

Due to local health and safety mandates issued by the City of San Francisco, attendees of this event must show proof of vaccination against COVID-19.

AGENDA AND LEARNING OBJECTIVES / ALL TIMES LISTED ARE IN LOCAL TIME

8:25 – 8:30 AM

Welcome and Announcements

8:30 – 9:30 AM

Regulatory Hot Topics

Daniel Esquibel, Senior Manager, Deloitte & Touche, LLP

- Understand regulatory compliance and the strategic and reputational considerations for new price transparency requirements
- Learn about the interaction of new requirements with other regulatory and business initiatives
- Discuss the role for compliance in the governance of transparency, interoperability, and surprise billing efforts

9:30 – 9:45 AM

Break

9:45 – 10:45 AM

Compliance and Operations: Considerations for Successful Collaboration and Transformation

Moderator:

Tom Delegram, Managing Director, Deloitte & Touche, LLP

Panelists:

Ellen Duffield, President and Chief Executive Officer, Gateway Health

David Falkowski, Chief Compliance Officer, CVS Health

- Discuss ways to assess, innovate, and transition from reactive to proactive mode of managing risks
- Gain insights into various value levers for optimizing and transforming day-to-day compliance operations
- Learn best practices from the practical experiences of fellow industry colleagues

10:45 – 11:00 AM

Break

11:00 AM – 12:00 PM

Conducting an Internal Compliance Program Survey

Ahmed Salim, Director of Ethics & Integrity, iRhythym, Adjunct Professor, DePaul Law School

- The importance of conducting a comprehensive and effective compliance survey
- Implementing the survey within the organization and analyzing the results
- Using the findings from the survey to drive process and outcome improvements

12:00 – 1:00 PM

Lunch (provided)

1:00 – 2:00 PM

Dynamic Risk Assessment in the Age of COVID-19

Marita Janiga, Vice President, Ethics and Compliance Investigations, Kaiser Permanente

Tamara Neiman, Executive Director, National Special Investigations Unit, Kaiser Permanente

- Explain how to conduct a dynamic fraud risk assessment
- Identify COVID-19 fraud schemes
- Demonstrate the benefits of partnerships to successful investigations

2:00 – 2:15 PM

Break

2:15 – 3:15 PM

Tailor Your Training: Utilizing a Risk-Based Approach

Rachel Harlan, Senior Deputy Chief Ethics & Compliance Officer, Sutter Health

David Rigby, Director of Compliance Program Effectiveness, Sutter Health

Kelly Wittmeyer, Senior Deputy Chief Ethics & Compliance Officer, Sutter Health

- Understand how to develop focused education and training in alignment with organizational values, including topics on how to recognize, prevent, and report concerns
- Discuss Sutter Health's approach to focused training for management, physicians, key employees, and board members
- Provide participants with a roadmap to develop and deploy physician training that is delivered in the physician's voice and is focused on high-quality and compliant patient care

3:15 – 3:30 PM

Break

3:30 – 4:30 PM

Board Oversight of Compliance Programs: What Is Required and How to Help Your Board Succeed

Carolyn McNiven, Shareholder, Greenberg Traurig, LLP

- Recent case law and developments involving a board's duty of care obligations for compliance
- What does it take to be an effective board compliance committee member?
- Models and strategies for helping your board succeed in its oversight role

4:30 PM

Conference Adjourns

Regional Healthcare Compliance Conference



December 3, 2021 • San Francisco, CA

SECTION 1 Attendee Information

Mr Mrs Ms Dr Other _____ Member/Account ID (if known/applicable) _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

Options

<input type="checkbox"/> Member	\$220
<input type="checkbox"/> Non-Member	\$270
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$440

*Save by joining today (first-time members only). Dues renew at \$325. See "Acknowledgements" below for details.

Group Discount

<input type="checkbox"/> Group Discount for 3-9**	(\$25)
<input type="checkbox"/> Group Discount for 10 or More**	(\$40)

**See "Group Discount Policy" under "Acknowledgements" below for details.

TOTAL \$ _____

Dietary Needs Request

Dairy Free Gluten Free Kosher Vegetarian Vegan Other _____

On-Site Cell Phone (for emergency on-site use only) _____

HCCA Membership: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Opt-Out: Select if you would like to opt-out of the following:

- Member Magazine Listing: HCCA lists all new members (first and last name, organization, and state or country) in our monthly magazine, *Compliance Today*.
- Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

SECTION 3 Payment

Online registration at hcca-info.org/regionals

Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146

Email to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. Email this form without credit card information, then call HCCA at 952.988.0141 or 888.580.8373 with your payment.

- Invoice me
- Check enclosed (payable to HCCA)
- Wire transfer requested
- I authorize HCCA to charge my credit card: Visa MasterCard Discover American Express

Credit Card Account Number _____ Expiration Date _____

Cardholder Name _____ Cardholder Signature _____

SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at hcca-info.org/conference/tandc, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at hcca-info.org/conference/tandc.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Photo/Video Release: By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

New Members: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc.

Frequently Asked Questions

Where will the conference take place?

Hilton San Francisco Union Square
333 O'Farrell Street
San Francisco, CA 94102

A reduced rate of \$159 single/double occupancy per night plus applicable taxes (currently 16.95%, subject to change), has been arranged for the conference. To make your reservation online use this [booking link](#). Or, call 415 771 1400 and ask for the HCCA San Francisco Regional Conference group rate.

All reservations must be accompanied by a first night room deposit and valid major credit card. The hotel will not hold any reservation unless secured by one of these methods. Individual reservations cancelled less than 72 hours prior to arrival will be billed for the entire reservation amount.

The cutoff date to receive this discounted rate is **Thursday, November 11, 2021**. After the cutoff date, it is at the hotel's discretion whether to accept additional reservations, which will be subject to prevailing rates and availability.

NOTICE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it is likely from a room poacher and may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link on the conference website. If you have concerns or questions, please contact +1 952.988.0141 or 888.580.8373.

What is included in the cost of my attendance?

Access to the sessions, supplemental conference materials, networking opportunities, exhibitor booths (when available), and you will enjoy a complimentary lunch.

What COVID-19 safety precautions will be implemented?

HCCA considers the health and safety of all those attending our in-person programs to be our top priority, and we are committed to providing a safe and healthy environment for our participants and staff. Although attendees should recognize that there is risk involved in attending, HCCA has adopted preventative measures to reduce the potential spread of COVID-19 at the San Francisco Regional Healthcare Compliance Conference. These measures are in accordance with guidance provided by the US Centers for Disease Control, city authorities, and our partnering hotels, including:

- Proof of vaccination required for all attendees prior to attending the conference
- Mask-wearing required for all attendees
- Personal hygiene and hand sanitization
- Self-monitoring and self-reporting

For more detailed information on HCCA's current health and safety measures, please read our [Terms and Conditions](#). Check back for updates on requirements and on-site processes.

Can I see what sessions will be presented before I arrive on-site?

Yes, program information is posted on the conference website.

Will I receive a recording of this conference?

No. Registered attendees must participate in this event in real time as recordings are not available for any missed sessions. No audio or video recording by attendees is allowed.

Is there a group discount—if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to helpteam@hcca-info.org for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 10 or more, please call 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org.

What do I get with "Registration + First Time Membership?"

If you've never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*[®] monthly magazine, *Ethikos*[®] digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at hcca-info.org/membership. Your membership will begin once payment is received.

How do I use the credit on my account for this event?

You can complete the registration online and select the "Invoice Me" payment option at checkout. Once you receive your confirmation, email helpteam@hcca-info.org or call 952.988.0141 or 888.580.8373 to request your credit be applied toward the registration fee.

Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to helpteam@hcca-info.org to complete your registration.

How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to helpteam@hcca-info.org. A conference credit will be issued for all registration fees paid and will expire 12 months from the date of the original canceled event. Conference credits will not be issued if you do not attend the event and have not requested cancellation prior to the event start date. If sending a substitute, an additional fee may apply depending upon the membership status of the substitute.

Who can I notify of special needs or accommodations prior to the conference?

Please call HCCA at 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org if you have a special need and/or require an accommodation to participate.

Continuing Education

Can I earn continuing education units (CEUs) for attending this regional conference?

Yes. This conference offers live Compliance Certification Board (CCB)[®] continuing education units (CEUs) for participation as well as other external credit types.

To see the most up-to-date CEU approval information, go to HCCA's website, hcca-info.org/conferences/regional. Select your regional and choose the Continuing Education option on the left-hand menu.

How many CEUs will I learn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the regional offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

How do I request CEUs following this regional?

While on-site at this regional conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to ccb@compliancecertification.org. Only registered attendees are eligible to request CEUs for participation.

When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your hcca-info.org account, go to your Account Dashboard, and scroll down to View My CEUs.

I would like to sit for one of the Compliance Certification Board (CCB)[®] exams following this regional; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at hcca-info.org/candidate-handbooks to ensure you meet the CEU requirement as well as the work experience requirement.

I have reviewed the Candidate Handbook and want to apply for the exam as soon as the regional concludes; what's next?

Immediately following the conclusion of the regional, if you have reviewed your Candidate Handbook, submitted your Application for Continuing Education Units (CEUs), and confirmed you will meet the CEU requirements, you can go ahead and apply online for your exam at hcca-info.org/apply-exam.

Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA's website, hcca-info.org/exam-information.

I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org.