washes her hands – that tells me if she's diligent. That's not very sophisticated, but that's all I understand."  
- Father of a three year old.

"Sometimes the silence during meds time feels awkward. Often, I think the patient or family says something to make it less so – which distracts me as well."  
- Nurse.

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**1. EMPATHY**  
Our team spent several hours on the ward seeking to understand the experiences of nurses, patients, and family in medication administration.

**2. DEFINE**  
We shared our insights with the frontline nurses who gave feedback. The nurses selected the most important targets to improve.

**3. IDEATE**  
In two workshops, nurses generated ideas that met their needs. They found new ways to helpfully involve patients and families in safety.

**4. PROTOTYPE**  
Our team took the ideas and helped to evolve them. Nurses, patients, and families gave us great feedback along the way.

**4. TEST**  
Frontline nurses tested the ideas on the ward. Over a two-week period, they tested and refined them. We collected feedback regarding their efficacy and the design thinking process.

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**MEDPREF**



A communication tool that invites patient and family to indicated their level of comfort in participating during medication administration and to share their preferences and knowledge of what works best. Knowing these important details about their patients, nurses can better plan for and administer the meds.

"Med pref makes the hospital feel like a luxury hotel while allowing the nurse to save time, energy and repetitive conversations understanding the family's desires."  
-Nurse

"This is great. It usually takes time before nurses get to know me. Here we have it all on the same page. I want this during admissions."  
- Patient

"When you feel so anxious about everything going on with your child, this makes you feel you are a little bit in control."  
- Patient's Mother

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DESIGN THINKING AND RISK INNOVATION

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**Helps you find the right problems to solve**  
**Is good use of resources**  
**Identifies the best solutions**  
**Gives you the best chance of sustained change**  
**Proves its impact in satisfaction and outcomes**

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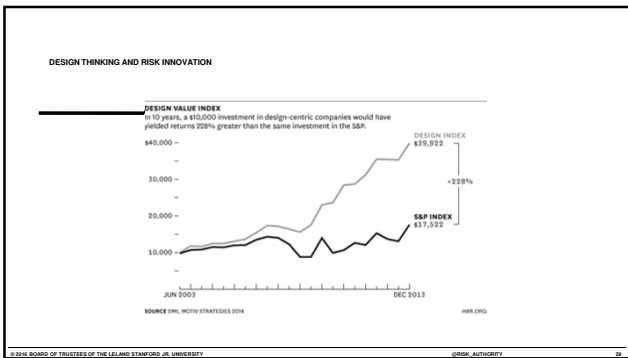
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DESIGN THINKING RESOURCES

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**Innovator's Handbook e-book – TRA Stanford**  
**Design Thinking, HBR –Tim Brown**  
**Stanford School of Professional Development**  
**IDEO University**  
**Acumen+ IDEO Design Kit.**

**Innovator's Handbook**  
 Design Thinking for Healthcare Improvement

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The Board of SUMIT Insurance Company  
CQCE, Stanford Children's Health  
Patient Advisory Council, Stanford Children's Health  
Local Improvement Team, PCU 374 Stanford Children's Health

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**Thank you.**

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