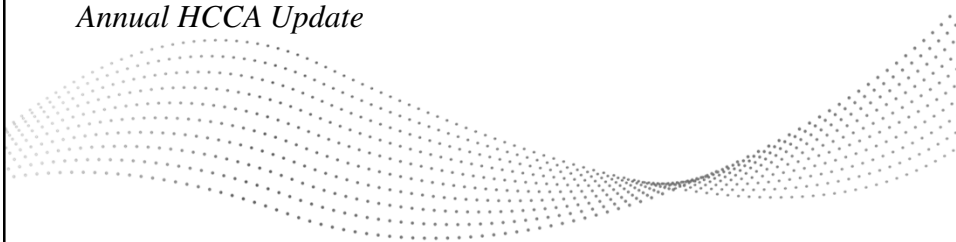




Arizona Ethics & Compliance Council (AECC)

Annual HCCA Update



Confidential and Proprietary Information

UPDATE OVERVIEW

- Industry Trends
- The Arizona Ethics & Compliance Council (AECC)
- Participant Composition
- AECC Structure
- Impacts & Value
- Continuing Education Units
- Questions

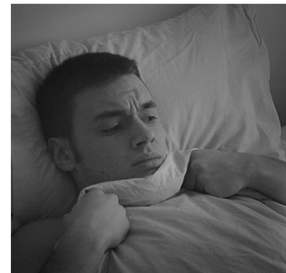


Confidential and Proprietary Information

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INDUSTRY TRENDS

- 60 Day Rule
- “Mega” Managed Care Rule
- HITECH Act
- Cyber Compliance / Social Media
- OCR Audits
- Anti-Kickback/ Stark
- SEC/ Sarbanes-Oxley
- Regulation 1557
- Records Management
- There's not a slide BIG ENOUGH!



AECC!

- The Arizona Ethics and Compliance Council (AECC) was created in September 2011
- The AECC was formed to bring together local compliance and ethics professionals with responsibility for corporate or regulatory compliance to provide a forum to discuss and share best practices and information
- The group meets on a quarterly basis at different locations throughout the Phoenix area



WHO ARE WE?

- Health Care
 - Payers,
 - Providers,
 - Life science, and
 - Regulators
- Education (for profit private and non-profit)
- Technology
- Manufacturing
- Finance
- Mining, Oil and Gas
- Retail and Service
- Airlines
- Any organization with a compliance program need or requirement!



PARTICIPANTS

- Chief Compliance Officers
- Chief Risk Officers
- General and Associate General Counsel
- Regulatory Affairs Officers
- Investigators
- Other Compliance Professionals
- Internal Auditors
- Regulators:
 - AHCCCS
 - ADHS
 - FDIC



AECC STRUCTURE

- Subject matter for each meeting focus' on an ethics, compliance and/or regulatory issue(s) that are timely and pertinent
- All companies and industries are welcome including public, private, not-for-profit as well as local, state and federal regulators



AECC IMPACTS & VALUE

- Access to Subject Matter Experts (SMEs)
- Sharing of established policies & procedures
- Ability to enhance compliance programs/ plans
- Identification of compliance program gaps
- Focus on key industry trends Tips for:
 - communicating compliance & ethics within your organization
 - creating a "compliance culture"
 - Self Reporting to Regulators
 - facilitated external compliance program assessments
 - Improved training and education plans
- NETWORKING!



CONTINUING EDUCATION UNITS (CEUs)

Through AECC participation you can qualify to obtain credits for:

- CPE
- CLE
- CCB
 - HCCA
 - SCCE



QUESTIONS

Cheyenne L. Ross, CCEP, CHC, CHPC, CPHQ
 Arizona Vice President Compliance & Regulatory Affairs
 Centene Corp.
 1230 W. Washington Street Suite 401
 Tempe, AZ 85281
 480.567.9018 (direct)
chross@centene.com

James Rough, CHC, CFE, CCEP
 Healthcare and Life Sciences
 Disputes, Regulatory, Compliance & Investigations
 Navigant Consulting, Inc.
 201 E. Washington Street Suite 1700
 Phoenix, AZ 85004
 602.528.8001 (direct)
jrough@Navigant.com

