

The Evolving Relationship between
Counsel and the Compliance Officer

Health Care Compliance Association
Nashville Annual Regional Conference
November 18, 2016

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Disclaimer

- Ms. Wilson is here today in her personal capacity as a practicing lawyer. She does not represent the Centers for Medicare & Medicaid Services, any governmental agency, or any individual current or former client.

Today's Presentation

- How important is the relationship between a compliance officer and counsel?
- Identifying and managing conflicts of interest between counsel and compliance officer
- Are your investigative and other strategies always aligned?
- Corporate, board and individual liability

How important is the relationship between a compliance officer and counsel?

- Roles and responsibilities of compliance officer and counsel
- Interactions between compliance officer and counsel
- Status of compliance officer and counsel (vis-à-vis each other)
- Examples of effective interactions

Identifying and managing conflicts of interest between counsel and compliance officer

- What is a conflict of interest?
- How do conflicts of interest between counsel and compliance officer arise?
- Resolving conflicts of interest

Are your investigative and other strategies always aligned?

- Strategies
- Obligations and loyalties of compliance officer and counsel
- Examples

Corporate, board and individual liability

- Yates memo
- Recent cases and settlements
- Impact on relationship between compliance officer and counsel

Questions and Contact Information

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