# 2024 Healthcare Chief Compliance Officer and Staff Salary Survey







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Health Care Compliance Association® (HCCA®) is pleased to provide you with the 2024 Healthcare Chief Compliance Officer and Staff Salary Survey report.

The survey was designed to provide HCCA members and their employers with the data needed to be able to assess how their compensation measures against the profession as a whole. The results of this survey are based on data from compliance professionals across the U.S.

We hope you find the data from this survey to be useful to you as you assess where your compensation stands.

Sincerely, Adam Twitellanh

Adam Turteltaub, CCEP, CHC

Chief Engagement & Strategy Officer

Society of Corporate Compliance

and Ethics & Health Care Compliance Association

#### **CONFIDENTIALITY ASSURANCE**

The 2024 Healthcare Chief Compliance Officer and Staff Salary Survey is based on a strictly confidential survey conducted of individuals working in the healthcare compliance profession. Upon receipt, all survey responses are assigned a confidential code number by PeriscopelQ and any name or company identification is removed. Survey processing is then conducted on an absolutely anonymous basis. No SCCE & HCCA staff member nor any industry company or individual, nor anyone else other than a select few PeriscopelQ associates ever see any individual's results.

## **Healthcare Chief Compliance Officer Salary Survey**

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### Introduction

#### **About This Report**

The **2024 Healthcare Chief Compliance Officers Salary Survey** is another important service provided by Society of Corporate Compliance and Ethics (SCCE) & Health Care Compliance Association (HCCA). The information contained in this report offers salary and compensation data for individuals working in the healthcare compliance profession. The report is designed to allow organizations and individuals to compare their data with those of their peers.

The results throughout this report are presented for both the total sample of survey respondents, and for specific segments within the sample, to allow organizations to more easily compare their own data to the results of individuals with similar characteristics.

In addition to the total sample, the individual segments reported throughout include the following:

- Percentage of Company's Legal and Regulatory Risk Areas the Chief Compliance Officer (CCO) Is Involved in
- · Number of Employees in the Compliance Group Managed
- Number of Employees in Organization as a Whole
- Annual Revenues of the Organization as a Whole
- Number of Direct Reports to the CCO
- Annual Compliance Budget Managed
- Number of Employees in Portion of the Organization That CCO Runs Compliance for
- Annual Revenues in Portion of the Organization That CCO Runs Compliance for
- Number of Countries in Which Compliance Is Managed
- Number of Years Managing the Compliance Department
- · Years in Compliance Profession
- Certifications Held
- Type of Organization
- Number of Compliance Program Elements the CCO Is Involved in
- · Geographic Region
- Highest Level of Education
- Contract Status
- · Amount of Bonus Eligible
- Basis of Bonus
- Presence of Severance Clause in One's Contract

The goal of this survey is to report the salaries of Chief Compliance Officers for an organization or division, versus leaders of specialized areas of compliance. To capture that broader audience, CCOs responsible for less than 26% of an organization's legal and regulatory risk are not included in the results reported here.

Please note that the salary and other data published in this report should be considered guidelines rather than absolute standards. Even organizations that are similar in some characteristics, such as location, size, industry, etc., will differ in other ways, making it difficult to draw exact comparisons. In the end, results in this report that are different from one's own experience are most likely an indication that other factors are at play.

Note that a minimum of five responses was required to show data for any question, from any individual segments. Also note that results based on relatively small responses (e.g., fewer than 25) are subject to higher levels of statistical error and should be interpreted with caution.

This study was conducted for SCCE & HCCA by PeriscopelQ, an independent professional survey research firm located in Bethlehem, PA. The company specializes in surveys for trade and professional associations, including

salary surveys, member/employee experience surveys, and general research services.

SCCE & HCCA is proud to present the enclosed insights into the salary levels in the healthcare compliance industry. We wish to thank the professionals who submitted data for the study. Your support was essential in making this study a success.

#### **Survey Methodology**

In June 2024, an email invitation was sent to approximately 45,000 individuals that make up the SCCE and HCCA database. In total, 2,209 completed online submissions were received by the middle of July. Of the 2,209 responses, 500 were selected on the basis of the following criteria:

- Worked for a healthcare provider as a Chief Compliance Officer
- Were responsible for at least 26% of an organization's legal and regulatory risk

PeriscopelQ maintains strict confidentiality standards in the research it conducts. For example, all data that could potentially identify individual respondents (name, organization, etc.) are stripped from the survey data and replaced with an identifying number. In addition, all results were checked, using both automated and manual processes, for internal consistency and accuracy.

Final results were tabulated by PeriscopelQ, and the report was completed in August 2024.

#### **Definitions**

**Average (mean):** the arithmetic average obtained by adding the individual values for all responses to a particular question and dividing by the total number answering, a measure of central value that can be distorted by extremely high or low values.

**Median:** a measure of central value that is not affected by extreme values; calculated by arranging all responses in numerical order and selecting the value that falls exactly in the middle, with half higher and half lower.

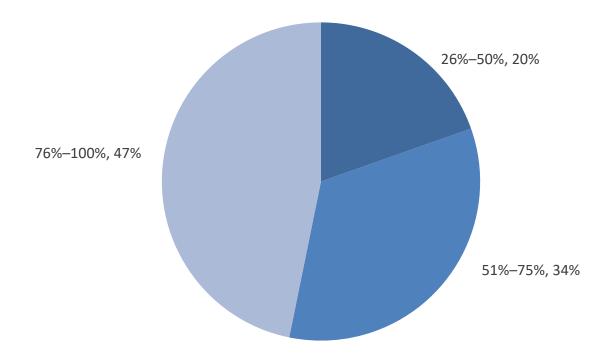
**25**<sup>th</sup> **Percentile:** when responses are ordered from lowest to highest, the lower quartile is the response 25% from the bottom. In other words, 75% of the responses are higher than this measure.

**75**<sup>th</sup> **Percentile:** when responses are ordered from the lowest to highest, the upper quartile is the response 25% from the top. In other words, 25% of the responses are higher than this measure.

**Respondent Profile** 

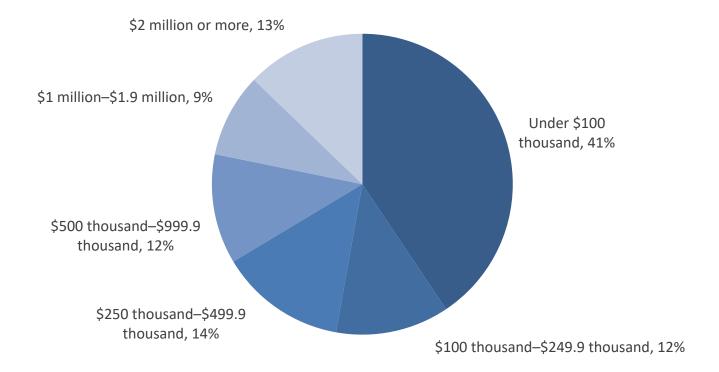
#### Percentage of Company's Legal and Regulatory Risk That You Are Involved in

Close to half (47%) of the healthcare Chief Compliance Officer respondents are involved in more than 75% of their organization's legal and regulatory risk. Another 1 in 3 (34%) are involved in 51%-75%, while 1 in 5 (20%) manage 26%-50%. Those responsible for 25% or less of the risk are not included in this survey.



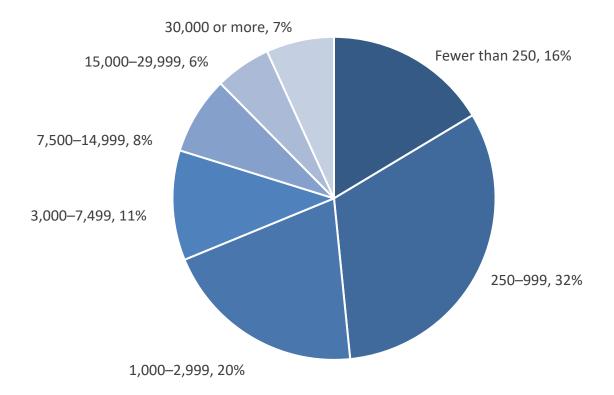
#### **Annual Compliance Budget Managed**

The largest proportion of healthcare CCOs surveyed manage a compliance budget of less than \$100K (41%). At the other extreme, 22% manage a budget of \$1 million or more.



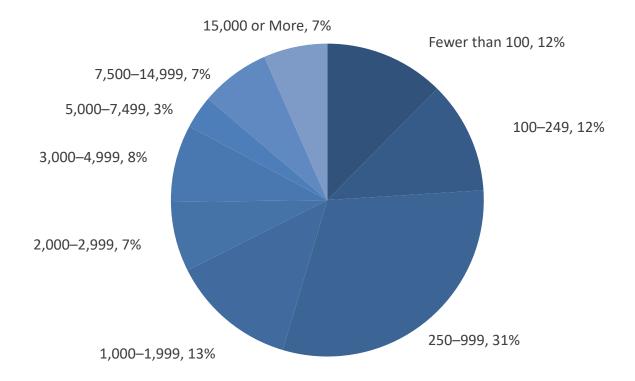
#### Number of Employees in Organization as a Whole

One in three (32%) CCOs responding to the survey work in firms with 250-999 employees, the segment with the largest representation among survey respondents. Another 20% work in firms with 1,000-2,999 employees, and 32% work in firms with 3,000+ employees.



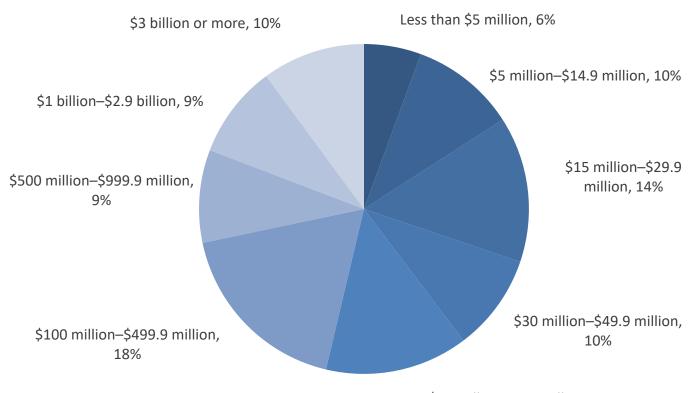
#### Number of Employees in Organization That CCO Runs Compliance for

The 250-999 employee segment is also most common (31%) when we asked about the number of employees in those parts of the firm the CCO runs compliance for.



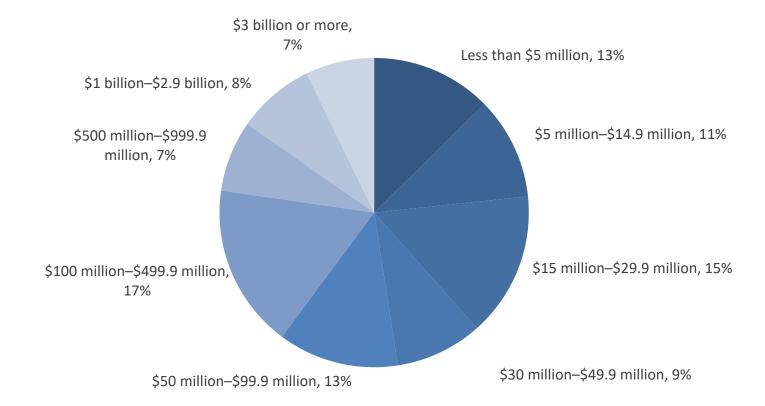
#### Annual Revenues of the Organization as a Whole

Company revenues vary widely across the healthcare CCO survey sample, with no revenue band standing out as appreciably higher than the others.



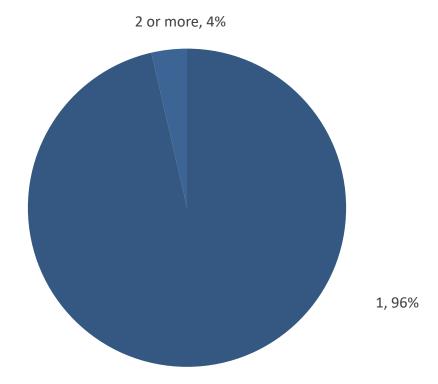
#### Annual Revenues of the Organization That CCO Runs Compliance for

Focusing on revenues for just the compliance-related portions of the business the CCO is responsible for, we see a similar distribution across revenue bands.



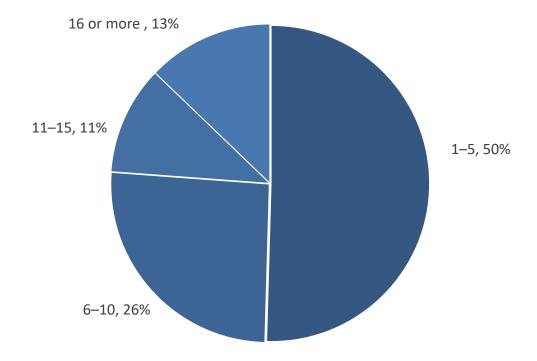
#### Number of Countries in Which Compliance Is Managed

Virtually all healthcare CCOs surveyed manage compliance in only one country.



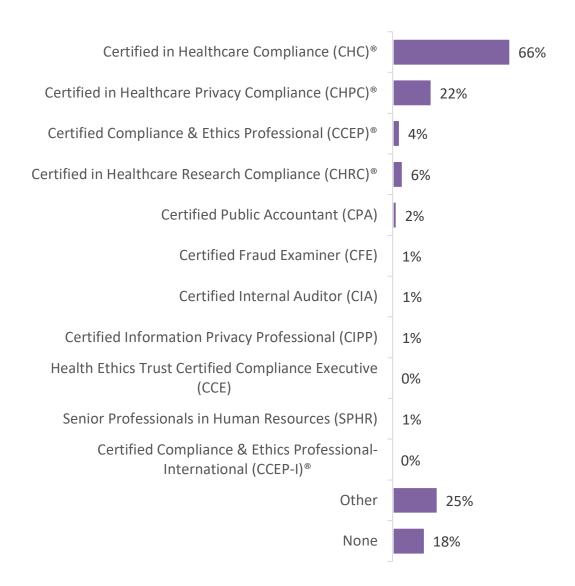
#### **Number of Years Managing the Compliance Department**

Half (50%) of healthcare CCOs surveyed are relatively new in their role, with a tenure of 5 years or less. Another quarter have been in the role for 6-10 years; just 13% have been in place for 16 or more years.



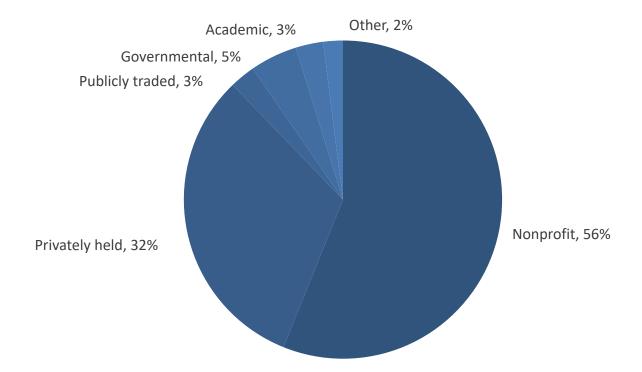
#### **Certifications**

The most common certification held by healthcare CCOs, by far, is the CHC®; 66% of healthcare CCOs hold that credential, and 22% have a CHPC® certification.



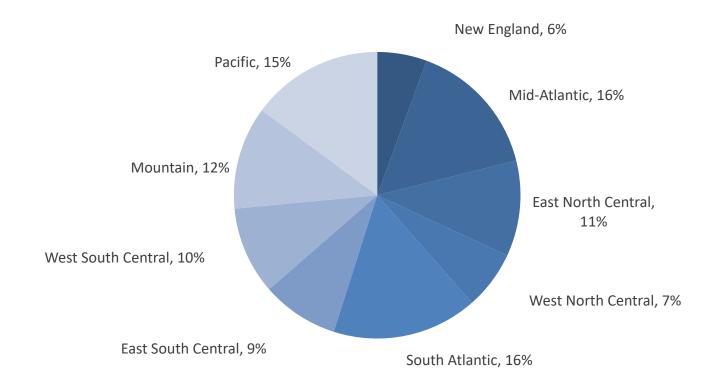
#### **Type of Organization**

Nearly 6 in 10 (56%) healthcare CCOs surveyed work in a nonprofit organization. About one-third (32%) work for privately held firms.



#### **Geographic Region**

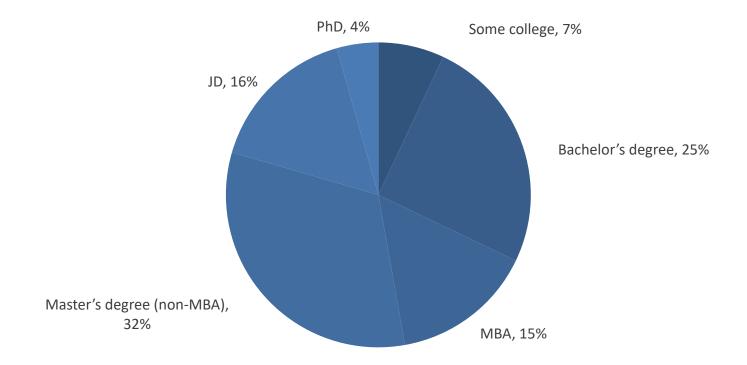
Healthcare CCOs are well represented across the country, although the highest proportions were found in the South Atlantic, Mid-Atlantic, and Pacific regions.



| East North<br>Central | East South<br>Central | Mid-Atlantic | Mountain      | New England   | Pacific    | South<br>Atlantic | West North<br>Central | West South<br>Central |
|-----------------------|-----------------------|--------------|---------------|---------------|------------|-------------------|-----------------------|-----------------------|
| Illinois              | Alabama               | New Jersey   | Arizona       | Connecticut   | Alaska     | Delaware          | Iowa                  | Arkansas              |
| Indiana               | Kentucky              | New York     | Colorado      | Maine         | California | Florida           | Kansas                | Louisiana             |
| Michigan              | Mississippi           | Pennsylvania | Idaho         | Massachusetts | Hawaii     | Georgia           | Minnesota             | Oklahoma              |
| Ohio                  | Tennessee             |              | Montana       | New Hampshire | Oregon     | Maryland          | Missouri              | Texas                 |
| Wisconsin             |                       |              | Nevada        | Rhode Island  | Washington | North Carolina    | Nebraska              |                       |
|                       |                       |              | New<br>Mexico | Vermont       |            | South Carolina    | North<br>Dakota       |                       |
|                       |                       |              | Utah          |               |            | Virginia          | South<br>Dakota       |                       |
|                       |                       |              | Wyoming       |               |            | Washington DC     |                       |                       |
|                       |                       |              |               |               |            | West Virginia     |                       |                       |

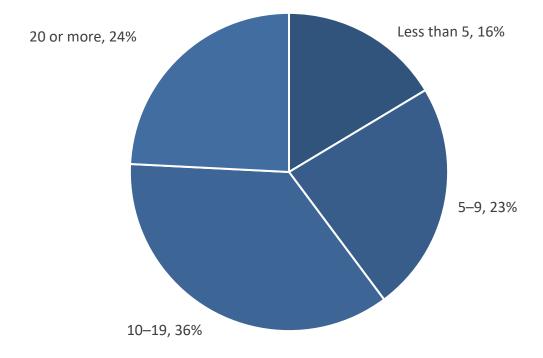
#### **Highest Level of Education**

Nearly 7 in 10 (67%) healthcare CCOs who responded to the survey have an advanced degree, including 16% with a JD and 4% with a PhD.



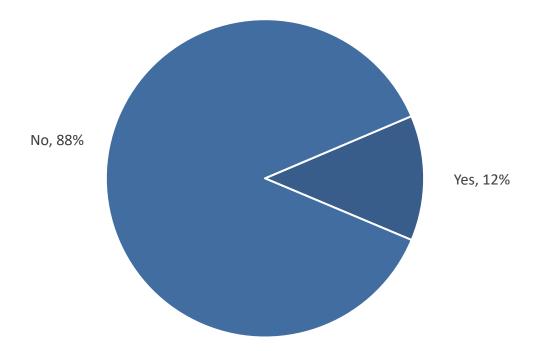
#### **Years in Compliance Profession**

Median tenure in the compliance profession is 11 years among healthcare CCOs surveyed, although nearly a quarter (24%) have been in the profession for 20 years or more.



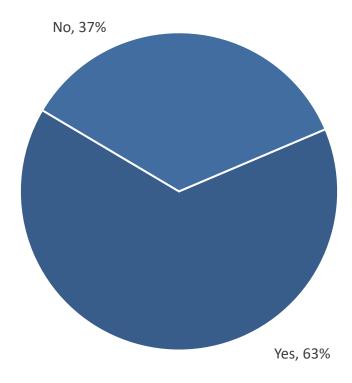
#### Do You Have a Contract?

Only 12% of healthcare CCOs currently have a contract.



## Do You Have a Severance Clause in Your Contract (Among Those with a Contract)?

Among CCOs with a contract, about two-thirds (63%) say their contract includes a severance clause.



**Executive Summary** 

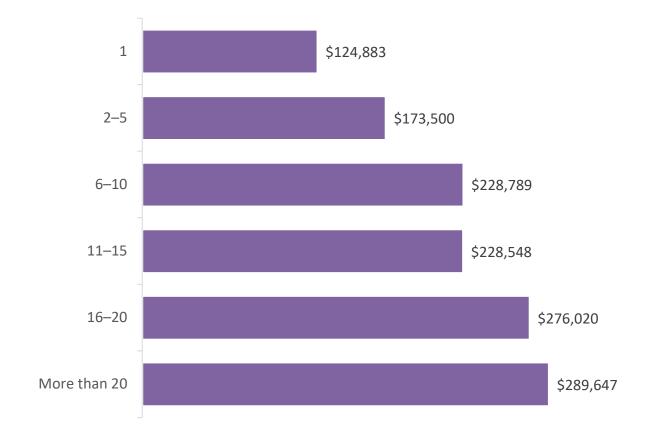
## Average Total Compensation by Percentage of Company's Legal and Regulatory Risk Areas CCO Involved in

Average total compensation for healthcare CCOs ranges between approximately \$179,000 and \$188,000 per year, depending on the level of risk areas the CCO is involved in.



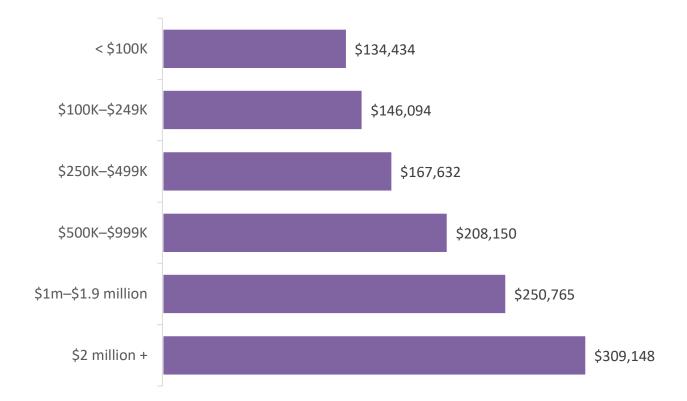
## Average Total Compensation by Number of Employees in the Compliance Group Managed

Total compensation for healthcare CCOs increases steadily by number of compliance employees, reaching a peak of \$290,000 for those managing 20 or more.



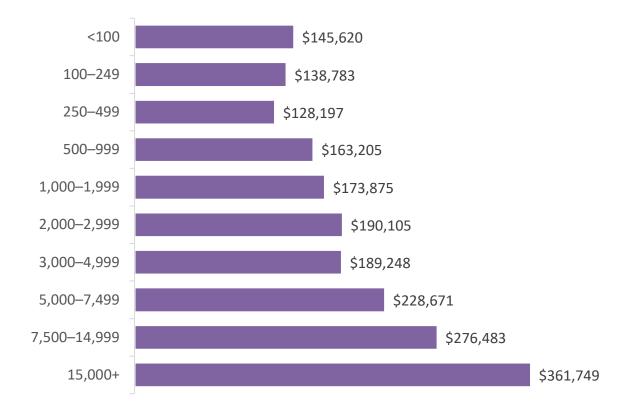
#### Average Total Compensation by Annual Compliance Budget Managed

Healthcare CCO compensation increases steadily based on the size of compliance budget managed.



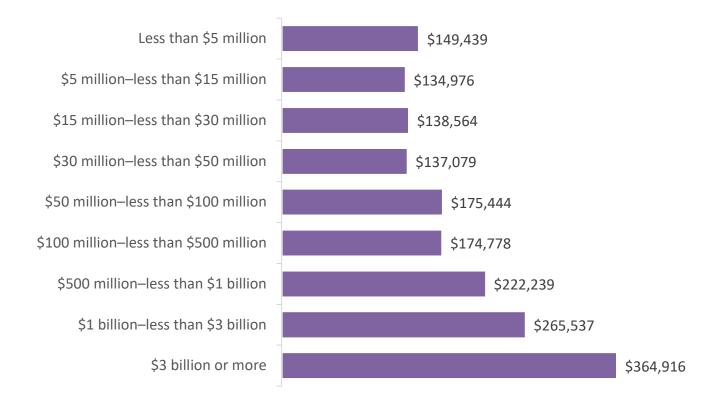
#### Average Total Compensation by Number of Employees That CCO Runs Compliance for

Compensation also increases steadily by the number of employees the CCO manages compliance for, peaking at \$361,749 for those responsible for 15,000 or more employees.



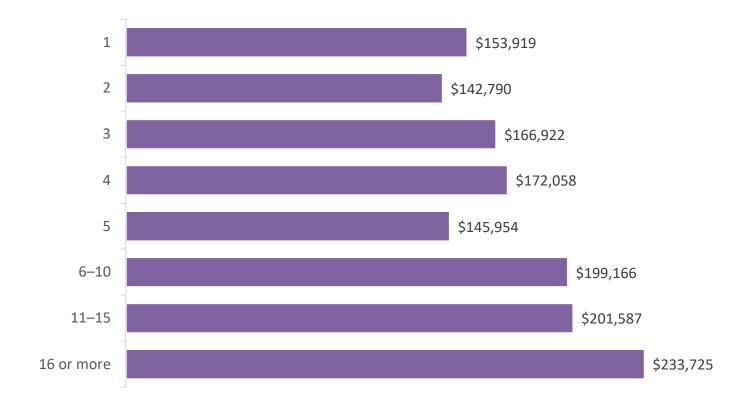
#### Average Total Compensation by Revenue That CCO Runs Compliance for

Healthcare CCO compensation also increases steadily by the level of revenue the CCO runs compliance for, this time peaking at close to \$365,000 for those who lead compliance for organizations with revenues of \$3 billion or higher.



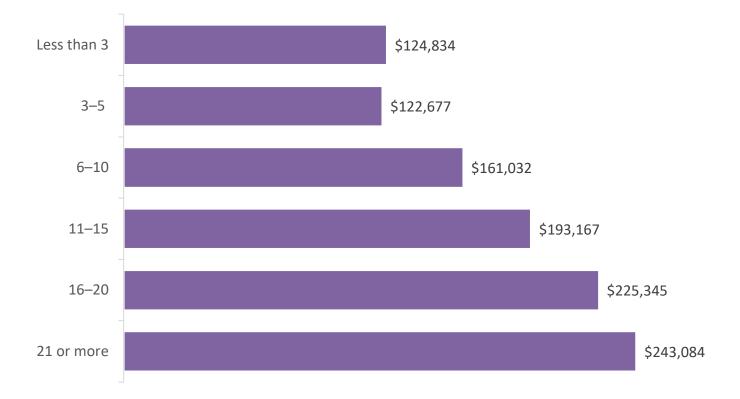
## Average Total Compensation by Number of Years Managing the Compliance Department

When it comes to tenure, total compensation ramps up significantly for those managing their department for 6 years or longer.



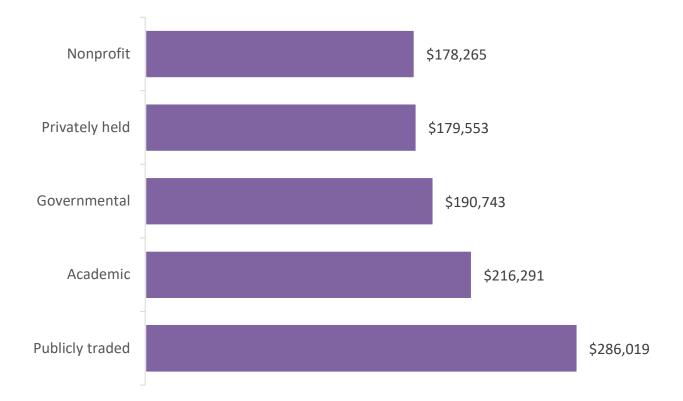
#### Average Total Compensation by Years in Compliance Profession

We see a more linear relationship between total compensation and years in the compliance profession, with compensation topping \$243,000 for those in compliance for more than 20 years.



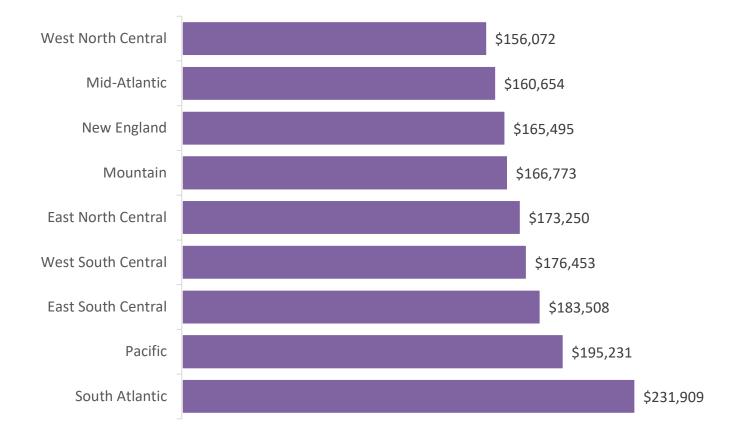
#### Average Total Compensation by Type of Organization

Healthcare CCOs in publicly traded firms have the highest levels of total compensation; those in nonprofit organizations the lowest levels.



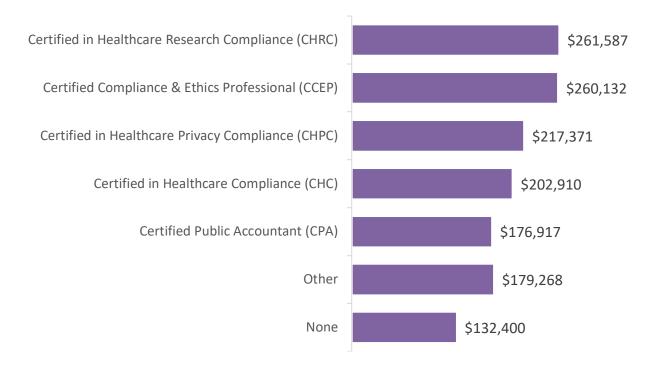
#### Average Total Compensation and Average Base Salary by Geographic Region

Compensation for healthcare CCOs tends to be highest in the South Atlantic, Pacific, and East South Central regions.



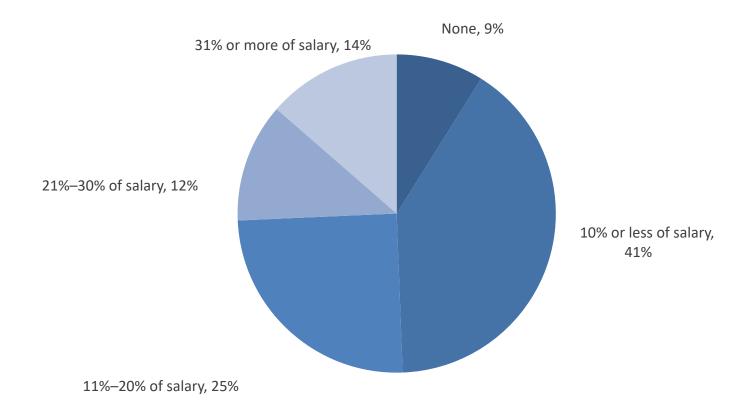
#### **Average Total Compensation by Certification**

CCOs with a CHRC credential have the highest levels of compensation; those with no certification earn considerably less than those who have a certification.



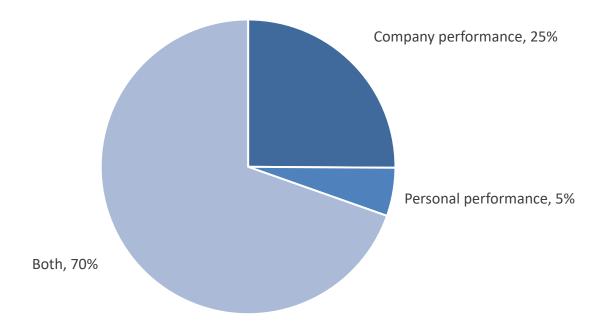
## Amount of Bonus Eligible

Over 90% of healthcare CCOs receive a bonus on top of salary, most commonly between 1% and 20% of salary.



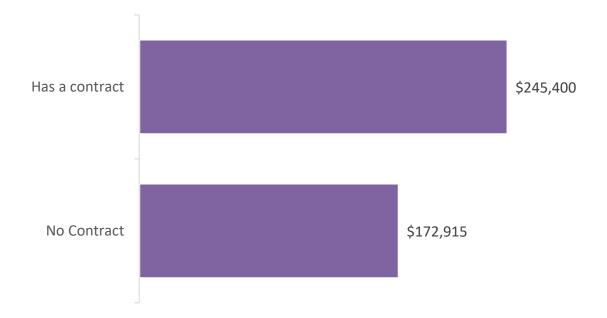
## **Basis of Bonus**

7 in 10 (70%) healthcare CCOs who receive a bonus say the amount is based on both company performance and personal performance. For the rest, company performance is much more likely to be the basis for the bonus amount.



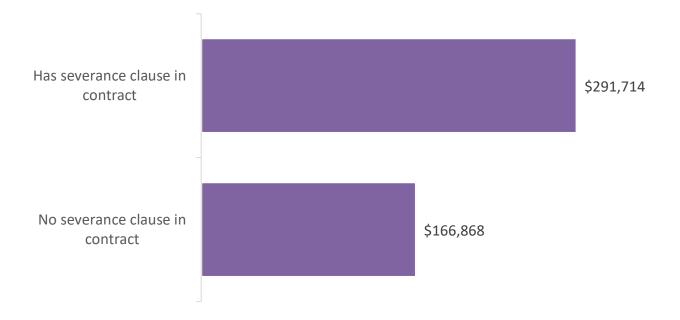
## **Average Total Compensation by Contract Status**

CCOs with a contract earn about \$72K more per year than those without a contract.



## Average Total Compensation by Severance Clause Status

Among those with a contract, compensation is also considerably higher among those who have a severance clause built into their agreement.



**Salary Data** 

# **Chief Compliance Officer Salary Results Healthcare**

|                                      |                |           | Base                           | Salary    |                                |           | Total Con                      | npensation |                                |
|--------------------------------------|----------------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|                                      | Responses      | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| All Respondents                      | 500            | \$165,435 | \$110,750                      | \$150,000 | \$205,000                      | \$181,903 | \$114,300                      | \$155,000  | \$220,000                      |
|                                      |                |           |                                |           |                                |           |                                |            |                                |
| Percentage of Company's Legal and Re | gulatory Risk  | Areas CCO | nvolved In                     |           |                                |           |                                |            |                                |
| 26%–50%                              | 98             | \$166,988 | \$120,250                      | \$150,000 | \$204,500                      | \$187,636 | \$123,119                      | \$155,000  | \$219,008                      |
| 51%-75%                              | 168            | \$162,640 | \$109,750                      | \$140,000 | \$200,000                      | \$178,509 | \$112,625                      | \$149,500  | \$213,150                      |
| 76%–100%                             | 234            | \$166,791 | \$110,500                      | \$150,000 | \$206,500                      | \$181,939 | \$113,725                      | \$160,000  | \$224,500                      |
|                                      |                |           |                                |           |                                |           |                                |            |                                |
| Number of Employees in the Complian  | ice Group Ma   | naged     |                                |           |                                |           |                                |            |                                |
| 1                                    | 144            | \$121,427 | \$86,000                       | \$113,000 | \$150,000                      | \$124,883 | \$88,750                       | \$118,000  | \$152,480                      |
| 2 to 5                               | 210            | \$158,313 | \$120,000                      | \$145,000 | \$189,300                      | \$173,500 | \$124,000                      | \$154,500  | \$207,950                      |
| 6 to 10                              | 62             | \$204,152 | \$150,000                      | \$190,000 | \$247,250                      | \$228,789 | \$161,750                      | \$199,000  | \$291,500                      |
| 11 to 15                             | 27             | \$208,489 | \$156,000                      | \$230,000 | \$261,250                      | \$228,548 | \$157,500                      | \$242,000  | \$273,100                      |
| 16 to 20                             | 12             | \$255,553 | \$251,250                      | \$273,500 | \$280,000                      | \$276,020 | \$265,250                      | \$280,000  | \$292,500                      |
| More than 20                         | 44             | \$238,908 | \$123,500                      | \$220,000 | \$311,000                      | \$289,647 | \$135,000                      | \$245,000  | \$406,050                      |
|                                      |                |           |                                |           |                                |           |                                |            |                                |
| Annual Compliance Budget Managed     |                |           |                                |           |                                |           |                                |            |                                |
| < \$100k                             | 203            | \$128,442 | \$90,000                       | \$118,000 | \$150,000                      | \$134,434 | \$94,000                       | \$124,500  | \$156,000                      |
| \$100k-\$249k                        | 61             | \$140,755 | \$109,000                      | \$133,000 | \$170,000                      | \$146,094 | \$110,000                      | \$135,000  | \$175,000                      |
| \$250k-\$499k                        | 68             | \$154,628 | \$124,500                      | \$156,542 | \$180,500                      | \$167,632 | \$129,020                      | \$158,742  | \$196,250                      |
| \$500k-\$999k                        | 59             | \$189,213 | \$147,000                      | \$180,000 | \$217,500                      | \$208,150 | \$147,000                      | \$188,000  | \$245,000                      |
| \$1m-\$1.9m                          | 45             | \$215,721 | \$160,000                      | \$225,000 | \$254,176                      | \$250,765 | \$160,000                      | \$239,000  | \$314,000                      |
| \$2m+                                | 64             | \$260,500 | \$200,000                      | \$272,000 | \$304,500                      | \$309,148 | \$219,000                      | \$280,000  | \$384,000                      |
|                                      |                |           |                                |           |                                |           |                                |            |                                |
| Number of Employees That CCO Runs    | Compliance for | or        |                                |           |                                |           |                                |            |                                |
| Less than 100                        | 62             | \$136,735 | \$85,000                       | \$123,670 | \$180,000                      | \$145,620 | \$87,000                       | \$127,670  | \$186,000                      |
| 100–249                              | 58             | \$131,113 | \$92,000                       | \$115,500 | \$167,000                      | \$138,783 | \$92,000                       | \$125,250  | \$170,000                      |
| 250–499                              | 74             | \$125,024 | \$94,500                       | \$110,500 | \$150,000                      | \$128,197 | \$94,500                       | \$114,750  | \$160,000                      |
| 500–999                              | 79             | \$154,563 | \$108,000                      | \$150,000 | \$200,000                      | \$163,205 | \$108,000                      | \$156,000  | \$200,000                      |
| 1,000–1,999                          | 65             | \$157,742 | \$121,000                      | \$140,000 | \$182,000                      | \$173,875 | \$126,000                      | \$149,406  | \$200,000                      |
| 2,000–2,999                          | 36             | \$166,272 | \$129,500                      | \$160,000 | \$195,000                      | \$190,105 | \$132,000                      | \$165,700  | \$209,505                      |
| 3,000–4,999                          | 40             | \$175,239 | \$122,000                      | \$157,500 | \$213,000                      | \$189,248 | \$131,719                      | \$163,000  | \$225,000                      |
| 5,000–7,499                          | 17             | \$203,906 | \$145,000                      | \$170,000 | \$257,500                      | \$228,671 | \$151,403                      | \$217,000  | \$270,000                      |
| 7,500–14,999                         | 36             | \$238,414 | \$193,000                      | \$246,500 | \$281,000                      | \$276,483 | \$193,000                      | \$273,500  | \$340,000                      |
| 15,000+                              | 33             | \$299,249 | \$248,000                      | \$282,000 | \$334,200                      | \$361,749 | \$250,000                      | \$338,425  | \$405,000                      |

|  |               |            | Base                           | Salary    |                                |           | Total Con                      | npensation |                                |
|--|---------------|------------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|  | Responses     | Average    | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| Annual Revenues of Part of Organizati    | on That the C | CO Runs Co | mpliance Fo                    | r         |                                |           |                                |            |                                |
| Less than \$5 million                    | 61            | \$141,679  | \$93,000                       | \$120,000 | \$170,000                      | \$149,439 | \$99,000                       | \$130,000  | \$190,000                      |
| \$5 million to less than \$15 million    | 52            | \$130,266  | \$83,600                       | \$114,000 | \$165,520                      | \$134,976 | \$85,500                       | \$119,994  | \$169,020                      |
| \$15 million to less than \$30 million   | 73            | \$134,557  | \$96,000                       | \$125,000 | \$165,000                      | \$138,564 | \$97,500                       | \$128,000  | \$167,000                      |
| \$30 million to less than \$50 million   | 44            | \$128,373  | \$96,750                       | \$123,250 | \$139,525                      | \$137,079 | \$100,000                      | \$127,350  | \$158,440                      |
| \$50 million to less than \$100 million  | 61            | \$163,563  | \$130,000                      | \$152,000 | \$190,000                      | \$175,444 | \$134,500                      | \$156,000  | \$206,000                      |
| \$100 million to less than \$500 million | 83            | \$158,801  | \$123,000                      | \$150,000 | \$185,000                      | \$174,778 | \$124,000                      | \$163,000  | \$212,000                      |
| \$500 million to less than \$1 billion   | 36            | \$191,489  | \$133,000                      | \$178,000 | \$222,500                      | \$222,239 | \$136,500                      | \$201,000  | \$252,500                      |
| \$1 billion to less than \$3 billion     | 39            | \$231,578  | \$180,000                      | \$245,000 | \$280,000                      | \$265,537 | \$200,000                      | \$260,000  | \$340,000                      |
| \$3 billion or more                      | 35            | \$301,499  | \$248,000                      | \$282,000 | \$340,000                      | \$364,917 | \$275,000                      | \$341,600  | \$440,000                      |
|  |               |            |                                |           |                                |           |                                |            |                                |
| Number of Countries in Which Compli      | ance Is Mana  | ged        |                                |           |                                |           |                                |            |                                |
| 1  | 482           | \$162,965  | \$110,000                      | \$145,300 | \$200,000                      | \$177,711 | \$113,500                      | \$152,500  | \$217,000                      |
| 2 or more                                | 18            | \$231,570  | \$167,000                      | \$230,000 | \$263,000                      | \$294,159 | \$199,000                      | \$252,750  | \$315,000                      |
|  |               |            |                                |           |                                |           |                                |            |                                |
| Number of Years Managing the Compl       | iance Depart  | ment       |                                |           |                                |           |                                |            |                                |
| 1 or less                                | 58            | \$144,932  | \$100,000                      | \$131,500 | \$187,000                      | \$153,919 | \$101,000                      | \$138,250  | \$190,000                      |
| 2  | 54            | \$134,663  | \$100,000                      | \$118,913 | \$162,000                      | \$142,790 | \$100,000                      | \$126,763  | \$162,00                       |
| 3  | 59            | \$151,541  | \$110,000                      | \$145,000 | \$187,000                      | \$166,922 | \$115,500                      | \$156,000  | \$190,500                      |
| 4  | 34            | \$157,243  | \$88,000                       | \$125,000 | \$185,000                      | \$172,058 | \$89,500                       | \$128,000  | \$200,000                      |
| 5  | 47            | \$137,799  | \$98,000                       | \$135,000 | \$163,000                      | \$145,954 | \$98,000                       | \$136,500  | \$175,000                      |
| 6–10                                     | 128           | \$176,578  | \$119,994                      | \$151,000 | \$225,000                      | \$199,166 | \$122,448                      | \$156,440  | \$250,000                      |
| 11–15                                    | 55            | \$183,298  | \$126,000                      | \$167,000 | \$245,000                      | \$201,587 | \$126,000                      | \$175,000  | \$254,000                      |
| 16 or more                               | 64            | \$209,216  | \$145,320                      | \$200,000 | \$258,500                      | \$233,725 | \$147,000                      | \$209,300  | \$296,790                      |
|  |               |            |                                |           |                                |           |                                |            |                                |
| Years in Compliance Profession           |               |            |                                |           |                                |           |                                |            |                                |
| <3 years                                 | 36            | \$122,084  | \$83,100                       | \$115,000 | \$150,702                      | \$124,834 | \$86,640                       | \$115,000  | \$150,702                      |
| 3–5 years                                | 82            | \$118,225  | \$85,000                       | \$108,000 | \$145,600                      | \$122,677 | \$85,000                       | \$110,000  | \$150,000                      |
| 6–10 years                               | 120           | \$149,870  | \$106,000                      | \$132,500 | \$180,000                      | \$161,032 | \$107,500                      | \$140,000  | \$187,875                      |
| 11–15 years                              | 103           | \$171,576  | \$120,000                      | \$161,400 | \$210,000                      | \$193,167 | \$127,000                      | \$165,000  | \$245,000                      |
| 16–20 years                              | 83            | \$202,681  | \$137,000                      | \$182,000 | \$250,000                      | \$225,345 | \$151,452                      | \$200,000  | \$259,120                      |
| 21+ years                                | 76            | \$212,485  | \$150,000                      | \$201,500 | \$248,500                      | \$243,084 | \$161,750                      | \$219,000  | \$283,500                      |

|  |           |           | Base                           | Salary    |                                |           | Total Compensation             |           |                                |  |
|--|-----------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|--|
|  | Responses | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile |  |
| Certifications   |           |           |                                |           |                                |           |                                |           |                                |  |
| Certified Public Accountant (CPA)                                    | 12        | \$173,167 | \$126,000                      | \$165,000 | \$222,500                      | \$176,917 | \$126,000                      | \$165,000 | \$237,500                      |  |
| Certified Compliance & Ethics<br>Professional (CCEP)                 | 21        | \$219,415 | \$162,000                      | \$208,600 | \$275,000                      | \$260,132 | \$192,000                      | \$227,000 | \$341,600                      |  |
| Certified Compliance & Ethics<br>Professional-International (CCEP-I) | 1         |           |                                |           |                                |           |                                |           |                                |  |
| Certified in Healthcare Compliance (CHC)                             | 332       | \$181,600 | \$126,750                      | \$165,000 | \$220,000                      | \$202,910 | \$133,000                      | \$172,821 | \$245,500                      |  |
| Certified in Healthcare Research Compliance (CHRC)                   | 29        | \$229,787 | \$170,000                      | \$230,000 | \$294,580                      | \$261,587 | \$190,000                      | \$248,000 | \$314,000                      |  |
| Certified in Healthcare Privacy Compliance (CHPC)                    | 110       | \$192,439 | \$131,500                      | \$175,000 | \$248,550                      | \$217,371 | \$133,405                      | \$189,850 | \$271,500                      |  |
| Certified Fraud Examiner (CFE)                                       | 4         |           |                                |           |                                |           |                                |           |                                |  |
| Certified Internal Auditor (CIA)                                     | 5         | \$202,400 | \$162,000                      | \$220,000 | \$220,000                      | \$232,000 | \$192,000                      | \$254,000 | \$254,000                      |  |
| Certified Information Privacy<br>Professional (CIPP)                 | 4         |           |                                |           |                                |           |                                |           |                                |  |
| Professionals in Human Resources (PHR)                               | 4         |           |                                |           |                                |           |                                |           |                                |  |
| Senior Professionals in Human<br>Resources (SPHR)                    | 4         |           |                                |           |                                |           |                                |           |                                |  |
| Health Ethics Trust Certified Compliance Executive (CCE)             | 2         |           |                                |           |                                |           |                                |           |                                |  |
| Other  | 127       | \$161,059 | \$108,000                      | \$145,000 | \$200,000                      | \$179,268 | \$110,500                      | \$155,000 | \$207,800                      |  |
| None   | 90        | \$126,753 | \$84,250                       | \$108,750 | \$150,000                      | \$132,400 | \$86,250                       | \$109,500 | \$160,730                      |  |
| Type of Organization   |           |           |                                |           |                                |           |                                |           |                                |  |
| Nonprofit  | 280       | \$165,103 | \$113,000                      | \$143,000 | \$200,000                      | \$178,265 | \$114,750                      | \$147,994 | \$212,600                      |  |
| Privately held   | 158       | \$160,222 | \$110,000                      | \$151,960 | \$206,000                      | \$179,553 | \$115,000                      | \$170,000 | \$228,000                      |  |
| Publicly traded  | 13        | \$207,835 | \$120,000                      | \$205,000 | \$300,000                      | \$286,019 | \$128,000                      | \$255,000 | \$420,000                      |  |
| Governmental   | 24        | \$174,543 | \$101,500                      | \$128,500 | \$233,000                      | \$190,743 | \$101,500                      | \$134,900 | \$233,000                      |  |
| Academic   | 14        | \$213,327 | \$165,000                      | \$198,000 | \$275,000                      | \$216,291 | \$176,000                      | \$198,000 | \$275,000                      |  |
|  |           |           |                                |           |                                |           |                                |           |                                |  |
| Number of Compliance Elements Invo                                   | lved in   |           |                                |           |                                |           |                                |           |                                |  |
| 1–3  | 2         |           |                                |           |                                |           |                                |           |                                |  |
| 4–6  | 21        | \$138,160 | \$115,000                      | \$125,000 | \$143,000                      | \$140,898 | \$115,000                      | \$125,000 | \$148,488                      |  |
| 7–9  | 145       | \$146,066 | \$102,000                      | \$131,000 | \$174,000                      | \$156,967 | \$105,000                      | \$135,000 | \$186,000                      |  |
| All 10   | 332       | \$175,968 | \$117,079                      | \$161,000 | \$218,750                      | \$195,800 | \$122,913                      | \$169,602 | \$243,500                      |  |

|  |                |           | Base                           | Salary    |                                |           | Total Con                      | npensation |                                |
|--|----------------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|  | Responses      | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| Geographic Region                      |                |           |                                |           |                                |           |                                |            |                                |
| New England                            | 27             | \$156,868 | \$112,000                      | \$147,000 | \$202,600                      | \$165,495 | \$116,500                      | \$154,500  | \$216,600                      |
| Mid-Atlantic                           | 75             | \$150,924 | \$100,000                      | \$128,000 | \$181,000                      | \$160,654 | \$100,700                      | \$130,000  | \$200,000                      |
| East North Central                     | 52             | \$159,675 | \$105,500                      | \$133,000 | \$182,000                      | \$173,250 | \$105,500                      | \$141,000  | \$191,000                      |
| West North Central                     | 32             | \$150,044 | \$106,000                      | \$145,000 | \$171,000                      | \$156,072 | \$106,500                      | \$145,000  | \$187,000                      |
| South Atlantic                         | 79             | \$201,234 | \$149,406                      | \$175,000 | \$240,000                      | \$231,909 | \$152,000                      | \$190,000  | \$300,000                      |
| East South Central                     | 42             | \$165,041 | \$112,840                      | \$154,602 | \$205,000                      | \$183,508 | \$113,500                      | \$164,177  | \$228,000                      |
| West South Central                     | 48             | \$156,114 | \$113,219                      | \$135,000 | \$195,000                      | \$176,453 | \$115,000                      | \$140,000  | \$201,500                      |
| Mountain                               | 56             | \$150,296 | \$100,000                      | \$140,320 | \$195,000                      | \$166,773 | \$101,500                      | \$149,000  | \$217,500                      |
| Pacific                                | 72             | \$179,911 | \$112,000                      | \$152,960 | \$245,000                      | \$195,231 | \$123,000                      | \$157,225  | \$250,000                      |
|  |                |           |                                |           |                                |           |                                |            |                                |
| Contract Status                        |                |           |                                |           |                                |           |                                |            |                                |
| Yes                                    | 62             | \$208,637 | \$150,000                      | \$207,500 | \$250,000                      | \$245,400 | \$164,375                      | \$226,000  | \$300,000                      |
| No                                     | 438            | \$159,320 | \$109,000                      | \$143,000 | \$191,270                      | \$172,915 | \$111,625                      | \$150,000  | \$203,000                      |
|  |                |           |                                |           |                                |           |                                |            |                                |
| If Yes: Do You Have a Severance Clause | e in Contract? |           |                                |           |                                |           |                                |            |                                |
| Yes                                    | 39             | \$240,545 | \$180,000                      | \$230,000 | \$275,000                      | \$291,714 | \$210,000                      | \$260,000  | \$375,000                      |
| No                                     | 23             | \$154,533 | \$100,000                      | \$150,000 | \$200,000                      | \$166,868 | \$100,700                      | \$150,000  | \$216,000                      |

**Detailed Results** 

# **Chief Compliance Officer Detailed Results Healthcare**

|  |                    |                   | Annual Co        | mpliance Budget | Managed       |       |
|--|--------------------|-------------------|------------------|-----------------|---------------|-------|
|  | All<br>Respondents | < \$100k          | \$100k-\$249k    | \$250k-\$499k   | \$500k-\$999k | \$1m+ |
| Are you the Compliance Officer for:              |                    |                   |                  |                 |               |       |
| Responses  | 500                | 203               | 61               | 68              | 59            | 109   |
| Your organization as a whole                     | 70%                | 66%               | 77%              | 78%             | 69%           | 71%   |
| A division or operating unit of the organization | 30%                | 34%               | 23%              | 22%             | 31%           | 29%   |
| Percentage indicating involvement in the         | ne following comp  | liance elements:  |                  |                 |               |       |
| Compliance Auditing/Monitoring                   | 97%                | 95%               | 98%              | 97%             | 97%           | 100%  |
| Compliance Education                             | 98%                | 98%               | 98%              | 96%             | 98%           | 98%   |
| Compliance Investigations                        | 98%                | 98%               | 98%              | 97%             | 100%          | 96%   |
| Hot Line/Anonymous Reporting                     | 92%                | 89%               | 98%              | 91%             | 98%           | 94%   |
| Reporting to the Board                           | 92%                | 87%               | 87%              | 96%             | 97%           | 96%   |
| Compliance Risk Assessments                      | 95%                | 95%               | 95%              | 94%             | 97%           | 97%   |
| Compliance Discipline/Incentives                 | 82%                | 78%               | 77%              | 84%             | 83%           | 87%   |
| Code of Conduct                                  | 90%                | 87%               | 95%              | 91%             | 92%           | 93%   |
| Policies and Procedures                          | 99%                | 100%              | 98%              | 99%             | 100%          | 99%   |
| Measuring Program Effectiveness                  | 88%                | 86%               | 87%              | 90%             | 92%           | 90%   |
| How much of your company's legal and             | regulatory risk ar | eas are vou resno | nsible for manag | ring?           |               |       |
| Responses  | 500                | 203               | 61               | 68              | 59            | 109   |
| 26%–50%  | 20%                | 18%               | 23%              | 24%             | 15%           | 20%   |
| 51%-75%  | 34%                | 37%               | 38%              | 19%             | 39%           | 31%   |
| 76%–100%   | 47%                | 45%               | 39%              | 57%             | 46%           | 49%   |
|  |                    |                   |                  |                 |               |       |
| How many employees, including you, a             | re in the complian | ce group you ma   | nage?            |                 |               |       |
| Responses  | 499                | 203               | 60               | 68              | 59            | 109   |
| 1  | 29%                | 47%               | 50%              | 24%             | 0%            | 3%    |
| 2 to 5   | 42%                | 38%               | 38%              | 72%             | 64%           | 21%   |
| 6 to 10  | 12%                | 5%                | 5%               | 2%              | 29%           | 28%   |
| 11 to 15   | 5%                 | 3%                | 2%               | 0%              | 3%            | 17%   |
| 16 to 20   | 2%                 | 2%                | 0%               | 2%              | 0%            | 7%    |
| More than 20                                     | 9%                 | 6%                | 5%               | 2%              | 3%            | 24%   |

|                                    |                    |                   | Annual Co     | mpliance Budget | : Managed     |       |
|------------------------------------|--------------------|-------------------|---------------|-----------------|---------------|-------|
|                                    | All<br>Respondents | < \$100k          | \$100k-\$249k | \$250k-\$499k   | \$500k-\$999k | \$1m+ |
| Annual compliance budget managed:  |                    |                   |               |                 |               |       |
| Responses                          | 500                | 203               | 61            | 68              | 59            | 109   |
| <\$100k                            | 41%                | 100%              | 0%            | 0%              | 0%            | 0%    |
| \$100k-\$249k                      | 12%                | 0%                | 100%          | 0%              | 0%            | 0%    |
| \$250k-\$499k                      | 14%                | 0%                | 0%            | 100%            | 0%            | 0%    |
| \$500k–\$999k                      | 12%                | 0%                | 0%            | 0%              | 100%          | 0%    |
| \$1m–\$1.9m                        | 9%                 | 0%                | 0%            | 0%              | 0%            | 41%   |
| \$2m+                              | 13%                | 0%                | 0%            | 0%              | 0%            | 59%   |
|                                    |                    |                   |               |                 |               |       |
| Approximately how many employees w | ork in your organi | zation as a whole | e?            |                 |               |       |
| Responses                          | 500                | 203               | 61            | 68              | 59            | 109   |
| Less than 100                      | 5%                 | 8%                | 5%            | 4%              | 2%            | 1%    |
| 100–249                            | 11%                | 18%               | 8%            | 13%             | 0%            | 6%    |
| 250–499                            | 17%                | 24%               | 26%           | 16%             | 7%            | 3%    |
| 500–999                            | 15%                | 17%               | 18%           | 16%             | 10%           | 13%   |
| 1,000–1,999                        | 14%                | 10%               | 20%           | 19%             | 20%           | 12%   |
| 2,000–2,999                        | 6%                 | 4%                | 10%           | 7%              | 15%           | 4%    |
| 3,000–4,999                        | 7%                 | 5%                | 3%            | 7%              | 19%           | 8%    |
| 5,000–7,499                        | 4%                 | 2%                | 3%            | 3%              | 12%           | 4%    |
| 7,500–9,999                        | 3%                 | 3%                | 2%            | 3%              | 5%            | 6%    |
| 10,000–14,999                      | 4%                 | 2%                | 0%            | 3%              | 2%            | 14%   |
| 15,000–19,999                      | 3%                 | 2%                | 2%            | 2%              | 7%            | 6%    |
| 20,000–29,999                      | 3%                 | 2%                | 2%            | 3%              | 0%            | 6%    |
| 30,000–49,999                      | 3%                 | 1%                | 0%            | 0%              | 0%            | 10%   |
| 50,000–74,999                      | 1%                 | 0%                | 2%            | 0%              | 0%            | 6%    |
| 75,000–99,999                      | 1%                 | 1%                | 0%            | 2%              | 2%            | 2%    |
| 100,000 +                          | 2%                 | 3%                | 0%            | 2%              | 0%            | 2%    |

|  | Annual Compliance Budget Managed |                  |                    |                 |               |       |  |  |  |
|--|----------------------------------|------------------|--------------------|-----------------|---------------|-------|--|--|--|
|  | All<br>Respondents               | < \$100k         | \$100k-\$249k      | \$250k-\$499k   | \$500k-\$999k | \$1m+ |  |  |  |
| Approximately how many employees w       | ork in the portion               | of the organizat | ion that you run o | compliance for? |               |       |  |  |  |
| Responses                                | 500                              | 203              | 61                 | 68              | 59            | 109   |  |  |  |
| Less than 100                            | 12%                              | 17%              | 10%                | 10%             | 7%            | 9%    |  |  |  |
| 100–249                                  | 12%                              | 19%              | 10%                | 13%             | 0%            | 5%    |  |  |  |
| 250–499                                  | 15%                              | 21%              | 25%                | 13%             | 7%            | 3%    |  |  |  |
| 500–999                                  | 16%                              | 17%              | 16%                | 19%             | 12%           | 13%   |  |  |  |
| 1,000–1,999                              | 13%                              | 8%               | 21%                | 19%             | 19%           | 11%   |  |  |  |
| 2,000–2,999                              | 7%                               | 4%               | 8%                 | 10%             | 17%           | 6%    |  |  |  |
| 3,000–4,999                              | 8%                               | 6%               | 3%                 | 7%              | 22%           | 6%    |  |  |  |
| 5,000–7,499                              | 3%                               | 3%               | 3%                 | 2%              | 9%            | 3%    |  |  |  |
| 7,500–9,999                              | 3%                               | 2%               | 2%                 | 2%              | 7%            | 7%    |  |  |  |
| 10,000–14,999                            | 4%                               | 1%               | 2%                 | 3%              | 0%            | 14%   |  |  |  |
| 15,000–19,999                            | 2%                               | 2%               | 0%                 | 0%              | 2%            | 4%    |  |  |  |
| 20,000–29,999                            | 1%                               | 1%               | 0%                 | 2%              | 0%            | 5%    |  |  |  |
| 30,000–49,999                            | 2%                               | 1%               | 0%                 | 0%              | 0%            | 10%   |  |  |  |
| 50,000–74,999                            | 1%                               | 0%               | 0%                 | 0%              | 0%            | 4%    |  |  |  |
| 75,000–99,999                            | 0%                               | 0%               | 0%                 | 0%              | 0%            | 0%    |  |  |  |
| 100,000 +                                | 0%                               | 0%               | 0%                 | 0%              | 0%            | 2%    |  |  |  |
|  |                                  |                  |                    |                 |               |       |  |  |  |
| What are the annual revenues of the or   | ganization you wo                | rk for?          |                    |                 |               |       |  |  |  |
| Responses                                | 484                              | 194              | 58                 | 67              | 58            | 107   |  |  |  |
| Less than \$5 million                    | 6%                               | 10%              | 3%                 | 6%              | 3%            | 0%    |  |  |  |
| \$5 million to less than \$15 million    | 10%                              | 19%              | 7%                 | 9%              | 0%            | 4%    |  |  |  |
| \$15 million to less than \$30 million   | 14%                              | 22%              | 9%                 | 12%             | 12%           | 6%    |  |  |  |
| \$30 million to less than \$50 million   | 10%                              | 9%               | 16%                | 10%             | 10%           | 6%    |  |  |  |
| \$50 million to less than \$100 million  | 14%                              | 13%              | 24%                | 21%             | 9%            | 8%    |  |  |  |
| \$100 million to less than \$500 million | 18%                              | 14%              | 28%                | 18%             | 21%           | 18%   |  |  |  |
| \$500 million to less than \$1 billion   | 9%                               | 4%               | 10%                | 12%             | 24%           | 8%    |  |  |  |
| \$1 billion to less than \$3 billion     | 9%                               | 4%               | 2%                 | 9%              | 17%           | 19%   |  |  |  |
| \$3 billion or more                      | 10%                              | 5%               | 2%                 | 3%              | 3%            | 32%   |  |  |  |

|  |                    |                  | Annual Co          | mpliance Budget | : Managed     |       |
|--|--------------------|------------------|--------------------|-----------------|---------------|-------|
|  | All<br>Respondents | < \$100k         | \$100k-\$249k      | \$250k-\$499k   | \$500k–\$999k | \$1m+ |
| What are the annual revenues for the p   | ortion of the orga | nization that yo | ur part of the com | pliance program | oversees?     |       |
| Responses                                | 484                | 193              | 58                 | 67              | 58            | 108   |
| Less than \$5 million                    | 13%                | 18%              | 9%                 | 13%             | 10%           | 7%    |
| \$5 million to less than \$15 million    | 11%                | 17%              | 9%                 | 9%              | 2%            | 7%    |
| \$15 million to less than \$30 million   | 15%                | 23%              | 10%                | 13%             | 10%           | 7%    |
| \$30 million to less than \$50 million   | 9%                 | 10%              | 16%                | 9%              | 10%           | 3%    |
| \$50 million to less than \$100 million  | 13%                | 11%              | 21%                | 19%             | 9%            | 9%    |
| \$100 million to less than \$500 million | 17%                | 15%              | 26%                | 19%             | 24%           | 11%   |
| \$500 million to less than \$1 billion   | 7%                 | 3%               | 7%                 | 9%              | 21%           | 7%    |
| \$1 billion to less than \$3 billion     | 8%                 | 2%               | 2%                 | 6%              | 12%           | 21%   |
| \$3 billion or more                      | 7%                 | 1%               | 2%                 | 1%              | 2%            | 29%   |
| For how many countries do you manage     | compliance?        |                  |                    |                 |               |       |
| For how many countries do you manage     | 500                | 203              | 61                 | 68              | 59            | 109   |
| Responses                                |                    |                  |                    |                 |               |       |
| 2  | 96%                | 98%              | 95%                | 97%             | 93%           | 96%   |
| 2 or more                                | 4%                 | 2%               | 5%                 | 3%              | 7%            | 4%    |
| Where do you work?                       |                    |                  |                    |                 |               |       |
| Responses                                | 500                | 203              | 61                 | 68              | 59            | 109   |
| United States                            | 100%               | 100%             | 100%               | 100%            | 100%          | 100%  |
| North America, other than the US         | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| South America                            | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Europe                                   | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Asia                                     | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Africa                                   | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Australia                                | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Middle East                              | 0%                 | 0%               | 0%                 | 0%              | 0%            | 0%    |
| Voore in compliance profession           |                    |                  |                    |                 |               |       |
| Years in compliance profession           | 500                | 203              | 61                 | 68              | 59            | 109   |
| Responses 75th Persontile                |                    |                  |                    |                 |               |       |
| 75 <sup>th</sup> Percentile              | 18                 | 15               | 17                 | 17              | 18            | 22    |
| Median 25th Percentile                   | 11                 | 8                | 11                 | 11              | 13            | 18    |
| 25 <sup>th</sup> Percentile              | 6                  | 5                | 7                  | 7               | 10            | 10    |
| Average                                  | 13                 | 10               | 12                 | 13              | 14            | 17    |
| Years with current employer              |                    |                  |                    |                 |               |       |
| Responses                                | 500                | 203              | 61                 | 68              | 59            | 109   |
| 75 <sup>th</sup> Percentile              | 15                 | 12               | 17                 | 14              | 19            | 15    |
| Median                                   | 6                  | 6                | 6                  | 7               | 8             | 6     |

|                                      |                    |          | Annual Co     | mpliance Budget | Managed       |       |
|--------------------------------------|--------------------|----------|---------------|-----------------|---------------|-------|
|                                      | All<br>Respondents | < \$100k | \$100k-\$249k | \$250k-\$499k   | \$500k-\$999k | \$1m+ |
| 25 <sup>th</sup> Percentile          | 3                  | 3        | 3             | 4               | 4             | 2     |
| Average                              | 10                 | 9        | 11            | 11              | 12            | 11    |
|                                      |                    |          |               |                 |               |       |
| Years holding current job title      |                    |          |               |                 |               |       |
| Responses                            | 500                | 203      | 61            | 68              | 59            | 109   |
| 75 <sup>th</sup> Percentile          | 8                  | 6        | 8             | 8               | 7             | 10    |
| Median                               | 4                  | 3        | 3             | 4               | 5             | 5     |
| 25 <sup>th</sup> Percentile          | 2                  | 2        | 2             | 2               | 2             | 3     |
| Average                              | 6                  | 5        | 6             | 6               | 6             | 7     |
|                                      |                    |          |               |                 |               |       |
| Industry                             |                    |          |               |                 |               |       |
| Responses                            | 500                | 203      | 61            | 68              | 59            | 109   |
| Health Care Provider                 | 100%               | 100%     | 100%          | 100%            | 100%          | 100%  |
|                                      |                    |          |               |                 |               |       |
| How many years have you run the comp | liance departmer   | nt?      |               |                 |               |       |
| Responses                            | 499                | 202      | 61            | 68              | 59            | 109   |
| Average                              | 8                  | 3        | 7             | 8               | 9             | 11    |
| 1                                    | 12%                | 18%      | 11%           | 1%              | 7%            | 8%    |
| 2                                    | 11%                | 11%      | 21%           | 13%             | 5%            | 6%    |
| 3                                    | 12%                | 14%      | 8%            | 13%             | 10%           | 9%    |
| 4                                    | 7%                 | 9%       | 3%            | 7%              | 2%            | 6%    |
| 5                                    | 9%                 | 9%       | 8%            | 19%             | 14%           | 3%    |
| 6 to 10                              | 26%                | 23%      | 26%           | 18%             | 31%           | 32%   |
| 11 to 15                             | 11%                | 10%      | 10%           | 12%             | 19%           | 9%    |
| 16 or more                           | 13%                | 5%       | 12%           | 16%             | 14%           | 27%   |

|   | Annual Compliance Budget Managed |          |               |               |               |       |  |  |  |  |
|---|----------------------------------|----------|---------------|---------------|---------------|-------|--|--|--|--|
|   | All<br>Respondents               | < \$100k | \$100k-\$249k | \$250k-\$499k | \$500k-\$999k | \$1m+ |  |  |  |  |
| Type of organization  |                                  |          |               |               |               |       |  |  |  |  |
| Responses   | 499                              | 202      | 61            | 68            | 59            | 109   |  |  |  |  |
| Nonprofit   | 56%                              | 53%      | 51%           | 60%           | 61%           | 60%   |  |  |  |  |
| Privately held  | 32%                              | 38%      | 36%           | 35%           | 25%           | 19%   |  |  |  |  |
| Publicly traded   | 3%                               | 2%       | 0%            | 0%            | 3%            | 6%    |  |  |  |  |
| Governmental  | 5%                               | 5%       | 3%            | 3%            | 3%            | 8%    |  |  |  |  |
| Academic  | 3%                               | 1%       | 2%            | 1%            | 7%            | 6%    |  |  |  |  |
| Other   | 2%                               | 3%       | 8%            | 0%            | 0%            | 0%    |  |  |  |  |
|   | '                                |          |               |               |               |       |  |  |  |  |
| Percentage holding the following certif                     | ications:                        |          |               |               |               |       |  |  |  |  |
| Certified Public Accountant (CPA)                           | 2%                               | 1%       | 7%            | 2%            | 2%            | 4%    |  |  |  |  |
| Certified Compliance & Ethics<br>Professional (CCEP)        | 4%                               | 2%       | 2%            | 4%            | 10%           | 6%    |  |  |  |  |
| Certified in Healthcare Compliance<br>(CHC)                 | 66%                              | 54%      | 64%           | 72%           | 83%           | 79%   |  |  |  |  |
| Certified in Healthcare Research<br>Compliance (CHRC)       | 6%                               | 3%       | 3%            | 2%            | 10%           | 12%   |  |  |  |  |
| Certified in Healthcare Privacy<br>Compliance (CHPC)        | 22%                              | 14%      | 26%           | 22%           | 31%           | 29%   |  |  |  |  |
| Certified Fraud Examiner (CFE)                              | 1%                               | 0%       | 2%            | 2%            | 3%            | 0%    |  |  |  |  |
| Certified Internal Auditor (CIA)                            | 1%                               | 1%       | 0%            | 0%            | 3%            | 1%    |  |  |  |  |
| Certified Information Privacy<br>Professional (CIPP)        | 1%                               | 1%       | 0%            | 0%            | 0%            | 2%    |  |  |  |  |
| Professionals in Human Resources<br>(PHR)                   | 1%                               | 2%       | 0%            | 0%            | 0%            | 1%    |  |  |  |  |
| Senior Professionals in Human<br>Resources (SPHR)           | 1%                               | 2%       | 2%            | 0%            | 0%            | 0%    |  |  |  |  |
| Health Ethics Trust Certified<br>Compliance Executive (CCE) | 0%                               | 0%       | 0%            | 0%            | 0%            | 2%    |  |  |  |  |
| Other   | 25%                              | 23%      | 25%           | 22%           | 31%           | 30%   |  |  |  |  |
| None  | 18%                              | 28%      | 18%           | 15%           | 7%            | 8%    |  |  |  |  |
| Highest level of education attained                         |                                  |          |               |               |               |       |  |  |  |  |
| Responses   | 498                              | 203      | 61            | 68            | 59            | 107   |  |  |  |  |
| Some college  | 7%                               | 12%      | 5%            | 4%            | 0%            | 5%    |  |  |  |  |
| Bachelor's degree   | 25%                              | 27%      | 33%           | 24%           | 22%           | 21%   |  |  |  |  |
| MBA   | 15%                              | 17%      | 16%           | 12%           | 14%           | 14%   |  |  |  |  |
| Master's degree (non-MBA)                                   | 32%                              | 32%      | 30%           | 40%           | 37%           | 28%   |  |  |  |  |
| JD  | 16%                              | 9%       | 15%           | 13%           | 24%           | 27%   |  |  |  |  |
| PhD   | 4%                               | 4%       | 2%            | 7%            | 3%            | 6%    |  |  |  |  |

|   |                    |          | Annual Co     | mpliance Budget | Managed       |       |
|---|--------------------|----------|---------------|-----------------|---------------|-------|
|   | All<br>Respondents | < \$100k | \$100k-\$249k | \$250k-\$499k   | \$500k-\$999k | \$1m+ |
| Amount of bonus eligible                          |                    |          |               |                 |               |       |
| Responses   | 256                | 76       | 23            | 41              | 39            | 77    |
| None  | 9%                 | 15%      | 17%           | 0%              | 8%            | 7%    |
| 1%–10% of salary                                  | 41%                | 58%      | 39%           | 59%             | 36%           | 17%   |
| 11%–20% of your salary                            | 25%                | 21%      | 35%           | 20%             | 33%           | 23%   |
| 21%–30% of your salary                            | 12%                | 5%       | 4%            | 17%             | 13%           | 18%   |
| 31%–40% of your salary                            | 8%                 | 1%       | 4%            | 5%              | 5%            | 20%   |
| 41% or more of your salary                        | 5%                 | 0%       | 0%            | 0%              | 5%            | 16%   |
| If you receive a bonus, what is it based o        | on?                |          |               |                 |               |       |
| Responses   | 262                | 81       | 24            | 41              | 39            | 77    |
| Company performance                               | 25%                | 30%      | 42%           | 20%             | 10%           | 25%   |
| Personal performance                              | 5%                 | 7%       | 4%            | 2%              | 8%            | 4%    |
| Both  | 70%                | 63%      | 54%           | 78%             | 82%           | 71%   |
| Do you have a contract?                           |                    |          |               |                 |               |       |
| Responses   | 500                | 203      | 61            | 68              | 59            | 109   |
| Yes   | 12%                | 7%       | 15%           | 15%             | 17%           | 17%   |
| No  | 88%                | 93%      | 85%           | 85%             | 83%           | 83%   |
| If yes, do you have a severance clause in         | your contract?     |          |               |                 |               |       |
| Responses   | 62                 | 14       | 9             | 10              | 10            | 19    |
| Yes   | 63%                | 14%      | 33%           | 80%             | 80%           | 95%   |
| No  | 37%                | 86%      | 67%           | 20%             | 20%           | 2%    |
|   |                    |          |               |                 |               |       |
| If yes, what percent of your annual salar         |                    |          |               |                 |               |       |
| Responses   | 39                 | 2        | 3             | 8               | 8             | 18    |
| <20%  | 0%                 |          |               | 0%              | 0%            | 0%    |
| 20%–29%   | 0%                 |          |               | 0%              | 0%            | 0%    |
| 30%–49%   | 8%                 |          |               | 25%             | 0%            | 0%    |
| 50%+  | 54%                |          |               | 13%             | 50%           | 78%   |
| My severance does not translate into this measure | 38%                |          |               | 63%             | 50%           | 22%   |
| Number of Compliance Elements Involve             | ed in              |          |               |                 |               |       |
| Responses   | 500                | 203      | 61            | 68              | 59            | 109   |
| 1–3   | 0%                 | 0%       | 2%            | 2%              | 0%            | 0%    |
| 4–6   | 4%                 | 4%       | 2%            | 4%              | 2%            | 6%    |
| 7–9   | 29%                | 37%      | 38%           | 24%             | 22%           | 17%   |
| All 10  | 66%                | 59%      | 59%           | 71%             | 76%           | 77%   |

|                    | Annual Compliance Budget Managed |          |               |               |               |       |  |  |  |
|--------------------|----------------------------------|----------|---------------|---------------|---------------|-------|--|--|--|
|                    | All<br>Respondents               | < \$100k | \$100k-\$249k | \$250k-\$499k | \$500k-\$999k | \$1m+ |  |  |  |
| Geographic Region  |                                  |          |               |               |               |       |  |  |  |
| Responses          | 483                              | 195      | 57            | 67            | 58            | 106   |  |  |  |
| New England        | 6%                               | 6%       | 9%            | 6%            | 7%            | 2%    |  |  |  |
| Mid-Atlantic       | 16%                              | 16%      | 11%           | 21%           | 10%           | 17%   |  |  |  |
| East North Central | 11%                              | 12%      | 14%           | 13%           | 3%            | 9%    |  |  |  |
| West North Central | 7%                               | 7%       | 11%           | 9%            | 2%            | 6%    |  |  |  |
| South Atlantic     | 16%                              | 11%      | 12%           | 24%           | 28%           | 18%   |  |  |  |
| East South Central | 9%                               | 8%       | 11%           | 8%            | 12%           | 8%    |  |  |  |
| West South Central | 10%                              | 11%      | 12%           | 5%            | 12%           | 9%    |  |  |  |
| Mountain           | 12%                              | 13%      | 12%           | 9%            | 9%            | 11%   |  |  |  |
| Pacific            | 15%                              | 16%      | 9%            | 6%            | 17%           | 20%   |  |  |  |

|  |                    |                  | N              | umber of Em     | ployees in Orga | anization as a \ | Whole             |                   |
|--|--------------------|------------------|----------------|-----------------|-----------------|------------------|-------------------|-------------------|
|  | All<br>Respondents | Less<br>than 250 | 250–999        | 1,000-<br>2,999 | 3,000-<br>7,499 | 7,500–<br>14,999 | 15,000–<br>29,999 | 30,000 or<br>more |
| Are you the Compliance Office                    | r for:             |                  |                |                 |                 |                  |                   |                   |
| Responses  | 500                | 82               | 160            | 102             | 55              | 39               | 28                | 34                |
| Your organization as a whole                     | 70%                | 63%              | 76%            | 67%             | 69%             | 64%              | 79                | 76%               |
| A division or operating unit of the organization | 30%                | 37%              | 24%            | 33%             | 31%             | 36%              | 21%               | 24%               |
| Percentage indicating involvem                   | ent in the follow  | ring complia     | nce elements   |                 |                 |                  |                   |                   |
| Compliance                                       |                    |                  |                |                 |                 |                  |                   |                   |
| Auditing/Monitoring                              | 97%                | 97%              | 97%            | 97%             | 97%             | 98%              | 96%               | 100%              |
| Compliance Education                             | 98%                | 95%              | 97%            | 98%             | 100%            | 98%              | 100%              | 100%              |
| Compliance Investigations                        | 98%                | 93%              | 98%            | 97%             | 100%            | 100%             | 100%              | 100%              |
| Hot Line/Anonymous<br>Reporting                  | 92%                | 82%              | 94%            | 95%             | 95%             | 100%             | 89%               | 94%               |
| Reporting to the Board                           | 92%                | 90%              | 94%            | 90%             | 93%             | 90%              | 86%               | 91%               |
| Compliance Risk Assessments                      | 95%                | 97%              | 92%            | 98%             | 95%             | 98%              | 96%               | 97%               |
| Compliance Discipline/Incentives                 | 82%                | 76%              | 83%            | 80%             | 83%             | 83%              | 89%               | 82%               |
| Code of Conduct                                  | 90%                | 91%              | 88%            | 94%             | 93%             | 88%              | 89%               | 85%               |
| Policies and Procedures                          | 99%                | 99%              | 100%           | 99%             | 98%             | 98%              | 100%              | 100%              |
| Measuring Program<br>Effectiveness               | 88%                | 85%              | 87%            | 90%             | 91%             | 88%              | 89%               | 91%               |
| How much of your company's I                     | egal and regulate  | orv risk areas   | s are vou resn | onsible for m   | nanaging?       |                  |                   |                   |
| Responses  | 500                | 82               | 160            | 102             | 55              | 39               | 28                | 34                |
| 26%–50%  | 20%                | 16%              | 11%            | 24%             | 24%             | 31%              | 29%               | 29%               |
| 51%-75%  | 34%                | 35%              | 33%            | 30%             | 36%             | 33%              | 36%               | 35%               |
| 76%–100%   | 47%                | 49%              | 56%            | 46%             | 40%             | 36%              | 36%               | 35%               |
| 7070-10070                                       | 4770               | 4970             | 30%            | 40%             | 40%             | 30%              | 30%               | 3376              |
| How many employees, includir                     | ng you, are in the | compliance       | group you ma   | anage?          |                 |                  |                   |                   |
| Responses  | 499                | 82               | 160            | 101             | 55              | 39               | 28                | 34                |
| 1  | 29%                | 49%              | 40%            | 27%             | 13%             | 3%               | 4%                | 12%               |
| 2 to 5   | 42%                | 38%              | 44%            | 47%             | 62%             | 31%              | 32%               | 18%               |
| 6 to 10  | 12%                | 6%               | 6%             | 17%             | 13%             | 31%              | 18%               | 18%               |
| 11 to 15   | 5%                 | 1%               | 3%             | 5%              | 5%              | 18%              | 21%               | 0%                |
| 16 to 20   | 2%                 | 0%               | 0%             | 1%              | 0%              | 13%              | 7%                | 12%               |
| More than 20                                     | 9%                 | 6%               | 6%             | 4%              | 7%              | 5%               | 18%               | 41%               |

|                             |                    |                  | N             | umber of Emp    | oloyees in Orga | anization as a \ | Whole             |                   |
|-----------------------------|--------------------|------------------|---------------|-----------------|-----------------|------------------|-------------------|-------------------|
|                             | All<br>Respondents | Less<br>than 250 | 250–999       | 1,000-<br>2,999 | 3,000–<br>7,499 | 7,500–<br>14,999 | 15,000-<br>29,999 | 30,000 or<br>more |
| Annual compliance budget ma | naged:             |                  |               |                 |                 |                  |                   |                   |
| Responses                   | 500                | 82               | 160           | 102             | 55              | 39               | 28                | 34                |
| <\$100k                     | 41%                | 66%              | 53%           | 27%             | 24%             | 23%              | 21%               | 27%               |
| \$100k-\$249k               | 12%                | 10%              | 17%           | 18%             | 7%              | 3%               | 7%                | 3%                |
| \$250k–\$499k               | 14%                | 15%              | 14%           | 18%             | 13%             | 10%              | 11%               | 6%                |
| \$500k-\$999k               | 12%                | 1%               | 6%            | 21%             | 33%             | 10%              | 14%               | 3%                |
| \$1m-\$1.9m                 | 9%                 | 1%               | 5%            | 12%             | 15%             | 23%              | 18%               | 6%                |
| \$2m+                       | 13%                | 7%               | 6%            | 5%              | 9%              | 31%              | 29%               | 56%               |
|                             |                    |                  |               |                 |                 |                  |                   |                   |
| Approximately how many emp  | loyees work in yo  | our organiza     | tion as a who | le?             |                 |                  |                   |                   |
| Responses                   | 500                | 82               | 160           | 102             | 55              | 39               | 28                | 34                |
| Less than 100               | 5%                 | 30%              | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| 100–249                     | 11%                | 70%              | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| 250–499                     | 17%                | 0%               | 52%           | 0%              | 0%              | 0%               | 0%                | 0%                |
| 500–999                     | 15%                | 0%               | 48%           | 0%              | 0%              | 0%               | 0%                | 0%                |
| 1,000–1,999                 | 14%                | 0%               | 0%            | 69%             | 0%              | 0%               | 0%                | 0%                |
| 2,000–2,999                 | 6%                 | 0%               | 0%            | 31%             | 0%              | 0%               | 0%                | 0%                |
| 3,000–4,999                 | 7%                 | 0%               | 0%            | 0%              | 67%             | 0%               | 0%                | 0%                |
| 5,000–7,499                 | 4%                 | 0%               | 0%            | 0%              | 33%             | 0%               | 0%                | 0%                |
| 7,500–9,999                 | 3%                 | 0%               | 0%            | 0%              | 0%              | 44%              | 0%                | 0%                |
| 10,000-14,999               | 4%                 | 0%               | 0%            | 0%              | 0%              | 56%              | 0%                | 0%                |
| 15,000–19,999               | 3%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 54%               | 0%                |
| 20,000–29,999               | 3%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 46%               | 0%                |
| 30,000–49,999               | 3%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 38%               |
| 50,000–74,999               | 1%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 21%               |
| 75,000–99,999               | 1%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 18%               |
| 100,000 +                   | 2%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 24%               |

|  |                    |                  | N            | umber of Emp    | oloyees in Orga | anization as a \ | Whole             |                   |
|--|--------------------|------------------|--------------|-----------------|-----------------|------------------|-------------------|-------------------|
|  | All<br>Respondents | Less<br>than 250 | 250–999      | 1,000-<br>2,999 | 3,000–<br>7,499 | 7,500–<br>14,999 | 15,000–<br>29,999 | 30,000 or<br>more |
| Approximately how many emp               | loyees work in th  | ne portion of    | the organiza | tion that you   | run complianc   | e for?           |                   |                   |
| Responses                                | 500                | 82               | 160          | 102             | 55              | 39               | 28                | 34                |
| Less than 100                            | 12%                | 40%              | 8%           | 4%              | 11%             | 5%               | 4%                | 9%                |
| 100–249                                  | 12%                | 60%              | 3%           | 0%              | 2%              | 5%               | 4%                | 0%                |
| 250–499                                  | 15%                | 0%               | 46%          | 0%              | 0%              | 0%               | 4%                | 0%                |
| 500–999                                  | 16%                | 0%               | 43%          | 6%              | 4%              | 5%               | 0%                | 0%                |
| 1,000–1,999                              | 13%                | 0%               | 0%           | 61%             | 0%              | 5%               | 0%                | 3%                |
| 2,000–2,999                              | 7%                 | 0%               | 0%           | 29%             | 2%              | 3%               | 11%               | 3%                |
| 3,000–4,999                              | 8%                 | 0%               | 0%           | 0%              | 56%             | 3%               | 11%               | 15%               |
| 5,000–7,499                              | 3%                 | 0%               | 0%           | 0%              | 25%             | 8%               | 0%                | 0%                |
| 7,500–9,999                              | 3%                 | 0%               | 0%           | 0%              | 0%              | 31%              | 14%               | 3%                |
| 10,000–14,999                            | 4%                 | 0%               | 0%           | 0%              | 0%              | 36%              | 14%               | 3%                |
| 15,000–19,999                            | 2%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 18%               | 9%                |
| 20,000–29,999                            | 1%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 21%               | 3%                |
| 30,000–49,999                            | 2%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 0%                | 35%               |
| 50,000–74,999                            | 1%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 0%                | 12%               |
| 75,000–99,999                            | 0%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 0%                | 0%                |
| 100,000 +                                | 0%                 | 0%               | 0%           | 0%              | 0%              | 0%               | 0%                | 6%                |
|  |                    |                  |              |                 |                 |                  |                   |                   |
| What are the annual revenues             | of the organizati  | on you work      | for?         |                 |                 |                  |                   |                   |
| Responses                                | 484                | 81               | 155          | 98              | 53              | 39               | 26                | 32                |
| Less than \$5 million                    | 6%                 | 17%              | 5%           | 3%              | 4%              | 0%               | 0%                | 0%                |
| \$5 million to less than \$15 million    | 10%                | 31%              | 11%          | 4%              | 4%              | 3%               | 0%                | 3%                |
| \$15 million to less than \$30 million   | 14%                | 32%              | 21%          | 3%              | 11%             | 3%               | 0%                | 0%                |
| \$30 million to less than \$50 million   | 10%                | 7%               | 17%          | 9%              | 4%              | 3%               | 4%                | 0%                |
| \$50 million to less than \$100 million  | 14%                | 4%               | 27%          | 14%             | 13%             | 5%               | 0%                | 0%                |
| \$100 million to less than \$500 million | 18%                | 9%               | 14%          | 42%             | 19%             | 13%              | 0%                | 9%                |
| \$500 million to less than \$1 billion   | 9%                 | 0%               | 1%           | 15%             | 28%             | 15%              | 15%               | 6%                |
| \$1 billion to less than \$3 billion     | 9%                 | 0%               | 3%           | 8%              | 17%             | 38%              | 15%               | 13%               |
| \$3 billion or more                      | 10%                | 0%               | 1%           | 1%              | 0%              | 21%              | 65%               | 69%               |

|  |                    |                  | N             | umber of Emp    | oloyees in Orga | anization as a \ | Whole             |                   |
|--|--------------------|------------------|---------------|-----------------|-----------------|------------------|-------------------|-------------------|
|  | All<br>Respondents | Less<br>than 250 | 250–999       | 1,000-<br>2,999 | 3,000–<br>7,499 | 7,500–<br>14,999 | 15,000–<br>29,999 | 30,000 or<br>more |
| What are the annual revenues             | for the portion o  | f the organiz    | ation that yo | ur part of the  | compliance pr   | rogram overse    | es?               |                   |
| Responses                                | 484                | 80               | 156           | 97              | 53              | 39               | 26                | 33                |
| Less than \$5 million                    | 13%                | 24%              | 14%           | 9%              | 9%              | 5%               | 8%                | 6%                |
| \$5 million to less than \$15 million    | 11%                | 30%              | 10%           | 3%              | 8%              | 5%               | 4%                | 9%                |
| \$15 million to less than \$30 million   | 15%                | 30%              | 22%           | 6%              | 8%              | 0%               | 4%                | 9%                |
| \$30 million to less than \$50 million   | 9%                 | 4%               | 16%           | 9%              | 4%              | 8%               | 4%                | 3%                |
| \$50 million to less than \$100 million  | 13%                | 4%               | 21%           | 12%             | 13%             | 10%              | 0%                | 6%                |
| \$100 million to less than \$500 million | 17%                | 9%               | 14%           | 37%             | 21%             | 8%               | 8%                | 6%                |
| \$500 million to less than \$1 billion   | 7%                 | 0%               | 0%            | 14%             | 26%             | 13%              | 12%               | 0%                |
| \$1 billion to less than \$3<br>billion  | 8%                 | 0%               | 2%            | 8%              | 11%             | 33%              | 19%               | 12%               |
| \$3 billion or more                      | 7%                 | 0%               | 1%            | 0%              | 0%              | 18%              | 42%               | 49%               |
| For how many countries do you            | ı manage compli    | ance?            |               |                 |                 |                  |                   |                   |
| Responses                                | 500                | 82               | 160           | 102             | 55              | 39               | 28                | 34                |
| 1  | 96%                | 98%              | 96%           | 98%             | 96%             | 97%              | 89%               | 94%               |
| 2 or more                                | 4%                 | 2%               | 4%            | 2%              | 4%              | 3%               | 11%               | 6%                |
| Where do you work?                       |                    |                  |               |                 |                 |                  |                   |                   |
| Responses                                | 500                | 82               | 160           | 102             | 55              | 39               | 28                | 34                |
| United States                            | 100%               | 100%             | 100%          | 100%            | 100%            | 100%             | 100%              | 100%              |
| North America, other than the US         | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| South America                            | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| Europe                                   | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| Asia                                     | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| Africa                                   | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| Australia                                | 0%                 | 0%               | 0%            | 0%              | 0%              | 0%               | 0%                | 0%                |
| Middle East                              |                    |                  |               |                 |                 |                  |                   |                   |
| Years in compliance profession           |                    |                  |               |                 |                 |                  |                   |                   |
| Responses                                | 500                | 82               | 160           | 102             | 55              | 39               | 28                | 34                |
| 75 <sup>th</sup> Percentile              | 18                 | 15               | 15            | 16              | 20              | 21               | 27                | 20                |
| Median                                   | 11                 | 8                | 8             | 12              | 18              | 13               | 20                | 16                |
| 25 <sup>th</sup> Percentile              | 6                  | 5                | 5             | 8               | 10              | 10               | 13                | 12                |
| Average                                  | 13                 | 10               | 10            | 13              | 15              | 15               | 19                | 16                |
|  |                    |                  |               |                 |                 |                  |                   |                   |
|  |                    |                  |               |                 |                 |                  |                   |                   |

|                                 |                    |                  | N       | umber of Em     | ployees in Orga | anization as a   | Whole             |                   |
|---------------------------------|--------------------|------------------|---------|-----------------|-----------------|------------------|-------------------|-------------------|
|                                 | All<br>Respondents | Less<br>than 250 | 250–999 | 1,000–<br>2,999 | 3,000–<br>7,499 | 7,500–<br>14,999 | 15,000–<br>29,999 | 30,000 or<br>more |
| Years with current employer     |                    |                  |         |                 |                 |                  |                   |                   |
| Responses                       | 500                | 82               | 160     | 102             | 55              | 39               | 28                | 34                |
| 75 <sup>th</sup> Percentile     | 15                 | 9                | 15      | 18              | 16              | 11               | 26                | 10                |
| Median                          | 6                  | 5                | 6       | 7               | 8               | 8                | 10                | 6                 |
| 25 <sup>th</sup> Percentile     | 3                  | 2                | 3       | 3               | 3               | 5                | 3                 | 2                 |
| Average                         | 10                 | 7                | 10      | 12              | 11              | 10               | 15                | 8                 |
|                                 |                    |                  |         |                 |                 |                  |                   |                   |
| Years holding current job title |                    |                  |         |                 |                 |                  |                   |                   |
| Responses                       | 500                | 82               | 160     | 102             | 55              | 39               | 28                | 34                |
| 75 <sup>th</sup> Percentile     | 8                  | 5                | 7       | 9               | 9               | 10               | 12                | 10                |
| Median                          | 4                  | 3                | 4       | 4               | 3               | 5                | 6                 | 5                 |
| 25 <sup>th</sup> Percentile     | 2                  | 2                | 2       | 2               | 1               | 1                | 4                 | 2                 |
| Average                         | 6                  | 4                | 6       | 6               | 6               | 7                | 8                 | 6                 |
|                                 |                    |                  |         |                 |                 |                  |                   |                   |
| Industry                        |                    |                  |         |                 |                 |                  |                   |                   |
| Responses                       | 500                | 82               | 160     | 102             | 55              | 39               | 28                | 34                |
| Healthcare Provider             | 100%               | 100%             | 100%    | 100%            | 100%            | 100%             | 100%              | 100%              |
|                                 |                    |                  |         |                 |                 |                  |                   |                   |

|   |                    |                  | N       | umber of Em     | ployees in Orga | anization as a \ | Whole             |                   |
|---|--------------------|------------------|---------|-----------------|-----------------|------------------|-------------------|-------------------|
|   | All<br>Respondents | Less<br>than 250 | 250–999 | 1,000–<br>2,999 | 3,000–<br>7,499 | 7,500–<br>14,999 | 15,000–<br>29,999 | 30,000 or<br>more |
| How many years have you run department?               |                    |                  |         |                 |                 |                  |                   |                   |
| Responses   | 500                | 82               | 160     | 102             | 55              | 39               | 28                | 34                |
| Average   | 8                  | 5                | 7       | 8               | 9               | 9                | 13                | 10                |
| 1   | 12%                | 20%              | 12%     | 8%              | 13%             | 10%              | 7%                | 6%                |
| 2   | 11%                | 12%              | 13%     | 11%             | 7%              | 18%              | 0%                | 6%                |
| 3   | 12%                | 16%              | 14%     | 12%             | 13%             | 3%               | 11%               | 3%                |
| 4   | 7%                 | 9%               | 6%      | 10%             | 4%              | 5%               | 7%                | 9%                |
| 5   | 9%                 | 16%              | 11%     | 8%              | 4%              | 10%              | 7%                | 3%                |
| 6 to 10   | 26%                | 16%              | 28%     | 24%             | 27%             | 33%              | 18%               | 39%               |
| 11 to 15  | 11%                | 9%               | 7%      | 20%             | 11%             | 5%               | 18%               | 12%               |
| 16 or more  | 13%                | 4%               | 11%     | 9%              | 22%             | 18%              | 32%               | 21%               |
|   |                    |                  |         |                 |                 |                  |                   |                   |
| Type of organization                                  |                    |                  |         |                 |                 |                  |                   |                   |
| Responses   | 499                | 82               | 160     | 102             | 54              | 39               | 28                | 34                |
| Nonprofit   | 56%                | 46%              | 56%     | 56%             | 52%             | 54%              | 68%               | 79%               |
| Privately held  | 32%                | 46%              | 33%     | 34%             | 33%             | 23%              | 11%               | 6%                |
| Publicly traded                                       | 3%                 | 0%               | 1%      | 3%              | 4%              | 8%               | 4%                | 6%                |
| Governmental  | 5%                 | 6%               | 4%      | 5%              | 6%              | 8%               | 0%                | 6%                |
| Academic  | 3%                 | 0%               | 1%      | 0%              | 6%              | 8%               | 18%               | 3%                |
| Other   | 2%                 | 1%               | 4%      | 2%              | 0%              | 0%               | 0%                | 0%                |
|   |                    |                  |         |                 |                 |                  |                   |                   |
| Percentage holding the followi                        | ing certifications |                  |         |                 |                 |                  |                   |                   |
| Certified Public Accountant (CPA)                     | 2%                 | 2%               | 1%      | 4%              | 2%              | 3%               | 4%                | 3%                |
| Certified Compliance & Ethics<br>Professional (CCEP)  | 4%                 | 4%               | 1%      | 6%              | 4%              | 5%               | 7%                | 12%               |
| Certified in Healthcare<br>Compliance (CHC)           | 66%                | 49%              | 54%     | 75%             | 82%             | 77%              | 82%               | 94%               |
| Certified in Healthcare<br>Research Compliance (CHRC) | 6%                 | 0%               | 3%      | 6%              | 9%              | 8%               | 25%               | 12%               |
| Certified in Healthcare<br>Privacy Compliance (CHPC)  | 22%                | 21%              | 13%     | 29%             | 20%             | 36%              | 25%               | 32%               |
| Certified Fraud Examiner (CFE)                        | 1%                 | 0%               | 1%      | 0%              | 4%              | 0%               | 0%                | 0%                |
| Certified Internal Auditor (CIA)                      | 1%                 | 0%               | 0%      | 2%              | 5%              | 0%               | 0%                | 0%                |
| Certified Information Privacy<br>Professional (CIPP)  | 1%                 | 0%               | 0%      | 2%              | 0%              | 3%               | 0%                | 3%                |
| Professionals in Human<br>Resources (PHR)             | 1%                 | 1%               | 1%      | 1%              | 0%              | 0%               | 0%                | 0%                |
| Senior Professionals in<br>Human Resources (SPHR)     | 1%                 | 1%               | 2%      | 0%              | 0%              | 0%               | 0%                | 0%                |
| Other   | 25%                | 24%              | 25%     | 22%             | 25%             | 41%              | 32%               | 18%               |
| None  | 18%                | 29%              | 28%     | 12%             | 9%              | 5%               | 7%                | 3%                |

|                                 |                    |                  | N       | umber of E <mark>m</mark> | ployees in Org  | anization as a <b>\</b> | Whole             |                   |
|---------------------------------|--------------------|------------------|---------|---------------------------|-----------------|-------------------------|-------------------|-------------------|
|                                 | All<br>Respondents | Less<br>than 250 | 250–999 | 1,000-<br>2,999           | 3,000–<br>7,499 | 7,500–<br>14,999        | 15,000–<br>29,999 | 30,000 oi<br>more |
| Highest level of education atta | ined               |                  |         |                           |                 |                         |                   |                   |
| Responses                       | 498                | 82               | 160     | 101                       | 54              | 39                      | 28                | 34                |
| Some college                    | 7%                 | 16%              | 6%      | 7%                        | 7%              | 3%                      | 0%                | 3%                |
| Bachelor's degree               | 25%                | 28%              | 31%     | 24%                       | 17%             | 21%                     | 18%               | 18%               |
| MBA                             | 15%                | 13%              | 16%     | 11%                       | 20%             | 13%                     | 7%                | 27%               |
| Master's degree (non-MBA)       | 32%                | 34%              | 29%     | 36%                       | 31%             | 28%                     | 54%               | 24%               |
| JD                              | 16%                | 7%               | 13%     | 16%                       | 20%             | 33%                     | 14%               | 27%               |
| PhD                             | 4%                 | 1%               | 5%      | 7%                        | 4%              | 3%                      | 7%                | 3%                |
|                                 |                    |                  |         |                           |                 |                         |                   |                   |
| Amount of bonus eligible        |                    |                  |         |                           | ı               |                         |                   |                   |
| Responses                       | 256                | 33               | 67      | 52                        | 32              | 24                      | 22                | 26                |
| None                            | 9%                 | 18%              | 12%     | 6%                        | 6%              | 0%                      | 5%                | 12%               |
| 1%–10% of salary                | 41%                | 61%              | 54%     | 39%                       | 28%             | 17%                     | 36%               | 27%               |
| 11%–20% of your salary          | 25%                | 15%              | 27%     | 27%                       | 31%             | 25%                     | 32%               | 12%               |
| 21%–30% of your salary          | 12%                | 3%               | 3%      | 14%                       | 22%             | 33%                     | 9%                | 15%               |
| 31%–40% of your salary          | 8%                 | 3%               | 5%      | 8%                        | 6%              | 8%                      | 9%                | 27%               |
| 41% or more of your salary      | 5%                 | 0%               | 0%      | 8%                        | 6%              | 17%                     | 9%                | 8%                |
| If you receive a bonus, what is | it based on?       |                  |         |                           |                 |                         |                   |                   |
| Responses                       | 262                | 33               | 67      | 55                        | 33              | 24                      | 22                | 28                |
| Company performance             | 25%                | 30%              | 31%     | 24%                       | 15%             | 25%                     | 23%               | 18%               |
| Personal performance            | 5%                 | 9%               | 8%      | 6%                        | 0%              | 4%                      | 9%                | 0%                |
| Both                            | 70%                | 61%              | 61%     | 71%                       | 85%             | 71%                     | 68%               | 82%               |
| БОП                             | 70%                | 01%              | 01%     | 7170                      | 63%             | 7170                    | 00%               | 8276              |
| Do you have a contract?         |                    |                  |         |                           |                 |                         |                   |                   |
| Responses                       | 500                | 82               | 160     | 102                       | 55              | 39                      | 28                | 34                |
| Yes                             | 12%                | 10%              | 9%      | 14%                       | 20%             | 10%                     | 21%               | 15%               |
| No                              | 88%                | 90%              | 91%     | 86%                       | 80%             | 90%                     | 79%               | 85%               |
| tf da h                         | -1                 |                  |         |                           |                 |                         |                   |                   |
| If yes, do you have a severance | -                  |                  |         |                           |                 | _                       | _                 | _                 |
| Responses                       | 62                 | 8                | 14      | 14                        | 11              | 4                       | 6                 | 5                 |
| Yes                             | 63%                | 38%              | 43%     | 71%                       | 73%             |                         | 83%               | 80%               |
| No                              | 37%                | 63%              | 57%     | 29%                       | 27%             |                         | 17%               | 20%               |

|   |                      |                  | N       | umber of Emp    | oloyees in Orga | nization as a \  | Whole             |                   |
|---|----------------------|------------------|---------|-----------------|-----------------|------------------|-------------------|-------------------|
|   | All<br>Respondents   | Less<br>than 250 | 250–999 | 1,000-<br>2,999 | 3,000-<br>7,499 | 7,500-<br>14,999 | 15,000-<br>29,999 | 30,000 or<br>more |
| If yes, what percent of your ar                   | nnual salary is it e | quivalent to     | ?       |                 |                 |                  |                   |                   |
| Responses   | 39                   | 3                | 6       | 10              | 8               | 3                | 5                 | 4                 |
| <20%  | 0%                   |                  | 0%      | 0%              | 0%              |                  | 0%                |                   |
| 20%–29%   | 0%                   |                  | 0%      | 0%              | 0%              |                  | 0%                |                   |
| 30%–49%   | 8%                   |                  | 17%     | 10%             | 13%             |                  | 0%                |                   |
| 50%+  | 54%                  |                  | 66%     | 40%             | 62%             |                  | 80%               |                   |
| My severance does not translate into this measure | 38%                  |                  | 17%     | 50%             | 25%             |                  | 20%               |                   |
| Number of Compliance Eleme                        | nts Involved in      |                  |         |                 |                 |                  |                   |                   |
| Responses   | 500                  | 82               | 160     | 102             | 55              | 39               | 28                | 34                |
| 1–3   | 0%                   | 1%               | 1%      | 0%              | 0%              | 0%               | 0%                | 0%                |
| 4–6   | 4%                   | 7%               | 3%      | 3%              | 4%              | 5%               | 4%                | 6%                |
| 7–9   | 29%                  | 34%              | 33%     | 27%             | 22%             | 21%              | 36%               | 21%               |
| All 10  | 66%                  | 57%              | 64%     | 70%             | 75%             | 74%              | 61%               | 74%               |
| Geographic Region                                 |                      |                  |         |                 |                 |                  |                   |                   |
| Responses   | 483                  | 82               | 151     | 98              | 55              | 37               | 26                | 34                |
| New England                                       | 6%                   | 5%               | 6%      | 3%              | 5%              | 3%               | 8%                | 15%               |
| Mid-Atlantic                                      | 16%                  | 22%              | 13%     | 17%             | 9%              | 19%              | 8%                | 18%               |
| East North Central                                | 11%                  | 7%               | 11%     | 13%             | 15%             | 5%               | 15%               | 9%                |
| West North Central                                | 7%                   | 5%               | 7%      | 7%              | 4%              | 8%               | 15%               | 3%                |
| South Atlantic                                    | 16%                  | 7%               | 16%     | 17%             | 15%             | 30%              | 23%               | 21%               |
| East South Central                                | 9%                   | 7%               | 11%     | 13%             | 15%             | 5%               | 15%               | 9%                |
| West South Central                                | 10%                  | 12%              | 7%      | 12%             | 16%             | 11%              | 4%                | 3%                |
| Mountain  | 12%                  | 13%              | 15%     | 12%             | 11%             | 3%               | 4%                | 9%                |
| Pacific   | 15%                  | 21%              | 16%     | 7%              | 20%             | 8%               | 12%               | 21%               |

Healthcare Staff Salary Survey

Introduction

#### **About This Report**

The **2024 Healthcare Staff Salary Survey** is another important service provided by Society of Corporate Compliance and Ethics (SCCE) & Health Care Compliance Association (HCCA). The information contained in this report offers salary and compensation data for individuals working in the healthcare compliance profession. The report is designed to allow organizations and individuals to compare their data with those of their peers.

The results throughout this report are presented for both the total sample of survey respondents, and for specific segments within the sample, to allow organizations to more easily compare their own data to the results of individuals with similar characteristics.

In addition to the total sample, the individual segments reported throughout include the following:

- Title/level
- Number of Employees in Organization as a Whole
- Number of Employees in the Portion of the Organization That the Compliance Program Oversees
- Annual Revenues of the Organization as a Whole
- Annual Revenues of the Portion of the Organization That the Compliance Program Oversees
- Number of Employees in the Compliance Group
- Annual Compliance Budget
- Number of Employees in the Compliance Portion of the Organization
- Number of Direct Reports to the Individual
- Annual Revenues in Compliance Portion of the Organization
- Number of Countries in Which Compliance Is Managed
- Number of Years in the Compliance Department
- Years in Compliance Profession
- Certifications Held
- · Type of Organization
- Amount of Company's Legal and Regulatory Risk Areas Compliance Department is Responsible for Managing
- Number of Compliance Program Elements the Individual Is Involved in
- Geographic Region
- Highest Level of Education
- Contract Status
- Presence of Severance Clause in One's Contract

Please note that the salary and other data published in this report should be considered guidelines rather than absolute standards. Even organizations that are similar in some characteristics, such as location, size, industry, etc., will differ in other ways, making it difficult to draw exact comparisons. In the end, results in this report that are different from one's own experience are most likely an indication that other factors are at play.

Note that a minimum of five responses was required to show data for any question, from any individual segments. Also note that results based on relatively small responses (e.g., fewer than 25) are subject to higher levels of statistical error and should be interpreted with caution.

This study was conducted for SCCE & HCCA by PeriscopelQ, an independent professional survey research firm located in Bethlehem, PA. The company specializes in surveys for trade and professional associations, including salary surveys, member/employee experience surveys, and general research services.

SCCE & HCCA is proud to present the enclosed insights into the salary levels in the compliance industry. We wish to thank the professionals who submitted data for the study. Your support was essential in making this study a success.

#### Survey Methodology

In June 2024, an email invitation was sent to approximately 45,000 individuals that make up the SCCE & HCCA database. In total, 2,259 completed online submissions were received by the middle of July. Of the 2,259 responses, 636 worked for a healthcare provider organization and were not a Chief Compliance Officer. This report is based solely on this group.

PeriscopelQ maintains strict confidentiality standards in the research it conducts. For example, all data that could potentially identify individual respondents (name, organization, etc.) are stripped from the survey data and replaced with an identifying number. In addition, all results were checked, using both automated and manual processes, for internal consistency and accuracy.

Final results were tabulated by PeriscopelQ, and the report was completed in August 2024.

#### **Definitions**

**Average (mean):** the arithmetic average obtained by adding the individual values for all responses to a particular question and dividing by the total number answering, a measure of central value that can be distorted by extremely high or low values.

**Median:** a measure of central value that is not affected by extreme values; calculated by arranging all responses in numerical order and selecting the value that falls exactly in the middle, with half higher and half lower.

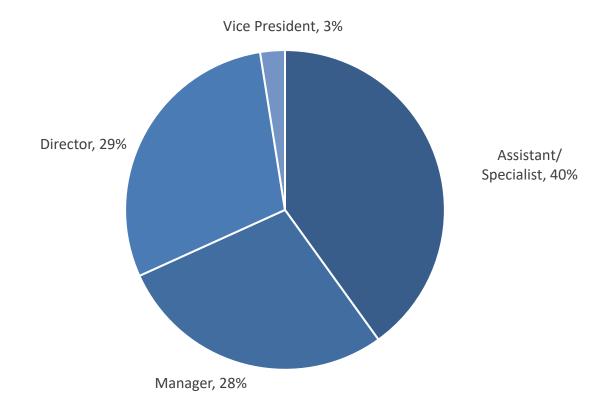
**25**<sup>th</sup> **Percentile:** when responses are ordered from lowest to highest, the lower quartile is the response 25% from the bottom. In other words, 75% of the responses are higher than this measure.

**75**<sup>th</sup> **Percentile:** when responses are ordered from the lowest to highest, the upper quartile is the response 25% from the top. In other words, 25% of the responses are higher than this measure.

**Respondent Profile** 

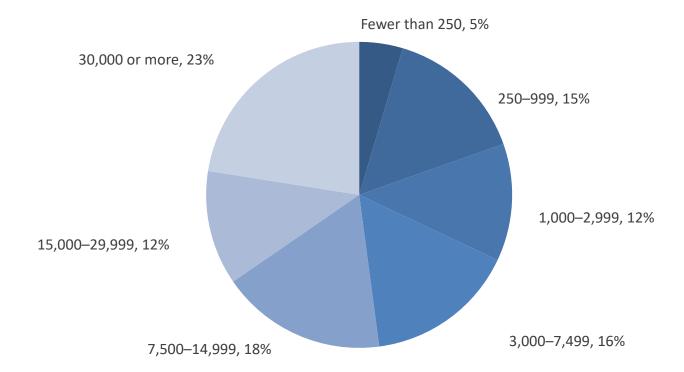
## Title/Level

Assistant/Specialist (40%) is the most common level for healthcare staff members responding to the survey; about 3 in 10 are either Managers (28%) or Directors (29%).



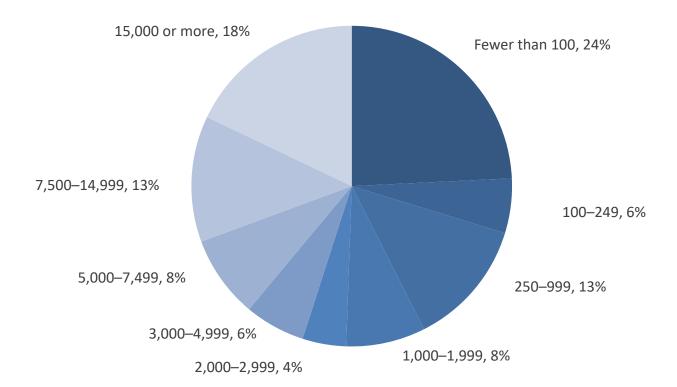
### Number of Employees in Organization as a Whole

Employer size levels are fairly evenly distributed across the healthcare staff professionals responding to the survey.



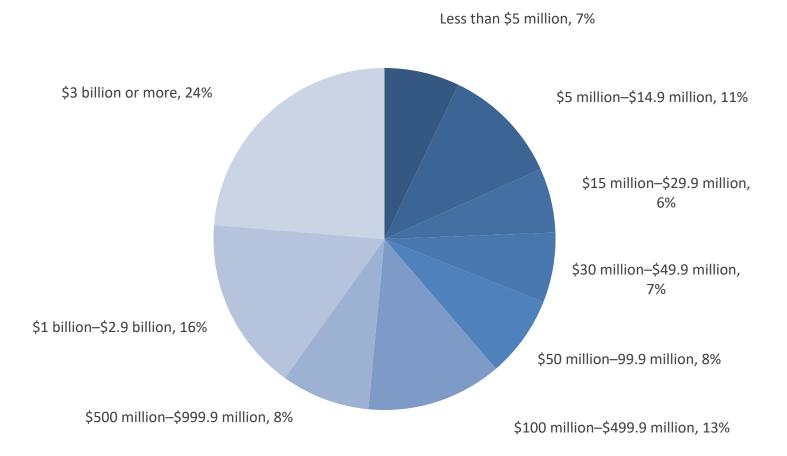
## Number of Employees in the Portion of the Organization That the Compliance Program Oversees

When it comes to employees in just that part of the organization overseen by the compliance group, we see more concentration toward the extremes: 24% in organizations with fewer than 100 employees, 18% in organizations with 15,000 employees or more.



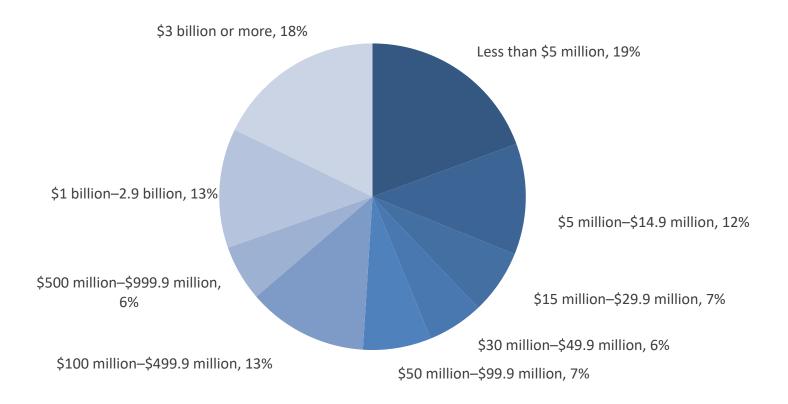
### Annual Revenues of the Organization as a Whole

Revenue levels are also fairly evenly distributed across the healthcare staff sample, although 40% work in firms with revenues of \$1 billion or more.



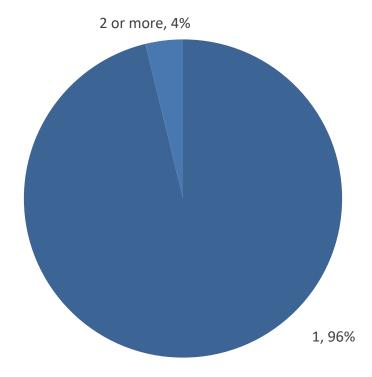
## Annual Revenues of the Portion of the Organization That the Compliance Program Oversees

Similarly, while revenue levels for the parts of the organization managed by the compliance group are also widely distributed, 31% work for a group that oversees \$1 billion or more in revenue.



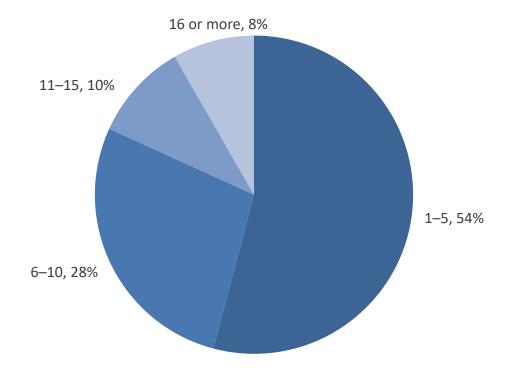
### Number of Countries in Which Compliance Is Managed

As with CCOs, virtually all healthcare compliance staff professionals work in compliance groups responsible for one country only.



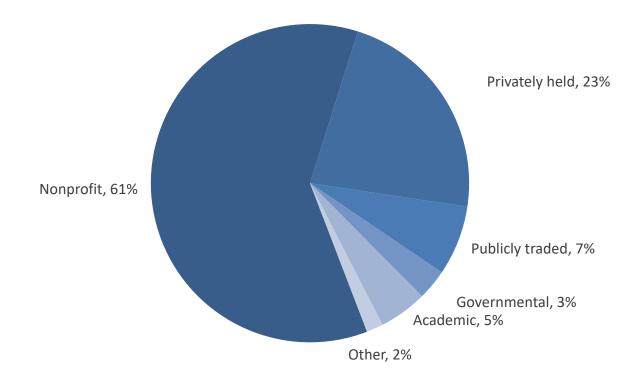
### **Number of Years in the Compliance Department**

Half (54%) of healthcare staff members have worked in their department for less than five years. Just 8% have been in their department for more than 16 years.



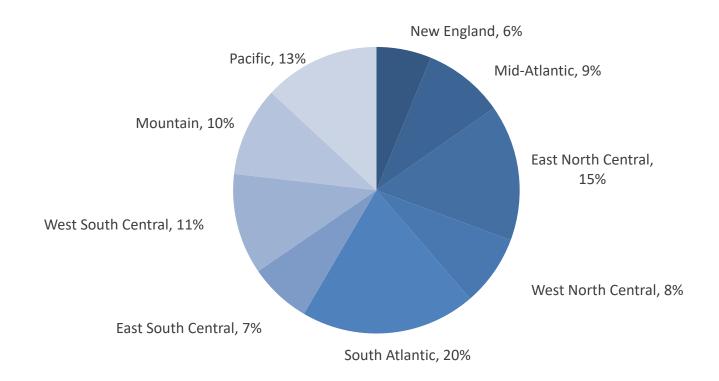
### Type of Organization

A 61% majority of healthcare staff members work in nonprofit organizations; the next-highest category is privately held firms, at 23%.



### **Geographic Region**

The three regions with the highest representation of healthcare staff members are South Atlantic (20%), East North Central (15%), and Pacific (13%).



| East North<br>Central | East South<br>Central | Mid-Atlantic | Mountain      | New England   | Pacific    | South<br>Atlantic | West North<br>Central | West South<br>Central |
|-----------------------|-----------------------|--------------|---------------|---------------|------------|-------------------|-----------------------|-----------------------|
| Illinois              | Alabama               | New Jersey   | Arizona       | Connecticut   | Alaska     | Delaware          | Iowa                  | Arkansas              |
| Indiana               | Kentucky              | New York     | Colorado      | Maine         | California | Florida           | Kansas                | Louisiana             |
| Michigan              | Mississippi           | Pennsylvania | Idaho         | Massachusetts | Hawaii     | Georgia           | Minnesota             | Oklahoma              |
| Ohio                  | Tennessee             |              | Montana       | New Hampshire | Oregon     | Maryland          | Missouri              | Texas                 |
| Wisconsin             |                       |              | Nevada        | Rhode Island  | Washington | North Carolina    | Nebraska              |                       |
|                       |                       |              | New<br>Mexico | Vermont       |            | South Carolina    | North<br>Dakota       |                       |
|                       |                       |              | Utah          |               |            | Virginia          | South<br>Dakota       |                       |
|                       |                       |              | Wyoming       |               |            | Washington DC     |                       |                       |
|                       |                       |              |               |               |            | West Virginia     |                       |                       |

# Percentage of Company's Legal and Regulatory Risk the Compliance Department is Responsible for Managing

VPs manage the highest proportions of risk in their firms, followed by Directors.

|          | Vice<br>President* | Director | Manager | Assistant/<br>Specialist |
|----------|--------------------|----------|---------|--------------------------|
| 0-25%    | 0%                 | 9%       | 15%     | 14%                      |
| 26%-50%  | 13%                | 28%      | 26%     | 22%                      |
| 51%-75%  | 44%                | 35%      | 35%     | 37%                      |
| 76%-100% | 44%                | 28%      | 24%     | 27%                      |

<sup>\*</sup>Note: due to small sample size, results for Vice Presidents are not included in the remaining Respondent Profile charts.

## Elements of the Compliance Program That Staff Are Primarily Involved in

Policies and procedures, education, and investigations are the compliance elements that staff professionals focus primarily on in their work; not surprisingly, Directors and Managers are more involved in each area than Assistants/Specialists.

|                                  | Director | Manager | Assistant/<br>Specialist |
|----------------------------------|----------|---------|--------------------------|
| Policies and Procedures          | 89%      | 82%     | 70%                      |
| Compliance Education             | 89%      | 82%     | 68%                      |
| Compliance Auditing/Monitoring   | 89%      | 82%     | 83%                      |
| Compliance<br>Investigations     | 87%      | 80%     | 70%                      |
| Compliance Risk Assessments      | 82%      | 70%     | 54%                      |
| Hot Line/Anonymous Reporting     | 79%      | 59%     | 50%                      |
| Measuring Program Effectiveness  | 72%      | 53%     | 36%                      |
| Code of Conduct                  | 62%      | 49%     | 36%                      |
| Compliance Discipline/Incentives | 53%      | 36%     | 28%                      |
| Reporting to the Board           | 53%      | 35%     | 19%                      |

### How Many People Report Up Through to The Individual?

Three quarters of healthcare Directors have direct reports, compared with a little less than half of Managers.

|            | Director | Manager | Assistant/<br>Specialist |
|------------|----------|---------|--------------------------|
| 0          | 25%      | 54%     | 93%                      |
| 1-2        | 31%      | 25%     | 3%                       |
| 3-5        | 19%      | 17%     | 3%                       |
| 6-10       | 17%      | 2%      | 0%                       |
| 11 or more | 9%       | 2%      | 1%                       |

## **Certifications**

The CHC is by far the most common certification among staff members, followed by CHPC.

|   | Director | Manager | Assistant/<br>Specialist |
|---|----------|---------|--------------------------|
| Certified in Healthcare Compliance (CHC)                    | 65%      | 55%     | 42%                      |
| Certified in Healthcare Privacy Compliance (CHPC)           | 15%      | 20%     | 11%                      |
| Certified in Healthcare Research<br>Compliance (CHRC)       | 2%       | 5%      | 2%                       |
| Certified Compliance & Ethics Professional (CCEP)           | 3%       | 3%      | 2%                       |
| Certified Internal Auditor (CIA)                            | 1%       | 2%      | 1%                       |
| Certified Information Privacy Professional (CIPP)           | 1%       | 2%      | 0%                       |
| Health Ethics Trust Certified Compliance Professional (CCP) | 1%       | 2%      | 0%                       |
| Health Ethics Trust Certified Compliance Executive (CCE)    | 1%       | 0%      | 0%                       |
| Other   | 27%      | 31%     | 28%                      |
| None  | 17%      | 20%     | 31%                      |

#### Do You Have a Contract?

Very few healthcare staff members have a contract, regardless of level.

|     | Director | Manager | Assistant/<br>Specialist |
|-----|----------|---------|--------------------------|
| Yes | 4%       | 3%      | 6%                       |
| No  | 96%      | 97%     | 95%                      |

#### Do You Have a Severance Clause in Your Contract?

Among the few Directors and Managers with a contract, most have no severance clause.

| % Among Those with Contract | Director | Manager | Assistant/<br>Specialist |
|-----------------------------|----------|---------|--------------------------|
| Yes                         | 37%      | 17%     | 7%                       |
| No                          | 63%      | 83%     | 93%                      |

### **Highest Level of Education**

About two-thirds of Directors (62%) have an advanced degree, compared with 47% of Managers and 39% of Assistants/Specialists.

|                           | Director | Manager | Assistant/<br>Specialist |
|---------------------------|----------|---------|--------------------------|
| Some college              | 14%      | 13%     | 17%                      |
| Bachelor's degree         | 24%      | 41%     | 45%                      |
| МВА                       | 13%      | 10%     | 8%                       |
| Master's degree (non-MBA) | 34%      | 25%     | 23%                      |
| JD                        | 12%      | 10%     | 6%                       |
| PhD                       | 3%       | 2%      | 2%                       |

**Executive Summary** 

### **Average Total Compensation by Title/Level**

Not surprisingly, we see a steady progression in total compensation from Director to Assistant/Specialist level, with compensation highest (close to \$150,000) for Directors.



# Average Total Compensation by Annual Revenues in the Portion of the Organization That the Individual Works in Compliance for

Generally speaking, salary levels for healthcare staff tend to be highest in organizations with the most revenue—although the relationship between revenues and compensation is not strictly linear.

| Annual Revenue in Compliance Portion of Organization | Director  | Manager   | Assistant/<br>Specialist |
|--|-----------|-----------|--------------------------|
| Less than \$5 million                                | \$141,027 | \$120,394 | \$75,245                 |
| \$5-less than \$15 million                           | \$133,664 | \$100,777 | \$80,437                 |
| \$15-less than \$30 million                          | \$125,944 | \$109,270 | \$71,457                 |
| \$30-less than \$50 million                          | \$129,154 | \$114,200 | \$79,138                 |
| \$50-less than \$100 million                         | \$143,453 | \$109,291 | \$84,537                 |
| \$100-less than \$500 million                        | \$148,769 | \$107,330 | \$82,518                 |
| \$500 million-less than \$1 billion                  | \$139,465 | \$120,444 | \$82,724                 |
| \$1 billion-less than \$3 billion                    | \$164,071 | \$119,190 | \$88,912                 |
| \$3 billion or more                                  | \$170,282 | \$125,712 | \$91,510                 |

# Average Total Compensation by Number of Employees in the Portion of the Organization That the Individual Works in Compliance for

There is no strong pattern when it comes to compensation by the number of employees in the various portions of healthcare organizations.

| Employees in Compliance Portion of Organization | Director  | Manager   | Assistant/<br>Specialist |
|---|-----------|-----------|--------------------------|
| Less than 100                                   | \$152,911 | \$113,046 | \$76,275                 |
| 100–249   | \$170,692 | \$104,837 | \$84,201                 |
| 250–499   | \$125,167 | \$117,255 | \$72,602                 |
| 500–999   | \$125,531 | \$90,455  | \$76,634                 |
| 1,000–1,999                                     | \$121,876 | \$107,313 | \$73,959                 |
| 2,000–2,999                                     | \$132,989 | \$121,210 | \$76,827                 |
| 3,000–4,999                                     | \$148,136 | \$117,475 | \$80,293                 |
| 5,000–7,499                                     | \$166,292 | \$119,219 | \$80,416                 |
| 7,500–14,999                                    | \$156,711 | \$112,252 | \$90,558                 |
| 15,000 or more                                  | \$170,968 | \$124,573 | \$93,180                 |

# Average Total Compensation by Number of Employees Reporting Up Through to Individual

For Directors, compensation tends to increase with the number of direct reports; the relationship is not as clear among Managers.

| Employees Reporting to Individual | Director  | Manager   | Assistant/<br>Specialist |
|-----------------------------------|-----------|-----------|--------------------------|
| 0                                 | \$135,088 | \$111,758 | \$82,752                 |
| 1–2                               | \$146,188 | \$114,851 | \$65,714                 |
| 3–5                               | \$157,165 | \$121,380 | \$87,888                 |
| 6–10                              | \$157,759 | \$115,575 | *                        |
| 11 or more                        | \$169,098 | \$88,800  | *                        |
| *Insufficient data                |           |           |                          |

## Average Total Compensation by Type of Organization

Among all three levels, compensation is highest for those working in publicly traded firms: it's lower in government institutions.

| Type of Organization | Director  | Manager   | Assistant/<br>Specialist |
|----------------------|-----------|-----------|--------------------------|
| Nonprofit            | \$149,137 | \$113,814 | \$80,968                 |
| Privately held       | \$140,490 | \$108,363 | \$84,588                 |
| Publicly traded      | \$184,233 | \$133,373 | \$96,496                 |
| Governmental         | \$135,677 | \$108,000 | \$82,778                 |
| Academic             | \$152,850 | \$116,928 | \$83,429                 |

### **Average Total Compensation by Certifications Held**

Those who are certified reported making more than those who are not.

| Certifications Held                                | Director  | Manager   | Assistant/<br>Specialist |
|--|-----------|-----------|--------------------------|
| Certified Compliance & Ethics Professional (CCEP)  | \$150,000 | \$116,800 | \$98,267                 |
| Certified in Healthcare Research Compliance (CHRC) | \$144,870 | \$123,510 | \$100,191                |
| Certified in Healthcare Privacy Compliance (CHPC)  | \$155,004 | \$119,778 | \$88,024                 |
| Certified in Healthcare Compliance (CHC)           | \$155,135 | \$119,408 | \$89,200                 |
| Other  | \$153,259 | \$114,972 | \$82,978                 |
| None   | \$132,110 | \$103,460 | \$72,817                 |

## Average Total Compensation by Elements of a Compliance Program the Individual Is Involved in

Working in more elements of a compliance program did not translate into higher compensation.

| Number of Compliance<br>Elements Involved In | Director  | Manager   | Assistant/<br>Specialist |
|--|-----------|-----------|--------------------------|
| 1–3  | \$152,018 | \$117,577 | \$87,080                 |
| 4–6  | \$159,902 | \$114,081 | \$81,133                 |
| 7–9  | \$145,818 | \$112,308 | \$81,174                 |
| All 10                                       | \$145,100 | \$112,329 | \$71,404                 |

### **Average Total Compensation by Contract Status**

The few healthcare Managers and Assistants/Specialists with a contract earn less than those without a contract.

| Contract Status | Director  | Manager   | Assistant/<br>Specialist |
|-----------------|-----------|-----------|--------------------------|
| Have contract   | \$207,813 | \$98,667  | \$79,794                 |
| No contract     | \$146,779 | \$114,413 | \$82,342                 |

### **Average Total Compensation Severance Clause Status**

When it comes to compensation by whether or not staff members have a severance clause, sample sizes are insufficient to draw any firm conclusions.

| Severance Clause In Contract | Director  | Manager  | Assistant/<br>Specialist |
|------------------------------|-----------|----------|--------------------------|
| Yes                          | *         | *        | *                        |
| No                           | \$152,000 | \$94,000 | \$79,778                 |
| *Insufficient data           |           |          |                          |

### Amount of Bonus Eligible

Directors are most likely to be bonus eligible, with most reporting a bonus of 11%-20% of salary. Half of Managers and a little less than a quarter of Assistants/Specialists are eligible for a bonus, and most reported receiving 1%-10% of salary.

| Bonus As % of Salary  | Director | Manager | Assistant/<br>Specialist |
|-----------------------|----------|---------|--------------------------|
| None                  | 3%       | 11%     | 21%                      |
| 1%–10% of salary      | 33%      | 67%     | 68%                      |
| 11%–20% of salary     | 50%      | 20%     | 9%                       |
| 21%–30% of salary     | 11%      | 1%      | 0%                       |
| 31%–40% of salary     | 1%       | 0%      | 0%                       |
| 41% or more of salary | 1%       | 0%      | 2%                       |

**Salary Data** 

## Vice President Salary Results Healthcare

|    |               |           |           | Base S                      | Total Compensation |                             |           |                             |           |           |
|----|---------------|-----------|-----------|-----------------------------|--------------------|-----------------------------|-----------|-----------------------------|-----------|-----------|
|    |               | Responses | Average   | 25 <sup>th</sup> Percentile | Median             | 75 <sup>th</sup> Percentile | Average   | 75 <sup>th</sup> Percentile |           |           |
| Al | I Respondents | 16        | \$179,447 | \$153,750                   | \$179,250          | \$200,000                   | \$240,731 | \$189,138                   | \$203,552 | \$255,000 |

# Director Salary Results Healthcare

|                                 | Document        | Average        | Base S     | Salary    | 75 <sup>th</sup> | Average   | Total Con<br>25 <sup>th</sup> | npensation<br>Median | 75 <sup>th</sup> |
|---------------------------------|-----------------|----------------|------------|-----------|------------------|-----------|-------------------------------|----------------------|------------------|
|                                 | Responses       | Average        | Percentile | Median    | Percentile       | Average   | Percentile                    | iviedian             | Percentile       |
| All Respondents                 | 187             | \$138,199      | \$110,562  | \$135,000 | \$165,000        | \$149,390 | \$117,633                     | \$145,000            | \$178,750        |
|                                 |                 |                |            |           |                  |           |                               |                      |                  |
| Percentage of Company's Legal a | nd Regulatory I | Risk Areas Inv | olved in   |           | ı                | ı         | ı                             | ı                    |                  |
| 0%–25%                          | 16              | \$142,669      | \$117,500  | \$142,350 | \$168,500        | \$150,513 | \$127,750                     | \$144,750            | \$173,250        |
| 26%–50%                         | 51              | \$138,788      | \$109,783  | \$135,000 | \$165,300        | \$153,717 | \$117,850                     | \$140,000            | \$186,989        |
| 51%–75%                         | 65              | \$135,505      | \$112,000  | \$130,000 | \$165,000        | \$145,850 | \$115,000                     | \$139,000            | \$172,000        |
| 76%–100%                        | 52              | \$138,913      | \$110,000  | \$140,000 | \$162,500        | \$148,479 | \$112,875                     | \$148,500            | \$176,250        |
|                                 |                 |                |            |           |                  |           |                               |                      |                  |
| Number of Employees in the Com  | pliance Group   |                |            |           |                  |           |                               |                      |                  |
| 1                               | 12              | \$98,683       | \$89,500   | \$92,500  | \$106,500        | \$101,142 | \$90,000                      | \$101,500            | \$106,250        |
| 2 to 5                          | 48              | \$135,900      | \$110,000  | \$130,000 | \$157,000        | \$143,601 | \$114,375                     | \$138,500            | \$172,989        |
| 6 to 10                         | 28              | \$122,076      | \$104,500  | \$117,500 | \$142,981        | \$129,179 | \$109,250                     | \$122,500            | \$152,750        |
| 11 to 15                        | 26              | \$136,636      | \$114,000  | \$137,558 | \$163,750        | \$150,963 | \$128,750                     | \$146,500            | \$179,579        |
| 16 to 20                        | 14              | \$140,230      | \$111,925  | \$137,450 | \$159,300        | \$150,337 | \$123,000                     | \$141,950            | \$172,050        |
| More than 20                    | 59              | \$155,965      | \$130,000  | \$157,000 | \$176,000        | \$172,586 | \$139,000                     | \$170,000            | \$197,000        |
|                                 |                 |                |            |           |                  |           |                               |                      |                  |
| Annual Compliance Budget        | 1               |                | 1          |           |                  |           |                               |                      |                  |
| <\$100k                         | 4               |                |            |           |                  |           |                               |                      |                  |
| \$100k-\$249k                   | 2               |                |            |           |                  |           |                               |                      |                  |
| \$250k–\$499k                   | 2               |                |            |           |                  |           |                               |                      |                  |
| \$500k-\$999k                   | 3               |                |            |           |                  |           |                               |                      |                  |
| \$1m-\$1.9m                     | 3               |                |            |           |                  |           |                               |                      |                  |
| \$2m+                           | 6               | \$145,817      | \$128,000  | \$138,450 | \$165,000        | \$164,983 | \$137,900                     | \$155,500            | \$196,000        |
|                                 |                 |                |            |           |                  |           |                               |                      |                  |
| Number of Employees That Depa   | rtment Runs Co  | ompliance for  |            |           |                  |           |                               |                      |                  |
| Less than 100                   | 42              | \$140,492      | \$120,000  | \$140,000 | \$170,000        | \$152,911 | \$126,000                     | \$142,500            | \$190,000        |
| 100–249                         | 13              | \$159,462      | \$130,000  | \$165,000 | \$175,000        | \$170,692 | \$134,800                     | \$175,000            | \$196,000        |
| 250–499                         | 15              | \$120,567      | \$100,000  | \$110,000 | \$140,000        | \$125,167 | \$100,000                     | \$110,000            | \$145,000        |
| 500–999                         | 17              | \$122,502      | \$100,000  | \$120,000 | \$139,000        | \$125,531 | \$102,000                     | \$120,000            | \$139,500        |
| 1,000–1,999                     | 17              | \$117,565      | \$102,000  | \$115,000 | \$135,000        | \$121,876 | \$106,100                     | \$115,000            | \$146,000        |
| 2,000–2,999                     | 9               | \$128,244      | \$109,000  | \$115,000 | \$157,000        | \$132,989 | \$117,700                     | \$119,000            | \$157,200        |
| 3,000–4,999                     | 14              | \$132,298      | \$106,000  | \$133,750 | \$160,000        | \$148,136 | \$121,000                     | \$148,750            | \$187,500        |
| 5,000-7,499                     | 10              | \$148,892      | \$128,000  | \$153,962 | \$175,000        | \$166,292 | \$130,000                     | \$170,500            | \$182,000        |
| 7,500–14,999                    | 19              | \$140,256      | \$110,566  | \$137,900 | \$168,000        | \$156,711 | \$138,058                     | \$150,000            | \$189,000        |
| 15,000+                         | 31              | \$155,475      | \$135,000  | \$157,000 | \$172,000        | \$170,968 | \$143,000                     | \$166,000            | \$194,000        |
| 10,0001                         | 31              | 7133,473       | 7133,000   | 7132,000  | 7172,000         | 7170,300  | 7173,000                      | 7100,000             | 7157,000         |

|  |                  | Base Salary |                                |            |                                |           | Total Con                      | npensation |                                |
|--|------------------|-------------|--------------------------------|------------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|  | Responses        | Average     | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| Annual Revenues of Part of Organ         | nization That th | e Departmer | nt Runs Comp                   | liance For |                                |           |                                |            |                                |
| Less than \$5 million                    | 30               | \$132,973   | \$112,000                      | \$127,500  | \$168,000                      | \$141,027 | \$115,000                      | \$134,900  | \$170,000                      |
| \$5 million to less than \$15 million    | 16               | \$125,445   | \$103,000                      | \$110,562  | \$160,000                      | \$133,664 | \$107,000                      | \$118,529  | \$165,000                      |
| \$15 million to less than \$30 million   | 9                | \$118,778   | \$100,000                      | \$113,000  | \$137,000                      | \$125,944 | \$100,000                      | \$113,000  | \$137,000                      |
| \$30 million to less than \$50 million   | 13               | \$124,000   | \$100,000                      | \$114,000  | \$125,000                      | \$129,154 | \$102,000                      | \$115,000  | \$135,000                      |
| \$50 million to less than \$100 million  | 14               | \$127,060   | \$97,335                       | \$122,500  | \$153,000                      | \$143,453 | \$110,000                      | \$139,500  | \$187,500                      |
| \$100 million to less than \$500 million | 23               | \$137,589   | \$106,000                      | \$137,900  | \$170,849                      | \$148,769 | \$110,000                      | \$139,000  | \$196,000                      |
| \$500 million to less than \$1 billion   | 14               | \$133,376   | \$119,568                      | \$138,750  | \$150,000                      | \$139,465 | \$130,000                      | \$145,000  | \$154,000                      |
| \$1 billion to less than \$3 billion     | 20               | \$150,911   | \$129,000                      | \$156,500  | \$168,158                      | \$164,071 | \$142,500                      | \$164,500  | \$188,500                      |
| \$3 billion or more                      | 30               | \$154,723   | \$135,116                      | \$152,350  | \$170,000                      | \$170,282 | \$143,000                      | \$171,159  | \$187,932                      |
| Number of Countries in Which Co          | ompliance Is Inv | rolved      |                                |            |                                |           |                                |            |                                |
| 1  | 179              | \$137,415   | \$110,000                      | \$135,000  | \$165,000                      | \$148,036 | \$115,000                      | \$143,000  | \$177,000                      |
| 2 or more                                | 7                | \$161,714   | \$140,000                      | \$150,000  | \$188,000                      | \$188,071 | \$160,000                      | \$187,500  | \$218,000                      |
|  |                  |             |                                |            |                                |           |                                |            |                                |
| Number of Years in the Complian          | ce Department    |             |                                |            |                                |           |                                |            |                                |
| 1 or less                                | 14               | \$152,643   | \$115,000                      | \$161,500  | \$185,000                      | \$171,107 | \$115,000                      | \$171,500  | \$213,000                      |
| 2  | 16               | \$139,783   | \$120,000                      | \$144,697  | \$153,962                      | \$148,644 | \$125,500                      | \$152,500  | \$170,659                      |
| 3  | 13               | \$119,357   | \$93,000                       | \$110,558  | \$130,000                      | \$134,588 | \$100,000                      | \$118,058  | \$175,316                      |
| 4  | 16               | \$121,438   | \$106,000                      | \$111,000  | \$141,000                      | \$126,250 | \$108,000                      | \$120,000  | \$141,000                      |
| 5  | 19               | \$132,474   | \$109,000                      | \$131,000  | \$162,000                      | \$145,474 | \$109,000                      | \$140,000  | \$181,000                      |
| 6–10                                     | 60               | \$136,045   | \$112,500                      | \$135,000  | \$163,000                      | \$146,153 | \$116,500                      | \$145,000  | \$176,000                      |
| 11–15                                    | 23               | \$146,537   | \$130,000                      | \$149,700  | \$164,500                      | \$155,307 | \$135,000                      | \$157,200  | \$170,000                      |
| 16 or more                               | 26               | \$150,962   | \$120,000                      | \$151,000  | \$175,000                      | \$164,892 | \$123,000                      | \$163,500  | \$190,000                      |
| Years in Compliance Profession           |                  |             |                                |            |                                |           |                                |            |                                |
| <3 years                                 | 12               | \$125,494   | \$105,500                      | \$120,000  | \$147,500                      | \$131,669 | \$106,050                      | \$133,500  | \$152,500                      |
| 3–5 years                                | 31               | \$122,204   | \$94,000                       | \$112,000  | \$155,000                      | \$132,656 | \$100,000                      | \$121,000  | \$169,000                      |
| 6–10 years                               | 65               | \$135,568   | \$110,000                      | \$135,000  | \$166,000                      | \$145,049 | \$115,000                      | \$143,000  | \$175,000                      |
| 11–15 years                              | 40               | \$144,542   | \$122,500                      | \$148,850  | \$165,958                      | \$156,022 | \$130,500                      | \$155,500  | \$181,000                      |
| 16–20 years                              | 25               | \$147,494   | \$120,000                      | \$145,362  | \$170,000                      | \$163,273 | \$123,000                      | \$160,000  | \$197,000                      |
| 21+ years                                | 14               | \$162,000   | \$132,000                      | \$163,500  | \$175,000                      | \$178,050 | \$137,000                      | \$174,350  | \$213,000                      |

|  |             |           | Base S                         | Salary    |                                |           | Total Con                      | npensation |                                |
|--|-------------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|  | Responses   | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| Certifications                                       |             |           |                                |           |                                |           |                                |            |                                |
| Certified Public Accountant (CPA)                    | 2           |           |                                |           |                                |           |                                |            |                                |
| Certified Compliance & Ethics<br>Professional (CCEP) | 6           | \$137,667 | \$117,500                      | \$132,500 | \$149,750                      | \$150,000 | \$119,750                      | \$139,001  | \$153,750                      |
| Certified in Healthcare<br>Compliance (CHC)          | 121         | \$143,088 | \$120,000                      | \$140,000 | \$166,316                      | \$155,135 | \$123,000                      | \$149,500  | \$182,000                      |
| Certified in Healthcare Research Compliance (CHRC)   | 4           |           |                                |           |                                |           |                                |            |                                |
| Certified in Healthcare Privacy<br>Compliance (CHPC) | 28          | \$140,754 | \$113,250                      | \$133,000 | \$168,487                      | \$155,004 | \$119,500                      | \$145,500  | \$186,000                      |
| Certified Fraud Examiner (CFE)                       | 1           |           |                                |           |                                |           |                                |            |                                |
| Certified Internal Auditor (CIA)                     | 2           |           |                                |           |                                |           |                                |            |                                |
| Certified Information Privacy<br>Professional (CIPP) | 1           |           |                                |           |                                |           |                                |            |                                |
| Other  | 50          | \$141,610 | \$119,676                      | \$137,700 | \$167,400                      | \$153,259 | \$122,250                      | \$145,000  | \$173,775                      |
| None   | 32          | \$124,439 | \$93,250                       | \$112,500 | \$152,500                      | \$132,110 | \$99,625                       | \$115,000  | \$176,000                      |
|  |             |           |                                |           |                                |           |                                |            |                                |
| Type of organization                                 |             |           |                                |           |                                |           |                                |            |                                |
| Nonprofit  | 103         | \$138,305 | \$110,000                      | \$135,000 | \$166,316                      | \$149,137 | \$112,500                      | \$144,933  | \$180,000                      |
| Privately held                                       | 56          | \$130,245 | \$107,000                      | \$129,000 | \$147,681                      | \$140,490 | \$113,668                      | \$136,000  | \$162,500                      |
| Publicly traded                                      | 15          | \$159,500 | \$137,000                      | \$164,500 | \$176,000                      | \$184,233 | \$148,000                      | \$187,500  | \$200,000                      |
| Governmental   | 3           |           |                                |           |                                |           |                                |            |                                |
| Academic   | 8           | \$149,950 | \$134,500                      | \$147,500 | \$165,800                      | \$152,850 | \$142,000                      | \$147,500  | \$169,900                      |
|  |             |           |                                |           |                                |           |                                |            |                                |
| Number of Compliance Elements                        | Involved in | I         | I                              |           | I                              | I         |                                |            |                                |
| 1–3  | 12          | \$141,012 | \$130,000                      | \$137,500 | \$155,000                      | \$152,018 | \$135,000                      | \$149,500  | \$164,700                      |
| 4–6  | 32          | \$145,736 | \$113,000                      | \$135,000 | \$175,000                      | \$159,902 | \$121,000                      | \$146,000  | \$187,977                      |
| 7–9  | 53          | \$134,546 | \$110,000                      | \$130,000 | \$160,000                      | \$145,818 | \$117,566                      | \$143,000  | \$177,000                      |
| All 10   | 33          | \$136,080 | \$106,500                      | \$137,450 | \$169,000                      | \$145,100 | \$109,668                      | \$139,000  | \$177,658                      |
| Geographic Region                                    |             |           |                                |           |                                |           |                                |            |                                |
| New England  | 9           | \$134,980 | \$100,000                      | \$139,000 | \$166,316                      | \$135,980 | \$100,000                      | \$139,000  | \$175,316                      |
| Mid-Atlantic   | 18          | \$120,972 | \$98,500                       | \$112,500 | \$135,000                      | \$123,889 | \$99,000                       | \$113,000  | \$140,000                      |
| East North Central                                   | 27          | \$134,394 | \$115,000                      | \$140,000 | \$160,000                      | \$145,691 | \$117,700                      | \$148,000  | \$173,000                      |
| West North Central                                   | 15          | \$130,800 | \$110,000                      | \$120,000 | \$160,000                      | \$137,667 | \$110,000                      | \$140,000  | \$170,000                      |
| South Atlantic                                       | 21          | \$145,402 | \$130,000                      | \$149,700 | \$168,000                      | \$162,456 | \$137,900                      | \$155,000  | \$187,977                      |
| East South Central                                   | 25          | \$134,358 | \$110,566                      | \$130,000 | \$160,000                      | \$148,650 | \$118,058                      | \$134,800  | \$187,500                      |
| West South Central                                   | 28          | \$138,790 | \$120,000                      | \$137,500 | \$164,000                      | \$150,933 | \$130,000                      | \$149,500  | \$175,462                      |
| Mountain   | 18          | \$132,011 | \$110,000                      | \$127,500 | \$137,000                      | \$144,150 | \$115,000                      | \$130,500  | \$147,000                      |
| Pacific  | 18          | \$173,417 | \$144,393                      | \$170,000 | \$197,579                      | \$186,765 | \$155,978                      | \$180,000  | \$218,000                      |
|  |             |           |                                |           |                                |           |                                |            |                                |
| Contract Status                                      |             |           |                                |           |                                |           |                                |            |                                |
| Yes  | 8           | \$177,625 | \$136,000                      | \$171,000 | \$218,750                      | \$207,813 | \$147,250                      | \$188,000  | \$269,375                      |
| No   | 179         | \$136,437 | \$110,279                      | \$135,000 | \$165,000                      | \$146,779 | \$117,285                      | \$143,000  | \$176,158                      |

|  |               |           | Base S                         | Salary    |                                | Total Compensation |                                |           |                                |  |
|--|---------------|-----------|--------------------------------|-----------|--------------------------------|--------------------|--------------------------------|-----------|--------------------------------|--|
|  | Responses     | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average            | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile |  |
| If Have Contract: Do you Have a Severance Clause in Contract |               |           |                                |           |                                |                    |                                |           |                                |  |
| Yes  | 3             |           |                                |           |                                |                    |                                |           |                                |  |
| No   | 5             | \$140,800 | \$124,000                      | \$140,000 | \$155,000                      | \$152,000          | \$124,000                      | \$155,000 | \$175,000                      |  |
|  |               |           |                                |           |                                |                    |                                |           |                                |  |
| Number of People that Report Up                              | Through to Yo | u         |                                |           |                                |                    |                                |           |                                |  |
| 0  | 47            | \$128,255 | \$105,000                      | \$125,000 | \$149,700                      | \$135,088          | \$106,000                      | \$135,000 | \$157,200                      |  |
| 1 to 2   | 57            | \$135,240 | \$112,000                      | \$130,000 | \$162,000                      | \$146,188          | \$118,058                      | \$139,500 | \$173,800                      |  |
| 3 to 5   | 36            | \$143,526 | \$111,500                      | \$149,000 | \$170,000                      | \$157,165          | \$115,500                      | \$156,000 | \$191,466                      |  |
| 6 to 10  | 31            | \$145,097 | \$120,000                      | \$137,900 | \$172,000                      | \$157,759          | \$123,000                      | \$145,000 | \$186,000                      |  |
| 11 or more   | 16            | \$152,599 | \$136,000                      | \$142,500 | \$167,500                      | \$169,098          | \$141,000                      | \$157,500 | \$191,500                      |  |

# Manager Salary Results Healthcare

|                               |              |               | Base S                         | Salary    |                                |           | Total Con                      | npensation |                                |
|-------------------------------|--------------|---------------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|------------|--------------------------------|
|                               | Responses    | Average       | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median     | 75 <sup>th</sup><br>Percentile |
| All Respondents               | 179          | \$107,047     | \$90,000                       | \$106,000 | \$122,000                      | \$113,885 | \$92,000                       | \$111,200  | \$129,500                      |
| -                             |              |               |                                |           |                                |           |                                |            |                                |
| Percentage of Company's Lega  | and Regulate | ory Risk Invo | lved In                        | 1         |                                |           | ı                              | ı          |                                |
| 0%–25%                        | 27           | \$107,327     | \$85,000                       | \$103,956 | \$125,000                      | \$112,993 | \$85,850                       | \$110,000  | \$132,500                      |
| 26%–50%                       | 46           | \$107,016     | \$91,000                       | \$106,500 | \$120,750                      | \$112,332 | \$97,450                       | \$109,500  | \$125,000                      |
| 51%–75%                       | 61           | \$112,240     | \$95,000                       | \$111,000 | \$125,000                      | \$122,201 | \$99,410                       | \$118,000  | \$137,500                      |
| 76%–100%                      | 43           | \$98,960      | \$86,750                       | \$95,000  | \$112,000                      | \$104,020 | \$87,948                       | \$102,000  | \$116,500                      |
|                               |              |               |                                |           |                                |           |                                |            |                                |
| Number of Employees in the Co | ompliance Gr | oup           |                                |           |                                |           |                                |            |                                |
| 1                             | 10           | \$96,546      | \$80,000                       | \$87,000  | \$121,500                      | \$97,046  | \$80,000                       | \$87,000   | \$119,875                      |
| 2 to 5                        | 58           | \$100,998     | \$85,000                       | \$99,000  | \$116,500                      | \$110,109 | \$85,000                       | \$104,000  | \$122,750                      |
| 6 to 10                       | 35           | \$109,291     | \$92,000                       | \$108,000 | \$121,500                      | \$112,657 | \$92,000                       | \$113,000  | \$127,000                      |
| 11 to 15                      | 19           | \$106,435     | \$92,000                       | \$105,000 | \$121,500                      | \$119,838 | \$97,400                       | \$116,000  | \$128,183                      |
| 16 to 20                      | 17           | \$113,447     | \$107,000                      | \$110,000 | \$120,000                      | \$122,053 | \$110,000                      | \$118,500  | \$130,000                      |
| More than 20                  | 40           | \$114,051     | \$90,500                       | \$113,000 | \$129,500                      | \$118,347 | \$96,017                       | \$114,500  | \$135,000                      |
|                               |              |               |                                |           |                                |           |                                |            |                                |
| Annual Compliance Budget      |              |               |                                |           |                                |           |                                |            |                                |
| < \$100k                      | 4            |               |                                |           |                                |           |                                |            |                                |
| \$100k-\$249k                 | 2            |               |                                |           |                                |           |                                |            |                                |
| \$250k-\$499k                 | 1            |               |                                |           |                                |           |                                |            |                                |
| \$500k-\$999k                 | 2            |               |                                |           |                                |           |                                |            |                                |
| \$1m-\$1.9m                   | 0            |               |                                |           |                                |           |                                |            |                                |
| \$2m+                         | 2            |               |                                |           |                                |           |                                |            |                                |
|                               |              |               |                                |           |                                |           |                                |            |                                |
| Number of Employees That De   | partment Rur | ns Compliand  | e for                          |           |                                |           |                                |            |                                |
| Less than 100                 | 34           | \$104,921     | \$85,000                       | \$104,478 | \$117,000                      | \$113,046 | \$87,895                       | \$111,100  | \$126,250                      |
| 100–249                       | 10           | \$103,587     | \$92,000                       | \$97,750  | \$122,000                      | \$104,837 | \$92,000                       | \$101,000  | \$122,000                      |
| 250–499                       | 14           | \$111,105     | \$95,000                       | \$116,000 | \$125,000                      | \$117,255 | \$95,000                       | \$121,000  | \$137,500                      |
| 500–999                       | 13           | \$88,070      | \$75,000                       | \$90,000  | \$95,000                       | \$90,455  | \$75,000                       | \$90,000   | \$100,000                      |
| 1,000–1,999                   | 21           | \$102,698     | \$90,000                       | \$103,000 | \$123,000                      | \$107,313 | \$90,000                       | \$104,000  | \$131,000                      |
| 2,000–2,999                   | 8            | \$98,250      | \$75,500                       | \$94,000  | \$119,500                      | \$121,210 | \$75,500                       | \$97,000   | \$125,000                      |
| 3,000–4,999                   | 8            | \$113,375     | \$95,000                       | \$112,500 | \$120,000                      | \$117,475 | \$97,900                       | \$112,500  | \$124,500                      |
| 5,000–7,499                   | 12           | \$106,601     | \$92,000                       | \$100,000 | \$123,500                      | \$119,219 | \$94,500                       | \$111,300  | \$138,500                      |
| 7,500–14,999                  | 21           | \$107,929     | \$94,000                       | \$106,000 | \$115,000                      | \$112,252 | \$98,700                       | \$113,000  | \$120,000                      |
| 15,000+                       | 36           | \$117,750     | \$104,000                      | \$115,000 | \$127,500                      | \$124,573 | \$107,000                      | \$119,500  | \$134,000                      |

|  |              |           | Base S           | Salary    |                  |           | Total Con        | npensation |                  |
|--|--------------|-----------|------------------|-----------|------------------|-----------|------------------|------------|------------------|
|  | Responses    | Average   | 25 <sup>th</sup> | Median    | 75 <sup>th</sup> | Average   | 25 <sup>th</sup> | Median     | 75 <sup>th</sup> |
| Annual Revenues of Part of Org           |              |           | Percentile       |           | Percentile       |           | Percentile       |            | Percentile       |
| Less than \$5 million                    | 26           | \$111,371 | \$87,895         | \$116,500 | \$130,000        | \$120,394 | \$93,000         | \$122,683  | \$137,500        |
| \$5 million to less than \$15 million    | 23           | \$95,511  | \$80,000         | \$92,000  | \$103,000        | \$100,777 | \$80,000         | \$92,355   | \$115,000        |
| \$15 million to less than \$30 million   | 10           | \$104,230 | \$93,000         | \$108,000 | \$113,300        | \$109,270 | \$97,000         | \$115,000  | \$119,900        |
| \$30 million to less than \$50 million   | 9            | \$98,889  | \$95,000         | \$100,000 | \$108,000        | \$114,200 | \$95,000         | \$118,000  | \$120,000        |
| \$50 million to less than \$100 million  | 12           | \$103,207 | \$75,000         | \$99,600  | \$119,500        | \$109,291 | \$75,500         | \$106,600  | \$128,500        |
| \$100 million to less than \$500 million | 21           | \$103,375 | \$90,000         | \$103,000 | \$120,000        | \$107,330 | \$90,000         | \$109,250  | \$122,000        |
| \$500 million to less than \$1 billion   | 9            | \$115,944 | \$98,000         | \$118,000 | \$130,000        | \$120,444 | \$103,000        | \$118,000  | \$130,000        |
| \$1 billion to less than \$3 billion     | 20           | \$106,667 | \$89,106         | \$110,500 | \$119,000        | \$119,190 | \$93,000         | \$110,500  | \$133,000        |
| \$3 billion or more                      | 29           | \$119,707 | \$105,000        | \$115,000 | \$128,000        | \$125,712 | \$107,000        | \$120,000  | \$139,000        |
| Number of Countries in Which             | Compliance V | Vorks     |                  |           |                  |           |                  |            |                  |
| 1  | 165          | \$106,744 | \$90,000         | \$105,000 | \$122,000        | \$113,519 | \$92,000         | \$111,000  | \$129,000        |
| 2 or more                                | 11           | \$104,209 | \$95,600         | \$106,000 | \$113,300        | \$109,227 | \$100,000        | \$109,000  | \$119,900        |
| Number of Years in the Compli            | ance Departn | nent      |                  |           |                  |           |                  |            |                  |
| 1 or less                                | 19           | \$102,205 | \$87,895         | \$107,000 | \$120,000        | \$105,047 | \$87,895         | \$107,000  | \$124,000        |
| 2  | 28           | \$95,156  | \$82,000         | \$93,250  | \$114,150        | \$103,819 | \$83,500         | \$97,000   | \$119,450        |
| 3  | 15           | \$104,731 | \$91,000         | \$97,200  | \$123,365        | \$109,061 | \$91,000         | \$109,250  | \$123,365        |
| 4  | 11           | \$106,747 | \$76,000         | \$100,000 | \$129,000        | \$111,264 | \$76,000         | \$104,000  | \$138,000        |
| 5  | 17           | \$105,504 | \$88,000         | \$107,000 | \$120,000        | \$114,563 | \$90,000         | \$111,000  | \$138,000        |
| 6–10                                     | 60           | \$110,652 | \$93,500         | \$108,000 | \$124,500        | \$117,223 | \$99,705         | \$115,000  | \$130,782        |
| 11–15                                    | 18           | \$119,045 | \$108,000        | \$119,500 | \$130,000        | \$123,216 | \$115,000        | \$121,500  | \$130,000        |
| 16 or more                               | 11           | \$112,227 | \$87,500         | \$114,000 | \$131,000        | \$129,455 | \$90,000         | \$118,000  | \$177,000        |
| Years in Compliance Profession           |              |           |                  |           |                  |           |                  |            |                  |
| <3 years                                 | 19           | \$90,950  | \$69,900         | \$88,000  | \$110,000        | \$92,756  | \$76,900         | \$88,500   | \$112,000        |
| 3–5 years                                | 37           | \$98,532  | \$85,000         | \$97,200  | \$111,000        | \$105,030 | \$88,000         | \$105,000  | \$115,000        |
| 6–10 years                               | 72           | \$109,935 | \$93,000         | \$109,000 | \$122,500        | \$114,542 | \$97,867         | \$115,750  | \$129,500        |
| 11–15 years                              | 28           | \$114,657 | \$104,000        | \$115,000 | \$129,000        | \$122,043 | \$107,500        | \$119,400  | \$130,000        |
| 16–20 years                              | 13           | \$124,563 | \$100,000        | \$125,000 | \$152,000        | \$141,261 | \$103,000        | \$135,000  | \$177,000        |
| 21+ years                                | 10           | \$104,270 | \$86,700         | \$103,500 | \$118,000        | \$123,638 | \$86,700         | \$105,500  | \$118,000        |

|   |             | Base Salary |                                |           |                                |           | Total Compensation             |           |                                |  |
|---|-------------|-------------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|-----------|--------------------------------|--|
|   | Responses   | Average     | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average   | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile |  |
| Certifications  |             |             |                                |           |                                |           |                                |           |                                |  |
| Certified Public Accountant (CPA)                     | 2           |             |                                |           |                                |           |                                |           |                                |  |
| Certified Compliance & Ethics<br>Professional (CCEP)  | 5           | \$111,000   | \$90,000                       | \$103,000 | \$120,000                      | \$116,800 | \$90,000                       | \$109,000 | \$135,000                      |  |
| Certified in Healthcare<br>Compliance (CHC)           | 99          | \$113,070   | \$95,300                       | \$112,000 | \$125,000                      | \$119,408 | \$100,000                      | \$116,000 | \$130,000                      |  |
| Certified in Healthcare<br>Research Compliance (CHRC) | 9           | \$118,884   | \$103,956                      | \$112,000 | \$125,000                      | \$123,510 | \$106,640                      | \$112,000 | \$138,000                      |  |
| Certified in Healthcare<br>Privacy Compliance (CHPC)  | 36          | \$115,727   | \$95,000                       | \$108,000 | \$130,750                      | \$119,778 | \$98,222                       | \$115,500 | \$131,500                      |  |
| Certified Fraud Examiner (CFE)                        | 1           |             |                                |           |                                |           |                                |           |                                |  |
| Certified Internal Auditor (CIA)                      | 3           |             |                                |           |                                |           |                                |           |                                |  |
| Certified Information Privacy<br>Professional (CIPP)  | 3           |             |                                |           |                                |           |                                |           |                                |  |
| Other   | 56          | \$107,523   | \$92,000                       | \$107,500 | \$124,250                      | \$114,972 | \$92,678                       | \$112,000 | \$130,000                      |  |
| None  | 36          | \$96,500    | \$83,750                       | \$92,000  | \$115,500                      | \$103,460 | \$86,250                       | \$99,500  | \$120,500                      |  |
|   |             |             |                                |           |                                |           |                                |           |                                |  |
| Type of Organization                                  |             |             |                                |           | ı                              | ı         |                                |           |                                |  |
| Nonprofit   | 90          | \$109,824   | \$92,000                       | \$109,500 | \$122,000                      | \$113,814 | \$92,355                       | \$114,000 | \$124,000                      |  |
| Privately held  | 50          | \$101,070   | \$87,500                       | \$96,455  | \$120,000                      | \$108,363 | \$87,500                       | \$104,596 | \$126,312                      |  |
| Publicly traded                                       | 18          | \$111,839   | \$88,500                       | \$112,000 | \$129,000                      | \$133,373 | \$95,000                       | \$125,500 | \$147,125                      |  |
| Governmental  | 3           |             |                                |           |                                |           |                                |           |                                |  |
| Academic  | 11          | \$114,502   | \$103,956                      | \$110,000 | \$131,564                      | \$116,928 | \$106,000                      | \$110,000 | \$131,564                      |  |
| North and Complete and Element                        | A- 11 : 1 : |             |                                |           |                                |           |                                |           |                                |  |
| Number of Compliance Elemen                           |             | I           |                                |           | 4                              | 4         |                                | 4         | 4                              |  |
| 1–3   | 36          | \$107,153   | \$88,000                       | \$103,000 | \$118,500                      | \$117,577 | \$96,000                       | \$111,000 | \$139,500                      |  |
| 4–6   | 52          | \$110,611   | \$92,000                       | \$110,500 | \$123,183                      | \$114,081 | \$92,500                       | \$116,250 | \$130,000                      |  |
| 7–9   | 69          | \$103,986   | \$87,948                       | \$103,500 | \$121,750                      | \$112,308 | \$89,000                       | \$107,000 | \$126,281                      |  |
| All 10  | 23          | \$107,872   | \$92,500                       | \$104,600 | \$121,000                      | \$112,329 | \$97,000                       | \$111,200 | \$122,000                      |  |
| Geographic Region                                     |             |             |                                |           |                                |           |                                |           |                                |  |
| New England   | 8           | \$116,500   | \$97,000                       | \$127,500 | \$130,000                      | \$116,781 | \$97,500                       | \$128,125 | \$130,000                      |  |
| Mid–Atlantic  | 16          | \$116,844   | \$106,500                      | \$116,500 | \$121,500                      | \$119,888 | \$106,500                      | \$121,000 | \$124,000                      |  |
| East North Central                                    | 31          | \$103,455   | \$87,500                       | \$98,000  | \$118,000                      | \$110,123 | \$90,000                       | \$103,000 | \$129,000                      |  |
| West North Central                                    | 7           | \$106,714   | \$82,000                       | \$115,000 | \$125,000                      | \$108,616 | \$82,000                       | \$115,000 | \$126,312                      |  |
| South Atlantic  | 36          | \$101,900   | \$87,350                       | \$95,000  | \$120,500                      | \$114,394 | \$89,500                       | \$101,400 | \$129,183                      |  |
| East South Central                                    | 8           | \$99,625    | \$87,500                       | \$104,000 | \$108,000                      | \$118,881 | \$94,000                       | \$113,625 | \$128,400                      |  |
| West South Central                                    | 20          | \$109,141   | \$96,455                       | \$114,150 | \$120,000                      | \$116,226 | \$100,005                      | \$117,000 | \$123,000                      |  |
| Mountain  | 23          | \$104,804   | \$88,212                       | \$105,000 | \$121,500                      | \$109,389 | \$92,000                       | \$107,000 | \$122,000                      |  |
| Pacific   | 23          | \$118,182   | \$100,000                      | \$115,000 | \$142,376                      | \$122,121 | \$104,000                      | \$119,000 | \$147,000                      |  |
|   |             |             |                                |           |                                |           |                                |           |                                |  |
|   |             |             |                                |           |                                |           |                                |           |                                |  |
|   |             |             |                                |           |                                |           |                                |           |                                |  |

|                                 |               |              | Base S                         | alary     |                                | Total Compensation |                                |           |                                |  |  |
|---------------------------------|---------------|--------------|--------------------------------|-----------|--------------------------------|--------------------|--------------------------------|-----------|--------------------------------|--|--|
|                                 | Responses     | Average      | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile | Average            | 25 <sup>th</sup><br>Percentile | Median    | 75 <sup>th</sup><br>Percentile |  |  |
| Contract Status                 |               |              |                                |           |                                |                    |                                |           |                                |  |  |
| Yes                             | 6             | \$95,833     | \$80,750                       | \$95,000  | \$113,000                      | \$98,667           | \$80,750                       | \$97,500  | \$117,250                      |  |  |
| No                              | 173           | \$107,436    | \$90,000                       | \$107,000 | \$122,000                      | \$114,413          | \$92,355                       | \$112,000 | \$130,000                      |  |  |
|                                 |               |              |                                |           |                                |                    |                                |           |                                |  |  |
| If Have Contract: Do you Have a | a Severance C | lause in Con | tract                          |           |                                |                    |                                |           |                                |  |  |
| Yes                             | 1             |              |                                |           |                                |                    |                                |           |                                |  |  |
| No                              | 5             | \$91,400     | \$77,000                       | \$92,000  | \$98,000                       | \$94,000           | \$77,000                       | \$92,000  | \$103,000                      |  |  |
|                                 |               |              |                                |           |                                |                    |                                |           |                                |  |  |
| Number of People that Report    | Up Through t  | o You        |                                |           |                                |                    |                                |           |                                |  |  |
| 0                               | 97            | \$107,261    | \$90,000                       | \$106,000 | \$121,000                      | \$111,758          | \$95,000                       | \$110,000 | \$122,000                      |  |  |
| 1 to 2                          | 44            | \$104,545    | \$90,000                       | \$103,500 | \$120,500                      | \$114,851          | \$90,500                       | \$110,225 | \$130,000                      |  |  |
| 3 to 5                          | 31            | \$113,816    | \$92,000                       | \$114,000 | \$133,000                      | \$121,380          | \$92,000                       | \$120,000 | \$140,000                      |  |  |
| 6 to 10                         | 4             |              |                                |           |                                |                    |                                |           |                                |  |  |
| 11 or more                      | 3             |              |                                |           |                                |                    |                                |           |                                |  |  |

# Assistant/Specialist Salary Results Healthcare

|   |               |             | Base S                         | Salary   |                                | Total Compensation |                                |          |                                |  |  |
|---|---------------|-------------|--------------------------------|----------|--------------------------------|--------------------|--------------------------------|----------|--------------------------------|--|--|
|   | Responses     | Average     | 25 <sup>th</sup><br>Percentile | Median   | 75 <sup>th</sup><br>Percentile | Average            | 25 <sup>th</sup><br>Percentile | Median   | 75 <sup>th</sup><br>Percentile |  |  |
| All Respondents   | 254           | \$81,441    | \$66,996                       | \$79,000 | \$92,522                       | \$82,201           | \$67,000                       | \$79,150 | \$92,522                       |  |  |
|   |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| Percentage of Company's Legal and Regulatory Risk Areas Involved In |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| 0%–25%  | 34            | \$81,225    | \$72,689                       | \$78,500 | \$89,163                       | \$81,590           | \$72,689                       | \$79,380 | \$89,174                       |  |  |
| 26%–50%   | 54            | \$81,805    | \$63,375                       | \$77,906 | \$90,163                       | \$82,571           | \$63,375                       | \$77,906 | \$90,725                       |  |  |
| 51%–75%   | 91            | \$82,265    | \$66,850                       | \$81,000 | \$92,723                       | \$83,514           | \$67,157                       | \$81,000 | \$92,723                       |  |  |
| 76%–100%  | 66            | \$78,729    | \$63,500                       | \$77,000 | \$89,750                       | \$79,096           | \$63,500                       | \$77,000 | \$89,750                       |  |  |
|   |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| Number of Employees in the Compli                                   | ance Group    |             |                                |          |                                |                    |                                |          |                                |  |  |
| 1   | 5             | \$70,000    | \$60,000                       | \$63,000 | \$79,000                       | \$70,000           | \$60,000                       | \$63,000 | \$79,000                       |  |  |
| 2 to 5  | 79            | \$74,544    | \$62,750                       | \$72,500 | \$84,000                       | \$75,354           | \$62,750                       | \$72,500 | \$85,730                       |  |  |
| 6 to 10   | 48            | \$81,382    | \$69,603                       | \$81,000 | \$90,000                       | \$81,523           | \$69,603                       | \$81,000 | \$90,375                       |  |  |
| 11 to 15  | 28            | \$79,927    | \$68,636                       | \$77,030 | \$91,525                       | \$80,191           | \$69,386                       | \$77,680 | \$91,250                       |  |  |
| 16 to 20  | 29            | \$80,347    | \$70,000                       | \$79,000 | \$87,500                       | \$80,906           | \$70,000                       | \$79,300 | \$88,500                       |  |  |
| More than 20  | 65            | \$91,889    | \$75,000                       | \$85,000 | \$104,000                      | \$93,407           | \$75,000                       | \$87,000 | \$108,000                      |  |  |
|   |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| Annual Compliance Budget  |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| < \$100k  | 1             |             |                                |          |                                |                    |                                |          |                                |  |  |
| \$100k-\$249k   | 0             |             |                                |          |                                |                    |                                |          |                                |  |  |
| \$250k-\$499k   | 1             |             |                                |          |                                |                    |                                |          |                                |  |  |
| \$500k-\$999k   | 0             |             |                                |          |                                |                    |                                |          |                                |  |  |
| \$1m-\$1.9m   | 0             |             |                                |          |                                |                    |                                |          |                                |  |  |
| \$2m+   | 0             |             |                                |          |                                |                    |                                |          |                                |  |  |
|   |               |             |                                |          |                                |                    |                                |          |                                |  |  |
| Number of Employees That Departm                                    | nent Runs Com | oliance for |                                |          |                                |                    |                                |          |                                |  |  |
| Less than 100   | 75            | \$75,622    | \$64,500                       | \$75,000 | \$83,000                       | \$76,275           | \$64,500                       | \$75,000 | \$84,000                       |  |  |
| 100–249   | 12            | \$82,659    | \$65,000                       | \$68,500 | \$100,500                      | \$84,201           | \$65,000                       | \$68,750 | \$100,500                      |  |  |
| 250–499   | 8             | \$70,102    | \$52,000                       | \$61,500 | \$78,409                       | \$72,602           | \$53,000                       | \$61,500 | \$78,409                       |  |  |
| 500–999   | 8             | \$75,335    | \$72,500                       | \$75,000 | \$78,000                       | \$76,634           | \$72,500                       | \$76,960 | \$85,000                       |  |  |
| 1,000–1,999   | 12            | \$73,768    | \$62,500                       | \$71,000 | \$81,500                       | \$73,959           | \$62,650                       | \$71,000 | \$82,000                       |  |  |
| 2,000–2,999   | 11            | \$76,455    | \$55,000                       | \$75,000 | \$91,000                       | \$76,827           | \$55,000                       | \$75,000 | \$92,500                       |  |  |
| 3,000–4,999   | 17            | \$79,893    | \$66,995                       | \$79,331 | \$87,500                       | \$80,293           | \$66,995                       | \$79,331 | \$87,500                       |  |  |
| 5,000–7,499   | 29            | \$80,126    | \$66,000                       | \$79,000 | \$90,000                       | \$80,416           | \$66,000                       | \$79,000 | \$90,000                       |  |  |
| 7,500–14,999  | 38            | \$88,958    | \$75,000                       | \$83,500 | \$100,000                      | \$90,558           | \$75,600                       | \$84,000 | \$100,000                      |  |  |
| 15,000+   | 43            | \$92,914    | \$77,000                       | \$92,916 | \$109,500                      | \$93,180           | \$77,000                       | \$92,916 | \$109,500                      |  |  |

|   |               |                      | Base S                         | Salary               | Total Compensation             |                      |                                |                      |                                |  |  |
|---|---------------|----------------------|--------------------------------|----------------------|--------------------------------|----------------------|--------------------------------|----------------------|--------------------------------|--|--|
|   | Responses     | Average              | 25 <sup>th</sup><br>Percentile | Median               | 75 <sup>th</sup><br>Percentile | Average              | 25 <sup>th</sup><br>Percentile | Median               | 75 <sup>th</sup><br>Percentile |  |  |
| Annual Revenues of Part of Organization That Department Runs Compliance For |               |                      |                                |                      |                                |                      |                                |                      |                                |  |  |
| Less than \$5 million   | 49            | \$74,796             | \$63,000                       | \$72,500             | \$81,000                       | \$75,245             | \$63,000                       | \$72,500             | \$81,000                       |  |  |
| \$5 million to less than \$15 million                                       | 24            | \$80,141             | \$65,000                       | \$78,000             | \$86,500                       | \$80,437             | \$65,000                       | \$78,000             | \$86,500                       |  |  |
| \$15 million to less than \$30 million                                      | 19            | \$70,742             | \$56,000                       | \$62,500             | \$87,000                       | \$71,457             | \$56,000                       | \$62,500             | \$87,000                       |  |  |
| \$30 million to less than \$50 million                                      | 8             | \$78,000             | \$70,000                       | \$80,000             | \$82,500                       | \$79,138             | \$70,000                       | \$80,000             | \$85,050                       |  |  |
| \$50 million to less than \$100 million                                     | 13            | \$82,668             | \$72,000                       | \$76,960             | \$85,000                       | \$84,537             | \$72,000                       | \$76,960             | \$86,460                       |  |  |
| \$100 million to less than \$500 million                                    | 26            | \$81,872             | \$68,848                       | \$79,000             | \$92,500                       | \$82,518             | \$69,848                       | \$79,250             | \$92,500                       |  |  |
| \$500 million to less than \$1 billion                                      | 9             | \$82,040             | \$66,414                       | \$76,000             | \$91,050                       | \$82,724             | \$67,314                       | \$76,000             | \$91,800                       |  |  |
| \$1 billion to less than \$3 billion  | 26            | \$86,650             | \$67,600                       | \$83,327             | \$100,000                      | \$88,912             | \$67,600                       | \$85,327             | \$100,000                      |  |  |
| \$3 billion or more   | 39            | \$90,992             | \$81,000                       | \$89,722             | \$100,000                      | \$91,510             | \$81,000                       | \$89,722             | \$100,000                      |  |  |
| Number of Countries in Which Comp   | oliance Works |                      |                                |                      |                                |                      |                                |                      |                                |  |  |
| 1   | 247           | \$81,133             | \$66,700                       | \$79,000             | \$92,500                       | \$81,868             | \$66,995                       | \$79,000             | \$92,500                       |  |  |
| 2 or more   | 6             | \$89,393             | \$78,000                       | \$82,430             | \$102,000                      | \$91,060             | \$78,000                       | \$84,430             | \$108,000                      |  |  |
| Number of Years in the Compliance   | Department    |                      |                                |                      |                                |                      |                                |                      |                                |  |  |
| 1 or less   | 53            | \$74,811             | \$62,000                       | \$71,000             | \$83,000                       | \$75,752             | \$62,000                       | \$71,500             | \$83,000                       |  |  |
| 2   | 43            | \$77,321             | \$66,000                       | \$74,000             | \$89,000                       | \$77,591             | \$66,000                       | \$74,000             | \$89,000                       |  |  |
| 3   | 24            | \$76,780             | \$62,700                       | \$76,909             | \$91,250                       | \$77,480             | \$62,700                       | \$76,909             | \$91,250                       |  |  |
| 4   | 20            | \$78,130             | \$70,000                       | \$78,000             | \$86,361                       | \$78,165             | \$70,000                       | \$78,000             | \$86,411                       |  |  |
| 5   | 31            | \$91,897             | \$67,600                       | \$87,000             | \$110,000                      | \$93,413             | \$67,600                       | \$88,500             | \$110,000                      |  |  |
| 6–10  | 52            | \$82,282             | \$72,000                       | \$79,196             | \$88,598                       | \$83,063             | \$72,250                       | \$79,630             | \$88,648                       |  |  |
| 11–15   | 18            | \$90,992             | \$60,000                       | \$94,924             | \$110,000                      | \$91,597             | \$60,300                       | \$94,924             | \$110,000                      |  |  |
| 16 or more  | 13            | \$94,285             | \$81,000                       | \$86,653             | \$104,000                      | \$95,481             | \$82,000                       | \$86,653             | \$108,000                      |  |  |
| Years in Compliance Profession  |               |                      |                                |                      |                                |                      |                                |                      |                                |  |  |
| •   | 71            | ¢72.070              | \$62,000                       | \$70,000             | \$82,400                       | ¢74.706              | \$62,000                       | ¢71,000              | ¢92.400                        |  |  |
| <3 years 3–5 years  | 71<br>73      | \$73,979<br>\$80,123 | \$62,000<br>\$67,600           | \$70,000<br>\$77,000 | \$92,916                       | \$74,796<br>\$80,595 | \$62,000<br>\$67,600           | \$71,000<br>\$77,000 | \$82,400<br>\$92,916           |  |  |
|   |               |                      | \$72,500                       | \$77,000             | \$90,000                       |                      |                                |                      |                                |  |  |
| 6–10 years  | 61            | \$81,656             | \$72,000                       |                      |                                | \$82,090             | \$72,500                       | \$79,760             | \$90,000                       |  |  |
| 11–15 years   | 26            | \$91,063             | \$72,000                       | \$87,500             | \$110,000                      | \$92,694             | \$72,000                       | \$87,848             | \$110,000                      |  |  |
| 16–20 years   | 13            | \$99,595             |                                | \$87,500             | \$104,000                      | \$101,914            | \$82,000                       | \$87,500             | \$108,000                      |  |  |
| 21+ years   | 10            | \$94,120             | \$80,500                       | \$91,098             | \$110,000                      | \$94,280             | \$80,500                       | \$91,148             | \$111,500                      |  |  |

|   |               |          | Base             | Salary    | Total Compensation                      |           |                  |               |                  |
|---|---------------|----------|------------------|-----------|---|-----------|------------------|---------------|------------------|
|   | Responses     | Average  | 25 <sup>th</sup> | Median    | 75 <sup>th</sup>                        | Average   | 25 <sup>th</sup> | Median        | 75 <sup>th</sup> |
| Certifications  |               |          | Percentile       |           | Percentile                              |           | Percentile       |               | Percentile       |
| Certified Public Accountant                                     | 2             |          |                  |           |   |           |                  |               |                  |
| (CPA)   | 3             |          |                  |           |   |           |                  |               |                  |
| Certified Compliance & Ethics Professional (CCEP)               | 6             | \$97,833 | \$91,500         | \$100,500 | \$119,250                               | \$98,267  | \$91,500         | \$100,500     | \$119,250        |
| Certified in Healthcare   | 106           | \$88,277 | \$74,250         | \$86,327  | \$101,500                               | \$89,200  | \$74,850         | \$87,000      | \$101,500        |
| Compliance (CHC) Certified in Healthcare                        | 100           | 700,277  | 774,230          | 760,327   | \$101,500                               | \$69,200  | \$74,830         | Ç87,000       | 7101,300         |
| Research Compliance (CHRC)                                      | 6             | \$97,725 | \$75,875         | \$90,000  | \$94,261                                | \$100,191 | \$77,975         | \$90,000      | \$94,261         |
| Certified in Healthcare Privacy                                 | 27            | \$87,809 | \$73,550         | \$90,000  | \$102,500                               | \$88,024  | \$73,550         | \$90,000      | \$102,500        |
| Compliance (CHPC)   |               | , - ,    | , ,,,,,,         | , ,       | , | 1 / -     | , ,,,,,,,,       | , , , , , , , | , ,,,,,,,        |
| Certified Fraud Examiner (CFE)                                  | 3             |          |                  |           |   |           |                  |               |                  |
| Certified Internal Auditor (CIA)  Certified Information Privacy | 2             |          |                  |           |   |           |                  |               |                  |
| Professional (CIPP)   | 1             |          |                  |           |   |           |                  |               |                  |
| Other   | 72            | \$82,548 | \$70,039         | \$80,000  | \$90,729                                | \$82,978  | \$70,088         | \$80,000      | \$91,854         |
| None  | 79            | \$72,107 | \$60,000         | \$70,000  | \$80,000                                | \$72,817  | \$60,000         | \$70,000      | \$80,000         |
|   |               |          |                  |           |   |           |                  |               |                  |
| Type of organization  |               |          |                  |           |   |           |                  |               |                  |
| Nonprofit   | 187           | \$80,527 | \$66,000         | \$78,000  | \$90,000                                | \$80,968  | \$66,000         | \$78,000      | \$91,500         |
| Privately held  | 30            | \$82,445 | \$65,000         | \$78,000  | \$96,300                                | \$84,588  | \$65,300         | \$79,000      | \$97,300         |
| Publicly traded   | 9             | \$91,496 | \$80,000         | \$82,460  | \$104,000                               | \$96,496  | \$84,000         | \$87,000      | \$108,000        |
| Governmental  | 14            | \$82,693 | \$70,000         | \$78,530  | \$100,000                               | \$82,778  | \$70,000         | \$79,130      | \$100,000        |
| Academic  | 12            | \$83,429 | \$74,000         | \$78,750  | \$94,424                                | \$83,429  | \$74,000         | \$78,750      | \$94,424         |
|   |               |          |                  |           |   |           |                  |               |                  |
| Number of Compliance Element                                    | s Involved in |          |                  |           |   |           |                  |               |                  |
| 1–3   | 73            | \$86,239 | \$72,000         | \$79,000  | \$94,000                                | \$87,080  | \$72,500         | \$79,300      | \$95,000         |
| 4–6   | 100           | \$80,787 | \$67,000         | \$80,000  | \$94,000                                | \$81,133  | \$67,250         | \$80,000      | \$94,000         |
| 7–9   | 64            | \$79,727 | \$65,000         | \$76,000  | \$89,500                                | \$81,174  | \$65,000         | \$76,000      | \$89,500         |
| All 10  | 17            | \$71,145 | \$63,000         | \$71,000  | \$82,460                                | \$71,404  | \$63,000         | \$71,000      | \$83,100         |
|   |               |          |                  |           |   |           |                  |               |                  |
| Geographic Region   |               |          |                  |           |   |           |                  |               |                  |
| New England   | 19            | \$85,691 | \$69,000         | \$82,400  | \$102,000                               | \$86,276  | \$69,000         | \$82,400      | \$103,000        |
| Mid-Atlantic  | 20            | \$82,058 | \$62,750         | \$71,000  | \$91,230                                | \$82,458  | \$62,750         | \$71,000      | \$93,230         |
| East North Central  | 36            | \$74,084 | \$66,350         | \$76,000  | \$83,500                                | \$74,346  | \$66,350         | \$76,000      | \$83,500         |
| West North Central  | 26            | \$83,568 | \$74,000         | \$83,000  | \$99,000                                | \$83,779  | \$74,000         | \$83,000      | \$99,000         |
| South Atlantic  | 61            | \$78,113 | \$66,000         | \$77,000  | \$92,500                                | \$78,342  | \$66,000         | \$77,000      | \$92,500         |
| East South Central  | 9             | \$64,444 | \$55,000         | \$56,000  | \$65,000                                | \$64,811  | \$56,000         | \$58,000      | \$65,300         |
| West South Central  | 20            | \$73,250 | \$67,000         | \$78,000  | \$79,500                                | \$73,960  | \$67,000         | \$78,000      | \$82,250         |
| Mountain  | 19            | \$80,959 | \$65,000         | \$75,500  | \$96,300                                | \$82,696  | \$65,000         | \$76,000      | \$97,300         |
| Pacific   | 39            | \$97,614 | \$76,817         | \$90,000  | \$110,000                               | \$100,040 | \$76,817         | \$90,000      | \$110,800        |
|   |               |          |                  |           |   |           |                  |               |                  |
| Contract Status   |               |          |                  |           |   |           |                  |               |                  |
| Yes   | 14            | \$79,244 | \$67,496         | \$77,030  | \$82,345                                | \$79,794  | \$67,496         | \$78,380      | \$84,250         |
| No  | 240           | \$81,570 | \$66,925         | \$79,000  | \$92,937                                | \$82,342  | \$67,000         | \$79,150      | \$93,037         |

|  |              |          | Base :                         | Salary   |                                | Total Compensation |                                |          |                                |  |
|--|--------------|----------|--------------------------------|----------|--------------------------------|--------------------|--------------------------------|----------|--------------------------------|--|
|  | Responses    | Average  | 25 <sup>th</sup><br>Percentile | Median   | 75 <sup>th</sup><br>Percentile | Average            | 25 <sup>th</sup><br>Percentile | Median   | 75 <sup>th</sup><br>Percentile |  |
| If Have Contract: Do you Have a Severance Clause in Contract |              |          |                                |          |                                |                    |                                |          |                                |  |
| Yes  | 1            |          |                                |          |                                |                    |                                |          |                                |  |
| No   | 13           | \$79,186 | \$66,995                       | \$75,000 | \$82,460                       | \$79,778           | \$66,995                       | \$77,000 | \$85,000                       |  |
|  |              |          |                                |          |                                |                    |                                |          |                                |  |
| Number of People that Report U                               | p Through to | You      |                                |          |                                |                    |                                |          |                                |  |
| 0  | 236          | \$81,981 | \$67,000                       | \$79,000 | \$92,958                       | \$82,752           | \$67,407                       | \$79,300 | \$93,158                       |  |
| 1 to 2   | 7            | \$65,286 | \$46,000                       | \$70,000 | \$80,000                       | \$65,714           | \$46,000                       | \$70,000 | \$80,000                       |  |
| 3 to 5   | 8            | \$87,250 | \$69,000                       | \$85,000 | \$101,000                      | \$87,888           | \$69,050                       | \$85,000 | \$102,500                      |  |
| 6 to 10  | 1            |          |                                |          |                                |                    |                                |          |                                |  |
| 11 or more   | 2            |          |                                |          |                                |                    |                                |          |                                |  |

**Detailed Results** 

|  |                       |                   | Job 1             | itle      |                          |
|--|-----------------------|-------------------|-------------------|-----------|--------------------------|
|  | All Respondents       | VP                | Director          | Manager   | Assistant/<br>Specialist |
| Are your compliance responsibilities:                    |                       |                   |                   |           |                          |
| Responses  | 636                   | 16                | 187               | 179       | 254                      |
| Broad, covering a range of compliance-related risks      | 72%                   | 88%               | 80%               | 69%       | 68%                      |
| Focused on a particular risk area (i.e. privacy, safety) | 28%                   | 13%               | 20%               | 31%       | 32%                      |
|  |                       |                   |                   |           |                          |
| If focused, which legal and regulatory risk areas a      | e you primarily focus | sed on?           |                   |           |                          |
| Responses  | 171                   | 2                 | 37                | 54        | 78                       |
| Privacy  | 49%                   |                   | 41%               | 43%       | 58%                      |
| ІТ   | 1%                    |                   | 3%                | 0%        | 0%                       |
| Occupational health and safety                           | 1%                    |                   | 3%                | 0%        | 1%                       |
| Other  | 50%                   |                   | 54%               | 57%       | 41%                      |
| Percentage indicating involvement in the followin        | g compliance elemen   | ıts:              |                   |           |                          |
| Policies and Procedures                                  | 80%                   | 88%               | 89%               | 82%       | 70%                      |
| Compliance Education                                     | 79%                   | 94%               | 89%               | 82%       | 68%                      |
| Compliance Auditing/Monitoring                           | 84%                   | 81%               | 89%               | 82%       | 83%                      |
| Compliance Investigations                                | 79%                   | 100%              | 87%               | 81%       | 70%                      |
| Compliance Risk Assessments                              | 67%                   | 81%               | 82%               | 70%       | 54%                      |
| Hot Line/Anonymous Reporting                             | 61%                   | 69%               | 79%               | 59%       | 50%                      |
| Measuring Program Effectiveness                          | 52%                   | 69%               | 72%               | 53%       | 36%                      |
| Code of Conduct  | 48%                   | 69%               | 62%               | 49%       | 36%                      |
| Compliance Discipline/Incentives                         | 38%                   | 50%               | 53%               | 36%       | 28%                      |
| Reporting to the Board                                   | 35%                   | 63%               | 53%               | 36%       | 20%                      |
| How much of your company's legal and regulatory          | risk areas is the com | pliance departmen | t responsible for | managing? |                          |
| Responses  | 622                   | 16                | 184               | 177       | 245                      |
| 0%–25%   | 12%                   | 0%                | 9%                | 15%       | 14%                      |
| 26%–50%  | 25%                   | 13%               | 28%               | 26%       | 22%                      |
| 51%–75%  | 36%                   | 44%               | 35%               | 35%       | 37%                      |
| 76%–100%   | 27%                   | 44%               | 28%               | 24%       | 27%                      |

|                                  |                                      |        | Job T    | itle       |                          |
|----------------------------------|--------------------------------------|--------|----------|------------|--------------------------|
|                                  | All Respondents                      | VP     | Director | Manager    | Assistant/<br>Specialist |
| How many employees, including yo | ·                                    | •      | Director | .via.iagei | эрсиини                  |
| Responses                        | 636                                  | 16     | 187      | 179        | 254                      |
| 1                                | 4%                                   | 0%     | 6%       | 6%         | 2%                       |
| 2 to 5                           | 30%                                  | 25%    | 26%      | 32%        | 31%                      |
| 6 to 10                          | 18%                                  | 6%     | 15%      | 20%        | 19%                      |
| 11 to 15                         | 12%                                  | 19%    | 14%      | 11%        | 11%                      |
| 16 to 20                         | 10%                                  | 10% 6% |          | 10%        | 11%                      |
| More than 20                     | 27%                                  | 44%    | 32%      | 22%        | 26%                      |
|                                  |                                      |        |          |            |                          |
| Annual compliance budget:        |                                      |        |          |            |                          |
| Responses                        | 35                                   | 2      | 20       | 11         | 2                        |
| <\$100k                          | 26%                                  |        | 20%      | 36%        |                          |
| \$100k-\$249k                    | 11%                                  |        | 10%      | 18%        |                          |
| \$250k-\$499k                    | 11%                                  |        | 10%      | 9%         |                          |
| \$500k-\$999k                    | 14%                                  |        | 15%      | 18%        |                          |
| \$1m-\$1.9m                      | 9%                                   |        | 15%      | 0%         |                          |
| \$2m+                            | 29%                                  |        | 30%      | 18%        |                          |
|                                  |                                      |        |          |            |                          |
| Approximately how many employed  | es work in your organization as a wh | nole?  |          |            |                          |
| Responses                        | 635                                  | 16     | 187      | 178        | 254                      |
| Less than 100                    | 1%                                   | 0%     | 1%       | 3%         | 1%                       |
| 100–249                          | 3%                                   | 0%     | 3%       | 4%         | 3%                       |
| 250–499                          | 8%                                   | 19%    | 10%      | 9%         | 6%                       |
| 500–999                          | 7%                                   | 13%    | 9%       | 8%         | 4%                       |
| 1,000-1,999                      | 8%                                   | 6%     | 11%      | 11%        | 5%                       |
| 2,000–2,999                      | 4%                                   | 0%     | 5%       | 3%         | 4%                       |
| 3,000–4,999                      | 7%                                   | 0%     | 7%       | 3%         | 11%                      |
| 5,000–7,499                      | 9%                                   | 19%    | 5%       | 8%         | 11%                      |
| 7,500–9,999                      | 6%                                   | 6%     | 5%       | 5%         | 6%                       |
| 10,000–14,999                    | 12%                                  | 19%    | 6%       | 9%         | 18%                      |
| 15,000–19,999                    | 5%                                   | 0%     | 5%       | 6%         | 5%                       |
| 20,000–29,999                    | 7%                                   | 6%     | 5%       | 9%         | 7%                       |
| 30,000–49,999                    | 9%                                   | 6%     | 7%       | 10%        | 9%                       |
| 50,000–74,999                    | 5%                                   | 0%     | 7%       | 6%         | 3%                       |
| 75,000–99,999                    | 2%                                   | 0%     | 2%       | 1%         | 2%                       |
| 100,000 +                        | 7%                                   | 6%     | 12%      | 4%         | 6%                       |

|  |   |                      | Job T                          | itle           |                          |
|--|---|----------------------|--------------------------------|----------------|--------------------------|
|  | All Dospondonto                         | VP                   | Divoctor                       | Managan        | Assistant/<br>Specialist |
| Approximately how many employees work in th      | All Respondents e portion of the organi |                      | Director<br>rk in compliance f | Manager<br>or? | Specialist               |
| Responses  | 634                                     | 16                   | 187                            | 177            | 254                      |
| Less than 100                                    | 24%                                     | 13%                  | 23%                            | 19%            | 30%                      |
| 100–249  | 6%                                      | 0%                   | 7%                             | 6%             | 5%                       |
| 250–499  | 6%                                      | 19%                  | 8%                             | 8%             | 3%                       |
| 500–999  | 6%                                      | 13%                  | 9%                             | 7%             | 4%                       |
| 1,000–1,999                                      | 8%                                      | 6%                   | 9%                             | 12%            | 5%                       |
| 2,000–2,999                                      | 4%                                      | 0%                   | 5%                             | 5%             | 4%                       |
| 3,000–4,999                                      | 6%                                      | 0%                   | 8%                             | 5%             | 7%                       |
| 5,000-7,499                                      | 8%                                      | 13%                  | 5%                             | 7%             | 11%                      |
| 7,500–9,999                                      | 5%                                      | 6%                   | 4%                             | 5%             | 5%                       |
| 10,000–14,999                                    | 8%                                      | 13%                  | 6%                             | 7%             | 10%                      |
| 15,000–19,999                                    | 4%                                      | 0%                   | 3%                             | 5%             | 3%                       |
| 20,000–29,999                                    | 4%                                      | 6%                   | 3%                             | 6%             | 4%                       |
| 30,000–49,999                                    | 5%                                      | 6%                   | 4%                             | 7%             | 5%                       |
| 50,000–74,999                                    | 2%                                      | 0%                   | 4%                             | 1%             | 2%                       |
| 75,000–99,999                                    | 0%                                      | 0%                   | 0%                             | 1%             | 1%                       |
| 100,000 +  | 2%                                      | 6%                   | 3%                             | 2%             | 2%                       |
|  |   |                      |                                |                |                          |
| What are the annual revenues of the organization | on you work for?                        |                      |                                |                |                          |
| Responses  | 561                                     | 16                   | 172                            | 159            | 214                      |
| Less than \$5 million                            | 7%                                      | 6%                   | 8%                             | 8%             | 7%                       |
| \$5 million to less than \$15 million            | 11%                                     | 13%                  | 10%                            | 13%            | 11%                      |
| \$15 million to less than \$30 million           | 6%                                      | 6%                   | 5%                             | 5%             | 8%                       |
| \$30 million to less than \$50 million           | 7%                                      | 19%                  | 9%                             | 5%             | 5%                       |
| \$50 million to less than \$100 million          | 8%                                      | 6%                   | 8%                             | 8%             | 8%                       |
| \$100 million to less than \$500 million         | 13%                                     | 6%                   | 11%                            | 13%            | 15%                      |
| \$500 million to less than \$1 billion           | 8%                                      | 13%                  | 8%                             | 8%             | 8%                       |
| \$1 billion to less than \$3 billion             | 16%                                     | 25%                  | 16%                            | 16%            | 16%                      |
| \$3 billion or more                              | 24%                                     | 6%                   | 25%                            | 24%            | 24%                      |
|  |   |                      |                                |                |                          |
| What are the annual revenues for the portion of  | the organization that                   | your part of the cor | mpliance program               | oversees?      |                          |
| Responses  | 557                                     | 16                   | 169                            | 159            | 213                      |
| Less than \$5 million                            | 19%                                     | 19%                  | 18%                            | 16%            | 23%                      |
| \$5 million to less than \$15 million            | 12%                                     | 13%                  | 10%                            | 15%            | 11%                      |
| \$15 million to less than \$30 million           | 7%                                      | 0%                   | 5%                             | 6%             | 9%                       |
| \$30 million to less than \$50 million           | 6%                                      | 19%                  | 8%                             | 6%             | 4%                       |
| \$50 million to less than \$100 million          | 7%                                      | 6%                   | 8%                             | 8%             | 6%                       |
| \$100 million to less than \$500 million         | 13%                                     | 6%                   | 14%                            | 13%            | 12%                      |
| \$500 million to less than \$1 billion           | 6%                                      | 6%                   | 8%                             | 6%             | 4%                       |
| \$1 billion to less than \$3 billion             | 13%                                     | 25%                  | 12%                            | 13%            | 12%                      |
| \$3 billion or more                              | 18%                                     | 6%                   | 18%                            | 18%            | 18%                      |

|  |                 |      | Job 1    | Title   |                          |
|--|-----------------|------|----------|---------|--------------------------|
|  | All Respondents | VP   | Director | Manager | Assistant/<br>Specialist |
| For how many countries do you work in complian | ce?             |      |          |         |                          |
| Responses                                      | 631             | 16   | 186      | 176     | 253                      |
| 1  | 96%             | 100% | 96%      | 94%     | 98%                      |
| 2 or more                                      | 4%              | 0%   | 4%       | 6%      | 2%                       |
|  |                 |      |          |         |                          |
| Where do you work?                             |                 |      |          |         |                          |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |
| United States                                  | 100%            | 100% | 100%     | 99%     | 100%                     |
| North America, other than the US               | 0%              | 0%   | 0%       | 0%      | 0%                       |
| South America                                  | 0%              | 0%   | 0%       | 0%      | 0%                       |
| Europe   | 0%              | 0%   | 0%       | 1%      | 0%                       |
| Asia   | 0%              | 0%   | 0%       | 0%      | 0%                       |
| Africa   | 0%              | 0%   | 0%       | 0%      | 0%                       |
| Australia                                      | 0%              | 0%   | 0%       | 0%      | 0%                       |
| Middle East                                    | 0%              | 0%   | 0%       | 0%      | 0%                       |
|  |                 |      |          |         |                          |
| Years in compliance profession                 |                 |      |          |         | 1                        |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |
| 75th Percentile                                | 12              | 15   | 15       | 12      | 9                        |
| Median   | 7               | 11   | 10       | 8       | 5                        |
| 25 <sup>th</sup> Percentile                    | 4               | 9    | 6        | 5       | 2                        |
| Average  | 9               | 12   | 11       | 9       | 7                        |
|  |                 |      |          |         |                          |
| Years with current employer                    |                 |      |          |         | I                        |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |
| 75 <sup>th</sup> Percentile                    | 11              | 15   | 12       | 11      | 10                       |
| Median   | 6               | 5    | 7        | 6       | 5                        |
| 25th Percentile                                | 2               | 2    | 3        | 2       | 2                        |
| Average  | 8               | 8    | 8        | 8       | 8                        |
|  |                 |      |          |         |                          |
| Years holding current job title                |                 |      |          |         |                          |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |
| 75 <sup>th</sup> Percentile                    | 5               | 6    | 6        | 5       | 5                        |
| Median   | 3               | 2    | 3        | 3       | 2                        |
| 25th Percentile                                | 1               | 1    | 2        | 1       | 1                        |
| Average  | 4               | 3    | 4        | 4       | 4                        |
|  |                 |      |          |         |                          |
| Industry                                       |                 |      |          |         |                          |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |
| Healthcare Provider                            | 100%            | 100% | 100%     | 100%    | 100%                     |
|  |                 |      |          |         |                          |

|  |                                       |     | Job 1    | litle   |                          |
|--|---------------------------------------|-----|----------|---------|--------------------------|
|  | All Respondents                       | VP  | Director | Manager | Assistant/<br>Specialist |
| How many years have you worked in the complian                 | · · · · · · · · · · · · · · · · · · · |     |          |         |                          |
| Responses  | 636                                   | 16  | 187      | 179     | 254                      |
| Average  | 7                                     | 10  | 8        | 7       | 5                        |
| 1  | 14%                                   | 0%  | 8%       | 11%     | 21%                      |
| 2  | 14%                                   | 19% | 9%       | 16%     | 17%                      |
| 3  | 8%                                    | 0%  | 7%       | 8%      | 9%                       |
| 4  | 8%                                    | 6%  | 9%       | 6%      | 8%                       |
| 5  | 11%                                   | 6%  | 10%      | 10%     | 12%                      |
| 6 to 10  | 28%                                   | 25% | 32%      | 34%     | 21%                      |
| 11 to 15   | 10%                                   | 25% | 12%      | 10%     | 7%                       |
| 16 or more   | 8%                                    | 19% | 14%      | 6%      | 5%                       |
| Type of organization   |                                       |     |          |         |                          |
| Responses  | 636                                   | 16  | 187      | 179     | 254                      |
| Nonprofit  | 61%                                   | 38% | 55%      | 50%     | 74%                      |
| Privately held   | 22%                                   | 44% | 30%      | 28%     | 12%                      |
| Publicly traded  | 7%                                    | 19% | 8%       | 10%     | 4%                       |
| Governmental   | 3%                                    | 0%  | 2%       | 2%      | 6%                       |
| Academic   | 5%                                    | 0%  | 4%       | 6%      | 5%                       |
| Other  | 2%                                    | 0%  | 1%       | 4%      | 1%                       |
| Percentage holding the following certifications:               |                                       |     |          |         |                          |
| Certified Public Accountant (CPA)                              | 1%                                    | 0%  | 1%       | 1%      | 1%                       |
| Certified Compliance & Ethics Professional (CCEP)              | 3%                                    | 13% | 3%       | 3%      | 2%                       |
| Certified in Healthcare Compliance (CHC)                       | 53%                                   | 81% | 65%      | 56%     | 42%                      |
| Certified in Healthcare Research Compliance (CHRC)             | 3%                                    | 6%  | 2%       | 5%      | 2%                       |
| Certified in Healthcare Privacy Compliance (CHPC)              | 15%                                   | 25% | 15%      | 20%     | 11%                      |
| Certified Fraud Examiner (CFE)                                 | 1%                                    | 6%  | 1%       | 1%      | 1%                       |
| Certified Internal Auditor (CIA)                               | 1%                                    | 0%  | 1%       | 2%      | 1%                       |
| Certified Information Privacy Professional (CIPP)              | 1%                                    | 0%  | 1%       | 2%      | 0%                       |
| Health Ethics Trust Certified Compliance<br>Professional (CCP) | 1%                                    | 0%  | 1%       | 2%      | 0%                       |
| Other  | 29%                                   | 25% | 27%      | 31%     | 28%                      |
| None   | 24%                                   | 19% | 17%      | 20%     | 31%                      |
| Highest level of education attained                            |                                       |     |          |         |                          |
| Responses  | 633                                   | 16  | 187      | 179     | 251                      |
| Some college   | 15%                                   | 6%  | 14%      | 13%     | 17%                      |
| Bachelor's degree  | 38%                                   | 44% | 24%      | 41%     | 45%                      |
| MBA  | 10%                                   | 13% | 13%      | 10%     | 8%                       |
| Master's degree (non-MBA)                                      | 27%                                   | 13% | 34%      | 25%     | 23%                      |
| JD   | 9%                                    | 19% | 12%      | 10%     | 6%                       |

|  |                 |          | Job T    | ïtle    |                          |  |
|--|-----------------|----------|----------|---------|--------------------------|--|
|  | All Respondents | VP       | Director | Manager | Assistant/<br>Specialist |  |
| PhD  | 2%              | 6%       | 3%       | 2%      | 2%                       |  |
|  |                 |          |          |         |                          |  |
| Amount of bonus eligible                           |                 |          |          |         |                          |  |
| Responses  | 276             | 13       | 117      | 89      | 57                       |  |
| None   | 9%              | 0%       | 3%       | 11%     | 21%                      |  |
| 1%–10% of salary                                   | 50%             | 0%       | 33%      | 67%     | 68%                      |  |
| 11%–20% of your salary                             | 31%             | 31%      | 50%      | 20%     | 9%                       |  |
| 21%–30% of your salary                             | 8%              | 54%      | 11%      | 1%      | 0%                       |  |
| 31%–40% of your salary                             | 0%              | 0%       | 1%       | 0%      | 0%                       |  |
| 41% or more of your salary                         | 1%              | 15%      | 1%       | 0%      | 2%                       |  |
| If you receive a bonus, what is it based on?       |                 |          |          |         |                          |  |
| Responses  | 279             | 13       | 119      | 89      | 58                       |  |
| Company performance                                | 33%             | 8%       | 28%      | 32%     | 52%                      |  |
| Personal performance                               | 5%              | 0%       | 6%       | 5%      | 5%                       |  |
| Both   | 62%             | 92%      | 66%      | 64%     | 43%                      |  |
| 200.1  | 0270            | 3270     | 00/0     | 01/0    | 1370                     |  |
| Do you have a contract?                            |                 |          |          |         |                          |  |
| Responses  | 636             | 16       | 187      | 179     | 254                      |  |
| Yes  | 5%              | 19%      | 4%       | 3%      | 6%                       |  |
| No   | 95%             | 81%      | 96%      | 97%     | 95%                      |  |
|  |                 |          |          |         |                          |  |
| If yes, do you have a severance clause in your con | tract?          |          |          | '       |                          |  |
| Responses  | 31              | 3        | 8        | 6       | 14                       |  |
| Yes  | 19%             |          | 38%      | 17%     | 7%                       |  |
| No   | 81%             |          | 63%      | 83%     | 93%                      |  |
|  |                 |          |          |         |                          |  |
| Number of Compliance Elements Involved in          |                 |          |          |         |                          |  |
| Responses  | 636             | 16       | 187      | 179     | 254                      |  |
| 1–3  | 20%             | 6%       | 9%       | 20%     | 29%                      |  |
| 4–6  | 31%             | 31%      | 23%      | 29%     | 39%                      |  |
| 7–9  | 32%             | 38%      | 36%      | 38%     | 25%                      |  |
| All 10   | 16%             | 25%      | 32%      | 13%     | 7%                       |  |
| How many people report up through you?             |                 |          |          |         |                          |  |
| Responses  | 636             | 16       | 187      | 179     | 254                      |  |
| 0  | 60%             | 25%      | 25%      | 54%     | 93%                      |  |
| 1-2  | 17%             | 19%      | 31%      | 25%     | 3%                       |  |
| 3-5  | 12%             | 6%       | 19%      | 17%     | 3%                       |  |
| 6-10   | 6%              | 19%      | 17%      | 2%      | 0%                       |  |
| 11 or more   | 4%              | 31%      | 9%       | 2%      | 1%                       |  |
| == -: :::•:•                                       | 1,0             | <u> </u> | 270      |         | 1,0                      |  |

|  |                 |      | Job T    | itle    |                          |  |
|--|-----------------|------|----------|---------|--------------------------|--|
|  | All Respondents | VP   | Director | Manager | Assistant/<br>Specialist |  |
| Geographic Region                              |                 |      |          |         |                          |  |
| Responses                                      | 616             | 16   | 179      | 172     | 249                      |  |
| New England                                    | 6%              | 13%  | 5%       | 5%      | 8%                       |  |
| Mid-Atlantic                                   | 9%              | 13%  | 10%      | 9%      | 8%                       |  |
| East North Central                             | 15%             | 6%   | 15%      | 18%     | 15%                      |  |
| West North Central                             | 8%              | 6%   | 8%       | 4%      | 10%                      |  |
| South Atlantic                                 | 20%             | 25%  | 12%      | 21%     | 25%                      |  |
| East South Central                             | 7%              | 6%   | 14%      | 5%      | 4%                       |  |
| West South Central                             | 11%             | 13%  | 16%      | 12%     | 8%                       |  |
| Mountain                                       | 10%             | 13%  | 10%      | 13%     | 8%                       |  |
| Pacific  | 13%             | 6%   | 10%      | 13%     | 16%                      |  |
|  |                 |      |          |         |                          |  |
| Job Title                                      |                 |      |          |         | ı                        |  |
| Responses                                      | 636             | 16   | 187      | 179     | 254                      |  |
| Vice President                                 | 3%              | 100% | 0%       | 0%      | 0%                       |  |
| Director                                       | 29%             | 0%   | 100%     | 0%      | 0%                       |  |
| Manager  | 28%             | 0%   | 0%       | 100%    | 0%                       |  |
| Assistant/Specialist                           | 40%             | 0%   | 0%       | 0%      | 100%                     |  |
|  |                 |      |          |         |                          |  |
| Do you know your department's compliance budge | et?             |      |          |         |                          |  |
| Responses                                      | 635             | 16   | 187      | 178     | 254                      |  |
| Yes  | 6%              | 13%  | 11%      | 6%      | 1%                       |  |
| No   | 94%             | 88%  | 89%      | 94%     | 99%                      |  |

|  |                     |                | N            | umber of Emplo | oyees in Compl  | iance/Ethics ( | Group   |                 |
|--|---------------------|----------------|--------------|----------------|-----------------|----------------|---------|-----------------|
|  | All<br>Respondents  | 1              | 2 to 5       | 6 to 10        | 11 to 15        | 16 to 20       | 21 to50 | More than<br>50 |
| Are your compliance responsibilitie                      | s:                  |                |              |                |                 |                |         |                 |
| Responses  | 636                 | 27             | 189          | 112            | 76              | 61             | 86      | 85              |
| Broad, covering a range of compliance-related risks      | 72%                 | 93%            | 87%          | 64%            | 61%             | 69%            | 65%     | 64%             |
| Focused on a particular risk area (i.e. privacy, safety) | 28%                 | 7%             | 13%          | 36%            | 40%             | 31%            | 35%     | 36%             |
| If focused, which legal and regulate                     | rv risk areas are v | ou primaril    | v focused on | <u>ا</u><br>اې |                 |                |         |                 |
| Responses  | 171                 | 2              | 23           | 39             | 30              | 19             | 29      | 29              |
| Privacy  | 49%                 | _              | 58%          | 62%            | 40%             | 58%            | 45%     | 31%             |
| IT   | 1%                  |                | 0%           | 0%             | 0%              | 0%             | 0%      | 3%              |
| Occupational health and safety                           | 1%                  |                | 4%           | 0%             | 0%              | 0%             | 3%      | 0%              |
| Other  | 50%                 |                | 38%          | 39%            | 60%             | 42%            | 52%     | 66%             |
|  |                     |                |              |                |                 |                |         |                 |
| Percentage indicating involvement                        | in the following o  | ompliance e    | lements:     | ı              | 1               | 1              | 1       |                 |
| Compliance Auditing/Monitoring                           | 84%                 | 93%            | 88%          | 84%            | 80%             | 82%            | 83%     | 80%             |
| Compliance Education                                     | 79%                 | 96%            | 88%          | 74%            | 76%             | 62%            | 76%     | 76%             |
| Compliance Investigations                                | 79%                 | 89%            | 83%          | 76%            | 79%             | 72%            | 77%     | 76%             |
| Hot Line/Anonymous Reporting                             | 61%                 | 64%            | 73%          | 57%            | 57%             | 53%            | 58%     | 55%             |
| Reporting to the Board                                   | 35%                 | 57%            | 41%          | 30%            | 40%             | 23%            | 31%     | 31%             |
| Compliance Risk Assessments                              | 68%                 | 75%            | 75%          | 65%            | 65%             | 62%            | 64%     | 62%             |
| Compliance Discipline/Incentives                         | 38%                 | 36%            | 45%          | 34%            | 40%             | 33%            | 37%     | 31%             |
| Code of Conduct  | 48%                 | 54%            | 61%          | 43%            | 43%             | 44%            | 38%     | 42%             |
| Policies and Procedures                                  | 80%                 | 93%            | 87%          | 80%            | 74%             | 67%            | 76%     | 74%             |
| Measuring Program Effectiveness                          | 52%                 | 79%            | 64%          | 46%            | 53%             | 48%            | 42%     | 40%             |
|  |                     |                |              |                |                 |                |         |                 |
| How much of your company's legal                         | and regulatory ri   | sk areas is tl | ne complian  | ce department  | you responsible | e for managin  | g?      |                 |
| Responses  | 622                 | 27             | 183          | 108            | 76              | 59             | 85      | 84              |
| 0%–25%   | 12%                 | 21%            | 15%          | 14%            | 17%             | 9%             | 4%      | 11%             |
| 26%–50%  | 25%                 | 18%            | 20%          | 27%            | 24%             | 32%            | 32%     | 22%             |
| 51%–75%  | 36%                 | 36%            | 30%          | 37%            | 38%             | 36%            | 41%     | 40%             |
| 76%–100%   | 27%                 | 25%            | 35%          | 22%            | 21%             | 24%            | 24%     | 27%             |
| How many ampleyees including                             | ara in the          | nliance ====   | n vo         | in2            |                 |                |         |                 |
| How many employees, including yo                         | 1                   |                | <u> </u>     |                | 76              | 61             | 96      | OF              |
| Responses  | 636                 | 27             | 189          | 112            | 76              | 61             | 86      | 85              |
| 2425   | 4%                  | 100%           | 0%           | 0%             | 0%              | 0%             | 0%      | 0%              |
| 2 to 5   | 30%                 | 0%<br>0%       | 100%         | 0%             | 0%              | 0%             | 0%      | 0%              |
| 6 to 10  | 18%                 | 0%             | 0%           | 100%           | 0%              | 0%             | 0%      | 0%              |
| 11 to 15   | 12%                 | 0%             | 0%           | 0%             | 100%            | 0%             | 0%      | 0%              |
| 16 to 20   | 10%                 |                | 0%           | 0%             | 0%              | 100%           | 0%      | 0%              |
| More than 20   | 27%                 | 0%             | 0%           | 0%             | 0%              | 0%             | 100%    | 100%            |

|                                | All                |             | N           | umber of Empl | oyees in Compl | iance/Ethics ( | Group   | Danie dhen   |
|--------------------------------|--------------------|-------------|-------------|---------------|----------------|----------------|---------|--------------|
|                                | All<br>Respondents | 1           | 2 to 5      | 6 to 10       | 11 to 15       | 16 to 20       | 21 to50 | More than 50 |
| Annual compliance budget       |                    |             |             |               |                |                |         |              |
| Responses                      | 35                 | 3           | 12          | 4             | 1              | 4              | 3       | 8            |
| <\$100k                        | 26%                |             | 25%         |               |                |                |         | 38%          |
| \$100k-\$249k                  | 11%                |             | 25%         |               |                |                |         | 0%           |
| \$250k–\$499k                  | 11%                |             | 25%         |               |                |                |         | 0%           |
| \$500k–\$999k                  | 14%                |             | 17%         |               |                |                |         | 13%          |
| \$1m-\$1.9m                    | 9%                 |             | 0%          |               |                |                |         | 13%          |
| \$2m+                          | 29%                |             | 8%          |               |                |                |         | 38%          |
|                                |                    |             |             |               |                |                |         |              |
| Approximately how many employe | es work in your o  | rganization | as a whole? |               |                |                |         |              |
| Responses                      | 635                | 27          | 189         | 112           | 75             | 61             | 86      | 85           |
| Less than 100                  | 1%                 | 21%         | 2%          | 0%            | 0%             | 0%             | 0%      | 0%           |
| 100–249                        | 3%                 | 18%         | 7%          | 2%            | 0%             | 0%             | 0%      | 1%           |
| 250–499                        | 8%                 | 25%         | 15%         | 9%            | 1%             | 3%             | 0%      | 5%           |
| 500–999                        | 7%                 | 7%          | 13%         | 6%            | 12%            | 0%             | 0%      | 2%           |
| 1,000–1,999                    | 8%                 | 18%         | 15%         | 7%            | 4%             | 7%             | 2%      | 2%           |
| 2,000–2,999                    | 4%                 | 11%         | 8%          | 3%            | 3%             | 3%             | 0%      | 1%           |
| 3,000–4,999                    | 7%                 | 0%          | 11%         | 14%           | 3%             | 7%             | 2%      | 1%           |
| 5,000–7,499                    | 9%                 | 0%          | 13%         | 13%           | 5%             | 7%             | 8%      | 4%           |
| 7,500–9,999                    | 6%                 | 0%          | 4%          | 10%           | 7%             | 7%             | 8%      | 0%           |
| 10,000–14,999                  | 12%                | 0%          | 5%          | 13%           | 24%            | 21%            | 14%     | 9%           |
| 15,000–19,999                  | 5%                 | 0%          | 1%          | 4%            | 12%            | 10%            | 12%     | 2%           |
| 20,000–29,999                  | 7%                 | 0%          | 3%          | 9%            | 13%            | 12%            | 11%     | 4%           |
| 30,000–49,999                  | 9%                 | 0%          | 2%          | 6%            | 12%            | 21%            | 21%     | 7%           |
| 50,000–74,999                  | 5%                 | 0%          | 2%          | 2%            | 1%             | 3%             | 7%      | 22%          |
| 75,000–99,999                  | 2%                 | 0%          | 0%          | 0%            | 3%             | 0%             | 5%      | 6%           |
| 100,000 +                      | 7%                 | 0%          | 2%          | 3%            | 0%             | 0%             | 11%     | 34%          |

|  |                    |              | N            | umber of Emplo | oyees in Compl | iance/Ethics ( | Group   |              |
|--|--------------------|--------------|--------------|----------------|----------------|----------------|---------|--------------|
|  | All<br>Respondents | 1            | 2 to 5       | 6 to 10        | 11 to 15       | 16 to 20       | 21 to50 | More than 50 |
| Approximately how many employee          | •                  | rtion of the | organization | that you work  | in compliance  | for            |         |              |
| Responses                                | 634                | 27           | 189          | 112            | 75             | 61             | 85      | 85           |
| Less than 100                            | 24%                | 32%          | 23%          | 16%            | 31%            | 28%            | 22%     | 29%          |
| 100–249                                  | 6%                 | 18%          | 6%           | 2%             | 3%             | 0%             | 1%      | 15%          |
| 250–499                                  | 6%                 | 18%          | 11%          | 5%             | 3%             | 3%             | 1%      | 5%           |
| 500–999                                  | 6%                 | 7%           | 11%          | 7%             | 5%             | 2%             | 1%      | 5%           |
| 1,000-1,999                              | 8%                 | 14%          | 14%          | 8%             | 7%             | 5%             | 2%      | 2%           |
| 2,000–2,999                              | 4%                 | 11%          | 6%           | 3%             | 3%             | 7%             | 1%      | 4%           |
| 3,000–4,999                              | 6%                 | 0%           | 7%           | 11%            | 4%             | 8%             | 4%      | 2%           |
| 5,000–7,499                              | 8%                 | 0%           | 11%          | 11%            | 4%             | 8%             | 9%      | 6%           |
| 7,500–9,999                              | 5%                 | 0%           | 3%           | 9%             | 5%             | 3%             | 8%      | 1%           |
| 10,000–14,999                            | 8%                 | 0%           | 4%           | 10%            | 13%            | 12%            | 12%     | 7%           |
| 15,000–19,999                            | 4%                 | 0%           | 1%           | 3%             | 8%             | 5%             | 10%     | 1%           |
| 20,000–29,999                            | 4%                 | 0%           | 1%           | 7%             | 8%             | 7%             | 7%      | 1%           |
| 30,000–49,999                            | 5%                 | 0%           | 1%           | 6%             | 5%             | 12%            | 13%     | 2%           |
| 50,000–74,999                            | 2%                 | 0%           | 1%           | 2%             | 1%             | 2%             | 5%      | 7%           |
| 75,000–99,999                            | 0%                 | 0%           | 0%           | 0%             | 0%             | 0%             | 2%      | 1%           |
| 100,000 +                                | 2%                 | 0%           | 1%           | 1%             | 0%             | 0%             | 1%      | 12%          |
|  |                    |              |              |                |                |                |         |              |
| What are the annual revenues of th       | e organization yo  | u work for?  |              |                |                |                |         |              |
| Responses                                | 561                | 26           | 165          | 93             | 70             | 53             | 80      | 74           |
| Less than \$5 million                    | 7%                 | 30%          | 10%          | 7%             | 10%            | 2%             | 0%      | 4%           |
| \$5 million to less than \$15 million    | 11%                | 30%          | 11%          | 13%            | 7%             | 21%            | 1%      | 11%          |
| \$15 million to less than \$30 million   | 6%                 | 11%          | 9%           | 9%             | 6%             | 0%             | 3%      | 3%           |
| \$30 million to less than \$50 million   | 7%                 | 19%          | 11%          | 2%             | 4%             | 2%             | 4%      | 5%           |
| \$50 million to less than \$100 million  | 8%                 | 0%           | 15%          | 4%             | 7%             | 8%             | 3%      | 5%           |
| \$100 million to less than \$500 million | 13%                | 7%           | 17%          | 18%            | 6%             | 13%            | 14%     | 4%           |
| \$500 million to less than \$1 billion   | 8%                 | 4%           | 5%           | 10%            | 11%            | 11%            | 11%     | 7%           |
| \$1 billion to less than \$3 billion     | 16%                | 0%           | 13%          | 17%            | 26%            | 19%            | 24%     | 11%          |
| \$3 billion or more                      | 24%                | 0%           | 9%           | 20%            | 23%            | 25%            | 41%     | 50%          |

|  |                    |             | N             | umber of Empl  | oyees in Compl  | iance/Ethics ( | Group   |                 |
|--|--------------------|-------------|---------------|----------------|-----------------|----------------|---------|-----------------|
|  | All<br>Respondents | 1           | 2 to 5        | 6 to 10        | 11 to 15        | 16 to 20       | 21 to50 | More than<br>50 |
| What are the annual revenues for the     | ne portion of the  | organizatio | n that your p | art of the com | pliance progran | n oversees?    |         |                 |
| Responses                                | 557                | 26          | 165           | 94             | 69              | 52             | 79      | 72              |
| Less than \$5 million                    | 19%                | 30%         | 20%           | 19%            | 29%             | 21%            | 8%      | 19%             |
| \$5 million to less than \$15 million    | 12%                | 30%         | 11%           | 12%            | 7%              | 15%            | 9%      | 10%             |
| \$15 million to less than \$30 million   | 7%                 | 15%         | 9%            | 9%             | 6%              | 4%             | 1%      | 6%              |
| \$30 million to less than \$50 million   | 6%                 | 15%         | 10%           | 1%             | 0%              | 8%             | 3%      | 7%              |
| \$50 million to less than \$100 million  | 7%                 | 0%          | 12%           | 5%             | 6%              | 6%             | 5%      | 6%              |
| \$100 million to less than \$500 million | 13%                | 7%          | 16%           | 17%            | 10%             | 6%             | 13%     | 8%              |
| \$500 million to less than \$1 billion   | 6%                 | 4%          | 4%            | 5%             | 6%              | 12%            | 10%     | 3%              |
| \$1 billion to less than \$3 billion     | 13%                | 0%          | 11%           | 16%            | 19%             | 10%            | 19%     | 6%              |
| \$3 billion or more                      | 18%                | 0%          | 6%            | 16%            | 17%             | 19%            | 33%     | 36%             |
| For how many countries do you wo         | k in compliance?   | )           |               |                |                 |                |         |                 |
| Responses                                | 631                | 27          | 188           | 110            | 76              | 60             | 86      | 84              |
| 1  | 96%                | 96%         | 96%           | 98%            | 99%             | 100%           | 94%     | 92%             |
| 2 or more                                | 4%                 | 4%          | 4%            | 2%             | 1%              | 0%             | 6%      | 8%              |
|  |                    |             |               |                |                 |                |         |                 |
| Where do you work?                       |                    |             |               |                |                 |                |         |                 |
| Responses                                | 636                | 27          | 189           | 112            | 76              | 61             | 86      | 85              |
| United States                            | 100%               | 100%        | 100%          | 100%           | 100%            | 100%           | 100%    | 100%            |
| North America, other than the US         | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| South America                            | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| Europe                                   | 0%                 | 0%          | 1%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| Asia                                     | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| Africa                                   | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| Australia                                | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
| Middle East                              | 0%                 | 0%          | 0%            | 0%             | 0%              | 0%             | 0%      | 0%              |
|  |                    |             |               |                |                 |                |         |                 |
| Years in compliance profession           |                    |             |               |                |                 | '              |         |                 |
| Responses                                | 636                | 27          | 189           | 112            | 76              | 61             | 86      | 85              |
| 75 <sup>th</sup> Percentile              | 12                 | 10          | 10            | 11             | 12              | 11             | 14      | 15              |
| Median                                   | 7                  | 6           | 7             | 7              | 8               | 8              | 10      | 8               |
| 25 <sup>th</sup> Percentile              | 4                  | 3           | 3             | 4              | 4               | 4              | 5       | 5               |
| Average                                  | 9                  | 7           | 8             | 8              | 9               | 9              | 10      | 10              |
|  |                    |             |               |                |                 |                |         |                 |
| Years with current employer              |                    |             |               |                |                 |                |         |                 |
| Responses                                | 636                | 27          | 189           | 112            | 76              | 61             | 86      | 85              |
| 75 <sup>th</sup> Percentile              | 11                 | 10          | 8             | 12             | 12              | 12             | 14      | 16              |
| Median                                   | 6                  | 6           | 3             | 6              | 8               | 8              | 7       | 8               |
| 25 <sup>th</sup> Percentile              | 2                  | 2           | 2             | 2              | 4               | 3              | 3       | 3               |
| Average                                  | 8                  | 6           | 6             | 9              | 9               | 9              | 9       | 10              |

|   |                    |           | N      | umber of Empl | oyees in Compl | iance/Ethics ( | Group   |              |
|---|--------------------|-----------|--------|---------------|----------------|----------------|---------|--------------|
|   | All<br>Respondents | 1         | 2 to 5 | 6 to 10       | 11 to 15       | 16 to 20       | 21 to50 | More than 50 |
|   |                    |           |        |               |                |                |         |              |
| Years holding current job title                       | I                  | I         | I      | I             |                | I              | I       | I            |
| Responses   | 636                | 27        | 189    | 112           | 76             | 61             | 86      | 85           |
| 75 <sup>th</sup> Percentile                           | 5                  | 6         | 5      | 5             | 6              | 5              | 5       | 5            |
| Median  | 3                  | 3         | 2      | 3             | 3              | 2              | 3       | 3            |
| 25 <sup>th</sup> Percentile                           | 1                  | 1         | 1      | 1             | 2              | 1              | 2       | 2            |
| Average   | 4                  | 4         | 3      | 4             | 4              | 3              | 4       | 4            |
| Industry  |                    |           |        |               |                |                |         |              |
| Responses   | 636                | 27        | 189    | 112           | 76             | 61             | 86      | 85           |
| Healthcare Provider                                   | 100%               | 100%      | 100%   | 100%          | 100%           | 100%           | 100%    | 100%         |
| Treditited of Tovides                                 | 10070              | 10070     | 10070  | 10070         | 10070          | 10070          | 10070   | 10070        |
| How many years have you worked i                      | in the compliance  | departmen | t?     |               |                |                |         |              |
| Responses   | 636                | 27        | 189    | 112           | 76             | 61             | 86      | 85           |
| Average   | 7                  | 6         | 6      | 6             | 7              | 6              | 8       | 8            |
| 1   | 14%                | 21%       | 19%    | 14%           | 8%             | 13%            | 7%      | 11%          |
| 2   | 14%                | 14%       | 19%    | 11%           | 20%            | 13%            | 8%      | 9%           |
| 3   | 8%                 | 11%       | 8%     | 8%            | 7%             | 5%             | 11%     | 8%           |
| 4   | 8%                 | 7%        | 6%     | 8%            | 9%             | 12%            | 6%      | 7%           |
| 5   | 11%                | 4%        | 10%    | 11%           | 9%             | 16%            | 14%     | 9%           |
| 6 to 10   | 28%                | 29%       | 24%    | 35%           | 32%            | 26%            | 26%     | 27%          |
| 11 to 15  | 10%                | 11%       | 7%     | 8%            | 11%            | 12%            | 14%     | 13%          |
| 16 or more  | 8%                 | 4%        | 7%     | 5%            | 5%             | 3%             | 15%     | 16%          |
|   |                    |           |        |               |                |                |         |              |
| Type of organization                                  | '                  |           |        |               |                |                |         |              |
| Responses   | 636                | 27        | 189    | 112           | 76             | 61             | 86      | 85           |
| Nonprofit   | 61%                | 36%       | 53%    | 63%           | 65%            | 71%            | 63%     | 72%          |
| Privately held  | 22%                | 50%       | 34%    | 23%           | 21%            | 12%            | 8%      | 9%           |
| Publicly traded                                       | 7%                 | 4%        | 6%     | 3%            | 4%             | 7%             | 13%     | 13%          |
| Governmental  | 3%                 | 7%        | 3%     | 4%            | 4%             | 2%             | 2%      | 4%           |
| Academic  | 5%                 | 4%        | 2%     | 5%            | 4%             | 8%             | 13%     | 2%           |
| Other   | 2%                 | 0%        | 2%     | 3%            | 3%             | 2%             | 1%      | 0%           |
|   |                    |           |        |               |                |                |         |              |
| Percentage holding the following co                   | ertifications:     |           |        |               |                |                |         |              |
| Certified Public Accountant (CPA)                     | 1%                 | 0%        | 1%     | 2%            | 0%             | 3%             | 1%      | 1%           |
| Certified Compliance & Ethics<br>Professional (CCEP)  | 3%                 | 4%        | 4%     | 1%            | 0%             | 2%             | 4%      | 6%           |
| Certified in Healthcare Compliance (CHC)              | 53%                | 54%       | 50%    | 46%           | 58%            | 49%            | 63%     | 61%          |
| Certified in Healthcare Research<br>Compliance (CHRC) | 3%                 | 0%        | 3%     | 1%            | 4%             | 3%             | 7%      | 4%           |
| Certified in Healthcare Privacy<br>Compliance (CHPC)  | 15%                | 11%       | 15%    | 15%           | 16%            | 15%            | 14%     | 15%          |
| Certified Fraud Examiner (CFE)                        | 1%                 | 0%        | 1%     | 0%            | 0%             | 7%             | 1%      | 0%           |

|   | Number of Employees in Compliance/Ethics Group |     |        |         |          |          |         |           |
|---|--|-----|--------|---------|----------|----------|---------|-----------|
|   | All<br>Respondents                             | 1   | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21 to50 | More than |
| Certified Internal Auditor (CIA)                            | 1%   | 0%  | 1%     | 0%      | 0%       | 7%       | 1%      | 0%        |
| Certified Information Privacy Professional (CIPP)           | 1%   | 0%  | 0%     | 4%      | 1%       | 0%       | 0%      | 0%        |
| Health Ethics Trust Certified Compliance Professional (CCP) | 1%   | 0%  | 1%     | 0%      | 3%       | 0%       | 1%      | 1%        |
| Other   | 29%  | 21% | 26%    | 27%     | 33%      | 33%      | 26%     | 35%       |
| None  | 24%  | 39% | 29%    | 29%     | 13%      | 21%      | 17%     | 17%       |
| Highest level of education attained                         |  |     |        |         |          |          |         |           |
| Responses   | 633  | 27  | 188    | 111     | 75       | 61       | 86      | 85        |
| Some college  | 15%  | 11% | 19%    | 21%     | 12%      | 10%      | 7%      | 13%       |
| Bachelor's degree   | 38%  | 46% | 37%    | 37%     | 47%      | 39%      | 33%     | 36%       |
| MBA   | 10%  | 7%  | 7%     | 8%      | 8%       | 16%      | 14%     | 12%       |
| Master's degree (non-MBA)                                   | 27%  | 32% | 24%    | 27%     | 25%      | 31%      | 27%     | 26%       |
| JD  | 9%   | 0%  | 10%    | 6%      | 8%       | 2%       | 15%     | 12%       |
| PhD   | 2%   | 4%  | 3%     | 1%      | 0%       | 2%       | 5%      | 2%        |
| FIID  | 2/0  | 4/0 | 370    | 176     | 076      | 2/0      | 3/0     | 270       |
| Amount of bonus eligible                                    |  |     |        |         |          |          |         |           |
| Responses   | 276  | 5   | 85     | 32      | 39       | 25       | 40      | 50        |
| None  | 9%   | 0%  | 12%    | 19%     | 10%      | 12%      | 0%      | 6%        |
| 1%–10% of your salary                                       | 50%  | 60% | 49%    | 56%     | 62%      | 52%      | 45%     | 40%       |
| 11%–20% of your salary                                      | 31%  | 40% | 31%    | 22%     | 18%      | 32%      | 35%     | 44%       |
| 21%–30% of your salary                                      | 8%   | 0%  | 7%     | 3%      | 8%       | 4%       | 15%     | 8%        |
| 31%–40% of your salary                                      | 0%   | 0%  | 0%     | 0%      | 3%       | 0%       | 0%      | 0%        |
| 41% or more of your salary                                  | 1%   | 0%  | 1%     | 0%      | 0%       | 0%       | 5%      | 2%        |
| If you receive a bonus, what is it ba                       | ased on?                                       |     |        |         |          |          |         |           |
| Responses   | 279  | 5   | 86     | 34      | 39       | 25       | 41      | 49        |
| Company performance   | 33%  | 40% | 23%    | 35%     | 46%      | 40%      | 37%     | 31%       |
| Personal performance  | 5%   | 0%  | 6%     | 9%      | 10%      | 0%       | 0%      | 4%        |
| Both  | 62%  | 60% | 71%    | 56%     | 44%      | 60%      | 63%     | 65%       |
| Do you have a contract?                                     |  |     |        |         |          |          |         |           |
| Responses   | 636  | 27  | 189    | 112     | 76       | 61       | 86      | 85        |
| Yes   | 5%   | 7%  | 6%     | 8%      | 1%       | 2%       | 2%      | 5%        |
| No Yes  | 95%  | 93% | 94%    | 92%     | 99%      | 98%      | 98%     | 95%       |
| NO  | 93%  | 95% | 94%    | 92%     | 99%      | 96%      | 96%     | 95%       |
| If yes, do you have a severance cla                         | use in your contrac                            | ct? |        |         |          |          |         |           |
| Responses   | 31   | 2   | 12     | 9       | 1        | 1        | 2       | 4         |
| Yes   | 19%  |     | 8%     | 11%     |          |          |         | 100%      |
| No  | 81%  |     | 92%    | 89%     |          |          |         | 0%        |
|   |  |     |        |         |          |          |         |           |

|                                  |                    |     | Number of Employees in Compliance/Ethics Group |         |          |          |         |                 |
|----------------------------------|--------------------|-----|--|---------|----------|----------|---------|-----------------|
|                                  | All<br>Respondents | 1   | 2 to 5   | 6 to 10 | 11 to 15 | 16 to 20 | 21 to50 | More than<br>50 |
| Number of Compliance Elements In | ·                  |     |  |         |          |          |         |                 |
| Responses                        | 636                | 27  | 189  | 112     | 76       | 61       | 86      | 85              |
| 1–3                              | 20%                | 14% | 13%  | 22%     | 22%      | 28%      | 23%     | 23%             |
| 4–6                              | 31%                | 18% | 25%  | 35%     | 33%      | 36%      | 37%     | 35%             |
| 7–9                              | 32%                | 43% | 40%  | 30%     | 26%      | 26%      | 28%     | 30%             |
| All 10                           | 16%                | 25% | 23%  | 13%     | 18%      | 10%      | 12%     | 12%             |
|                                  |                    |     |  |         |          |          |         |                 |
| How many people report up throug | h you?             |     |  |         |          |          |         |                 |
| Responses                        | 636                | 27  | 189  | 112     | 76       | 61       | 86      | 85              |
| 0                                | 60%                | 71% | 66%  | 54%     | 65%      | 66%      | 52%     | 54%             |
| 1-2                              | 17%                | 14% | 25%  | 17%     | 16%      | 7%       | 14%     | 15%             |
| 3-5                              | 12%                | 4%  | 7%   | 21%     | 15%      | 10%      | 15%     | 11%             |
| 6-10                             | 6%                 | 0%  | 2%   | 9%      | 3%       | 15%      | 12%     | 6%              |
| 11 or more                       | 4%                 | 11% | 1%   | 0%      | 3%       | 3%       | 7%      | 14%             |
|                                  |                    |     |  |         |          |          |         |                 |
| Geographic Region                |                    |     |  |         |          |          |         |                 |
| Responses                        | 616                | 27  | 179  | 109     | 75       | 59       | 85      | 82              |
| New England                      | 6%                 | 7%  | 4%   | 6%      | 8%       | 7%       | 8%      | 6%              |
| Mid-Atlantic                     | 9%                 | 11% | 12%  | 11%     | 11%      | 5%       | 6%      | 5%              |
| East North Central               | 15%                | 18% | 13%  | 21%     | 15%      | 15%      | 11%     | 18%             |
| West North Central               | 8%                 | 11% | 7%   | 13%     | 7%       | 7%       | 9%      | 4%              |
| South Atlantic                   | 20%                | 0%  | 18%  | 22%     | 20%      | 29%      | 21%     | 18%             |
| East South Central               | 7%                 | 11% | 7%   | 3%      | 9%       | 9%       | 11%     | 5%              |
| West South Central               | 11%                | 14% | 10%  | 14%     | 8%       | 12%      | 15%     | 8%              |
| Mountain                         | 10%                | 14% | 13%  | 5%      | 9%       | 5%       | 9%      | 16%             |
| Pacific                          | 13%                | 14% | 16%  | 6%      | 13%      | 12%      | 9%      | 21%             |
|                                  |                    |     |  |         |          |          |         |                 |
| Job Title                        |                    |     |  |         |          |          |         |                 |
| Responses                        | 636                | 27  | 189  | 112     | 76       | 61       | 86      | 85              |
| Vice President                   | 3%                 | 0%  | 2%   | 1%      | 4%       | 2%       | 7%      | 1%              |
| Director                         | 29%                | 43% | 25%  | 25%     | 34%      | 23%      | 33%     | 36%             |
| Manager                          | 28%                | 36% | 31%  | 31%     | 25%      | 28%      | 22%     | 26%             |
| Assistant/Specialist             | 40%                | 21% | 42%  | 43%     | 37%      | 48%      | 38%     | 37%             |
|                                  |                    |     |  |         |          |          |         |                 |
| Do you know your department's co | mpliance budget?   |     |  |         |          |          |         |                 |
| Responses                        | 635                | 27  | 188  | 112     | 76       | 61       | 86      | 85              |
| Yes                              | 6%                 | 11% | 7%   | 4%      | 1%       | 7%       | 4%      | 9%              |
| No                               | 94%                | 89% | 93%  | 96%     | 99%      | 93%      | 97%     | 91%             |

## **HCCA'S MISSION**

HCCA exists to champion ethical practice and compliance standards in all organizations and to provide the necessary resources for compliance professionals and others who share these principles.



## Health Care Compliance Association®

6462 City West Parkway, Eden Prairie, MN 55344 USA hcca-info.org • 952.405.7900 or 888.580.8373 helpteam@hcca-info.org