JANUARY 2016

Compliance and Ethics
Hot Topics for 2016

A SURVEY BY
the Society of Corporate Compliance and Ethics®
and the Health Care Compliance Association®
Summary

In order to determine which topics were of critical importance to compliance and ethics professionals, in January 2016 the Society of Corporate Compliance and Ethics and the Health Care Compliance Association asked compliance professionals the following question: “What are the hot topics in compliance you will be focusing on in 2016?” Survey respondents were requested not to focus on the issues that are always hot, but those that are uniquely important.

A list of 38 potential topics was provided and respondents were requested to select no more than 10. Respondents also had the option of writing in an issue. More than 900 individuals responded.

Following are the top five hot topics identified by respondents as a whole, as well as by employer types.

All Respondents

- Cybersecurity and cybercrime: 39%
- Social media compliance risks: 38%
- Leveraging compliance practices with business practices to be more effective and efficient: 34%
- Creating/Maintaining an ethical culture: 32%
- More effective internal investigations: 31%
In-House Compliance Practitioners

- Cybersecurity and cybercrime: 40%
- Social media compliance risks: 38%
- Leveraging compliance practices with business practices to be more effective and efficient: 34%
- Creating/Maintaining an ethical culture: 33%
- More effective internal investigations: 31%

Consultants and Solution Providers

- Leveraging compliance practices with business practices to be more effective and efficient: 43%
- Cybersecurity and cybercrime: 35%
- Social media compliance risks: 34%
- False Claims Act enforcement: 33%
- The Yates memo and increased prosecution of individuals: 31%
Multinational Companies

- Third party risk: 42%
- Cybersecurity and cybercrime: 37%
- Leveraging compliance practices with business practices to be more effective and efficient: 34%
- International compliance risks: 32%
- Creating/Maintaining an ethical culture: 30%

Small Companies (less than $100 million in revenues)

- Social media compliance risks: 45%
- Cybersecurity and cybercrime: 39%
- Creating/Maintaining an ethical culture: 33%
- More effective internal investigations: 31%
- Leveraging compliance practices with business practices to be more effective and efficient: 29%
Large Companies ($3 billion or more in revenues)

- Third party risk: 43%
- Leveraging compliance practices with business practices to be more effective and efficient: 40%
- Cybersecurity and cybercrime: 35%
- Increasing the breadth of skills of the compliance team: 31%
- More effective internal investigations: 29%

Publicly Traded Companies

- Third party risk: 43%
- Leveraging compliance practices with business practices to be more effective and efficient: 39%
- Cybersecurity and cybercrime: 36%
- Creating/Maintaining an ethical culture: 33%
- Building consistency in your compliance program across multiple jurisdictions: 30%
Privately Held Companies

- Social media compliance risks: 41%
- Cybersecurity and cybercrime: 38%
- Leveraging compliance practices with business practices to be more effective and efficient: 36%
- Creating/Maintaining an ethical culture: 32%
- Third party risk: 29%

Non-Profits

- Cybersecurity and cybercrime: 42%
- Social media compliance risks: 42%
- More effective internal investigations: 38%
- Leveraging compliance practices with business practices to be more effective and efficient: 32%
- False Claims Act enforcement: 31%
### Educational Institutions

- **Cybersecurity and cybercrime**: 44%
- **Increasing the breadth of skills of the compliance team**: 39%
- **Creating/Maintaining an ethical culture**: 35%
- **Implementing an integrated Governance, Risk & Compliance (GRC) program**: 28%
- **Social media compliance risks**: 28%

### Governmental Employers

- **Creating/Maintaining an ethical culture**: 41%
- **More effective internal investigations**: 36%
- **Social media compliance risks**: 31%
- **Cybersecurity and cybercrime**: 31%
- **Increasing the breadth of skills of the compliance team**: 28%
**Healthcare Companies**

- Social media compliance risks: 42%
- Cybersecurity and cybercrime: 39%
- More effective internal investigations: 37%
- False Claims Act enforcement: 35%
- Creating/Maintaining an ethical culture: 32%

**Non-Healthcare Companies**

- Cybersecurity and cybercrime: 39%
- Leveraging compliance practices with business practices to be more effective and efficient: 37%
- Third party risk: 35%
- Creating/Maintaining an ethical culture: 33%
- Social media compliance risks: 32%