




**Arizona Ethics & Compliance Council (AECC)**  
*Annual HCCA Update*



Confidential and Proprietary Information

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**UPDATE OVERVIEW**

- Industry Trends
- The Arizona Ethics & Compliance Council (AECC)
- Participant Composition
- AECC Structure
- Impacts & Value
- Continuing Education Units
- Questions



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**INDUSTRY TRENDS**

- 60 Day Rule
- "Mega" Managed Care Rule
- HITECH Act
- Cyber Compliance / Social Media
- OCR Audits
- Anti-Kickback/ Stark
- SEC/ Sarbanes-Oxley
- Regulation 1557
- Records Management
- There's not a slide BIG ENOUGH!




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**AECC!**

- The Arizona Ethics and Compliance Council (AECC) was created in September 2011
- The AECC was formed to bring together local compliance and ethics professionals with responsibility for corporate or regulatory compliance to provide a forum to discuss and share best practices and information
- The group meets on a quarterly basis at different locations throughout the Phoenix area



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**WHO ARE WE?**

- Health Care
  - Payers,
  - Providers,
  - Life science, and
  - Regulators
- Education (for profit private and non-profit)
- Technology
- Manufacturing
- Finance
- Mining, Oil and Gas
- Retail and Service
- Airlines
- Any organization with a compliance program need or requirement!



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**PARTICIPANTS**

- Chief Compliance Officers
- Chief Risk Officers
- General and Associate General Counsel
- Regulatory Affairs Officers
- Investigators
- Other Compliance Professionals
- Internal Auditors
- Regulators:
  - AHCCCS
  - ADHS
  - FDIC



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### AECC STRUCTURE

- Subject matter for each meeting focus' on an ethics, compliance and/or regulatory issue(s) that are timely and pertinent
- All companies and industries are welcome including public, private, not-for-profit as well as local, state and federal regulators



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### AECC IMPACTS & VALUE

- Access to Subject Matter Experts (SMEs)
- Sharing of established policies & procedures
- Ability to enhance compliance programs/ plans
- Identification of compliance program gaps
- Focus on key industry trends Tips for:
  - communicating compliance & ethics within your organization
  - creating a "compliance culture"
  - Self Reporting to Regulators
  - facilitated external compliance program assessments
  - Improved training and education plans
- NETWORKING!



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### CONTINUING EDUCATION UNITS (CEUs)

Through AECC participation you can qualify to obtain credits for:

- CPE
- CLE
- CCB
  - HCCA
  - SCCE



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## QUESTIONS

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