

*Still Time to Register!*

# Research Compliance Conference

JUNE 8–10 | ANAHEIM, CA



Research  
misconduct



Clinical  
revenue cycle



Human subject  
protection



FDA access  
programs

Learn how to address emerging risks in research, share best practices for dealing with unique compliance challenges, and make valuable industry connections.

Receive  
complimentary  
access to  
SCCE's  
Higher  
Education  
Compliance  
Conference

# 2022

## Topics include:

- Clinical billing research
- Conflict of interest
- FDA issues
- Investigations
- Risk assessments
- Research compliance workplans
- Animal research
- Fraud, waste, and abuse

Learn more and register  
[hcca-info.org/2022research](https://hcca-info.org/2022research)



# ABOUT

Healthcare research has a unique set of compliance challenges—find out how to meet them at HCCA's Research Compliance Conference, as you learn about emerging risks and solutions in research institutions, share best practices, and network with peers and colleagues.

Research Compliance Conference educational sessions will provide you with the opportunity to earn live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs). Sessions are led by industry professionals and are organized by knowledge level: basic, intermediate, and advanced.

With registration, attendees also gain admittance to SCCE's Higher Education Compliance Conference held at the same time.

## Session topics

- Clinical billing research
- Conflict of interest
- FDA issues
- Investigations
- Risk assessments
- Research compliance workplans
- Animal research
- Fraud, waste, and abuse

## Session levels

**Basic:** Program knowledge level most beneficial to Compliance Professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic program, most appropriate for Compliance Professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations, and professionals with specialized knowledge in a subject area.

## Who should attend?

This conference is ideal for any compliance professional or person in a related role who works in the clinical research setting. Past attendees have included:

- Compliance officers
- Audit professionals
- Scientists
- Research administrators
- Healthcare executives
- Attorneys

## HCCA's mission

Health Care Compliance Association<sup>®</sup> (HCCA) exists to champion ethical practices and compliance standards and provide resources for healthcare professionals and others who share these principles.

## Become a member of HCCA to enhance and stay current on best practices in compliance

### Member-exclusive benefits include:

- Four free webinars each year
- *Compliance Today*<sup>®</sup> Magazine
- *Ethikos*<sup>®</sup> quarterly newsletter
- Discounts on:
  - Educational conferences
  - Publications and products
  - CCB certification

### Learn more

[hcca-info.org/membership](https://hcca-info.org/membership)



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN PACIFIC DAYLIGHT TIME (PDT)

## WEDNESDAY, JUNE 8 (Pre-Conference)

7:00 AM–5:30 PM	<b>Registration Open</b>	
8:00–9:30 AM	<b>P1</b> It's Not Research, It's Quality Improvement	<b>P2</b> Oh My! The Unique Challenges of Monitoring Investigator Initiated Trials (IITs)
9:30–9:45 AM	<b>Networking Break</b>	
9:45–11:15 AM	<b>P3</b> Managing Conflict of Interest Risk in Academic Medical Centers: Beyond Regulations and Form Collections for Investigators	<b>P4</b> Sponsor Clinical Quality Assurance Audits of Investigator Sites: Readiness, Response, and CAPAs
11:15 AM–12:45 PM	<b>Lunch</b> (On Own)	
12:45–2:15 PM	<b>P5</b> Building an Effective Export Control Program within an Evolving Research Environment	<b>P6</b> Best Practice Approaches to Building an Effective Research Billing Compliance Audit Program
2:15–2:30 PM	<b>Networking Break</b>	
2:30–4:00 PM	<b>P7</b> Clinical Trials in a Virtual World: A Case Study Analysis of Compliance Risks in Decentralized Clinical Trials	<b>P8</b> Hot FDA Compliance Issues for 2022
4:00–5:30 PM	<b>Welcome Reception</b>	

## THURSDAY, JUNE 9

7:00 AM–6:00 PM	<b>Registration Open</b>	
7:00–8:00 AM	<b>Continental Breakfast</b> (Provided)	
8:00–8:15 AM	<b>Welcome and Opening Remarks</b>	
8:15–9:15 AM	<b>GENERAL SESSION:</b> Research Year in Review	
9:15–9:45 AM	<b>Networking Break</b> (Exhibit Hall)	
9:45–10:45 AM	<b>101</b> Research Billing Compliance: A Case Study at Renown Health Using the Epic Research Module	<b>102</b> Use of Data from Non-Traditional Sources to Conduct Human Research: Emerging Ethical and Compliance Considerations
10:45–11:00 AM	<b>Networking Break</b>	
11:00 AM–12:00 PM	<b>103</b> How to Get (More) Bang for Your Buck! Developing & Executing Good Clinical Practice (GCP) & Research Billing Audit Plans from Practical Risk Assessments	<b>104</b> Handling Multi-Faceted Investigations Related to Research
12:00–1:00 PM	<b>Lunch</b> (Provided)	
1:00–2:00 PM	<b>105</b> A Hidden Minefield? Navigating Legal and Compliance Risks in Research Budgeting and Compensation	<b>106</b> Complexities of Data Sharing with External Researchers
2:00–2:15 PM	<b>Networking Break</b>	
2:15–3:15 PM	<b>107</b> Instituting Improvement and Quality Assurance in an Animal Care and Use Program in Transition	<b>108</b> Increasing Diversity in Clinical Research
3:15–3:30 PM	<b>Networking Break</b>	
3:30–4:30 PM	<b>109</b> CMS Open Payments Expansion: Impacts to Research Conflicts of Interest	<b>110</b> It's Not You, It's Me: Building and Maintaining Trust between Research Compliance/Administration Offices and the Research Community
4:30–6:00 PM	<b>Networking Reception</b>	

## FRIDAY, JUNE 10

7:00 AM–4:30 PM	<b>Registration Open</b>	
7:00–8:00 AM	<b>Continental Breakfast</b> (Provided)	
8:00–9:00 AM	<b>GENERAL SESSION:</b> OHRP Compliance Update: Hot Topics	
9:00–9:30 AM	<b>Networking Break</b> (Exhibit Hall)	
9:30–10:30 AM	<b>111</b> Managing COI Compliance: The Importance of Disclosures in Publications & Presentations	<b>112</b> Data Use in Research: Limitations, Obligations, Myths, and Mysteries
10:30–10:45 AM	<b>Networking Break</b>	
10:45–11:45 AM	<b>113</b> Expanding the Plan: Integrating Research Compliance Risk Assessments into Existing Monitoring Programs	<b>114</b> NIST Cybersecurity Framework = A Credible & Prescriptive Standard
11:45 AM–12:45 PM	<b>Lunch</b> (Provided)	
12:45–1:45 PM	<b>115</b> Point of Care Training for Research Coordinators	<b>116</b> Optimizing a Conflict of Interest, Conflict of Commitment, and External Activities for Pay Reporting Process
1:45–2:00 PM	<b>Networking Break</b>	
2:00–3:00 PM	<b>117</b> 21 <sup>st</sup> Century Cures Act: What Is It and How to Operationalize It	<b>118</b> Sponsor-Investigators of Medical Device Studies: Creating Front-Line Institutional Compliance Systems
3:00–3:15 PM	<b>Networking Break</b>	
3:15–4:15 PM	<b>GENERAL SESSION:</b> Navigating the Research Fraud and Abuse and Billing Rules	

## Wednesday, June 8 Pre-Conference

7:00 AM–5:30 PM

Registration Open

8:00–9:30 AM

### P1 It's Not Research, It's Quality Improvement

Level: Intermediate

**THORA JOHNSON**, Partner, Orrick, Herrington Sutcliffe LLP

**MARK FOX**, Privacy and Research Compliance Officer, American College of Cardiology

- Discuss the differences between research and quality improvement activities
- Examine OHRP's guidance on quality improvement and the revised Common Rule exemptions
- Explore case examples where organizations successfully navigated quality improvement and research differences

### P2 Oh My! The Unique Challenges of Monitoring Investigator Initiated Trials (IITs)

Level: Intermediate

**CYNTHIA DUNN**, Clinical Research Consultant, Crescent City Research Consulting, LLC

**GABRIELLA NEFF**, Research Compliance Officer, Moffitt Cancer Center

- Identify the unique regulatory and operational challenges of monitoring IITs
- Learn the key components of monitoring IITs including remote risk-based monitoring
- Discuss the strategies to address operational challenges of monitoring IITs and share lessons learned from a designated cancer center

9:30–9:45 AM

Networking Break

9:45–11:15 AM

### P3 Managing Conflict of Interest Risk in Academic Medical Centers: Beyond Regulations and Form Collections for Investigators

Level: Intermediate

**JULIA CAMPBELL**, Vice Present, Compliance, Memorial Sloan Kettering Cancer Center

**JAMES SHEEHAN**, Chief, Charities Bureau, NY Attorney General

- Managing conflicts, compliance, and reputational risks: Governance and senior leadership issues
- Technology, data collaboration and transfer-startups, joint ventures, and faculty entrepreneurs
- Managing COI systems: Required disclosures, privacy and confidentiality, thinking about users, reporters, certifications, committees, regulators, grantors, and audits

### P4 Sponsor Clinical Quality Assurance Audits of Investigator Sites: Readiness, Response, and CAPAs

Level: Intermediate

**SHANLEY CURRAN**, Fellow, Boston Scientific Clinical Quality Assurance

- Understand authority, purpose, and framework for sponsor CQA audit programs (including Investigator-Sponsors)
- Discuss site audit readiness throughout study conduct and for the audit
- Discuss audit process, sponsor observations, site responses, quality CAPAs

11:15 AM–12:45 PM

Lunch (On Own)

12:45–2:15 PM

### P5 Building an Effective Export Control Program within an Evolving Research Environment

Level: Basic

**JULIA LEO**, Associate Compliance Officer, Nationwide Children's Hospital

**ROBYN CUNNINGHAM**, Director of Research Compliance & Integrity, Nationwide Children's Hospital

**TIFFANY PERRINE**, Export Control Officer, Nationwide Children's Hospital

- Strategize and coordinate export control activities within a biomedical research institution
- Define key components of an effective export control program
- Communicating export control effectively with researchers

### P6 Best Practice Approaches to Building an Effective Research Billing Compliance Audit Program

Level: Intermediate

**JOE FUGITT**, Director, Finance-Research, Spectrum Health

**DAWN N.L. PITTINGER**, MBA, CHRC, CRCP, Corporate Compliance, Research Billing Compliance Manager, Moffitt Cancer Center

- Identify the operational front-end and back-end processes necessary to set the foundation for successful research billing compliance audit outcomes
- Describe how collaboration is key to building an effective audit program
- Discuss strategies to identify red flags and hot issues that could put your site at risk for research billing non-compliance before being discovered in an audit

2:15–2:30 PM

Networking Break

2:30–4:00 PM

## P7 Clinical Trials in a Virtual World: A Case Study Analysis of Compliance Risks in Decentralized Clinical Trials

Level: Intermediate

LAURA PODOLSKY, Senior Counsel, Nixon Gwilt Law

BETHANY CORBIN, Senior Counsel, Nixon Gwilt Law

- Identify regulatory and legal hurdles for structuring and running virtual clinical trials
- Devise risk mitigation strategies to combat compliance challenges in decentralized clinical trials
- Implement best practices for protecting research subjects' data privacy and security

## P8 Hot FDA Compliance Issues for 2022

Level: Intermediate

NEIL O'FLAHERTY, Partner, Amin Talati Wasserman LLP

- Learn what FDA is focused on this year in assessing and assuring compliance of medical device clinical investigations.
- What types of device clinical investigation violations are triggering compliance letters and enforcement actions?
- What should you do to meet FDA expectations and avoid regulatory problems in this area?

4:00–5:30 PM

## Welcome Reception

## Thursday, June 9

7:00 AM–6:00 PM

## Registration Open

7:00–8:00 AM

## Continental Breakfast (Provided)

8:00–8:15 AM

## Welcome and Opening Remarks

8:15–9:15 AM

## General Session: Research Year in Review

LISA MURTHA, Partner, Moses & Singer LLP

- This session will present relevant new laws, regulations, and guidance from federal and state government agencies on the conduct of research; and
- We will review new OIG Workplan and it's research initiatives as well as key enforcement initiatives and settlements related to research.
- We will discuss novel approaches to addressing these new government initiatives within research compliance programs.

9:15–9:45 AM

## Networking Break (Exhibit Hall)

9:45–10:45 AM

## 101 Research Billing Compliance: A Case Study at Renown Health Using the Epic Research Module

Level: Basic

DIANA TORRES, Research Resource Analyst, Renown Regional Medical Center

AMY SCOTT, Compliance Coordinator, Renown Health

- Making it work and work compliantly: Launching the Epic Research Module
- Collaborating and engaging multiple stakeholder: Who, when, where, how, and what
- After launching, the importance of continuous review and updating standards of work

## 102 Use of Data from Non-Traditional Sources to Conduct Human Research: Emerging Ethical and Compliance Considerations

Level: Advanced

RENÉE PIERRE-LOUIS, PhD, MBA, MA, CHRC, ACRP-CP, CIP, Senior Compliance Officer, Human Subjects Research & Academic Affairs, Nuance Health

- Develop knowledge and understanding of emerging sources of data used in human subject research
- Understand ethical and regulatory issues in collection and use of data from non-traditional sources
- Equip Research Compliance Officers to apply the knowledge gained to strengthen compliance programs and train stakeholders in order to support growing strategic needs within organizations to adopt use of data-driven human research

10:45–11:00 AM

## Networking Break

11:00 AM–12:00 PM

## 103 How to Get (More) Bang for Your Buck! Developing & Executing Good Clinical Practice (GCP) & Research Billing Audit Plans from Practical Risk Assessments

Level: Intermediate

**GABRIELLA NEFF**, Research Compliance Officer, Moffitt Cancer Center

**WENDY PORTIER**, Independent Consultant, Portier and Associates LLC

- Discuss strategies for developing risk-based audit plans using thoughtful and pragmatic risk assessments, sampling/testing techniques, and internal versus external auditors
- Examine nuances of “process audits” versus audits of individual studies, including phase one studies and investigator-initiated trials
- Share success stories and lessons learned conducting risk assessments, GCP, and billing audits

## 104 Handling Multi-Faceted Investigations Related to Research

Level: Intermediate

**ANA ANDZIC TOMLINSON**, Executive Director, Research Integrity and Compliance, University of New Mexico

- How to conduct an effective internal investigation in research compliance despite slippery slopes
- How to deal with the phenomenon of “expecting the unexpected”
- What to do to help prepare your institution for public scrutiny caused by the investigation

12:00–1:00 PM

Lunch (Provided)

1:00–2:00 PM

## 105 A Hidden Minefield? Navigating Legal and Compliance Risks in Research Budgeting and Compensation

Level: Advanced

**ANDREA FERRARI**, Partner, Jones Walker LLC

- In depth review of legal and regulatory risks in requesting, allocating, and paying research dollars
- Discussion of recent enforcement actions and litigation that illustrate risks related to improper budgeting and payments
- Ten tips for a compliance process that mitigates risk

## 106 Complexities of Data Sharing with External Researchers

Level: Intermediate

**KELE PIPER**, Director, Research Compliance, Massachusetts General Hospital

**FARIBA HOUMAN**, Research Compliance Officer, Boston Children’s Hospital

- Understand the background for undue influence concerns and the tension research security measures can create with open scientific collaborations
- Understand the regulatory and institutional reasons why data security measures are necessary.
- Learn about the complexity of implementing enterprise-wide changes and the intricacies of managing multiple stakeholders to enable a procedural change

2:00–2:15 PM

Networking Break

2:15–3:15 PM

## 107 Instituting Improvement and Quality Assurance in an Animal Care and Use Program in Transition

Level: Intermediate

**DR. JULIE DOHERTY**, DM, MSN, RN, CIP, CCEP, Assistant Vice President, Research Compliance, Office of Accountability & Compliance, University of Maryland-Baltimore

**SARAH N. ARCHIBALD**, PhD, MS, MDE, MA, CCEP, Director, Auditing & Monitoring, University of Maryland-Baltimore

- Identifying program concerns through subject matter expert collaboration and coordination
- Standardizing practices and promoting education and training to create consistency
- Crafting a quality assurance program to embed improvements into the culture

## 108 Increasing Diversity in Clinical Research

Level: Intermediate

**JASON JOHNSON**, Partner, Moses & Singer LLP

- Identifying and understanding the importance and benefits of diversity in research
- Discuss recent FDA/NIH/state/industry guidance for addressing diversity in research
- Strategies for increasing diversity to meet laws, guidance and requirements

3:15–3:30 PM

Networking Break

3:30–4:30 PM

## 109 CMS Open Payments Expansion: Impacts to Research Conflicts of Interest

Level: Basic

**CATHARINE FORTNEY**, Vice President, Chief Compliance and Privacy Officer, Cone Health

**SANDRA SHUMAKER**, Director Hospital Compliance, Denver Health and Hospital Authority

- Understand what has changed under the CMS Open Payments Expansion
- Explore how the HHS OIG identifies and investigates high-risk industry-provider relationships
- Discuss practical tips on incorporating CMS Open Payments review into research COI processes

## 110 It's Not You, It's Me: Building and Maintaining Trust between Research Compliance/Administration Offices and the Research Community

Level: Intermediate

**JOHN BAUMANN**, Associate Vice President for Research Compliance, Indiana University

- Identify and discuss the importance of trust, respect, and legitimacy in the relationship between researchers and research compliance and administration offices
- Identify best practices for building trust, respect, and legitimacy between the researcher community and research compliance and administration offices
- Explore what participant offices can do to enhance trust/respect in their institution between the research community and research compliance and administration offices

4:30–6:00 PM

## Networking Reception

## Friday, June 10

7:00 AM–4:30 PM

## Registration Open

7:00–8:00 AM

## Continental Breakfast (Provided)

8:00–9:00 AM

## General Session: OHRP Compliance Update: Hot Topics

**LAURA ODWAZNY**, Senior Attorney, OGC US Department of Health and Human Services

- What's new with OHRP's implementation of the revised Common Rule
- An overview of regulatory flexibilities pertinent to research conducted during the COVID-19 pandemic
- Update on OHRP's compliance oversight activities

9:00–9:30 AM

## Networking Break (Exhibit Hall)

9:30–10:30 AM

## 111 Managing COI Compliance: The Importance of Disclosures in Publications & Presentations

Level: Intermediate

**MEREDITH NOTO**, Associate Director, Conflict of Interest and Export Control, UT Southwestern Medical Center

**STACY PRITT**, Associate Vice President, Research Support & Regulatory Management, UT Southwestern Medical Center

- Review compliance concerns with non-disclosure, including public opinion and backlash
- Understand current journal, association, and institutional requirements for COI disclosures
- Learn how to implement training and review processes to ensure compliance for COI disclosures

## 112 Data Use in Research: Limitations, Obligations, Myths, and Mysteries

Level: Intermediate

**MARTI ARVIN**, VP Chief Compliance Officer, Erlanger Health System

- Discussion of continued myths around the use and disclosure of data for research
- Overview of the limitations and obligations on the use and disclosure of data for research
- Myths and mysteries regarding the impact of the ONC Information Blocking Rule on acquiring data for research.

10:30–10:45 AM

## Networking Break

10:45–11:45 AM

## 113 Expanding the Plan: Integrating Research Compliance Risk Assessments into Existing Monitoring Programs

Level: Intermediate

**MARSHA WALLACE, RN, MHA/Ed.,** Research Quality Assurance Monitor III, Children’s Hospital of Philadelphia, Roberts Center for Pediatric Research

- Integrating research compliance risk assessments into existing compliance monitoring programs
- Discuss considerations, priorities, and options with operationalizing a risk assessment plan
- Communicating results of work, developing targeted training, and education

## 114 NIST Cybersecurity Framework = A Credible & Prescriptive Standard

Level: Basic

**UDAY ALI PABRAI, CEO,** ecfirst

- Establishing an evidence-based program based NIST standards
- Achieving CMMC Certification, a new DoD cyber standard based on NIST
- Managing the cyber supply chain to mitigate risk from business associates and third parties

11:45 AM–12:45 PM

Lunch (Provided)

12:45–1:45 PM

## 115 Point of Care Training for Research Coordinators

Level: Basic

**CAROLE KLOVE,** General Counsel and Chief Nursing Officer, Elemeno Health

**KELLY WILLENBERG, CEO,** Kelly Willenberg and Associates

- Explore a point of care or pocket training for study coordinators
- Optimize efficiencies and increase patient safety
- Define new strategies for training

## 116 Optimizing a Conflict of Interest, Conflict of Commitment, and External Activities for Pay Reporting Process

Level: Basic

**MICKI JERNIGAN, AVP Ethics & Compliance,** Enterprise Privacy Officer, Augusta University and Augusta University Health

- Understand the difference and similarities in COI, COC, and EAP
- Discuss common roadblocks that create inefficiencies
- Learn how to coordinate reporting for the end users and reviewers

1:45–2:00 PM

Networking Break

2:00–3:00 PM

## 117 21<sup>st</sup> Century Cures Act: What Is It and How to Operationalize It

Level: Basic

**AUREA FLORES,** Senior Director, Quality and Compliance, Matrix Clinical Trials, DCT

- Describe the 21<sup>st</sup> Century Cures Act
- Describe the 2020 amendment: Information blocking
- Describe how it affects clinical research operations

## 118 Sponsor-Investigators of Medical Device Studies: Creating Front-Line Institutional Compliance Systems

Level: Intermediate

**CHRISTINE NELSON,** Director, Office of Clinical Trials, University of North Carolina at Chapel Hill

- FDA regulations for clinical investigations with medical devices
- Elements to assess prior to study initiation for regulatory compliance
- An example of institutional level gate-keeping systems

3:00–3:15 PM

Networking Break

3:15–4:15 PM

## General Session: Navigating the Research Fraud and Abuse and Billing Rules

**EMILY COOK,** Partner, McDermott Will & Emery

**TONY MAIDA,** Partner, McDermott Will & Emery

- Review Medicare research-related services and clinical trial billing rules and common challenges
- Explore anti-kickback, Stark Law, and patient inducement issues with provider and patient financial arrangements
- Discuss strategies for identifying and addressing potential non-compliance



# Research Compliance Conference

June 8–10 • Anaheim, CA



## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_ Member/Account ID (if known/applicable) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### Options

<input type="checkbox"/> Member (Thursday and Friday)	\$899
<input type="checkbox"/> Non-Member (Thursday and Friday)	\$1,099
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$1,119
<input type="checkbox"/> Member Pre-Conference (Wednesday)	\$249
<input type="checkbox"/> Non-Member Pre-Conference (Wednesday)	\$279

\*Save by joining today (first-time members only). Dues renew at \$325. See "Acknowledgements" below for details.

### Group Discount

<input type="checkbox"/> Group Discount for 3 or More**	(\$50)
<input type="checkbox"/> Group Discount for 10 or More**	(\$100)

\*\*See "Group Discount Policy" under "Acknowledgments" below for details.

TOTAL \$ \_\_\_\_\_

### Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

**On-Site Cell Phone** (for emergency on-site use only) \_\_\_\_\_

**HCCA Membership:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc). To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

**Opt-Out:** Select if you would like to opt-out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

## SECTION 3 Payment

Online registration at [hcca-info.org/2022research](http://hcca-info.org/2022research)

Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146

Email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email.

Email this form without credit card information, then call HCCA at 952.988.0141 or 888.580.8373 with your payment.

- Invoice me  
 Check enclosed (payable to HCCA)  
 Wire transfer requested  
 I authorize HCCA to charge my credit card:  Visa  MasterCard  Discover  American Express

Credit Card Account Number \_\_\_\_\_ Expiration Date \_\_\_\_\_

Cardholder Name \_\_\_\_\_ Cardholder Signature \_\_\_\_\_

Billing Address \_\_\_\_\_ Billing Zip/Postal Code \_\_\_\_\_

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [hcca-info.org/conference/tandc](http://hcca-info.org/conference/tandc), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at [hcca-info.org/conference/tandc](http://hcca-info.org/conference/tandc).

**Group Discount Policy:** Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**New Members:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc).

**Photo/Video Release:** By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Questions? Call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

## Frequently Asked Questions

### Where will the conference take place?

Anaheim Marriott, 700 West Convention Way, Anaheim, CA 92802

A reduced rate of \$239 per night plus applicable taxes (currently 17% and \$.94 state tourism fee; subject to change) for single/double occupancy has been arranged. To secure a reservation, visit [bit.ly/2022rehe](http://bit.ly/2022rehe) or call 714.750.8000 and reference SCCE/HCCA. A deposit equal to the first night's room rate plus tax will be made at the time of reservation as a guarantee. The cutoff date to receive this discounted rate is May 17 or when the group block is full, whichever comes first. Confirmation of rooms after the cut-off date will only be accepted based on availability and at the hotel's prevailing rates. Discounted group rate may be applicable three (3) days pre/post arrival and departure dates, subject to availability.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

### What is included in the cost of my attendance?

You will receive access to the sessions, supplemental conference materials, networking opportunities, exhibitor booths, and a complimentary lunch.

### What COVID-19 safety precautions will be implemented?

HCCA considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, HCCA will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, HCCA will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

### Can I see what sessions will be presented before I arrive on-site?

Yes, program information is posted on the conference website.

### Is there a group discount—if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 10 or more, please call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org).

### What do I get with "Registration + First Time Membership?"

If you've never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*® monthly magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at [hcca-info.org/membership](http://hcca-info.org/membership). Your membership will begin once payment is received.

### How do I use the credit on my account for this event?

You can complete the registration online and select the "Invoice Me" payment option at checkout. Once you receive your confirmation, email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) or call 952.988.0141 or 888.580.8373 to request your credit be applied toward the registration fee.

### Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) to complete your registration.

### How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org). A conference credit will be issued for all registration fees paid and will expire 12 months from the date of the original canceled event. Conference credits will not be issued if you do not attend the event and have not requested cancellation prior to the event start date. If sending a substitute, an additional fee may apply depending upon the membership status of the substitute.

### Who can I notify of special needs or accommodations prior to the event?

Please call HCCA at 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) if you have a special need and/or require an accommodation to participate.

## Continuing Education

### Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)® continuing education units (CEUs) for participation as well as other external credit types.

To see the most up-to-date CEU approval information, go to [hcca-info.org/2022research](http://hcca-info.org/2022research) and choose the Continuing Education option on the left-hand menu.

### How many CEUs will I learn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

### How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Only registered attendees are eligible to request CEUs for participation.

### When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your [hcca-info.org](http://hcca-info.org) account, go to your Account Dashboard, and scroll down to View My CEUs.

### I would like to sit for one of the Compliance Certification Board (CCB)® exams following this conference; will I qualify?

While this conference, if attended in full, can provide you with all the CEUs needed to meet the continuing education requirement, you will also need to review the applicable candidate handbook found at [hcca-info.org/candidate-handbooks](http://hcca-info.org/candidate-handbooks) to ensure you meet the work experience requirement.

### I have reviewed the Candidate Handbook and want to apply for the exam as soon as the conference concludes; what's next?

Immediately following the conclusion of the conference, if you have reviewed your Candidate Handbook and submitted your Application for Continuing Education Units (CEUs), you can go ahead and apply online for your exam at [hcca-info.org/apply-exam](http://hcca-info.org/apply-exam).

### Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA's website, [hcca-info.org/exam-information](http://hcca-info.org/exam-information).

### I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).