

Healthcare Compliance Essentials Workshop

This four-day virtual event is dedicated to helping you develop and improve your compliance management skills. Attendees have the opportunity to earn live Compliance Certification Board (CCB)[®] continuing education units (CEUs) from the convenience of their own home or office.

These workshops are limited to 150 participants. Register early to reserve your spot!

VIRTUAL

UPCOMING 2021 VIRTUAL WORKSHOPS

May 10–13 • August 16–19 • October 18–21 • December 6–9

Register online

hcca-info.org/essentialsworkshops



About the Healthcare Compliance Essentials Workshop

HCCA's Healthcare Compliance Essentials Workshop is a four-day virtual conference dedicated to helping you develop and improve your compliance skills. Our instructors are industry leaders that will guide you through core subject areas and help you build a foundation for your compliance career.

These workshops are limited to 150 participants.

Learning objectives

- Introduction and background to compliance and ethics programs
- Standards and procedures
- Governance, oversights, and authority
- Risk assessment
- Due diligence in delegation of authority
- Communication and training
- Incentives and enforcement
- Monitoring, auditing, and reporting systems
- Investigations
- Response to wrongdoing
- Program improvement
- HIPAA, Stark Law, Anti-Kickback Statute, and False Claims Act
- Key skills necessary for compliance professionals

Who should attend?

- Compliance officers
- Internal auditors
- Data privacy personnel
- Regulatory compliance specialists
- In-house or external compliance lawyers
- Compliance students
- Compliance analysts
- Compliance assistants
- Compliance specialists
- Compliance coordinators
- Compliance administrators

Our instructors



Doriann Cain
Associate
Faegre Drinker Biddle & Reath LLP



Sarah Couture, RN, CHC, CHRC
Managing Director
Ankura Consulting Group



Tomi Hagan, CHC, CHPC, MSN, RN
Chief Compliance Officer
Great River Health System



Steve Lokensgard, JD
Partner
Faegre Drinker Biddle & Reath



Jim Passey, CHC, CHPC
Vice President, Chief Audit &
Compliance Officer
HonorHealth



Mike Sandulak, JD, CHC
Director of Investigations
Providence St. Joseph Health



Adam Turteltaub, CHC, CCEP
Chief Engagement
& Strategy Officer
SCCE & HCCA



Kenneth Zeko, CHC, JD
Principal Advisor
Hall Render Advisory Services

Instructors subject to change.

BECOME CERTIFIED

Demonstrate your knowledge, gain credibility, and enhance your career with a Compliance Certification Board (CCB)[®] certification.

CHC[™]

CERTIFIED IN HEALTHCARE
COMPLIANCE

CHPC[™]

CERTIFIED IN HEALTHCARE
PRIVACY COMPLIANCE

CHRC[™]

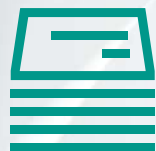
CERTIFIED IN HEALTHCARE
RESEARCH COMPLIANCE

While there is no substitute for experience, this course gives you the continuing education units (CEUs) you need as well as covers healthcare compliance essentials that can help you prepare for your certification exam.

Follow these 5 steps to certification:



Gain Work
Experience



Earn &
Submit CEUs



Apply to
Take Exam



Schedule
Your Exam



Take
Exam

Learn more

hcca-info.org/certification



Agenda Healthcare Compliance Essentials Workshop

All sessions listed are basic education level. Agenda times are listed in Central Time (CT).

MONDAY / DAY ONE

8:55–9:00 AM CT

Welcome and Introduction

9:00–10:15 AM CT

Intro and Background to C&E Programs

- History and evolution of compliance and ethics programs
- Introduction to the required elements of a compliance and ethics program
- Expectations of DHHS and other regulators

10:15–10:30 AM CT

Coffee Break

10:30 AM–12:00 PM CT

Standards and Procedures

- Understanding the importance of written standards including policies, procedures, and the code of conduct
- Form, function, and maintenance of written standards of conduct to support the compliance program
- Types and purposes of written standards and procedures

12:00–12:45 PM CT

Mid-Conference Break

12:45–2:15 PM CT

Governance, Oversight, Authority

- Compliance responsibilities at each level of an organization
- Positioning and structure of the compliance function
- Relationship with the board of directors

TUESDAY / DAY TWO

9:00–10:00 AM CT

Risk Assessment: Part 1

- Performing compliance risk assessments
- Risk criteria to consider
- Design and implementation of risk responses

10:00–10:15 AM CT

Coffee Break

10:15–11:00 AM CT

Risk Assessment: Part 2

- Performing compliance risk assessments
- Risk criteria to consider
- Design and implementation of risk responses

11:00–11:15 AM CT

Coffee Break

11:15 AM–12:30 PM CT

Due Diligence in Delegation of Authority

- Identifying who has important compliance responsibilities
- Issues with employee background checks
- Due diligence for vendors and other third parties

12:30–1:15 PM CT

Mid-Conference Break

1:15–2:15 PM CT

Hot/Common Compliance Issues: Part 1

- Overview of HIPAA, Stark, Anti-Kickback and False Claims Act
- Identification of critical compliance requirements
- Trends and emerging issues

2:15–2:30 PM CT

Coffee Break

2:30–3:15 PM CT

Hot/Common Compliance Issues: Part 2

- Overview of HIPAA, Stark, Anti-Kickback and False Claims Act
- Identification of critical compliance requirements
- Trends and emerging issues

3:15–4:15 PM CT

Optional Networking Hour

Use this hour to interact and engage with fellow attendees and instructors. Recap the last two days, ask questions, and make connections.

Agenda Healthcare Compliance Essentials Workshop

All sessions listed are basic education level. Agenda times are listed in Central Time (CT).

WEDNESDAY / DAY THREE

9:00- 10:15 AM CT

Communication & Training

- Risk-based identification of training needs
- Development and delivery of compliance training
- Compliance and ethics-related communications

10:15 – 10:30 AM CT

Coffee Break

10:30 – 11:30 AM CT

Monitoring, Auditing & Reporting Systems: Part 1

- Understanding the essential aspects of auditing and monitoring
- Developing the compliance auditing and monitoring plan
- Techniques and approaches to auditing and monitoring

11:30 – 11:45 AM CT

Coffee Break

11:45 AM – 12:45 PM CT

Monitoring, Auditing & Reporting Systems: Part 2

- Understanding the essential aspects of auditing and monitoring
- Developing the compliance auditing and monitoring plan
- Techniques and approaches to auditing and monitoring

12:45 – 1:30 PM CT

Mid-Conference Break

1:30 – 2:45 PM CT

Investigations

- Key steps in performing compliance investigations
- Conducting effective interviews and gathering evidence
- Concluding and reporting on investigations

THURSDAY / DAY FOUR

9:00 – 10:15 AM CT

Response to Wrongdoing

- Actions to take when wrongdoing is discovered
- Performing root cause analysis
- Designing and implementing remediation actions

10:15 – 10:30 AM CT

Coffee Break

10:30 – 11:45 AM CT

Incentives and Enforcement

- Active promotion of the compliance and ethics program
- Use of incentives to encourage compliance
- Discipline for identified wrongdoing

11:45 AM – 12:00 PM CT

Coffee Break

12:00 – 1:00 PM CT

Program Improvement

- Methods of assessing performance of the compliance and ethics program
- Focus on continuous improvement of the program
- Internal vs. third-party assessments

1:00 – 1:45 PM CT

Mid-Conference Break

1:45 – 2:45 PM CT

What is Next for Me and My Program?

- Key skills necessary for compliance professionals
- Taking action to have a successful career in compliance
- Applying what you have learned to your compliance program

2:45 – 3:45 PM CT

Optional Networking Hour

Use this hour to interact and engage with fellow attendees and instructors. Recap the last four days, ask questions, and make connections.

Healthcare Compliance Essentials Workshop

Contact Information

Mr Mrs Ms Dr

Member/Account ID (if known)

First Name MI Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Organization (Name of Employer)

Street Address

City/Town State/Province

Zip/Postal Code Country

Work Phone

Email (required)

Acknowledgements

By submitting this registration, you agree to the full Terms and Conditions, including the use of your information, viewable at hcca-info.org/essentialworkshops.

Your information (postal address) may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Photo/Video Release: By registering for this event, I grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

2021 Workshop Options

<input type="checkbox"/>	May 10–13 • Virtual Conference
<input type="checkbox"/>	August 16–19 • Virtual Conference
<input type="checkbox"/>	October 18–21 • Virtual Conference
<input type="checkbox"/>	December 6–9 • Virtual Conference

Registration Fees

<input type="checkbox"/>	Member	\$895
<input type="checkbox"/>	Non-Member	\$1,145
<input type="checkbox"/>	Registration + First-Time Membership Offer*	\$1,115

*Save by joining today (first-time members only). Dues renew at \$325.

TOTAL \$ _____

Group Discount

<input type="checkbox"/>	Group Discount for 3 or More**	(\$100)
--------------------------	--------------------------------	---------

**See "Group Discount Policy" under "Acknowledgments" for details.

TOTAL \$ _____

Payment

Online registration at hcca-info.org/essentialworkshops

Mail form to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA

Fax form to 952.988.0146

Email form to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. You may email this form (without credit card information), then call HCCA at 888.580.8373 with payment information.

- Invoice me
- Check enclosed (payable to HCCA)
- Wire transfer requested
- Credit card: I authorize HCCA to charge my:
 Visa MasterCard Discover American Express

Credit Card Account Number

Credit Card Expiration Date

Cardholder Name

Cardholder Signature

Event Terms and Conditions

Terms of Use: Your mailing address may be disseminated to sponsors, exhibitors, affiliates, and partners for promotional purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Pre-Attendee Listing: A listing with your name, title, company, city, state, and country will be provided to attendees and speakers for networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Registration: This virtual conference registration form allows only the registered attendee to stream, participate, and earn CEUs for the conference. If a second person would like to join, they must register themselves and have their own unique login to participate.

Payment: If your total is miscalculated, HCCA will charge your card the correct amount.

Group Discounts: Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Cancellations/Substitutions: Refunds will not be issued. You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any HCCA service or product. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to helpteam@hcca-info.org, prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

Tax Deductibility: All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Photo/Video Release: By registering for this event, I grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing my image or likeness for educational, news, or promotional purposes, without compensation.

Sessions and Speakers: HCCA reserves the right to make changes to the program at any time as circumstances dictate. Every effort will be made to ensure a program of equivalent standard and value should unavoidable changes occur. HCCA does not accept liability for advice given, or views expressed, by any speaker at the conference or in any material provided to attendees.

Special Needs/Concerns: Prior to the conference, please call HCCA at 888.580.8373 or 952.988.0141 if you have a special need and require accommodation to participate in the conference.

Continuing Education

Credits are assessed based on actual attendance and credit type requested. Should the overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Only registered attendees are eligible to request CEUs for participation. Attendees must participate in the virtual conference using the online virtual conference format (not just using the dial in) for attendance monitoring purposes.

Compliance Certification Board (CCB)[®]: CCB has approved a maximum of **21.6** CEUs for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional—International (CCEP-I)[®].

Daily Breakdown:

Monday | 5.1 CCB CEUs

Tuesday | 5.1 CCB CEUs

Wednesday | 5.4 CCB CEUs

Thursday | 6.0 CCB CEUs

**Totals subject to change*

AAPC: This program has the prior approval of the AAPC for **18.0** continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

ACHE: The Health Care Compliance Association is authorized to award **18.0** clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

AHIMA: This program has been approved for a total of **18.0** continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

Continuing Legal Education (CLE): Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of **7.25** clock hours of CLE credit for qualifying sessions will be available to attendees of this conference licensed in these states, along with Ohio. Upon request, if there is sufficient time and if there are sessions that meet their CLE requirements, HCCA may submit qualifying sessions to state CLE authorities for consideration. **This includes meeting state requirements for sessions being offered virtually or via telecast.** Only requests from registered attendees will be considered. All CLE credits will be assessed based on actual attendance and in accordance with each state's requirements.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org. Sponsor Identification No: 105638. The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of **21.6** credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of **21.6** contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate "Nursing" on the CEU form).

HCCA is in the process of applying for additional external continuing education units (CEUs). Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. **Only requests from registered attendees will be considered.** If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org. To see the most up-to-date CEU information go to HCCA's website, hcca-info.org/all-conferences. Select your conference, and then select the "Continuing Education" option on the left-hand menu.