25 YEARS

25th Annual

Compliance Institute

April 19–22, 2021

This year HCCA is excited to celebrate over
two decades of compliance excellence with our
25th Annual Virtual Compliance Institute

Register now
hcca-info.org/2021CI

HCCA
Health Care Compliance
Association

About the Compliance Institute

For 25 years, HCCA's Compliance Institute has been the primary educational and networking event for healthcare compliance professionals across the country, offering attendees the latest in real-world compliance issues, emerging trends, and practical applications.

Although we won't be together in-person this year to celebrate the Compliance Institute's milestone 25th anniversary, HCCA is dedicated to providing the first-class learning experience attendees have come to expect—now from the comfort of their home or office.

Each virtual session is led by an industry professional, and sessions are organized by knowledge level: basic, intermediate, and advanced.

How does this virtual conference work?

This live conference is hosted on HCCA's Virtual Compliance Institute platform. You can access scheduled sessions through a computer, tablet, or mobile device with internet access. Attendees can ask questions live and chat both publicly and privately with other conference participants.

Benefits of a virtual conference

Participating in this virtual conference will provide you with a great educational experience as well as the opportunity to earn live Compliance Certification Board (CCB)® continuing education units (CEUs) at an affordable price and from the comfort of your home or office. You will also receive many opportunities to network virtually with other attendees.

Session Recordings

All registered attendees will have access to the platform for 30 days after the conference so they can watch session recordings on demand and earn additional non-live CCB CEUs.

Attendees may also purchase session recordings following this initial 30-day period.

Attendance monitoring

Participating in active attendance monitoring is only required if you will be requesting external continuing education units. We will be actively monitoring attendance for the purposes of continuing education throughout each session and to offer various external credit types. Approximately every 13–15 minutes, you will be given an on-screen prompt asking you to confirm that you are still actively participating in this virtual conference. Following the event, you will be provided the Application for Continuing Education Units (CEUs) to request your earned CEUs.

Who should attend?

- Healthcare compliance professionals
- Risk managers
- Privacy officers and other professionals
- Coding and billing specialists
- Consultants and attorneys
- Healthcare regulators and other government personnel
- Nurse managers and executives
- Staff educators and trainers
- Health information management specialists
- Institutional chief information officers
- Healthcare senior executives and leaders
- Members of the board of trustees of healthcare enterprises
- Physicians and other health professionals
- Healthcare journalists, researchers, and policy makers

Make the most of the Compliance Institute

Virtual social event: Piano Show
SPONSORED BY ONETRUST

Monday, April 19 • 4:40–5:45 PM CDT

Interact and engage with your fellow attendees at this request-driven, family friendly, piano show. Bring your song requests and favorite beverage for this musical variety show with thousands of songs to choose from, spanning many decades and genres.
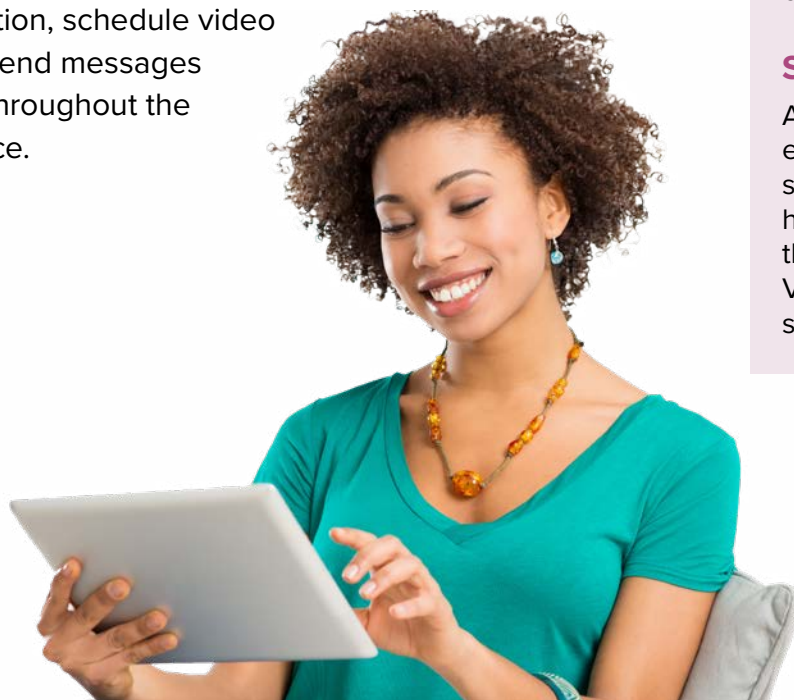
Virtual social event: Magic Show
SPONSORED BY IATRIC SYSTEMS

Wednesday, April 21 • 4:45–5:45 PM CDT

Magician David Farr will entertain us live with his unbelievable magic. This fun, interactive, family-friendly show will keep you on your toes and laughing. Includes a 15-minute "teach a trick" at the end of the show where everyone can participate, including kids seven and up.

Virtual networking: CI Connect

We know networking has been difficult this past year and we want to make it easier! HCCA is excited to introduce CI Connect, a virtual networking tool that will allow all conference participants the ability to connect with fellow attendees, speakers, and sponsors as well as HCCA staff. This platform allows you to create a profile to be matched with like-minded compliance professionals interested in similar topics. From there, you can make connections by exchanging contact information, schedule video meetings, and send messages at your leisure throughout the entire conference.

Additional fun activities for attendees

Thanks to our Platinum & Gold Conference Sponsors, attendees of the virtual CI will have the opportunity to participate in many fun activities throughout the conference, such as: a virtual selfie station (sponsored by **Healthstream**); gamification including trivia, memory, word scrabble and a scavenger hunt (sponsored by **Imprivata**). Participate in the cutest pet contest (sponsored by **CynergisTek**) or relax and unwind on your own time with our virtual wellness center (sponsored by **Steele**).

In addition to all the fun virtual activities, registered attendees also have an opportunity to receive a Swag Box in the mail (Sponsored by **Ankura Consulting Group, LLC** and **Maize Analytics Inc**) as well as a complimentary Starbucks Gift Card (sponsored by **Protiviti**). Details on how to request your Swag Box & Starbucks Gift Card can be found in your conference registration email.

Sponsors Hall

Attendees will be able to engage with conference sponsors in our virtual sponsors hall, as well as connect with them through CI Connect. View a listing of all conference sponsors on the CI website.

Choosing your sessions

Subject Areas

Sessions are categorized by topic to help you navigate your educational needs and interests.

Auditing & Monitoring

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

Behavioral Health

How do you balance behavior health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

Case Studies

Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

Compliance Law

Learn the legal basis for the healthcare compliance issues you manage, such as False Claims Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

How to Succeed as a Compliance Professional

Our leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

Internal Audit

Designed to increase awareness of audit opportunities in the healthcare compliance arena and provide tools and techniques to jump-start your auditing and monitoring efforts.

Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

Physician Compliance

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

Post-Acute Care

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

Privacy & Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

Risk Management

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

Telehealth

Telehealth is changing the accessibility and availability of patient care.
Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

Bonus Sessions

Expand your education and earn even more CEUs by attending the Bonus Sessions. Stay late on Tuesday to attend a Sunset session, or tune in early on Wednesday for a Sunrise session.

Sunset Sessions
Tuesday | 4:45 – 5:45 PM CDT

Sunrise Sessions
Wednesday | 8:15 – 9:15 AM CDT

Knowledge Levels

All breakout sessions have a knowledge level associated with them. These levels are just guidelines, and you are free to attend sessions of any level.

Basic: Program knowledge level most beneficial to compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

Intermediate: Program knowledge level that builds on a basic program. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

Advanced: This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.
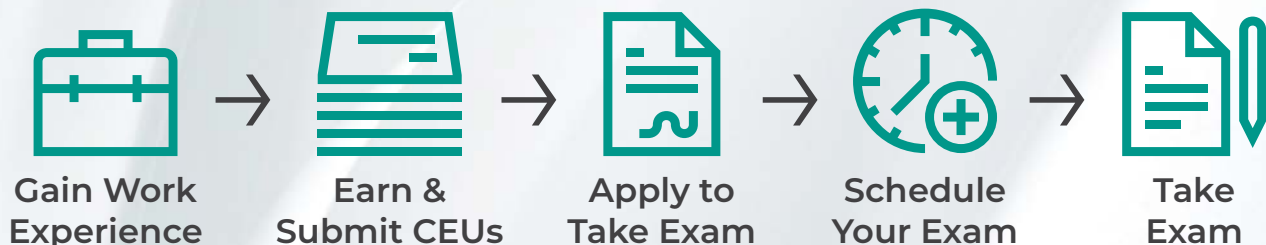
BECOME CERTIFIED

Demonstrate your knowledge, gain credibility, and enhance your career with a Compliance Certification Board (CCB)® certification.

CHC™
CERTIFIED IN HEALTHCARE
COMPLIANCE

CHPC™
CERTIFIED IN HEALTHCARE
PRIVACY COMPLIANCE

CHRC™
CERTIFIED IN HEALTHCARE
RESEARCH COMPLIANCE

While there is no substitute for experience, this course gives you the continuing education units (CEUs) you need as well as covers healthcare compliance essentials that can help you prepare for your certification exam.

Follow these 5 steps to certification:

Gain Work Experience → Earn & Submit CEUs → Apply to Take Exam → Schedule Your Exam → Take Exam

Learn more
hcca-info.org/certification

CCB®
COMPLIANCE
CERTIFICATION BOARD

SCHEDULE AT A GLANCE

Monday, April 19

Time	Session
9:30 – 9:40 AM CDT	Welcome and Opening Remarks
9:40 – 10:10 AM CDT	**GENERAL SESSION 1:** OIG Update
10:10 – 10:40 AM CDT	**GENERAL SESSION 2:** A HIPAA Compliance and Enforcement Update from the HHS Office for Civil Rights
10:40 – 11:00 AM CDT	Coffee Break – Sponsored by Protiviti
11:00 AM – 12:00 PM CDT **BREAKOUT SESSIONS**	■ M1A HIPAA in the Time of COVID-19: Considerations for Compliance ■ M1B Essentials in Physician Practice Compliance ■ M1C False Claims Act Part 1 ■ M1D Navigating Enforcement Actions, Investigations, and Settlements ■ M1E Real-Time Audit and Monitoring: Compliance Favors Only the Prepared Plan ■ M1F Compliance and Ethics Programs during and Post-Pandemic ■ M1G Coronavirus 19: Where was ERM? ■ M1H New to Compliance? Learn the Essential Components to Address in Your Program ■ M1I 25 Years of CI and HCCA: Where We've Been and Where We're Going: A Conversation with Roy Snell, Debbie Troklus, and Odell Guyton ■ M1J Internal Investigations: What's In Your Organization's Toolkit?
12:00 – 12:20 PM CDT	Coffee Break – Sponsored by ProviderTrust, Inc
12:20 – 1:20 PM CDT **BREAKOUT SESSIONS**	■ M2A Building a Cybersecurity Auditing and Monitoring Plan ■ M2B Get Your Docs in a Row with a Physician Contracting Compliance Policy ■ M2C False Claims Act: Part 2 ■ M2D Retooling Your Compliance Program: Operationalizing the New Expectations ■ M2E Addressing the Operational Challenges of Auditing Investigator Initiated Trials (IITs) ■ M2F A Federal Regulatory Visit is on the Horizon: Are You Prepared for a Survey? ■ M2G CARES Act: Risks and Mitigating Responses ■ M2H Why Diversity and Inclusion for the Ethics and Compliance Professionals? ■ M2I Avoiding Unnecessary Refunds ■ M2J Utilizing 4 Leafed Clover of Compliance: Privacy & Security
1:20 – 2:20 PM CDT	Mid-Conference Break
2:20 – 3:20 PM CDT **BREAKOUT SESSIONS**	■ M3A How to Assess and Address the Unique Cybersecurity and Data Risks with Clinical Trials ■ M3B What is the Maximum Permissible Dose of Morphine or Fentanyl? A Question of Physician Compliance and Quality of Care ■ M3C What Compliance Professionals Should Know About Peer Review Protections ■ M3D Investing in Yourself: Strategies for Individual Development as a Compliance Professional ■ M3E Electronic Management of Medicare Short-Stay Admissions to Ensure Compliance with the Two-Midnight Rule ■ M3F Addressing High-Risk Compliance Areas and Avoiding Common Pitfalls in Risk Assessment, Monitoring & Auditing ■ M3G Can Compliance Help Crisis Proof Your Organization? ■ M3H Board Oversight of Compliance Programs: What is Required and How to Help Your Board Succeed ■ M3I Building Best-In-Class Compliance Programs for Small Organizations ■ M3J Telehealth & Cutting Edge Ventures: The Partnership between Strategic Planning & Privacy
3:20 – 3:40 PM CDT	Coffee Break – Sponsored by Arete Compliance Solutions, LLC
3:40 – 4:40 PM CDT **BREAKOUT SESSIONS**	■ M4A Notice of Breach of Unsecured Protected Health Information from a Business Associate ■ M4B Who Should Own Making Sure Physician Arrangements are Compliant? ■ M4C Legal and Ethical Considerations When Conducting an Internal Investigation ■ M4D Selling Effectiveness: Getting the Board On Board with Building an Effective Compliance Program ■ M4E ACOs and CINs: Auditing & Monitoring for Antitrust Compliance and Regulatory Issues ■ M4F How to Virtually Evaluate the Effectiveness of Your Skilled Nursing Facility Compliance & Ethics Program ■ M4G Emerging Risks of a Remote Workforce ■ M4H Building a New Research Compliance Program: Where Do I Begin? ■ M4I Hot Compliance and Enforcement Topics Regarding COVID-19 ■ M4J Community Mental Health Centers: An Insider's View for an Effective Compliance Program
4:40 – 5:45 PM CDT	Virtual Social Event: Live Piano Show – Sponsored by OneTrust

SUBJECT AREA KEY

■ Auditing & Monitoring ■ Behavioral Health ■ Case Studies ■ Compliance Law ■ General Compliance/Hot Topics ■ How to Succeed as a Compliance Professional
■ Internal Audit ■ Investigations ■ Physician Compliance ■ Post-Acute Care ■ Privacy & Security ■ Risk Management ■ Telehealth

SCHEDULE AT A GLANCE

Tuesday, April 20

Time	Session
9:30 – 10:30 AM CDT BREAKOUT SESSIONS	■ T1A Virtual Privacy Investigations During COVID-19 ■ T1B Designing and Implementing an Audit Program for Physician Services ■ T1C Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel During the Age of COVID: The Deal is Done, Now What? ■ T1D Work Smarter Not Harder: Effective Annual Work & Audit Plan Development Strategies ■ T1E How to Successfully Navigate a CMS Risk Adjustment Data Validation Audit ■ T1F Skilled Nursing Abuse and Neglect: CMS Developments and Compliance Strategies ■ T1G The Opioid Crisis a.k.a. Prescription Drug Abuse Epidemic: From the Provider's Perspective ■ T1H Art of War: Using Time-Tested Strategies and Principles in Advancing Your Compliance Program ■ T1I Conducting Internal Investigations in 2021 and Beyond ■ T1J Top IT and Cyber-Risks to Include in Your Audit Plan: 2021 Update
10:30 – 10:50 AM CDT	Coffee Break – Sponsored by Maize Analytics Inc
10:50 – 11:50 AM CDT BREAKOUT SESSIONS	■ T2A You Are Only as Secure as Your Riskiest Vendor ■ T2B Physician Coding During COVID-19 ■ T2C The IRS 990 Information Return: Compliance Report, Disclosure Tool, and Data Mining Source ■ T2D The Federal Register, Survey & Certification Letters, and the Conditions of Participation: The Trifecta of a Compliance Professional's Potential to Stay Informed ■ T2E The Anatomy and Physiology of a Managed Care Organization Compliance Audit of a Hospital ■ T2F Clinical-Community Partnerships to Address Health-Related Social Needs: Championing Initiatives from the Compliance Office ■ T2G Somebody Call the Risk Manager: How to Turn Every Unexpected Event into an Opportunity to Shine ■ T2H Chasing the Goal: How Do You Know if Your Compliance Program is Truly Effective? ■ T2I The Conflict of Interest Gamble: How Compliance and Internal Audit Used Open Payments Data to Make COI Evaluation a Sure Bet ■ T2J Physician Coding and Billing Cases Gone Badly
11:50 AM – 12:10 PM CDT	Coffee Break – Sponsored by PreCheck
12:10 – 12:15 PM CDT	Opening Remarks
12:15 – 1:15 PM CDT	GENERAL SESSION 3: Health Equity: Potential Risks and Opportunities to Reduce Disparities During a Global Pandemic
1:15 – 2:15 PM CDT	Mid-Conference Break
2:15 – 3:15 PM CDT BREAKOUT SESSIONS	■ T3A The NIST Privacy Framework: Measurable Objectives for Managing Privacy ■ T3B Physician Compliance During Crisis: Successfully Navigating COVID-19 ■ T3C Ask the Stark Law Professionals ■ T3D Living In Paradise: Thriving and Succeeding in a Remote Work Environment ■ T3E Who's Who in Your Hospital or Practice? Third Party Risk Management ■ T3F Compliance in the Era of Millennials ■ T3G ERM/New COSO Framework for Compliance ■ T3H COI ALERT: Sunshine Expansion and International Influence ■ T3I To the Pandemic and Beyond! Achieving and Sustaining Compliance for Your Telehealth Program ■ T3J Compliance Challenges and Best Practices for Providers of Medicaid Home and Community-Based Services
3:15 – 3:35 PM CDT	Coffee Break – Sponsored by Hayes ǀ MDaudit
3:35 – 4:35 PM CDT BREAKOUT SESSIONS	■ T4A An Advanced Look at Basics: Business Relationships under HIPAA and Why It's So Important to Get Them Right! ■ T4B Medicare Wellness Visits, Patient Visits, and Additional Services All on the Same Date of Service: A Compliant Revenue Opportunity ■ T4C CARES ACT and Pandemic Stimulus Act Fraud ■ T4D 8th Commandment: Implementing Effective Disciplinary Framework ■ T4E Organizational Solutions for Implementing Effective Corrective Action and Prevention Plans (CAPA) Following Internal Quality Assurance Compliance Reviews ■ T4F Best Practices for Compliance Investigations by Post-Acute Providers ■ T4G Physician Arrangements: Strategies to Reduce Compliance Risk and Manage the Self-Disclosure Process ■ T4H When Two Worlds Collide: Practical Compliance Strategies for Managing an Opioid Epidemic during a Global Pandemic ■ T4I What Should You Do? ■ T4J From Bumpy Road to Fast Lane in Telehealth: How a Pandemic Forced One Organization Over the Hump
4:35 PM CDT	Today's Regular Sessions End
4:45 – 5:45 PM CDT OPTIONAL BONUS SUNSET SESSIONS	■ TB1 Interoperability: Industry Perspectives ■ TB2 Core Elements of EMTALA for the Compliance Professional ■ TB3 Compliance in the COVID-19 Era: What Just Happened and Where Do We Go From Here? ■ TB4 What's Next for You and Your Compliance Programs: A Session for Beginners

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Wednesday, April 21

Time	Sessions
8:15 – 9:15 AM CDT OPTIONAL BONUS SUNRISE SESSIONS	■ **WB1** Effective Compliance through Organizational Design ■ **WB2** Two-Headed Beast: Compliance and Research Billing ■ **WB3** COVID-19 Oversight: An Integral Part of an Effective Corporate Compliance Program ■ **WB4** Controlled Substance Diversion Prevention Programs: What Should You Do?
9:30 – 10:30 AM CDT BREAKOUT SESSIONS	■ **W1A** Business Continuity and Disaster Recovery: A Critical Component of Compliance and Risk Management ■ **W1B** The Evolving Landscape of Clinical Documentation: Exploring the 2021 E/M Changes ■ **W1C** Electronic Health Records: Regulatory Risks and Compliance Issues ■ **W1D** Compliance Datamining and Benchmarking: How Data-Driven Decision Making Can Boost Your Compliance Program Success! ■ **W1E** SNF Compliance on a Quarter: Leveraging Your Resources to Implement an Effective Audit Plan ■ **W1F** Impacts of COVID-19 Compliance Protocols to Post-Acute Care Facilities and Home Based Care ■ **W1G** Internal Audit's Role in Enhancing Your Risk Program ■ **W1H** New Year, New Administration, New Priorities! ■ **W1I** Investigations: Living in the House that Jack Built ■ **W1J** COVID-19 Pandemic Lessons Learned Case Studies: CMS Emergency Preparedness, Compliance, Vaccines, and Recovery
10:30 – 10:50 AM CDT	Coffee Break – Sponsored by Ankura Consulting Group, LLC
10:50 – 11:50 AM CDT BREAKOUT SESSIONS	■ **W2A** Cybersecurity & Incident Response: The Nuts & Bolts of Detecting, Avoiding, and/or Responding to a Security Incident ■ **W2B** The Physicians in My Practice Want to Get Involved in Clinical Research: What Do I Need to Know and Do? ■ **W2C** Reports of Non-Compliant Activity, Internal Investigations, Resolution and Self-Disclosure ■ **W2D** How to Revitalize the Culture of Compliance with Innovative Education ■ **W2E** Developing Metrics that Matter: Building a Meaningful Compliance Scorecard for Hospitals and Health Systems ■ **W2F** Is Your Hotline "Hot?": Approaches to Improving and Promoting Your Compliance Hotline ■ **W2G** Role of Compliance in the IoT World ■ **W2H** Rethinking Compliance Program Effectiveness Reviews ■ **W2I** Proven Techniques to Increase the Value of Internal Audit and Compliance, through the Use of Analytics, Automation, and Visualization Solutions ■ **W2J** Sampling and Extrapolations: How to Address Problematic Populations
11:50 AM – 12:10 PM CDT	Coffee Break – Sponsored by SunHawk Consulting
12:10 – 12:15 PM CDT	Opening Remarks
12:15 – 1:15 PM CDT	**GENERAL SESSION 4:** Compliance on the Pandemic Front Lines
1:15 – 2:15 PM CDT	Mid-Conference Break
2:15 – 3:15 PM CDT BREAKOUT SESSIONS	■ **W3A** From Silos to Synergy: Collaborating Across Departments to Provide Enterprise-Wide System Protections and Ensure Patient Privacy and Security ■ **W3B** Non-physician Provider Billing Compliance: Are Incident to and Split/Shared Regulations Giving You a Splitting Headache? ■ **W3C** Whistleblowers: Who Are They and Why Do They Become Whistleblowers and How Do You Evaluate a Whistleblower Case? ■ **W3D** Engaging Clinicians in the Compliance Effort ■ **W3E** Effective Auditing of Your Clinical Practice with Less Resources ■ **W3F** Integrity Works ■ **W3G** GRC Triangle: Governance, Risk, and Compliance ■ **W3H** OIG Developments ■ **W3I** How to Effectively and Legally Implement Telehealth in Your Organization ■ **W3J** Effectiveness Reboot: Rebuilding an Existing Program from Scratch
3:15 – 3:35 PM CDT	Coffee Break – Sponsored by MRO
3:35 – 4:35 PM CDT BREAKOUT SESSIONS	■ **W4A** Reconciling Part 2 and HIPAA: How To Protect Your SUD Patients' Records ■ **W4B** Provider Compensation—A Valuator's Perspective: Where Do Your Risks Map? ■ **W4C** Emerging Trends in Physician Employment/Independent Contractor Contracting and Compensation Arrangements with Hospitals ■ **W4D** Compliance and Legal: From Opposition to Partnership ■ **W4E** What is a Compliance Risk Assessment? ■ **W4F** Simple Solutions for Optimizing SNF Therapy and Nursing Revenue Cycle Compliance ■ **W4G** Compliance Alignment and Joint Ventures ■ **W4H** Medical Marijuana: Practical Considerations for Health Care Providers ■ **W4I** Harnessing Business Intelligence Systems to Enhance Response and Prevention for Incident Management (IM) ■ **W4J** Nothing is Certain but Change… Dealing with the Changing Compliance and Reimbursement Requirements in Telehealth
4:35 – 4:45 PM CDT	Break
4:45 – 5:45 PM CDT	Virtual Social Event: Magic Show: Fun for the Whole Family – Sponsored by IatricSystems

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SCHEDULE AT A GLANCE

Thursday, April 22

Time	Session
9:30 – 10:30 AM CDT BREAKOUT SESSIONS	H1A The Privacy & Marketing Dream Team: Developing and Maintaining a Professional Relationship with Your Marketing Department and Why It Matters
	H1B Compliance and Liability Considerations for Physicians and Organizations Employing Physician Assistants and Nurse Practitioners: A Review of Case Law and Complaints
	H1C Exclusions
	H1D How to Become a Data-Driven Compliance Professional
	H1E Program Integrity Compliance & FWA Innovation Using Data Intelligence
	H1F PDPM Year One: Insights from the Auditors
	H1G Conducting a Rehab-Focused Risk Assessment: Identifying & Mitigating Your Risks
	H1H Pandemics, Politics, and Value-Based Models, Oh My!
	H1I The Roles of the Compliance Officer and the General Counsel
	H1J Health Compliances Role in Multi-Unit, Cutting Edge AMC Investigations
10:30 – 10:50 AM CDT	Coffee Break – Sponsored by Protenus
10:50–11:50 AM BREAKOUT SESSIONS	H2A The Privacy Considerations of Interoperability
	H2B Got Comparative Data? CBRs for Physicians/Other Outpatient Providers
	H2C Anti-Kickback and Stark Law Developments: Where Are We Now and Where Are We Going?
	H2D Congratulations New Compliance Officer and Risk Manager and Infection Control and Accreditation, and those Other Duties
	H2E Self-Audits: Are You Looking at the Right Data?
	H2F Laboratory Risk Assessment: The Top Risks and What to Audit
	H2G Cost Effective and Practical Solutions to Compliance Risk Management
	H2H Read (Hidden) Emotions: Know What Others are Thinking and Feeling
	H2I 340B Drug Pricing Program: Recent Updates and Compliance Tips
	H2J Oh No! What? Another Risk Assessment? A Fraud Risk Assessment
11:50 AM – 12:10 PM CDT	Coffee Break – Sponsored by Clearwater
12:10 – 1:10 PM CDT BREAKOUT SESSIONS	H3A Privacy Officer Roundtable: Part 1
	H3B Physician Arrangements Compliance: Identifying, Assessing and Mitigating Physician Arrangements Risk
	H3C Clinical Research v. Innovative Medical Treatment: Understanding the Differences and Avoiding Unnecessary Risk
	H3D Ways Leaders Can Engage in Compliance Leadership
	H3E Achieving Revenue Cycle Success Through Compliance
	H3F Executive Compensation in Nonprofit Healthcare Compliance Issues and Strategies
	H3G Weathering the Compliance Storm Forecast for the Future!
	H3H Understanding and Meeting Language Access Requirements for Services to LEP Persons
	H3I Corporate Integrity Agreements: A Closer Look at How OIG and Providers Work with Independent Review Organizations
	H3J Telehealth: Where Do We Go from Here? Insights from a Panel of Strategy, Operations and Compliance Leaders
1:10 – 2:10 PM CDT	Mid-Conference Break
2:10 – 3:10 PM CDT BREAKOUT SESSIONS	H4A Privacy Officer Roundtable: Part 2
	H4B Three Ways to Enhance Your Physician Compensation Review Process
	H4C Lab Testing During the COVID Pandemic
	H4D From Compliance Support to Compliance Officer: Making it to the Top of the Compliance Profession
	H4E OIG Mandated Six-Year Look Back Audits
	H4F COPS & OPS: Leveraging a Matrix Leadership Mindset to Achieve Compliant Operations in Hospice and Home Health
	H4G Compliance Program Transformation: Opportunities, Strategies, and Creative Approaches
	H4H The Evolution of FDR and Delegation Oversight: What are Health Plans Expecting of Their Providers?
	H4I Evaluating and Executing Physician Practice Acquisitions in Today's Market
	H4J 42 CFR Part 2 In Complex Service Settings
3:10 – 3:30 PM CDT	Coffee Break – Sponsored by The George Washington University School of Professional Studies
3:30 – 3:35 PM CDT	Opening Remarks
3:35 – 4:35 PM CDT	**GENERAL SESSION 5:** Inclusive Intelligence in Practice: Lead Better by Recognizing, Understanding, and Interrupting Your Unconscious Biases
4:35 PM CDT	Closing Remarks

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- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Risk Management
- Telehealth

MONDAY, APRIL 19

9:30 – 9:40 AM CDT

Welcome and Opening Remarks

9:40 – 10:10 AM CDT

GENERAL SESSION 1:
OIG Update

CHRISTI GRIMM, Principal Deputy Inspector General, OIG, Dept of Health & Human Services

10:10 – 10:40 AM CDT

GENERAL SESSION 2:
A HIPAA Compliance and Enforcement Update from the HHS Office for Civil Rights

TIMOTHY NOONAN, Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services Office for Civil Rights

- Describe breach reporting trends
- Discuss OCR enforcement priorities
- Identify OCR resources to improve HIPAA compliance

10:40 – 11:00 AM CDT

Coffee Break
Sponsored by Protiviti

11:00 AM – 12:00 PM CDT

BREAKOUT SESSIONS

M1A HIPAA in the Time of COVID-19: Considerations for Compliance

Subject Area: Privacy and Security

Level: Basic

TIMOTHY NOONAN, Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services, Office for Civil Rights

- Discuss OCR HIPAA Notices of Enforcement Discretion and Guidance for COVID-19
- Describe recent HIPAA enforcement actions
- Recommend best practices for HIPAA compliance

M1B Essentials in Physician Practice Compliance

Subject Area: Physician Compliance

Level: Basic

MELANIE SPONHOLZ, Chief Compliance Officer, Waud Capital Partners

LYNN M. MYERS, Chief Medical Officer, TX Health Organization for Physicians

LESLIE BOLES, Director of Compliance Audit, WCP Healthcare, Waud Capital Partners

- Anticipating and preparing for common physician practice compliance pitfalls
- Creating buy-in from frontline providers and identifying compliance champions
- Building effective and practical compliance workflows

M1C False Claims Act Part 1

Subject Area: Compliance Law

Level: Intermediate

MODERATOR: MICHAEL THEIS, Partner, Hogan Lovells LLP

JACKLYN DEMAR, Director of Legal Education, Taxpayers Against Fraud Education Fund

WILLIAM H. JORDAN, Partner, Alston & Bird LLP

JAMIE YAVELBERG, Deputy Director, Fraud Section, Commercial Litigation Branch, Civil Division DOJ

- Understand the fundamentals of liability, damages and procedure under the FCA
- Review critical recent court interpretations of the Supreme Court's Escobar decision
- Discuss recent decisions on determining "falsity" in medical necessity cases
- Issues emerging in COVID relief programs

M1D Navigating Enforcement Actions, Investigations, and Settlements

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

ANGELA M. ALTON, Vice President, Privacy Officer, Ann & Robert Lurie Children's Hospital of Chicago

ANNE DALY, Chief Compliance & Integrity Officer, Ann & Robert H. Lurie Children's Hospital of Chicago

ANA-CRISTINA NAVARRO, Chief Compliance Officer, Shasta Community Health Center

- Understand how adverse events can lead to government surveys to formal enforcement actions
- Understand how a compliance team can lead an organization through an enforcement action
- Share lessons learned, tools, and methods for addressing enforcement actions and become proactive

M1E Real-Time Audit and Monitoring: Compliance Favors Only the Prepared Plan

Subject Area: Auditing and Monitoring

Level: Intermediate

BRENDA WADE, Chief Compliance Officer, Inovaare Corporation

GABRIEL VIOLA, SVP Customer Relationship, Inovaare Corporation

JULIE MASON, Principal, Integritas Medicare

- Demonstrate your commitment to Compliance Program Effectiveness by updating CMS universe tables monthly, weekly or even daily
- Use universes like the CMS and continuously monitor tables to uncover errors hidden in your data
- Apply universes as your FDR scorecard and proactively manage delegated entity compliance

M1F Compliance and Ethics Programs during and Post-Pandemic

Subject Area: Post-Acute Care

Level: Intermediate

JANINE M. VALDEZ, Vice President of Compliance, Genesis

- Discuss the changes to the compliance and ethics program comparing pre- and post-pandemic
- Discuss usage of photography, video-conferencing, telemedicine, and social media
- Discuss employee engagement in compliance, new training approaches, and culture initiatives

M1G Coronavirus 19: Where was ERM?

Subject Area: Risk Management

Level: Intermediate

DARRELL SANDEEN, Healthcare Lead, Twin Cities Region, Protiviti

REBECCA NILSON, Director, Healthcare Lead for Enterprise Risk Management, Protiviti

- Assessing if enterprise risk management did or could have identified Coronavirus 19 as a risk
- Gaining an understanding of the ERM framework and how to uncover its value
- Implementing an effective ERM program going forward

M1H New to Compliance? Learn the Essential Components to Address in Your Program

Subject Area: General Compliance/Hot Topics

Level: Basic

ALLY MOLLETTI, Sr. Compliance Specialist, Athena Compliance Partners

ANDREA MERRITT, Partner, Athena Compliance Partners

- Discuss the elements of a compliance program and learn how to implement based on best practices
- Review real-world examples of the elements and how to develop and implement each one
- Discuss methods to grow your program over time using effective, proven methods

M1I 25 Years of CI and HCCA: Where We've Been and Where We're Going

Subject Area: General Compliance/Hot Topics

Level: Basic

MODERATOR: GERRY ZACK, CEO, SCCE & HCCA

ODELL GUYTON, Managing Director, Klink & Co. Inc, SCCE & HCCA Board Member

DEBBIE TROKLUS, President, Troklus Compliance Consulting, SCCE & HCCA Board Member

ROY SNELL, Strategic Advisor, SCCE & HCCA

- Challenges and gaining traction with CI and HCCA
- How the compliance profession has evolved in the past 25 years
- Where are we heading?

M1J Internal Investigations: What's In Your Organization's Toolkit?

Subject Area: Investigations

Level: Basic

JACKI WALTMAN, Mgr Health Information/Corporate Privacy Officer, Hazelden Betty Ford Foundation

JACKIE STEMWEDEL, Director, Compliance, Hazelden Betty Ford Foundation

MELISSA EDSON, Clinical Compliance Specialist, Hazelden Betty Ford Foundation

- Adapting your toolkit to include remote investigations
- Developing and triaging the internal ethics hotline and direct calls to HR, legal, privacy, and compliance teams
- Developing and training your internal investigation team toolkit

12:00 – 12:20 PM CDT

Coffee Break
Sponsored by ProviderTrust, Inc

12:20 – 1:20 PM CDT

BREAKOUT SESSIONS

M2A Building a Cybersecurity Auditing and Monitoring Plan

Subject Area: Privacy and Security

Level: Intermediate

MICHAEL GRAY, Vice President of IT and Compliance Officer, Eliza Jennings

JENNIFER M. GRIVEAS, Chief Human Resources Officer & General Counsel, Eliza Jennings Senior Care Network

- Understand the biggest security threats and highlight the most vulnerable systems and users
- Focus on developing an auditing and monitoring plan for meeting mandates of the HIPAA Security Rule
- Learn to take results of security auditing and monitoring activity to build a strong work plan

M2B Get Your Docs in a Row with a Physician Contracting Compliance Policy

Subject Area: Physician Compliance

Level: Basic

ALLISON PULLINS, VP, Chief Strategy and Operating Officer, MD Ranger Inc

ROBERT ABRAHAM, Contract Administrator, Valley Presbyterian Hospital

- Understand the basics of non-employed physician agreements, which contain subtle compliance risks that may not be obvious
- Learn to thoughtfully design simple and straightforward compliance policies to evaluate commercial reasonableness, determine FMV, mitigate risks from overpayments, and engage key stakeholders
- Translate best practices into effective policies

M2C False Claims Act: Part 2

Subject Area: Compliance Law

Level: Intermediate

MODERATOR: MICHAEL THEIS, Partner, Hogan Lovells LLP

JACKLYN DEMAR, Director of Legal Education, Taxpayers Against Fraud Education Fund

WILLIAM H. JORDAN, Partner, Alston & Bird LLP

JAMIE YAVELBERG, Deputy Director, Fraud Section, Commercial Litigation Branch, Civil Division DOJ

- Understand the fundamentals of liability, damages and procedure under the FCA
- Review critical recent court interpretations of the Supreme Court's Escobar decision
- Discuss recent decisions on determining "falsity" in medical necessity cases
- Issues emerging in COVID relief programs

M2D Retooling Your Compliance Program: Operationalizing the New Expectations

Subject Area: How to Succeed as a Compliance Professional

Level: Advanced

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

- Getting down to basics: Focusing on a culture of compliance
- Building sustainable structures: Measuring and managing risk
- Making it work: Operationalizing your compliance program

M2E Addressing the Operational Challenges of Auditing Investigator Initiated Trials (IITs)

Subject Area: Auditing and Monitoring

Level: Intermediate

GABRIELLA M. NEFF, Research Compliance Officer, Moffitt Cancer Center

WENDY S. PORTIER, Independent Consultant

- Identify the unique regulatory and operational challenges of IITs and associated risks
- Learn how to gain leadership/investigator buy-in and discuss strategies for IIT audits
- Share lessons learned from conducting IIT audits at a designated cancer center

M2F A Federal Regulatory Visit Is on the Horizon: Are You Prepared for a Survey?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

JANE Y. VAN NESS, Compliance Officer & Senior Legal Counsel, Northwest Permanente Medical Group

- Overview of Emergency Medical Treatment and Active Labor Act, including COVID-19 EMTALA implications
- Learn how to prepare for a CMS visit, what to expect, and how to address findings/avoid penalties
- Understand how to develop and implement a rigorous monitoring plan to sustain compliance

M2G CARES Act: Risks and Mitigating Responses

Subject Area: Risk Management

Level: Intermediate

SHAWN STEVISON, Director of Internal Audit, Signature HealthCARE, LLC

TRACY YOUNG, Partner, BKD LLP

- Understand the reporting requirements associated with the CARES Act funding, including quarterly and annual, state-specific, and single audit reporting requirements
- Understand allowability of expenses and key calculation methodologies for both expenditures and lost revenues, including unallowable expenses which may be non-compliant with the regulatory terms and conditions
- Discuss the impact of non-compliance with the various requirements

M2H Why Diversity and Inclusion for the Ethics and Compliance Professionals?

Subject Area: General Compliance/Hot Topics

Level: Basic

DANIEL LOPEZ, Ethics Advisor, Northrop Grumman Inc.

JIAJIA VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

- Understanding Diversity and Inclusion and how the Ethics and Compliance Professionals can support the endeavor
- Analyzing the pros and cons of Diversity and Inclusion
- Enhancing Diversity and Inclusion training to benefit your workforce

M2I Avoiding Unnecessary Refunds

Subject Area: General Compliance/Hot Topics

Level: Basic

DAVID M. GLASER, Shareholder, Fredrikson & Byron PA

- Understand when a refund is truly required and why many lawyers mistakenly recommend them
- Understand why manuals/guidance including LCDs are not binding
- Recognize how the rules for private payors differ from Medicare and Medicaid

M2J Utilizing 4 Leafed Clover of Compliance: Privacy & Security

Subject Area: Internal Audit

Level: Intermediate

DEBRA A. MUSCIO, SVP, Chief Audit, ERM, Privacy, Security, Ethics & Compliance Ofcr, Community Medical Centers

DEBI WEATHERFORD, Executive Director Internal Audit, Piedmont Healthcare

- The four-leafed clover of compliance—4 P's: Prods, probes, projects, programs
- The four-leafed clover of privacy—Contracts: BAA, DUA, monitoring
- The four-leafed clover of security—Contracts: Risk assessments, trending, tools

1:20 – 2:20 PM CDT

Mid-Conference Break

2:20 – 3:20 PM CDT

BREAKOUT SESSIONS

M3A How to Assess and Address the Unique Cybersecurity and Data Risks with Clinical Trials

Subject Area: Privacy and Security

Level: Intermediate

CYNTHIA DUNN, Clinical Research Consultant, Crescent City Research Consulting, LLC

TRACY L. POPP, Sr Director, Clinical Research, Tampa General Hospital

WENDY S. PORTIER, Independent Consultant

- Describe the unique privacy and security risks clinical trials pose to healthcare organizations
- Illustrate key components to consider when assessing technology and data used in clinical research
- Discuss how to implement a technology feasibility process considering data and cybersecurity risks

M3B What Is the Maximum Permissible Dose of Morphine or Fentanyl? A Question of Physician Compliance and Quality of Care

Subject Area: Physician Compliance

Level: Advanced

BRADFORD D. WINTERS, Ph.D., M.D., Johns Hopkins University School of Medicine

DAVID N. HOFFMAN, Chief Compliance Officer, Carthage Area Hospital

- Evaluate the compliance risk from the indictment of an Ohio physician on 25 homicide counts
- Monitor excess doses of pain medications that can lead to respiratory depression
- Learn to establish proper hospital audits to protect the lives of medicated patients

M3C What Compliance Professionals Should Know About Peer Review Protections

Subject Area: Compliance Law

Level: Basic

ALLISON ANDERSON, Associate General Counsel, Charleston Area Medical Center

MYRANDA J. PIKE, Healthcare Consulting Manager, Arnett Carbis Toothman LLP

- The origins and scope of the peer review privilege
- Best practices for preserving the peer review privilege in internal investigations
- The intersection of peer review privilege and physician employment issues

M3D Investing in Yourself: Strategies for Individual Development as a Compliance Professional

Subject Area: How to Succeed as a Compliance Professional

Level: Advanced

DANIELLE FAGAN, MSW, LICSW, President & CEO, Anytime Leadership, Clinical Partner, Lifespan

DONNA SCHNEIDER, Vice President, Corporate Compliance and Internal Audit, Lifespan

- Share strategies for successful professional development with focus on investment in personal talent
- Explore the importance of self-growth management and staying current on the compliance career journey
- Teach how to have critical conversations to enhance personal talent development

M3E Electronic Management of Medicare Short-Stay Admissions to Ensure Compliance with the Two-Midnight Rule

Subject Area: Auditing and Monitoring

Level: Intermediate

PATRICIA RESNIK, VP CareVio Care Management, Christiana Care Health System

ANUJA MOHLA, Senior Physician Advisor, Christiana Care Health System

- Recognize the importance of short-stay management processes to ensure compliance with Medicare regulations
- Enhance electronic communication between utilization management and patient financial services
- Analyze retrospective data and engage senior leadership to improve short-stay trends

M3F Addressing High-Risk Compliance Areas and Avoiding Common Pitfalls in Risk Assessment, Monitoring & Auditing

Subject Area: General Compliance/Hot Topics

Level: Intermediate

LESLIE BOLES, Director of Compliance Audit, WCP Healthcare, Waud Capital Partners

KELLY J. SAUDERS, Partner, Deloitte

- Build a compliance work plan to proactively address known areas of risk
- Learn how to approach risks using different assessment techniques: Training, analytics, auditing
- Demonstrate a continuous improvement process within your compliance program

M3G Can Compliance Help Crisis Proof Your Organization?

Subject Area: Risk Management

Level: Intermediate

JAMES GREEN, Director, Risk Advisory Services, SAI Global

- Take lessons learned from COVID-19 to make your organization more resilient
- Learn why Compliance is often left out of the Crisis Management response process
- Implement strategies for the next bump in the night

M3H Board Oversight of Compliance Programs: What Is Required and How to Help Your Board Succeed

Subject Area: General Compliance/Hot Topics

Level: Advanced

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Recent case law and developments involving a board's duty of care obligations for compliance
- What does it take to be an effective board compliance committee member?
- Models and strategies for helping your board succeed in its oversight role

M3I Building Best-In-Class Compliance Programs for Small Organizations

Subject Area: General Compliance/Hot Topics

Level: Basic

TRACY E. TRACY, VP, Compliance and Legal, CCPO, NovuHealth

- Learn how to manage big obligations in a small organization with limited resources. Your large clients/partners may see you as a source of risk. Make sure you have the right tools in place to be credible and effective
- Discover ways to ensure you are covering all of the essential elements of a compliance program. We'll discuss tools and strategies for being effective in all seven elements of an effective compliance program
- Explore how to identify your risks and win at audit responses. We'll discuss risk assessments and ways to use audits as important feedback for building a more comprehensive and stronger program for your organization

M3J Telehealth & Cutting Edge Ventures: The Partnership between Strategic Planning & Privacy

Subject Area: Telehealth

Level: Intermediate

JULIE HALL-BARROW, Senior Vice President, Children's Health

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

- The perspective from strategic planning and new ventures: Why involve the privacy officer
- The privacy officer perspective: How to be a good partner
- Challenges of telemedicine and other cutting edge technology from a privacy perspective

3:20 – 3:40 PM CDT

Coffee Break
Sponsored by
Arete Compliance Solutions, LLC

3:40 – 4:40 PM CDT

BREAKOUT SESSIONS

M4A Notice of Breach of Unsecured Protected Health Information from a Business Associate

Subject Area: Privacy and Security

Level: Intermediate

THORA A. JOHNSON, Partner, Venable LLP

MARK J. FOX, Privacy and Research Compliance Officer, American College of Cardiology

- Discuss breach roles and responsibilities of the business associate and the covered entity
- As a covered entity, examine how to prepare for breach response involving a business associate
- Explore lessons learned from large-scale responses by those who have gone before you

M4B Who Should Own Making Sure Physician Arrangements are Compliant?

Subject Area: Physician Compliance

Level: Intermediate

DAVID S. LANE, Chief Compliance Officer, Providence St. Joseph Health

SHERYL VACCA, SVP/Chief Risk Officer, Providence St. Joseph Health; SCCE & HCCA Board Member

RYAN MEADE, Professor, University of Oxford

- Discuss key regulatory points on referral arrangements
- Review how operations and compliance can divide responsibility for arrangements
- Outline compliance auditing and monitoring options for arrangements

M4C Legal and Ethical Considerations When Conducting an Internal Investigation

Subject Area: Compliance Law

Level: Intermediate

RAJ SHAH, Senior Regulatory Attorney, MagMutual Insurance Company

SCOTT GRUBMAN, Partner, Chilivis, Grubman, Dalbey & Warner, LLP

- Discuss legal and ethical issues that often arise during internal investigations
- Analyze cases and guidance on how to navigate ethical considerations in internal investigations
- Review case studies of ethical dilemmas in internal investigations and how to resolve them

M4D Selling Effectiveness: Getting the Board On Board with Building an Effective Compliance Program

Subject Area: How to Succeed as a Compliance Professional

Level: Advanced

DARRELL W. CONTRERAS, Chief Compliance Officer, Millennium Health

- Develop strategies for creating a Board vision for an effective compliance program
- Use published guidance to create the framework for developing and scoring the effectiveness criteria the Board can use to evaluate the compliance program
- Define a methodology for efficiently reporting progress and to the Board on the implementation of the effectiveness criteria used to evaluate the compliance program

M4E ACOs and CINs: Auditing & Monitoring for Antitrust Compliance and Regulatory Issues

Subject Area: Auditing and Monitoring

Level: Intermediate

JAMES M. DANIEL, Director, Hancock, Daniel & Johnson, PC

MARY C. MALONE, Attorney/Equity Partner, Hancock Daniel

- Differentiate between ACOs and CINs and their respective compliance challenges and needs
- Define antitrust presumptions and approaches to monitor meaningful programs of clinical integration
- Discuss strategies for auditing various types of waiver programs and models for compliance

M4F How to Virtually Evaluate the Effectiveness of Your Skilled Nursing Facility Compliance & Ethics Program

Subject Area: Post-Acute Care

Level: Intermediate

KIRSTEN TAYLOR-BILLUPS, System Director of Compliance, Senior Services, CommonSpirit Health

- Learn how to develop an effective skilled nursing facility compliance and ethics evaluation tool
- Learn to identify the essential tools used in conducting a virtual compliance risk assessment
- Learn how to conduct effective compliance risk assessment interviews

M4G Emerging Risks of a Remote Workforce

Subject Area: Risk Management

Level: Intermediate

VALERIE CLOUD, Assistant Vice President Corporate Compliance, Atrium Health

GUI COZZI, Cybersecurity Practice Lead, Dean Dorton

- How to manage and monitor risk in a remote working environment
- Technology migration of your remote workforce
- Lessons learned in the current remote environment

M4H Building a New Research Compliance Program: Where Do I Begin?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

TRACY L. POPP, Sr Director, Clinical Research, Tampa General Hospital

LYNN E. SMITH, Dir, Research Compliance Ofcr, Tampa General Hospital

- Understanding the importance of a research compliance program
- Define the elements of a research compliance program and what should be implemented first
- Address the impact of research compliance on research operations

M4I Hot Compliance and Enforcement Topics Regarding COVID-19

Subject Area: General Compliance/Hot Topics

Level: Intermediate

SUSAN EDWARDS, Industry Guidance Branch-Chief (OIG), U.S. Dept of Health and Human Services

RACHAEL HONIG, First Assistant U.S. Attorney, U.S. Attorney's Office - District of New Jersey

BRIAN STIMSON, Partner, McDermott, Will & Emery LLP

- Survey recent federal enforcement initiatives related to COVID-19
- Identify compliance risks related to COVID-19 relief programs, such as the Provider Relief Fund
- Offer practical perspectives on how to mitigate compliance risks and respond to enforcement actions

M4J Community Mental Health Centers: An Insider's View for an Effective Compliance Program

Subject Area: Behavioral Health

Level: Basic

KAREN MOONEY, Risk and Compliance Officer, AllHealth Network

STEPHANE HANSON, VP Product Development, Healthicity

- Learn how a compliance program at a community mental health center (CMHC) works, and discover the unique challenges facing CMHCs
- Learn about the necessary structure to drive efficiency and timely gathering/processing of information specific to CMHCs
- Discover how software functionality has provided support for the needed compliance structure and see how software tools can improve your CMHC's compliance program effectiveness

4:40 – 5:45 PM CDT

Virtual Social Event: Live Piano Show
Sponsored by OneTrust

Interact and engage with your fellow attendees at this request-driven, family-friendly piano show. Bring your song requests and favorite beverage for this musical variety show with thousands of songs to choose from, spanning many decades and genres.

TUESDAY, APRIL 20

9:30 – 10:30 AM CDT

BREAKOUT SESSIONS

T1A Virtual Privacy Investigations During COVID-19

Subject Area: Privacy and Security

Level: Intermediate

CHRISTINE R. KYAK, Compliance & Privacy Officer, Georgetown University Hospital

- Privacy compliance investigations during a pandemic
- How to leverage telecommunications platforms, such as Microsoft Teams and WebEx
- How to use these same communication platforms to perform education and post-investigation for employees

T1B Designing and Implementing an Audit Program for Physician Services

Subject Area: Physician Compliance

Level: Basic

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

- Determine the focus and structure of a physician auditing program
- Establish the parameters of the audit: Internal versus external auditor, scope, selection, timing
- Develop the most effective means for reporting audit results: Report formats and education efforts

T1C Selling and Buying the Brooklyn Bridge or Leasing the Battery Tunnel During the Age of COVID: The Deal Is Done, Now What?

Subject Area: Compliance Law

Level: Advanced

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

- How to maintain compliance with a multi-specialty/multi-state acquisition involving private equity including regulatory compliance and enforcement, licensing, HIPAA, health benefits and employment considerations with a focus on the impact of COVID
- Compliance, risk management and credentialing: Minimum necessary versus best business practices
- A compliance officer's playbook: Creating action plans for a robust compliance program fitting for future acquisitions

T1D Work Smarter Not Harder: Effective Annual Work & Audit Plan Development Strategies

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

KRISTIN L. MEADOR, Director, Compliance Audit, Carilion Clinic

BRENDA K. MANNING, Privacy Director and Privacy Officer, Carilion Clinic

- Learn how to assess, rate, and account for changing organizational risk
- Calculate available staff time to devote to meeting plan objectives
- Successfully engage your team: Track individual, team, and department performance

T1E How to Successfully Navigate a CMS Risk Adjustment Data Validation Audit

Subject Area: Auditing and Monitoring

Level: Intermediate

AHMED SALIM, Director of Ethics and Integrity, iRhythm

- Development of a project plan including aligning on audit deadlines/milestones with stakeholders
- Utilizing audit tracking tools to assist in the completion of the risk adjustment validation audit
- Report out findings to key stakeholders identifying program gaps and development of corrective action

T1F Skilled Nursing Abuse and Neglect: CMS Developments and Compliance Strategies

Subject Area: Post-Acute Care

Level: Intermediate

SARA RUDOW, Senior Director of Regulatory Services, American Health Care Association

SEAN FAHEY, Attorney, Hall Render Killian Heath & Lyman

- Review of regulations and CMS focus: Expansion of F-Tags and alert icon
- Explore recent OIG and congressional activities addressing abuse and neglect in long-term care
- Identify strategies to support compliance and training efforts

T1G The Opioid Crisis a.k.a. Prescription Drug Abuse Epidemic: From the Provider's Perspective

Subject Area: Risk Management

Level: Intermediate

SONAL J. SHAH, Former Sr. Vice President, Chief Compliance and Risk Officer

- Explore controlled substances, drug diversion, opioid misuse, patient brokers, and risk to patients
- Study regulatory requirements: CSA, SUPPORT Act, EKRA, DEA reporting, HIPAA, ADA
- Understand hospital liability, compliance responsibility, and opioid diversion and detection programs

T1H Art of War: Using Time-Tested Strategies and Principles in Advancing Your Compliance Program

Subject Area: General Compliance/Hot Topics

Level: Basic

MISTY BOOKER, Governance, Risk, and Compliance Manager, Lirio

WILLIAM K. WONG, Corporate Compliance Supervisor, Aon Hewitt - Healthy Paws Pet Insurance

- Learn how to plan, position, and direct your resources to achieve the compliance program's goals
- Learn how to maximize your employees' potential with minimal additional cost
- Learn the various tactics that are needed to be successful in responding to shifting circumstances

T1I Conducting Internal Investigations in 2021 and Beyond

Subject Area: Investigations

Level: Intermediate

CHUCK DUROSS, Partner, Morrison & Foerster LLP

ALEC KOCH, Partner, King & Spalding

SULAKSH R. SHAH, Partner, PricewaterhouseCoopers

- Learn about regulatory expectations including examples of investigations gone wrong
- Learn about how COVID has changed the way investigations are conducted
- We will also discuss other issues such as privacy, blocking statutes, state secret laws, etc.

T1J Top IT and Cyber-Risks to Include in Your Audit Plan: 2021 Update

Subject Area: Internal Audit

Level: Intermediate

JOHAN LIDROS, President, Eminere Group

- Understand latest key IT and cyber threats that can challenge the ability to deliver quality outcomes
- Provide updates in regulatory requirements and control frameworks related to IT and cybersecurity
- Health IT's impact on your audit plan

10:30–10:50 AM CDT

Coffee Break

Sponsored by Maize Analytics Inc

10:50–11:50 AM CDT

BREAKOUT SESSIONS

T2A You Are Only as Secure as Your Riskiest Vendor

Subject Area: Privacy and Security

Level: Intermediate

KELLIE J. WORLEY, Consultant, Professional Services, Clearwater

DAWN R. POLEN-MORGENSTERN, Senior Principal Consultant & Chief Privacy Officer, Clearwater

- Identify a framework for a vendor risk management (VRM) program and developing a foundation
- Develop strategies to identify, evaluate, and reduce risk
- Maintain consistency across VRM to accurately assess acceptable/ unacceptable risks

T2B Physician Coding During COVID-19

Subject Area: Physician Compliance

Level: Basic

CATHY JEFFERSON, Compliance Officer, University Hospitals

- Overview of coding during a pandemic
- How to make sure your practice is getting paid for the work that is being done
- Successful coding before, during, and after the pandemic

T2C The IRS 990 Information Return: Compliance Report, Disclosure Tool, and Data Mining Source

Subject Area: Compliance Law

Level: Advanced

This session will not be available in live format. A recording will be available on the platform post-conference for attendees to watch.

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

- Understand the use of the IRS 990 as a tool for assessing and improving organizational compliance
- Learn the weaknesses of existing 990 reporting and consequences in investigation and enforcement
- Watch a cross-examination of a senior executive with 990s he signed

T2D The Federal Register, Survey & Certification Letters, and the Conditions of Participation: The Trifecta of a Compliance Professional's Potential to Stay Informed

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health

- Monitoring the Federal Register for rule updates and revisions
- Correlating rule changes to the Code of Federal Regulations
- Reconcile rule changes with the Conditions of Participation

T2E The Anatomy and Physiology of a Managed Care Organization Compliance Audit of a Hospital

Subject Area: Auditing and Monitoring

Level: Intermediate

MARK NEU, Compliance and Privacy Officer, Prospect Medical Holdings, LLC

ELIZABETH VO, Network Compliance, SCAN Health Plan

- Responding to a notice of audit by a managed care organization
- Understanding the compliance attestation for First Tier, Downstream, and Related Entities (FDR)
- Follow up, deficiencies, and monitoring for compliance post audit

T2F Clinical-Community Partnerships to Address Health-Related Social Needs: Championing Initiatives from the Compliance Office

Subject Area: General Compliance/Hot Topics

Level: Intermediate

SARAH DOWNER, Associate Director, Center for Health Law and Policy Innovation - Harvard Law School

RACHEL LANDAUER, Clinical Fellow, Center for Health Law and Policy Innovation - Harvard Law School

- Discuss the importance of clinical-community partnerships to address health-related social needs and opportunities for compliance officers to champion innovative initiatives
- Describe compliance-related concerns that are regularly cited as barriers to partnership
- Offer key strategies and regulatory considerations for navigating and managing compliance concerns

T2G Somebody Call the Risk Manager: How to Turn Every Unexpected Event into an Opportunity to Shine

Subject Area: Risk Management

Level: Basic

KARA BENEDICT, AVP, Governance Risk & Compliance, Covenant Care

- Understand how to effectively manage human behaviors when things go wrong
- Articulate how to overcome normal biases in order to conduct a fair investigation
- Explain how the risk manager can perpetuate a culture of safety with every unexpected event

T2H Chasing the Goal: How Do You Know if Your Compliance Program Is Truly Effective?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

DEBBIE TROKLUS, President, Troklus Compliance Consulting; SCCE & HCCA Board Member

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

- Why compliance program effectiveness is essential
- Challenges to achieving effectiveness
- Best practice tips to help your program achieve the effectiveness goal

T2I The Conflict of Interest Gamble: How Compliance and Internal Audit Used Open Payments Data to Make COI Evaluation a Sure Bet

Subject Area: Internal Audit

Level: Intermediate

MONTE DAVAULT, Director, Internal Audit, Mercy

SAMUEL A. ROGERS, Director, Compliance, Mercy

- Understand the value of CMS' Open Payments Data to your compliance program
- Explore how data analytics can transform CMS' Open Payments Data into a powerful resource
- Discuss how to utilize your Open Payments Data in your conflict of interest process, and more

T2J Physician Coding and Billing Cases Gone Badly

Subject Area: Case Studies

Level: Intermediate

C.J. WOLF, Faculty, BYUI

- Coding and billing modifiers often bypass payor edits; discuss cases where this lead to problems
- Incident to is still a problem for physicians. We will walk through cases where this went awry
- Upcoding still occurs in physician practices. Discover cases where upcoding resulted in enforcement

11:50 AM – 12:10 PM CDT

Coffee Break
Sponsored by PreCheck

12:10 – 12:15 PM CDT

Opening Remarks

12:15 – 1:15 PM CDT

GENERAL SESSION 3: Health Equity: Potential Risks and Opportunities to Reduce Disparities During a Global Pandemic

MODERATOR: SAMANTHA KELEN, Chief Ethics and Compliance Officer, Cardinal Innovations Healthcare

KIM DANEHOWER, Corporate Compliance Officer, Baptist Memorial Health Care Corporation

DR. MAURICE SHOLAS, Principal & Pediatric Physiatrist, Sholas Medical Consulting, LLC

SIDNEY S. WELCH, Partner, Akerman LLP

- Listen in as our expert panel discusses the landscape of health disparities in the U.S. and implications for compliance programs
- Hear how the COVID-19 pandemic has highlighted racial and ethnic disparities in healthcare in the United States at a time when access to care for all marginalized populations is critical
- Learn about the legal and moral obligation to prevent, monitor for, and address circumstances that prevent individuals, especially those with special needs, from accessing care

1:15 – 2:15 PM CDT

Mid-Conference Break

2:15 – 3:15 PM CDT

BREAKOUT SESSIONS

T3A The NIST Privacy Framework: Measurable Objectives for Managing Privacy

Subject Area: Privacy and Security

Level: Intermediate

KAREN S. GREENHALGH, Managing Principal, Cyber Tygr

- Compare and contrast NIST's Cybersecurity and Privacy Frameworks
- Describe the structure and purpose of the NIST Privacy Framework
- Understand how to use the NIST Privacy Framework in healthcare organizations

T3B Physician Compliance During Crisis: Successfully Navigating COVID-19

Subject Area: Physician Compliance

Level: Intermediate

D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Examine how successful organizations are still nimbly meeting the ongoing challenge of caring for patients during an extended public health crisis
- Review the changing landscape of care delivery, and how Compliance can help organizations stay current with changing regulations—and prepare to reset, after the public health crisis
- Learn how compliance teams flex to help our clinical partners in COVID Incident Command, virtual accreditation surveys, conducting internal audit and investigation, development of new policy and process, and meeting the ongoing challenges of CMS MAC, RAC and TPE audits during COVID-19

T3C Ask the Stark Law Professionals

Subject Area: Compliance Law

Level: Advanced

CHARLES B. OPPENHEIM, Partner, Hooper Lundy Bookman, PC

LESTER J. PERLING, Partner, Nelson Mullins Riley & Scarborough, LLP

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

- General overview of the Stark Law
- Bring your Stark Law questions and the panel will analyze and discuss "real time" potential risks
- "Live" answers to your Stark Law operational questions

T3D Living In Paradise: Thriving and Succeeding in a Remote Work Environment

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

NIURKA I. ADORNO, AVP Compliance, Molina Healthcare of South Carolina & Molina Healthcare of Puerto Rico

KYM J. CREEKMORE, Compliance Officer, National Service Center-Eurofins

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

- Can you hear me now? Using technology effectively
- Where's Waldo? Managing expectations and performance
- The right stuff: Practical tools and tips for success

T3E Who's Who in Your Hospital or Practice? Third Party Risk Management

Subject Area: Auditing and Monitoring

Level: Intermediate

GREG E. GORECKI, Compliance Officer, Univ Hospitals of Cleveland

EDWARD L. SOYKA, Manager, Hospital Compliance, University Hospitals

- Developing a vendor risk assessment tool and why you need it
- How to implement third party risk management oversight
- Measuring success factors and effectiveness of TPRM

T3F Compliance in the Era of Millennials

Subject Area: General Compliance/Hot Topics

Level: Basic

STEPHANIE SEBASTIAN, Manager, Compliance & Licensure, Kroger Specialty Pharmacy

- Understand what and who the Millennials (really) are
- Learn the connection points for Millennials and as a Millennial for other cohorts
- Takeaways to ensure effective future impacts for your team and department

T3G ERM/New COSO Framework for Compliance

Subject Area: Risk Management

Level: Intermediate

DANIEL R. ROACH, Chief Compliance Officer, Optum 360

GERRY ZACK, CEO, SCCE & HCCA

- Understand the relationships between Enterprise Risk Management (ERM), Internal Controls, and Compliance & Ethics Programs
- Learn practical applications of ERM to Compliance Risk Management
- Map key characteristics of compliance & ethics programs to the ERM framework

T3H COI ALERT: Sunshine Expansion and International Influence

Subject Area: General Compliance/Hot Topics

Level: Intermediate

C.J. WOLF, Faculty, BYUI

ANDREW H. HILL, Compliance Analyst/ Auditor, UK HealthCare Office of Corporate Compliance

REBECCA M. SCOTT, COI Specialist, HealthStream

- Provide expertise in Open Payments expansion and international espionage risk
- Leverage new COI data exploration to mitigate risk for your organization
- Develop "boots-on-the-ground" best practices to manage changes in compliance programs

T3I To the Pandemic and Beyond! Achieving and Sustaining Compliance for Your Telehealth Program

Subject Area: Telehealth

Level: Intermediate

LAURA HEPP KESSEL, Director of Telehealth Services, SIU School of Medicine

KATHERINE B. COHEN, Chief Compliance Officer, Southern Illinois University Medicine

- Identify compliance risks in your telehealth program both during the COVID-19 pandemic and beyond
- Discuss assessing and planning for long-term compliance within a changing regulatory landscape
- Identify best practices for a successful proactive partnership between telehealth and compliance

T3J Compliance Challenges and Best Practices for Providers of Medicaid Home and Community-Based Services

Subject Area: Behavioral Health

Level: Intermediate

SARAH BELL, Regional Director of IDD Operations , New Vista

ALEAH SCHUTZE, Of Counsel, Steptoe & Johnson PLLC

- HCBS waiver programs continue to account for more and more of a state's Medicaid long-term care expenses. As expenditures grow, so does government scrutiny of providers
- Discuss current enforcement trends and common compliance issues in the HCBS waiver setting, as well as the challenges inherent in conducting compliance reviews and investigations in waiver homes
- Suggestions offered for best practices for HCBS waiver providers, especially those struggling to implement or fine tune their compliance programs

3:15 – 3:35 PM CDT

Coffee Break
Sponsored by Hayes | MDaudit

3:35 – 4:35 PM CDT

BREAKOUT SESSIONS

T4A An Advanced Look at Basics: Business Relationships under HIPAA and Why It's So Important to Get Them Right!

Subject Area: Privacy and Security

Level: Advanced

AUTUMN GRIFFITH, Manager, Privacy & Civil Rights Operations, MultiCare Health System

MONICA R. FREEDLE, Director of Privacy & Civil Rights | Chief Privacy Officer, MultiCare Health System

- Review organizational structures (ACE, OHCA, and more) established in the Privacy Rule and what these mean to your privacy program's daily activities
- Explore requirements for determination and documentation, along with how to incorporate these elements into complex organizations and growing responsibilities
- Apply MultiCare's lessons learned and education successes to move forward with your own plans

T4B Medicare Wellness Visits, Patient Visits, and Additional Services All on the Same Date of Service: A Compliant Revenue Opportunity

Subject Area: Physician Compliance

Level: Intermediate

SALLY A. STREIBER, Director of Provider Compliance, University Hospitals

- Learn the fundamentals of Medicare wellness visits to ensure correct documentation
- Realize greater efficiency by pairing Medicare wellness visits and established patient visits
- Bill for care you are already providing by incorporating additional billable services

T4C CARES ACT and Pandemic Stimulus Act Fraud

Subject Area: Compliance Law

Level: Intermediate

EDWARD DANIEL, Partner, Nelson Mullins

MATT AUSTIN, Of Counsel, Nelson Mullins Riley Scarborough

- When Free Isn't Free: Avoiding landmines associated with PPP loans
- Providers beware: DOJ's increasing scrutiny of CARES Act funding
- Back to the Lab: what Labs and Commercial Payors need to know about kickbacks and federal law enforcement

T4D 8th Commandment: Implementing Effective Disciplinary Framework

Subject Area: How to Succeed as a Compliance Professional

Level: Advanced

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- Engage HR to assess existing structure review regulatory framework and develop "minimum necessary" set
- Development of the joint (HR/compliance) approach to investigations, documentation, and workflow
- Through the looking glass: Review of sample investigation

T4E Organizational Solutions for Implementing Effective Corrective Action and Prevention Plans (CAPA) Following Internal Quality Assurance Compliance Reviews

Subject Area: Auditing and Monitoring

Level: Intermediate

NATALIE A. RAMELLO, Vice President Chief Institutional Compliance Officer, UT Southwestern

DEEPIKA BHATIA, Director - Research & Academics Compliance, UT Southwestern Medical Center

- Review risk-based quality assurance, audit scope, and methodology
- Describe the use and benefits of internal monitoring and avenues to engage institutional leaders
- Discuss strategies for evaluating the organizational effectiveness of corrective action planning

T4F Best Practices for Compliance Investigations by Post-Acute Providers

Subject Area: Post-Acute Care

Level: Basic

CHRISTOPHER PURI, Attorney, Bradley Arant Boult Cummings LLP

DONNA J. THIEL, Chief Compliance Officer, ProviderTrust

KELLY THOMAS, Attorney, Starnes Davis Florie LLP

- Identify the best practices and common pitfalls that occur when an issue turns into an investigation
- Determine how/when to use in-house versus outside counsel; consultants or just your compliance team
- How to create a legal analysis of the impact of the compliance "issue" under investigation

T4G Physician Arrangements: Strategies to Reduce Compliance Risk and Manage the Self-Disclosure Process

Subject Area: Risk Management

Level: Intermediate

KAREN GLASSMAN, Senior Counsel, Office of Counsel to the IG, DHHS

SHARON A. HARTZEL, Director, Moss Adams, LLP

LORI LAUBACH, Partner, Moss Adams, LLP

- Discuss best practices around avoiding improper physician arrangements
- Review internal monitoring functions to have in place to avoid penalties
- Learn federal regulations that are most problematic in terms of penalties

T4H When Two Worlds Collide: Practical Compliance Strategies for Managing an Opioid Epidemic during a Global Pandemic

Subject Area: General Compliance/Hot Topics

Level: Intermediate

NANCY M. RUZICKA, Owner, Ruzicka Healthcare Consulting, LLC

DENISE M. HILL, Associate Professor of Practice, Drake University, College of Law, Business & Public Admin.

- Discuss the impact of the COVID-19 pandemic/recent regulatory developments on the U.S. opioid epidemic
- Demonstrate best practices for mitigating and managing opioid-related organizational risks
- Identify new/modified strategies to address the competing demands of opioid and pandemic compliance

T4I What Should You Do?

Subject Area: Investigations

Level: Intermediate

MERIC BLOCH, Vice President, Global Investigations, Booking Holdings, Inc.

- Discuss common investigation questions so you are prepared to address them
- Learn appropriate responses to challenges and requests that won't derail your investigation
- The session will help you plan in advance so that you are not stumbling for a reply when you are surprised

T4J From Bumpy Road to Fast Lane in Telehealth: How a Pandemic Forced One Organization Over the Hump

Subject Area: Case Studies

Level: Intermediate

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

- Learn how barriers to telehealth implementation had a health system stuck in a rut
- Examine how the organization kicked the telehealth project into high gear in response to COVID-19
- Discuss the future path of telehealth in a post-waiver world

4:35 PM CDT

Today's Regular Sessions End

4:45 – 5:45 PM CDT

OPTIONAL BONUS SUNSET SESSIONS

TB1 Interoperability: Industry Perspectives

Subject Area: General Compliance/Hot Topics

Level: Intermediate

MODERATOR: FRANCIS R. GRABOWSKI, Director, PwC

JESSICA CORNELIUS, Chief Information Officer, Sutter Health

JEFF KINNISON, Principal, Interoperability, Humana

MIKE SUTTEN, CTO, Innovaccer

- Hear from leaders at organizations about their interoperability strategy
- Learn about actions their companies are taking to transform healthcare through data sharing
- Understand how they are addressing privacy and security risks and other compliance concerns

TB2 Core Elements of EMTALA for the Compliance Professional

Subject Area: General Compliance/Hot Topics

Level: Basic

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health

- Review of the key EMTALA requirements
- Patient flow and meeting the MSE process
- Key audits to support compliance with the CoPs

TB3 Compliance in the COVID-19 Era: What Just Happened and Where Do We Go From Here?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

ANNA M. GRIZZLE, Partner, Bass, Berry & Sims PLC

- Gain understanding of CARES Act fund distribution requirements and potential compliance concerns
- Review requirements of Section 1135 waivers during COVID-19 pandemic and post-pandemic implications
- Learn tips for auditing compliance of and steps to take post-pandemic to avoid pitfalls

TB4 What's Next for You and Your Compliance Programs: A Session for Beginners

Subject Area: General Compliance/Hot Topics

Level: Basic

ADAM TURTELTAUB, Chief Engagement & Strategy Officer, SCCE & HCCA

- Those new to compliance will benefit from a recap of key factors for a successful compliance program
- Learn about some of the ongoing challenges programs face
- Better understand how to take your career to the next level

WEDNESDAY, APRIL 21

8:15 – 9:15 AM CDT

OPTIONAL BONUS SUNRISE SESSIONS

WB1 Effective Compliance through Organizational Design

Subject Area: General Compliance/Hot Topics

Level: Intermediate

DONNA ABBONDANDOLO, Chief Compliance Officer, Bon Secours Mercy Health

BRIAN J. MLINARSIK, System Director Compliance, Bon Secours Mercy Health

BRIAN WELLS, System Director of Compliance, Bon Secours Mercy Health

- Understand three types of options in compliance organization design
- Compare and contrast beneficial characteristics of organizational designs
- Determine an effective organizational design for your program

WB2 Two-Headed Beast: Compliance and Research Billing

Subject Area: General Compliance/Hot Topics

Level: Advanced

RYAN D. MEADE, Fellow, University of Oxford

KELLY M. WILLENBERG, Manager, Kelly Willenberg and Associates; SCCE & HCCA Board Member

- Describe the correlation between compliance and research billing
- Diagram the compliance issues around research billing
- Estimate and mitigate research billing risks

WB3 COVID-19 Oversight: An Integral Part of an Effective Corporate Compliance Program

Subject Area: General Compliance/Hot Topics

Level: Basic

ANN MARIE HENNESSY, Vice President of Corporate Compliance, The Hebrew Home for the Aged at Riverdale

- Understand the importance of COVID-19 regulations as part of your corporate compliance program
- Understand the need for oversight of testing requirements, implementation, and documentation
- Importance of educating board of trustees and staff on facility's COVID-19 oversight and compliance

WB4 Controlled Substance Diversion Prevention Programs: What Should You Do?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

AMY SALA, Diversion Specialist, University Hospitals

EDWARD L. SOYKA, Manager - Hospital Compliance, University Hospitals

- Creating and maintaining a Drug Diversion Task Force; implementation of a response system
- Best practice in maintaining a reactive and proactive controlled substance diversion program
- Cultivating a "speak up" environment that prevents drug diversion and identifies resources for staff

9:30 – 10:30 AM CDT

BREAKOUT SESSIONS

W1A Business Continuity and Disaster Recovery: A Critical Component of Compliance and Risk Management

Subject Area: Privacy and Security

Level: Basic

JOHAN LIDROS, President, Eminere Group

- Every healthcare organization claims to have a business continuity/disaster recovery (BCDR) plan; many are inadequate, resulting in risk of extended down time, patient safety, and non-compliance
- For a BCDR plan to be effective, an organization needs well-defined roles/ responsibilities, defined measurements with regular reporting to relevant executive stakeholders and committees (compliance, quality/patient safety, IT governance, etc.)
- This session will explain all the key components of BCDR from a healthcare perspective, regulatory requirements, best practice frameworks and case studies of strong implemented BCDR programs

W1B The Evolving Landscape of Clinical Documentation: Exploring the 2021 E/M Changes

Subject Area: Physician Compliance

Level: Advanced

CINDY W. HART, Compliance Professional

JACKIE ROBERTSON-GUTSHALL, Compliance Officer, Advanced Regional Center for Ankle and Foot Care

- To identify the influence of the 2021 E/M changes on provider documentation; evolution to time and MDM
- To simplify the documentation review process and provide effective monitoring and auditing tools
- To explore the impact of the 2021 changes across the care continuum

W1C Electronic Health Records: Regulatory Risks and Compliance Issues

Subject Area: Compliance Law

JAMES CANNATTI, Partner, McDermott Will & Emery

FELICIA HEIMER, Senior Counsel, Office of Counsel To the Inspector General, U.S.

- An overview of recent settlements with EHR software companies resolving allegations of violations of the FCA, AKS, and non-compliance with EHR program requirements
- Explore potential compliance risks that providers must monitor when using EHR

W1D Compliance Datamining and Benchmarking: How Data-Driven Decision Making Can Boost Your Compliance Program Success!

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

PEIMAN SAADAT, Vice President, Corporate Compliance Officer, AdvantageCare Physicians

- Datamining basics: How to start a compliance datamining program from scratch and advance it
- Benchmarking and trending: Outliers and proper follow ups
- Communicating the results and resolutions with all the stakeholders

W1E SNF Compliance on a Quarter: Leveraging Your Resources to Implement an Effective Audit Plan

Subject Area: Auditing and Monitoring

Level: Basic

BETHANNE VANDERMOLEN, Chief Compliance Officer/Director of Risk Management, Choice Health Management Services, LLC

YOLUNDA DOCKETT, Corporate Compliance & Privacy Officer, Lorien Health Services

- Identify the key risk areas within the skilled nursing environment
- Be able to develop a SNF audit and monitoring work plan
- Understand how to effectively implement an audit and monitoring work plan using available resources

W1F Impacts of COVID-19 Compliance Protocols to Post-Acute Care Facilities and Home Based Care

Subject Area: Post-Acute Care

Level: Intermediate

JACK STROTHER, General Counsel, Aegis Therapies

RICHARD Y. CHENG, Member, Weaver Johnston Nelson, PLLC

- Identify the COVID-19-related challenges for the post-acute care industry
- Develop an understanding of legal obligations by post-acute care operators related to COVID-19
- Discuss and identify pragmatic strategies and actions items to mitigate the compliance concerns

W1G Internal Audit's Role in Enhancing Your Risk Program

Subject Area: Risk Management

Level: Basic

LORRIE O. GHOSE, Chief Audit Executive, Fairview Health Services

- Conduct an internal audit and compliance enterprise-wide risk assessment; practical tools for conducting risk assessments
- Develop an enterprise risk management program to identify top risks; includes assessment tools and board reports
- Guide leadership to establish your organization's risk tolerance and principles

W1H New Year, New Administration, New Priorities!

Subject Area: General Compliance/Hot Topics

Level: Basic

KIM BRANDT, Partner, Tarplin, Downs & Young

- Overview of key health care priorities for the new Administration
- Review of HHS/CMS 1135 waiver process and what waivers might become permanent (e.g., telehealth)
- Overview of CMS' COVID related Interim Final Rules with Comment and how those interact with the waivers

W1I Investigations: Living in the House that Jack Built

Subject Area: Investigations

Level: Intermediate

CRISTINE M. MILLER, Partner, MCM CPAs & Advisors LLP

- Investigations can begin with an analysis of the data of another investigation (DOJ, OIG, AG)
- Investigations can also tend to take on a life of their own, if you let them. Stay focused
- The investigation builds from the original point then expands with additional data to conclusion

W1J COVID-19 Pandemic Lessons Learned Case Studies: CMS Emergency Preparedness, Compliance, Vaccines, and Recovery

Subject Area: Case Studies

Level: Intermediate

ERIK ANGLE, Director of Health and Community Services, Connect Consulting Services, Inc.

NORA O'BRIEN, Chief Executive Officer, Connect Consulting Services, Inc.

- Learn how case studies of healthcare providers have addressed the mitigation of the COVID-19 pandemic
- Offer planning and CMS compliance strategies and tools for addressing the COVID-19 pandemic
- Learn strategies of how healthcare agencies can manage the distribution of COVID-19 vaccines

10:30 – 10:50 AM CDT

Coffee Break
Sponsored by
Ankura Consulting Group, LLC

10:50 – 11:50 AM CDT

BREAKOUT SESSIONS

W2A Cybersecurity & Incident Response: The Nuts & Bolts of Detecting, Avoiding, and/or Responding to a Security Incident

Subject Area: Privacy and Security

Level: Basic

SCOTT WROBEL, Managing Member, N1 Discovery

DEBRA A. GEROUX, Shareholder, Butzel Long

- Cyber risk in healthcare: Update on what is trending in cyberattacks and risk areas
- Incident response: Real-world examples of how to handle incident response, including notifications
- Lessons learned from recent breach response and best practices

W2B The Physicians in My Practice Want to Get Involved in Clinical Research: What Do I Need to Know and Do?

Subject Area: Physician Compliance

Level: Basic

JENNIFER J. SARTOR, Vice President, Compliance, National Spine and Pain Centers

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

- Research compliance basics for physician practice compliance officers
- How to develop a simple research compliance plan
- Best practices for engaging physicians and practice administration in research compliance

W2C Reports of Non-Compliant Activity, Internal Investigations, Resolution and Self-Disclosure

Subject Area: Compliance Law

GABRIEL IMPERATO, Managing Partner, Nelson Mullins Riley & Scarborough

MATTHEW TORMEY, Chief Compliance Officer, Ensemble Health Partners

- Methodology for internal investigations: establishment, personnel and resources, probable cause and preponderance of evidence, privilege, and findings of fact
- Practical tips: investigation workplan, conducting interviews, collecting documents and e-data, establishing facts and final report
- Resolution: remedial action and self-disclosure and managing the risk

W2D How to Revitalize the Culture of Compliance with Innovative Education

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

LAQUENTA CLARKE, Corporate Compliance Education Manager, Shriners Hospitals for Children International Headquarters

- Establish a methodology for developing individualized branding for the compliance department
- Provide a process for devising risk-based education that encourages willful learning
- Discuss ways that education programs can improve communication channels and foster ethical culture

W2E Developing Metrics that Matter: Building a Meaningful Compliance Scorecard for Hospitals and Health Systems

Subject Area: Auditing and Monitoring

Level: Intermediate

DANIEL R. ROACH, Chief Compliance Officer, Optum 360; SCCE & HCCA Board Member

- Not all metrics are equal: Understanding the importance of meaningful metrics
- Developing metrics that matter to the organization, your management, and the board
- Learn how to build a robust compliance scorecard that drives behavior and demonstrates effectiveness

W2F Is Your Hotline "Hot?": Approaches to Improving and Promoting Your Compliance Hotline

Subject Area: General Compliance/Hot Topics

Level: Basic

JIAJIA VERONICA XU, Chief Compliance Officer, Saber Healthcare Group

- Understand the key elements of a disclosure program that determine the success thereof
- Learn about techniques to develop, evaluate, and select an effective hotline system
- Create and organize activities to promote the hotline and engage the workforce and the community

W2G Role of Compliance in the IoT World

Subject Area: Risk Management

Level: Basic

ALAN BRILL, Sr. Managing Director, Kroll Associates

YVETTE GABRIELIAN, Sr. Vice President, Kroll Associates, Inc.

- There is a constant and consistent need for efficiency and the healthcare industry is not exempt
- IoT devices are finding their way into healthcare institutions and there have been problems
- Provide familiarization and a program for assuring compliance with best practices and applicable laws

W2H Rethinking Compliance Program Effectiveness Reviews

Subject Area: General Compliance/Hot Topics

Level: Intermediate

KIMULET WINZER, Director, SunHawk Consulting

JAMES ROSE, Managing Director, SunHawk Consulting, LLC

- Understand how strategy and enterprise risk management impact CPE
- Learn how to leverage the Three Lines of Defense model to bolster compliance with less effort
- Move beyond a Seven Elements Review to broaden organizational design thinking

W2I Proven Techniques to Increase the Value of Internal Audit and Compliance, through the Use of Analytics, Automation, and Visualization Solutions

Subject Area: Internal Audit

Level: Intermediate

JOHN LYNN, Director, PwC

ANTHONY BURMAN, Digital Accelerator, PwC

MARK MEYERS, Associate Vice President Performance Excellence, Temple University Hospital

- Learn how data analytics, automation, and visualization are evolving the role of Internal Audit and Compliance – PwC Health Industries FY20 IA Benchmark Survey
- Discuss keys to successful adoption throughout your program's lifecycle, including starting with a digitally aligned mindset
- Case Study: Sepsis Mortality analytics and visualizations in action

W2J Sampling and Extrapolations: How to Address Problematic Populations

Subject Area: Investigations

Level: Intermediate

FRANK C. CASTRONOVA, Part-Time Faculty, Wayne State University

ANDREA C. MERRITT, Partner, Athena Compliance Partners

- Discuss overall statistical methods in sampling and extrapolations, including various approaches
- Present examples where populations are atypical; address how to sample and extrapolate
- Review updated regulatory guidance and best practices related to statistical sampling

11:50 AM – 12:10 PM CDT

Coffee Break
Sponsored by SunHawk Consulting

12:10 – 12:15 PM CDT

Opening Remarks

12:15 – 1:15 PM CDT

GENERAL SESSION 4: Compliance on the Pandemic Front Lines

MODERATOR: ELIZABETH WADE, Chief Compliance & Ethics Officer, Corporate Compliance, Signature Healthcare Consulting Services, LLC

PATRICIA KUNZ HOWARD, Enterprise Director of Emergency Services, University of Kentucky Healthcare

GEORGE DIAZ, Infectious Diseases, Providence Regional Medical Center Everett

DEREK KANG, Chief Compliance Officer, UCLA Health

- Learn how front liners are coping with the stresses of compliance and patient care
- Understand what compliance teams can expect of physicians, nurses and others at this time
- Make compliance an integral, constructive part of your organization's response plan

1:15 – 2:15 PM CDT

Mid-Conference Break

2:15 – 3:15 PM CDT

BREAKOUT SESSIONS

W3A From Silos to Synergy: Collaborating Across Departments to Provide Enterprise-Wide System Protections and Ensure Patient Privacy and Security

Subject Area: Privacy and Security

Level: Intermediate

ADAM DROZ, Customer Success Manager, FairWarning

BRITANI TULLIER PINCKARD, Senior Director Information Security, Franciscan Missionaries of Our Lady Health System

ELIZABETH J. CHAMPION, VP, Chief Compliance Officer, FMOL Health System

- Share ways to build relationships across privacy, HR, legal, security, compliance, and medical staff
- Discover how to break down departmental silos to improve patient privacy and security
- Learn how to apply a shared approach between compliance and security to build a culture of trust

W3B Non-physician Provider Billing Compliance: Are Incident to and Split/Shared Regulations Giving You a Splitting Headache?

Subject Area: Physician Compliance

Level: Intermediate

DEBBIE C. BOHR, Senior Director, Corporate Compliance Audit, Northwell Health

- Learn how non-physician providers can improve the effectiveness of patient care while still conforming to your compliance program
- Gain valuable insight and understanding to the challenging billing requirements to ensure healthcare compliance while enhancing revenue opportunities
- Gain knowledge of how to monitor and audit these practices while learning through scenarios and open discussion

W3C Whistleblowers: Who Are They and Why Do They Become Whistleblowers and How Do You Evaluate a Whistleblower Case?

Subject Area: Compliance Law

Level: Intermediate

SUZANNE DURRELL, Managing Member, Whistleblower Law Collaborative LLC

WILLIAM SCOTT SIMMER, Managing Partner, DC Office, Baron & Budd

JORDAN THOMAS, Partner, Labaton Sucharow

JEB WHITE, President & CEO, Taxpayers Against Fraud Education Fund

- Understand why employees become whistleblowers
- Advocate compliance culture that encourages internal reporting
- Recognize concerns that devolve into whistleblower cases
- List key factors of actionable whistleblower cases

W3D Engaging Clinicians in the Compliance Effort

Subject Area: How to Succeed as a Compliance Professional

Level: Advanced

COLLEEN A. DENNIS, Compliance Analyst, Children's Hospital - Colorado Springs

KENNETH M. JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

- Finding clinicians who can help move compliance to high priority
- Engagement of clinicians in training initiatives
- Building relationships and processes that ensure clinicians are engaged in compliance

W3E Effective Auditing of Your Clinical Practice with Less Resources

Subject Area: Auditing & Monitoring

Level: Intermediate

ROSALYN C. JAHN, Compliance Director, U.S. Anesthesia Partners

JOSE TABUENA, Compliance Counsel, U.S. Anesthesia Partners

WILLIAM R. PRIEST, Chief Compliance Officer, U.S. Anesthesia Partners

- Develop an effective compliance audit plan based on specific risk areas of your clinical practice
- Execute your audit plan with less resources, by incorporating automation and artificial intelligence
- Provide valuable feedback and education to your clinicians based on specific audit findings

W3F Integrity Works

Subject Area: General Compliance/Hot Topics

Level: Intermediate

GIOVANNI D. GALLO, Co-CEO, ComplianceLine

NICOLAS S. GALLO, Co-CEO, ComplianceLine, Inc

ROY SNELL, Strategic Advisor, SCCE & HCCA

- Be honest with yourself as a foundation for high-integrity action
- Cope with and mitigate your bias, and work toward truth and civil debate
- Apply a constant critical thinking process

W3G GRC Triangle: Governance, Risk, and Compliance

Subject Area: Risk Management

Level: Advanced

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- The hazards of not preparing for potential issues can have significant, long-term effects; neglecting to have a comprehensive risk management plan in place can compromise patient care, increase liability risks, and result in financial losses
- Integrating core concepts across risk domains, compliance processes, and engaging RM into the audit/ investigation workflow
- Case study: Following through investigation of internal controls in evolving organization, review of potential mitigation steps, and associated outcomes

W3H OIG Developments

Subject Area: General Compliance/Hot Topics

Level: Basic

GARY CANTRELL, Deputy Inspector General for Investigations, OIG HHS

GREGORY DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

AMY FRONTZ, Deputy IG for Audit Services, HHS/OIG

ERIN BLISS, Assistant Inspector General, HHS

ROBERT OWENS, Deputy Inspector General for Mgmt and Policy, OIG HHS

- Discuss OIG investigations, audits, evaluations, enforcement actions, guidance documents, and other matters of interest to compliance professionals

W3I How to Effectively and Legally Implement Telehealth in Your Organization

Subject Area: Telehealth

Level: Basic

KATEA RAVEGA, Partner, Quarles & Brady

JOSEPH F. ZIELINSKI, Of Counsel, Dinsmore & Shohl

- Discussion and analysis of CMS' telehealth requirements and how a compliance program can monitor them, including state-specific examples
- Attendees will see how CMS' requirements mandate specific elements for telehealth visits to be appropriate for billing, including examples of changes related to the public health emergency and how CMS requirements can be different from state requirements
- Discussion of best practices for effectively implementing telehealth in your organization and identify common pitfalls post-COVID-19

W3J Effectiveness Reboot: Rebuilding an Existing Program from Scratch

Subject Area: Case Studies

Level: Intermediate

MONICA R. FREEDLE, Director of Privacy & Civil Rights | Chief Privacy Officer, MultiCare Health System

SAMANTHA KARPENKO, Senior Compliance Manager, 98point6

- Learn what makes an effective compliance program through exploring MultiCare's redesign objectives
- Understand the program's customers to provide and implement accessible resources (code/charter/plan)
- Reinforce new program with a commitment to program visibility/image through continual effort/evals

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3:15 – 3:35 PM CDT

Coffee Break
Sponsored by MRO

3:35 – 4:35 PM CDT

BREAKOUT SESSIONS

W4A Reconciling Part 2 and HIPAA: How To Protect Your SUD Patients' Records

Subject Area: Privacy and Security

Level: Intermediate

NATALIE A. RAMELLO, Vice President Chief Institutional Compliance Officer, UT Southwestern

ABBY JACKSON, Director, HIPAA Privacy Officer, UT Southwestern Medical Center

- Differentiate between HIPAA regulations and Part 2 privacy regulations
- Apply strategies to ensure your organization is compliant with Part 2 and HIPAA regulations
- Adapt to upcoming Part 2 regulation changes that will impact your privacy program

W4B Provider Compensation— A Valuator's Perspective: Where Do Your Risks Map?

Subject Area: Physician Compliance

Level: Advanced

JACKI JACOX, Senior Manager | MPA | CPA, Seim Johnson, LLP

TOM SCHNACK, Healthcare Consulting, Partner, Seim Johnson LLP

- Review the existing provider compensation Fair Market Value (FMV) guidance
- Discover how to evaluate risks using a risk assessment heat map
- Apply a risk assessment heat map approach to assess risks associated with setting provider compensation

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W4C Emerging Trends in Physician Employment/ Independent Contractor Contracting and Compensation Arrangements with Hospitals

Subject Area: Compliance Law

Level: Advanced

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

- Analysis regarding several emerging arrangements including quality, patient access, and bundled payments
- How are emerging contracting compensation arrangements complying with legal/regulatory requirements?
- How do emerging contracting/ compensation arrangements further integration for hospitals/physicians?

W4D Compliance and Legal: From Opposition to Partnership

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

GARY JONES, Attorney, Midwest Compliance Associates, LLC

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

- Understand the fundamental role differences between compliance and legal
- Interactive evaluation of case studies to determine the impact of the relationship between compliance and legal on the management and outcome of the situation
- Learn strategies to appropriately define the scope of the compliance and legal programs and facilitate strong working relationships between the two

W4E What Is a Compliance Risk Assessment?

Subject Area: Auditing and Monitoring

SUSAN GILLIN, Branch Chief, Administrative and Civil Remedies Branch, Office of Counsel to the Inspector General

JEFFREY DRIVER, Principal & Chief Consultant, Soteria Risk Works, LLC

ELIZABETH WADE, Chief Compliance & Ethics Officer, Corporate Compl, Signature Healthcare Consulting Services, LLC

- Understand risk assessment frameworks
- Understand OIG's expectations for risk assessment
- Learn what providers can do to implement a risk assessment process

W4F Simple Solutions for Optimizing SNF Therapy and Nursing Revenue Cycle Compliance

Subject Area: Post-Acute Care

Level: Intermediate

SONU KUMAR, VP of Compliance and Compliance Officer, Renewal Rehab, LLC

- Identify solutions and best practices to enhance integrity of claims
- Utilize ADR and denial analytics to identify gaps and opportunities in revenue compliance
- Incorporate components of revenue cycle into the compliance work plan

W4G Compliance Alignment and Joint Ventures

Subject Area: Risk Management

Level: Intermediate

ROBERT G. BREIGHNER, Jr., Compliance Officer and Privacy Officer, Select Medical Corp

ROBERT R. MICHALSKI, Vice President and Chief Compliance and Privacy Officer, University of Florida Health

- Use compliance program alignment to protect joint venture (JV) arrangements in health systems. With JVs on the rise within health systems, compliance program alignment with JVs needs to be fostered to protect the brand and reputation of the participants
- Identify the necessary functions for a strong JV compliance relationship, such as establishing communication channels, baseline compliance and privacy assessments, ongoing monitoring and education, routine reporting and consistent dialogue
- Hear from compliance officers who have established this partnership as they share their personal highlights and best practices

W4H Medical Marijuana: Practical Considerations for Health Care Providers

Subject Area: General Compliance/Hot Topics

Level: Intermediate

ASHLEE GRAY, Associate General Counsel, Ensign Services, Inc.

ALEAH SCHUTZE, Of Counsel, Steptoe & Johnson PLLC

- An in-depth discussion of state and federal marijuana laws with a particular focus on the conflict between those laws and how that impacts healthcare providers
- Identification of legal risks and compliance issues for providers that permit the use of medical marijuana in their facilities
- Discussion of best practices for healthcare providers on topics such as the storage and administration of medical marijuana, patient safety, and employment issues

W4I Harnessing Business Intelligence Systems to Enhance Response and Prevention for Incident Management (IM)

Subject Area: Behavioral Health

Level: Intermediate

VICTORIA HOSHOWER, Assistant Director of Quality, Keystone Human Services

KRISTINE KOONTZ, VP Quality & Clinical Services, Keystone Human Services Inc

GLENN MUMMEY, Quality and Performance Manager, Keystone Human Services

- This presentation outlines how Keystone Human Service's teams leveraged a power Business Intelligence (BI) system and transformed IM across a multi-State organization by accomplishing the following:
- Combined targeted leadership with an agile, robust BI system to re-tool the associated IM process, content, and structures vital to effectively pursue continuous quality improvement at all levels of the organization
- Surfaced and informed real-time critical incident information for trending, designing, implementing and monitoring targeted improvement initiatives at all levels across the organization (individual, programmatic, cohort and system-wide)
- Empowered staff at all levels to navigate the increasingly complex IM landscape to ensure the health, safety and welfare of individuals by monitoring and responding in a targeted manner to those IM process and outcome measures in their respective areas

W4J Nothing Is Certain but Change...Dealing with the Changing Compliance and Reimbursement Requirements in Telehealth

Subject Area: Telehealth

Level: Advanced

TONJA WISE, CHC, CHPC, CCS, National Director of Revenue Management Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office

- Now what? The current landscape of telehealth changes to coding and reimbursement
- But do we really need to document so much? Complying with telehealth documentation requirements
- What about methodologies? Can I use my FaceTime now? Updates to complying with communications

4:35 – 4:45 PM CDT

Break

4:45 – 5:45 PM CDT

Virtual Social Event: Magic Show Sponsored by IatricSystems

Magician David Farr will entertain us with his unbelievable magic. This fun, interactive, family-friendly show will keep you on your toes and laughing. Includes a 15-minute "teach a trick" at the end of the show where everyone can participate, including kids 7 & up.

THURSDAY, APRIL 22

9:30 – 10:30 AM CDT

BREAKOUT SESSIONS

H1A The Privacy & Marketing Dream Team: Developing and Maintaining a Professional Relationship with Your Marketing Department and Why It Matters

Subject Area: Privacy and Security

Level: Intermediate

LISA A. TAYLOR, Vice President and Chief Compliance Officer, UC Health

DANIEL S. ELMLINGER, Compliance Operations Analyst, UC Health

- Leverage social media and your marketing team to monitor for online potential HIPAA violations
- Collaborate with your marketing team to develop a more proactive privacy program
- Learn why marketing and privacy can, and should, work together to help your organization succeed

H1B Compliance and Liability Considerations for Physicians and Organizations Employing Physician Assistants and Nurse Practitioners: A Review of Case Law and Complaints

Subject Area: Physician Compliance

Level: Intermediate

KEVIN A. HICKMAN, Physician Assistant, Geisinger Medical Center

- Discuss compliance concerns arising from changes to the BBA and SSA related to the use of PAs and NPs
- Identify case law involving PAs, NPs, physicians, and organizations demonstrating compliance risks
- Describe strategies to reduce risks of violating the AKS and FCA when employing PAs and NPs

H1C Exclusions

Subject Area: Compliance Law

Level: Intermediate

NICOLE CAUCCI, Exclusions Branch Reviewing Official, OIG

JOANN FRANCIS, Reviewing Official, OIG

DONNA J. THIEL, Chief Compliance Officer, ProviderTrust

- Provide information on OIG's exclusion authorities and processes as well as OIG's guidance on screening for excluded individuals and entities, the effect of exclusion, and self-disclosure of the employment of excluded individuals

H1D How to Become a Data-Driven Compliance Professional

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

JIM ROUGH, President, SunHawk Consulting, LLC

JOSEPH F. ZIELINSKI, Of Counsel, Dinsmore & Shohl

- Discussion of how to incorporate data and metrics into your compliance program using DOJ's Guidance on the Effectiveness of a Compliance Program and OIG-HCCA Measuring Compliance Program Effectiveness as a foundation
- See how to use the data you are already collecting to measure the effectiveness of your program
- Attendees will have the opportunity to review and receive a tool that they can use to apply a data-driven approach to measuring their compliance program's effectiveness and demonstrate how their compliance program aligns with government requirements

H1E Program Integrity Compliance & FWA Innovation Using Data Intelligence

Subject Area: General Compliance/Hot Topics

Level: Intermediate

MATTHEW BERLS, Sr. Director of Investigations, UnitedHealthcare

KRISTEN VIGOREN, Compliance Analyst, UnitedHealthcare

MICHAEL JURMU, Director, Compliance, UnitedHealthcare

- What is Program Integrity Compliance role (vs business role)
- The new frontier in prevention
- Using data intelligence to support regulatory compliance

H1F PDPM Year One: Insights from the Auditors

Subject Area: Post-Acute Care

Level: Advanced

MODERATOR: AMIE R. MARTIN, Director, Proactive Medical Review & Consulting

SHELLY MAFFIA, Director of Regulatory Services, Proactive Medical Review & Consulting

STACY BAKER, OTR/L, RAC-CT, Proactive Medical Review

KARYN KURTH, Director of Clinical Reimbursement, American Health Partners

- Understand system, coding, and documentation risk areas identified by auditors in year one of PDPM
- Discuss work plan auditing and monitoring recommendations to mitigate PDPM risks
- Describe strategies employed by successful providers to overcome key PDPM compliance challenges

H1G Conducting a Rehab-Focused Risk Assessment: Identifying & Mitigating Your Risks

Subject Area: Risk Management

Level: Intermediate

YOLUNDA DOCKETT, Corporate Compliance & Privacy Officer, Lorien Health Services

HOLLY HESTER, SVP, Compliance and Education, Casamba

- Identify key elements of a rehab-focused compliance risk assessment
- Discuss prioritization of risk assessment results
- Describe a sample mitigation plan for identified risks

H1H Pandemics, Politics, and Value-Based Models, Oh My!

Subject Area: General Compliance/Hot Topics

Level: Advanced

ELIZABETH MILLS, MHA, JD, Chief Compliance Officer & Privacy Officer, The Christ Hospital Health Network

KRISTEN M. WOODRUM, Partner, BakerHostetler

JUSTIN CHAMBLEE, CPA, Senior Vice President, Coker Group

- The current status of value based models: what's new, what's in, what's out, what the future may hold
- An in-depth practical, legal and financial analysis of the impact recent events have had and/or may have on these models: COVID-19, Stark and AKS changes, CMS 2021 Final Rule
- Real-world challenges organizations face in effectively implementing value-based models and practical strategies to mitigate those challenges

H1I The Roles of the Compliance Officer and the General Counsel

Subject Area: General Compliance/Hot Topics

LAURA ELLIS, Senior Counsel, HHS OIG

CYNTHIA PATTON, General Counsel, Verily Life Sciences

JACK ROVNER, Attorney and Co-Founder, The Health Law Consultancy

- The role of the compliance officer and the general counsel in the organization
- The reasons why healthcare entities have different people fill these roles
- The importance of a collaborative working relationship between compliance and legal that respects their separate roles

H1J Health Compliances Role in Multi-Unit, Cutting Edge AMC Investigations

Subject Area: Investigations

Level: Intermediate

HOYT SZE, Managing Counsel, University of California Office of General Counsel

KIMBERLY H. GILLESPIE, Counsel, Troutman Pepper

- Understand the mechanics of AMC investigations regarding high-risk issues affecting multiple units through discussion of a detailed case study
- Apply strategies for ensuring investigation integrity while properly coordinating with other units
- Identify privilege issues that might arise and how to address them

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10:30 – 10:50 AM CDT

Coffee Break
Sponsored by Protenus

10:50 – 11:50 AM CDT

BREAKOUT SESSIONS

H2A The Privacy Considerations of Interoperability

Subject Area: Privacy and Security

Level: Intermediate

VALERIE BERG, Director, Privacy Officer, Cambia Health Solutions

KRISTEN ERBES, Chief Privacy Officer, Cambia Health Solutions

- Review compliance challenges with CMS Interoperability and Patient Access rule
- Discuss specific privacy and compliance challenges and potential impacts
- Examine implementation approaches to meet requirements while maintaining privacy compliance

H2B Got Comparative Data? CBRs for Physicians/ Other Outpatient Providers

Subject Area: Physician Compliance

Level: Intermediate

ANNIE BARNABY, Outreach/Education Specialist, RELI Group

KIMBERLY M. HREHOR, Program Director, RELI Group

- Utilize CMS' provider-specific comparative data reports (CBRs) to focus on Medicare vulnerabilities. CMS produces CBRs on a national level in support of their efforts to protect the Medicare trust fund
- Understand how to interpret a CBR and the potential benefits to an internal compliance program. The CBR supports adherence to coding guidelines, integrity of claims submission, and compliant operations
- Access additional educational resources to support further compliance activities, such as training materials, a sample CBR, and national- and state-level aggregate data

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H2C Anti-Kickback and Stark Law Developments: Where Are We Now and Where Are We Going?

Subject Area: Compliance Law

Level: Advanced

AMY KEARBEY, Senior Counsel, Industry Guidance Branch, Office of Counsel to the Inspector General

TONY MAIDA, Partner, McDermott Will & Emery

- Review policy clarifications and changes in the proposed (or final) AKS and Stark rules
- Examine COVID-19 AKS and Stark waivers and planning for when they expire
- Learn how to protect your organization and strengthen your compliance program on AKS and Stark

H2D Congratulations New Compliance Officer and Risk Manager and Infection Control and Accreditation, and those Other Duties

Subject Area: How to Succeed as a Compliance Professional

Level: Basic

SHARON L. TAYLOR, Dir Risk Management/ AccreditationSvcs, Burgess Health Center

D. MICHELLE KINNEER, Senior Director, Corporate Compliance, Comprehensive Pharmacy Services

- Compare the skills and alignment when the compliance officer is responsible for more than one role
- Describe the benefits and drawbacks when one has multiple roles and learn how to be successful
- Critique presented scenarios identifying key aspects depending on the particular role or lens

H2E Self-Audits: Are You Looking at the Right Data?

Subject Area: Auditing and Monitoring

Level: Intermediate

TONJA WISE, CHC, CHPC, CCS, National Director of Revenue Management Compliance, Kaiser Permanente National Compliance, Ethics and Integrity Office

- Planning the work: How do we decide where to audit?
- Leveraging your resources: What do we really need?
- Process and methodology: How much is too much?

H2F Laboratory Risk Assessment: The Top Risks and What to Audit

Subject Area: General Compliance/Hot Topics

Level: Advanced

ANDREA L. TREESE BERLIN, Senior Counsel, OIG-U.S. Department of HHS

BARBARA L. SENTERS, Chief Compliance & Ethics Officer, Sonic Healthcare USA, Inc.

MICHAEL LOUCKS, Partner, Skadden Arps Slate Meagher & Flom

- Discuss top laboratory fraud and abuse risks including recent cases
- Review suggested audit protocols
- Learn the foundation for an effective laboratory compliance program

H2G Cost Effective and Practical Solutions to Compliance Risk Management

Subject Area: Risk Management

Level: Intermediate

FRANK MESAROS, Compliance Audit Coordinator, WellSpan Health

WENDY TROUT, Director Corporate Compliance West, WellSpan Health System

- Identify risks: There are many sources of risk—we present one team approach to identify risks
- Prioritize and tackle risks: We will review a method for tracking and prioritizing risks
- Manage risks: We will describe audit plan development, engaging others, and communicating risks

H2H Read (Hidden) Emotions: Know What Others are Thinking and Feeling

Subject Area: General Compliance/Hot Topics

Level: Intermediate

DAN SEIDMAN, Managing Director, Read Emotions

- Read suppressed (hidden) emotions in the faces of others by experiencing a special video tool
- Receive coaching to see facial movements and know what others are really feeling
- Work through scenarios where knowing another's feelings gives you useful insights into credibility

H2I 340B Drug Pricing Program: Recent Updates and Compliance Tips

Subject Area: General Compliance/Hot Topics

Level: Intermediate

JONATHAN A. LABUHN, Director of Pharmacy Supply Chain/340B, University of Kentucky HealthCare

NOELLE H. TRUE, Sr Compliance Mgr, Univ of KY Healthcare

- Know about the latest 340B Program legislative, regulatory, judicial, and industry developments
- Have a roadmap of what to include in a 340B policy and standard operating procedure
- Understand the importance of collaboration between compliance and operations for a good 340B Program

H2J Oh No! What? Another Risk Assessment? A Fraud Risk Assessment

Subject Area: Internal Audit

Level: Intermediate

SONAL J. SHAH, Former Sr. Vice President, Compliance and Risk Officer

- Learn how to conduct a fraud risk assessment (FRA); combine it with other assessments
- Design preventive and detective controls, and explore the use of data analytics
- Study three recent case studies/ enforcement actions

11:50 AM – 12:10 PM CDT

Coffee Break
Sponsored by Clearwater

12:10 – 1:10 PM CDT

BREAKOUT SESSIONS

H3A Privacy Officer Roundtable: Part 1

Subject Area: Privacy and Security

Level: Intermediate

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

MARTI ARVIN, Executive Advisor, CynergisTek, Inc.

- Highly interactive discussion of challenging privacy issues facing privacy officers, counsel, and staff
- Audience-selected topics for discussion with the session facilitators and colleagues
- Sharing of practical solutions from experts and peers for the tough day-to-day privacy struggles

H3B Physician Arrangements Compliance: Identifying, Assessing and Mitigating Physician Arrangements Risk

Subject Area: Physician Compliance

Level: Advanced

JERRY LEAR, Sr Vice President/Chief Internal Audit Officer, Bon Secours Mercy Health

STEVEN H. PRATT, Attorney, Hall, Render, Killian, Heath & Lyman, P.C.

KENNETH ZEKO, Principal Advisor, Hall Render Advisory Services

- Identifying and assessing key physician arrangement risk areas
- The types of compliance activities the government might expect to see
- Practical steps to mitigate physician arrangements risk

H3C Clinical Research v. Innovative Medical Treatment: Understanding the Differences and Avoiding Unnecessary Risk

Subject Area: Compliance Law

JENNIFER MALLORY, Partner, Nelson Mullins Riley & Scarborough

CARRIE HANGER, Partner, Nelson Mullins Riley & Scarborough

- The distinctions between clinical research and innovative medical treatment may be blurred. This presentation will discuss the reasons for the differences between the two, the reasons for those distinctions, and the risks of ignoring those differences
- Topics covered will include humanitarian use devices, emergency use authorization, and emerging therapies, such as therapies for Covid-19
- Participants will evaluate how to use these distinctions to align their internal procedures to make sure they are mitigating risk

H3D Ways Leaders Can Engage in Compliance Leadership

Subject Area: How to Succeed as a Compliance Professional

Level: Intermediate

LAURA ELLIS, Senior Counsel, HHS OIG

GINO SANTORIO, President & CEO, Mount Sinai Medical Center

- Build strong intradepartment culture and interdepartmental relationships to improve E&C success
- How executive and senior leaders lead compliance by word and deed
- How executive and senior leaders can engage and mentor managers on managing for compliance

H3E Achieving Revenue Cycle Success Through Compliance

Subject Area: Auditing and Monitoring

Level: Basic

KELSEY C. LAWSON, Chief Risk & Compliance Officer, Hennepin Healthcare

RACHEL PUGLIANO, Sr. Manager, Healthcare, Eide Bailly

- Connect compliance and the revenue cycle to best support operational success
- Examine the differences and similarities between compliance and charge capture functions
- Share examples that incorporate revenue cycle improvements as part of the compliance review

H3F Executive Compensation in Nonprofit Healthcare Compliance Issues and Strategies

Subject Area: General Compliance/Hot Topics

Level: Advanced

This session will not be available in live format. A recording will be available on the platform post-conference for attendees to watch.

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

- Learn to apply the rules governing "reasonable compensation" benefits/perks and expense reimbursement to executives under IRS and state law
- Develop and review compliant plans and review processes for nonprofit executive compensation, including roles of compliance consultants, board compensation committees, and HR staff
- Learn recent initiatives and executive compensation vulnerabilities from regulatory perspective, including employee loans, split interest life insurance, severance and change of control payments, terminal consulting agreements, and "poison pill" contracts

H3G Weathering the Compliance Storm Forecast for the Future!

Subject Area: Risk Management

Level: Basic

DEBRA A. PHILLIPS, Compliance and Business Integrity Officer, VHA

STEVEN J. SAMPLE, Acting Chief Operations Officer, Northern AZ VA Health Care System

- Develop an approach for identifying, evaluating, responding to, and monitoring risks and opportunities. This method helps you develop a systematic approach to analyze both the internal and external environmental factors impacting your enterprise
- How to partner with clinical stakeholders to identify risks impacting the enterprise and discover ways to develop a risk response strategy and cascade these risks and mitigation strategies throughout the organizational structure with C-Suite buy-in
- Demonstrate an enterprise risk management model to include a risk register, risk assessment tool, sample interview questions, and risk project plan. These tools will help illustrate a method to methodology implement a comprehensive enterprise risk plan

H3H Understanding and Meeting Language Access Requirements for Services to LEP Persons

Subject Area: General Compliance/Hot Topics

Level: Intermediate

JACK BRANUM, Founder & Principal Creative, Bluegrass Learning Solutions

ASHLIE S. HEALD, Director, Business Integrity Partner, LifePoint Health

- Discuss the importance of an established language access plan for providing services to LEP persons
- Discuss the challenges of meeting language access requirements with available resources
- Learn tips and tools for providing engaging and effective education on language access obligations

H3I Corporate Integrity Agreements: A Closer Look at How OIG and Providers Work with Independent Review Organizations

Subject Area: General Compliance/Hot Topics

Level: Intermediate

AMANDA COPSEY, ACRB Senior Counsel, Office of the Inspector General, US Department of Health and Human Services

STEVEN ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Background on CIAs and why they include an IRO and overview of the various types of IRO reviews, including newer updates
- How data analytics and risk assessments factor in and keys to a successful IRO engagement
- Lessons learned and takeaways beyond the CIA

H3J Telehealth: Where Do We Go from Here? Insights from a Panel of Strategy, Operations and Compliance Leaders

Subject Area: Telehealth

Level: Basic

MODERATOR: MEGAN TUCKER-HALL, Senior Associate, PwC

CHARLES MAZER, Director of Corporate Compliance, New York-Prebyterian

NEEMA NAVAI, Telemedicine Physician Leader, Associate Professor, Department of Urology, Division of Surgery, The University of Texas MD Anderson Cancer Center

GRAEME OSSEY, Program Director Digital Health, University of Chicago Medicine

- Hear from strategy, clinical operations and compliance leaders about their telehealth programs
- Understand pain points and lessons learned during this period of accelerated telehealth growth
- Discuss where the focus will be moving for future opportunities and planning

1:10 – 2:10 PM CDT

Mid-Conference Break

2:10 – 3:10 PM CDT

BREAKOUT SESSIONS

H4A Privacy Officer Roundtable: Part 2

Subject Area: Privacy and Security

Level: Intermediate

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

MARTI ARVIN, Executive Advisor, CynergisTek, Inc.

- Highly interactive discussion of challenging privacy issues facing privacy officers, counsel, and staff
- Audience-selected topics for discussion with the session facilitators and colleagues
- Sharing of practical solutions from experts and peers for the tough day-to-day privacy struggles

H4B Three Ways to Enhance Your Physician Compensation Review Process

Subject Area: Physician Compliance

Level: Advanced

ADAM KLEIN, Independent Advisor, Adam J Klein, LLC

HEATHER L. FIELDS, Shareholder, Chair - Hospital/Health Systems Practice, Reinhart Boerner Van Deuren s.c.

ANDREA EKLUND, Chief Compliance Officer, UnityPoint Health

- Learn where best-in-class policies fail to mitigate risk
- Identify ways to increase flexibility and reduce stakeholder frustration
- Implementing strategies for assessing aggregate risk as opposed to a case-by-case evaluation

H4C Lab Testing During the COVID Pandemic

Subject Area: Compliance Law

Level: Intermediate

KATHY NUCIFORA, Director of Accreditation, COLA

ROBERT E. MAZER, Senior Counsel, Baker Donelson

- Understand requirements related to SARS CoV-2 Results Reporting, avoid related CMPs
- Learn about changes in enforcement of CLIA authorities, blanket waivers of Stark Law liability
- Avoid adverse financial/legal consequences related to payment claims for COVID-19 testing

H4D From Compliance Support to Compliance Officer: Making it to the Top of the Compliance Profession

Subject Area: How to Succeed as a Compliance Professional

Level: Basic

JIM PASSEY, Vice President, Chief Audit & Compliance Officer, HonorHealth

- Outline your career path to becoming a Compliance Officer
- Apply strategies you can adopt immediately to begin your journey and set you up for success
- Learn how to mentor up-and-coming compliance professionals to become a compliance officer

H4E OIG Mandated Six-Year Look Back Audits

Subject Area: Auditing and Monitoring

Level: Intermediate

CHAD N. ECKHARDT, Partner and Chair - Healthcare Innovation Team, Frost Brown Todd LLC

JAMES ROSE, Managing Director, SunHawk Consulting, LLC

- Use of statistical sampling and extrapolation
- Recent updates and perspectives
- Drivers of efficient and diligent analysis

H4F COPS & OPS: Leveraging a Matrix Leadership Mindset to Achieve Compliant Operations in Hospice and Home Health

Subject Area: Post-Acute Care

Level: Advanced

ADAM REED, Vice President Operations, Covenant Care

KARA BENEDICT, AVP, Governance Risk & Compliance, Covenant Care

- Understand matrix management philosophy and how it differs from traditional organizational hierarchy
- Describe the unique compliance challenges of the hospice and home health industry
- Identify three opportunities to use this philosophy to achieve compliance in post-acute organizations

H4G Compliance Program Transformation: Opportunities, Strategies, and Creative Approaches

Subject Area: Risk Management

Level: Intermediate

THOMAS DELEGRAM, Advisory Managing Director, Deloitte & Touche LLP

DHARA SATIJA, Senior Manager, Deloitte & Touche

- Discuss ways to assess, innovate and transition from reactive to proactive mode of managing risks
- Learn how to apply agile approach in compliance operations with clearly-defined goals and priorities
- Gain insights into various value levers for reimagining and transforming day-to-day compliance operations

H4H The Evolution of FDR and Delegation Oversight: What are Health Plans Expecting of Their Providers?

Subject Area: General Compliance/Hot Topics

Level: Intermediate

JENNIFER VILLAGE, Director, PricewaterhouseCoopers

- Discuss plans expectations of providers; understand the role in identifying areas of noncompliance
- Discuss CMS Program Audit trends and areas of increased scrutiny impacting access and quality to care
- Discuss strategies to performing ongoing monitoring of compliance as a delegated medical group

H4I Evaluating and Executing Physician Practice Acquisitions in Today's Market

Subject Area: General Compliance/Hot Topics

Level: Advanced

LARA A. FLATAU, Member, Bass, Berry & Sims, PLC

BRANDT JEWELL, Senior Vice President, Coker Group

- Review industry trends as well as our client-based perspectives on physician practice acquisitions
- Outline structural options and models for practice acquisition and affiliation; address viable alternatives to acquisition or sale and describe strategic considerations and implications for hospitals/health systems and provider groups
- Discuss common pitfalls and recommendations for evaluating and executing practice acquisitions, and address key areas of due diligence from a legal, financial, and operational perspective

H4J 42 CFR Part 2 In Complex Service Settings

Subject Area: Behavioral Health

Level: Intermediate

DAVID NELSON, Privacy Consultant, PrivacyGuySolution

- How to determine if you must implement 42 CFR
- Electronic record access decisions
- Framework for making documentable decisions

3:10 – 3:30 PM CDT

Coffee Break
Sponsored by
The George Washington University School of Professional Studies

3:30 – 3:35 PM CDT

Opening Remarks

3:35 – 4:35 PM CDT

GENERAL SESSION 5: Inclusive Intelligence in Practice: Lead Better by Recognizing, Understanding, and Interrupting Your Unconscious Biases

DR. ARIN REEVES, a leading researcher, author, and advisor in the fields of leadership and inclusion

- Identify and increase your understanding of implicit biases
- Discover how your understanding of how implicit biases impact your professional interactions and execution of professional responsibilities
- Identify and interrupt implicit biases in your decision-making processes and workplaces
- Discuss ways to increase your ability to actively pursue and contribute to an inclusive environment in your workplace and interactions

4:35 PM CDT

Closing Remarks

Compliance Institute

April 19–22, 2021 • VIRTUAL CONFERENCE

Contact Information

○ Mr ○ Mrs ○ Ms ○ Dr

_____
Member/Account ID (if known)

_____ ____ _____
First Name   MI   Last Name

_____
Credentials (CHC, CCEP, etc.)

_____
Job Title

_____
Organization (Name of Employer)

_____
Street Address

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Acknowledgements

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Details

Event Terms and Conditions

Terms of Use: Your mailing address may be disseminated to sponsors, exhibitors, affiliates, and partners for promotional purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Pre-Attendee Listing: A listing with your name, title, company, city, state, and country will be provided to attendees and speakers for networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Registration: This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Payment: If your total is miscalculated, HCCA will charge your card the correct amount.

Group Discounts

3 or more: $100 discount for each registrant

Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Cancellations/Substitutions: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any HCCA service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Tax Deductibility: All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Session Recordings: Registered attendees have the option to purchase all session recordings for an additional fee. Purchased recordings are for individual use only and may not be rebroadcast, shared, or disseminated. Only non-live CCB CEUs are awarded for session recordings.

Session availability is subject to change. Not all sessions are being recorded. If a session does not appear on the session recording form, a recording of it may not be available. Please call 888.580.8373 with any questions.

Cancellations: There are no refunds for session recordings once they have been posted.

Photo/Video Release: By registering for this event, I grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Sessions and Speakers: HCCA reserves the right to make changes to the program at any time as circumstances dictate. Every effort will be made to ensure a program of equivalent standard and value should unavoidable changes occur. HCCA does not accept liability for advice given, or views expressed, by any speaker at the conference or in any material provided to attendees.

Special Needs/Concerns: Prior to the conference, please call HCCA at 888.580.8373 or 952.988.0141 if you have a special need and require accommodation to participate.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)®: CCB has approved a maximum of **26.4** CEUs for these certifications: Certified in Healthcare Compliance (CHC)®, Certified in Healthcare Compliance—Fellow (CHC-F)®, Certified in Healthcare Privacy Compliance (CHPC)®, Certified in Healthcare Research Compliance (CHRC)®, Certified Compliance & Ethics Professional (CCEP)®, Certified Compliance & Ethics Professional—Fellow (CCEP-F)®, Certified Compliance & Ethics Professional—International (CCEP-I)®.

AAPC: This program has the prior approval of the AAPC for **22.0** continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award **22.0** clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of **22.0** continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

Continuing Legal Education (CLE): The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of **20.0** clock hours of CLE credit for qualifying sessions will be available to attendees of this conference licensed in these states. Upon request, if there is sufficient time and if there are sessions that meet their CLE requirements, HCCA may submit qualifying sessions to state CLE authorities for consideration. **This includes meeting state requirements for sessions being offered virtually or via telecast.** Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements. Click here for listing of state and session CLE approvals.

NAB: This program has been approved for Continuing Education for **21.0** total participant hours by NAB/NCERS—Approval #20220418-112-A73835-DL.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of **26.4** credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of **26.4** contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

HCCA is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left-hand menu.