

Register by February 1 to save!

27th Annual Compliance Institute

April 23–26, 2023 • Anaheim, CA

April 24–26, 2023 • Virtual

The Compliance Institute (CI) is HCCA's primary educational and networking event for healthcare compliance professionals, offering the latest in real-world compliance issues, emerging trends, and practical applications to strengthen healthcare compliance programs. Choose the experience that works best for you, in-person or virtual.

IN-PERSON • APRIL 23–26 Anaheim Convention Center

- Enjoy four days of access to 121 educational sessions, participate in sessions in person, interact with the virtual audience, and view Live Broadcast sessions from anywhere
- Explore a wide variety of compliance sessions, encompassing 14 learning tracks
- Earn up to 26.4 live CCB CEUs
- Take an optional CCB certification exam offered on the last day
- Engage face-to-face with attendees, speakers, and solution providers
- Participate in a local volunteer project
- Connect with other attendees through SpeedNetworking (pre-registration required)
- Network with peers and colleagues during receptions on Sunday and Monday evenings
- Get 60-day access to all session recordings post conference

VIRTUAL • APRIL 24–26

- Enjoy three days of access to 63 educational sessions live-streamed from the Anaheim Convention Center (virtual attendees can only view sessions labeled Live Broadcast)
- Expand your compliance knowledge with sessions covering 12 different learning tracks
- Earn up to 19.2 live CCB CEUs
- Get 60-day access to all session recordings post conference
- Experience our robust virtual platform that allows you to engage during live sessions via chat, take notes, ask questions of the speakers, participate in polling, and network virtually with fellow attendees both in-person and virtual
- Learn more about CCB's remote proctored exam option



Learn more
hcca-info.org/2023CI



About the Compliance Institute

As our flagship healthcare compliance conference, HCCA's Compliance Institute (CI) has been providing educational resources and networking opportunities to healthcare compliance professionals for more than two decades. The CI's educational sessions are led by experienced industry leaders, offering a variety of topics and perspectives to expand your knowledge and skills.

With many different options to choose from, educational sessions are categorized by knowledge level: basic, intermediate, or advanced. Levels are meant as a guideline to allow participants to find the content most appropriate for their roles; for a description of each level, please see the next page.

In-person or virtual opportunities	 IN-PERSON	 VIRTUAL
Total sessions	121	63
CCB CEUs	Earn up to 26.4 live CCB CEUs	Earn up to 19.2 live CCB CEUs
Sunday		
Sunday sessions to choose from	Yes	No
On-site SpeedNetworking	Yes	No
Welcome Reception	Yes	No
Monday – Wednesday		
Access to general sessions	Yes	Yes
Concurrent sessions to choose from	In-person attendees have access to all sessions, including Live Broadcast sessions	Virtual attendees will only be able to view sessions that have Live Broadcast noted
Exhibit hall	Connect with 60+ Solution Providers face to face	Download Solution Provider info and connect via email
Networking during breaks and meals	Yes	No
On-site volunteer project	Yes	No
Headshots	Yes	No
Tuesday evening social event	Yes	No
On-site certification exam option	Yes	No (remote testing is available)

Session recordings

Registered attendees receive access to the recorded sessions for 60 days starting two weeks after the conference. Beyond 60 days, session recordings will be available for purchase.

Choosing your sessions

Session tracks

Sessions are categorized by topic to help you navigate your educational needs and interests.

Auditing & Monitoring

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

Behavioral Health

How do you balance behavioral health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

Case Studies

Get an inside look at real-life compliance problems and what organizations have done to find effective solutions—or how they failed to mitigate risk.

Compliance Law

Learn the legal basis for the healthcare compliance issues you manage, such as False Claims Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

Discussion Group

These sessions are interactive and designed to involve everyone in the room. Join roundtable discussions on popular healthcare compliance issues facilitated by industry leaders. Offered in-person only due to the interactive nature of these groups.

General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

How to Succeed as a Compliance Professional

Your leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

Managed Care

Designed for those who manage compliance at health plan providers with a focus on regulatory updates and the day-to-day issues that are specific to the managed care environment.

Physician Compliance

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

Post-Acute Care

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

Privacy & Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

Risk Management

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

Telehealth

Telehealth is changing the accessibility and availability of patient care. Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

Early Bird sessions

Expand your education and earn even more CEUs by attending an Early Bird session on Tuesday or Wednesday, 7:15–8:15 AM PT. Early Bird sessions will also be broadcast live to virtual attendees.

Knowledge levels

All breakout sessions have a knowledge level associated with them. These levels are just guidelines, and you are free to attend sessions of any level.

Basic: Program knowledge level most beneficial to compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

Intermediate: Program knowledge level that builds on a basic program. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

Advanced: This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.



Interested in becoming certified?

The Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], and Certified in Healthcare Research Compliance (CHRC)[®] exams will be offered at the in-person Compliance Institute on Wednesday, April 26.

Exam check-in: 1:30 PM PT

Exam time: 1:45–4:45 PM PT

Cost: HCCA members: \$275 | Non-members: \$375

Individuals must be preapproved to sit for the exams. To qualify, 20 CCB CEUs and the necessary work experience are required.

Compliance Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs.

For more information on how to apply for these exams, visit hcca-info.org/certification.

Questions? Email ccb@compliancecertification.org

Remote proctored exam option available

Attending the virtual Compliance Institute? CCB also offers the flexibility for candidates to take their exam remotely or at a local testing site. Please visit hcca-info.org/exam-information for more information.



Schedule at a glance

ALL TIMES LISTED ARE IN PACIFIC TIME (PT)

Saturday, April 22

1:00–6:00 PM PT Registration Open

Sunday, April 23 • Pre-Conference

7:00 AM–6:00 PM PT Registration Open

7:15–8:00 AM PT First-Time Attendee Breakfast (Invitation Only)

8:30–10:00 AM PT

BREAKOUT
SESSIONS

■ P1 Compliance: The Basics

■ P2 Conducting a Privacy Program Review

■ P3 Calling All Compliance Officers! Setting Up for Success from Day One

■ P4 Swimming with Sharks: A Compliance Officer's Guide on Working with Legal Counsel

■ P5 Don't Be Surprised! How Ambulatory Care Providers Can Streamline Compliance with the No Surprises Act and Its Good Faith Estimate Requirements While Increasing Patient Engagement and Maintaining Revenue Integrity

■ P6 Best Practices for New/Expanded Managed Care Program Implementations

P7 TBD

10:00–10:15 AM PT Coffee Break

10:15–11:45 AM PT

BREAKOUT
SESSIONS

■ P8 Millennial Mindset: Later Culture & Its Impact on the Workforce

■ P9 ONC's Information Blocking Rule: Requirements, Timelines, Exceptions, and How to Make It All Work

■ P10 Avoiding Death by PowerPoint as a Compliance Professional

■ P11 Adapting Available Resources for Creating a Site-Specific Audit Guide

■ P12 Implementation of Risk University

■ P13 Medicare, Medicaid, and Federal Exchange/Commercial Risk Areas

■ P14 Research Compliance Roundtable

11:45 AM–1:15 PM PT Lunch (on your own)

12:00–1:00 PM PT Optional: SpeedNetworking (pre-registration required)

1:15–2:45 PM PT

BREAKOUT
SESSIONS

■ P15 Project Lifecycle from Launching to Landing

■ P16 Compliance Investigations: a 'How To' Guide For A Successful Investigation

■ P17 Artistry in Motion, How to Mature the Program with Flair!

■ P18 340B Drug Discount Program: Key Compliance Elements and Updates

■ P19 Make Your FMV Policy Work for You (and Not the Other Way Around)

P20 TBD

■ P21 Privacy Officers Roundtable: Part 1

2:45–3:00 PM PT Coffee Break

3:00–4:30 PM PT

BREAKOUT
SESSIONS

■ P22 Building a Focus Arrangements Compliance Program: Facilitating Compliance with Stark & AKS for Your Referral Source Arrangements

■ P23 Reshaping Your Compliance Program Post COVID

■ P24 Engaging Clinicians in the Compliance Effort

■ P25 Medical Necessity: Adding Proactive Medical Necessity Monitoring to Your Compliance Program

■ P26 2023 OIG Risk Areas

■ P27 Not Another FYRE Festival—Don't Get Stuck on an Island: A Primer on Understanding Compliance with Medicare Marketing Guidelines

■ P28 Privacy Officers Roundtable: Part 2

4:30–6:00 PM PT Welcome Reception in Exhibit Hall

SESSION TRACK KEY

■ Auditing & Monitoring ■ Behavioral Health ■ Case Studies ■ Compliance Law ■ Discussion Group
■ General Compliance/Hot Topics ■ How to Succeed as a Compliance Professional ■ Investigations ■ Managed Care
■ Physician Compliance ■ Post-Acute Care ■ Privacy & Security ■ Risk Management ■ Telehealth

Schedule at a glance

ALL TIMES LISTED ARE IN PACIFIC TIME (PT)

Monday, April 24

7:00 AM–6:30 PM PT	Registration Open
7:00–8:00 AM PT	Continental Breakfast in Exhibit Hall
8:00–8:15 AM PT	Welcome and Opening Remarks • Live Broadcast
8:15–9:15 AM PT	GS1 GENERAL COMPLIANCE SESSION • Live Broadcast
9:15–9:45 AM PT	Coffee Break
9:45–10:45 AM PT BREAKOUT SESSIONS	101 No More Surprises: How to Comply with the No Surprises Act • Live Broadcast
	102 Office for Civil Rights: Enforcement Update • Live Broadcast
	103 False Claims Act: Compliance, Enforcement and Legal Standpoint • Live Broadcast
	104 Developing an Audit Engagement Scope and Approach While Leveraging External Resources • Live Broadcast
	105 Health Care Compliance Investigations Involving Senior Leaders or Board Members • Live Broadcast
	106 How to Successfully Launch Compliance in a Small Organization
	107 Physician Compensation: Managing and Monitoring Compliance Risks
	108 Patient Safety: Where Are My Gaps?
10:45–11:15 AM PT	Coffee Break
11:15 AM–12:15 PM PT BREAKOUT SESSIONS	201 The Pandemic Is Ending: What Providers Can Expect Next • Live Broadcast
	202 The Seven Habits of an Effective Compliance and Ethics Professional • Live Broadcast
	203 Reducing Risk in a Risk Adjustment Model • Live Broadcast
	204 Enforcement and Liability Update • Live Broadcast
	205 Safeguarding a Proactive Culture of Compliance with the Contract Therapy Provider in Skilled Nursing Facilities • Live Broadcast
	206 Medical Device Privacy & Security Compliance: From Development, Deployment, Maintenance, to Retirement
	207 EMTALA: To Self-Report Violations (or Not) and What To Do Next
	208 Where Ethics Meets Operations
12:15–1:30 PM PT	Lunch (provided)
1:30–2:30 PM PT BREAKOUT SESSIONS	301 Current OIG Concerns, Revisions to the CIA, and What Can Be Learned from Them • Live Broadcast
	302 HIPAA's Red-Headed Step Child: Group Health Plan Compliance • Live Broadcast
	303 Trending Fraud and Abuse Developments and How to Address Them • Live Broadcast
	304 Successful Risk-Based Audit Plan: Aligning Data-Mining Methodologies & Communication • Live Broadcast
	305 Incorporating Telehealth into Your Compliance Workplan • Live Broadcast
	306 High Risk Compliance: How to Protect Your Organization and Yourself
	307 Peer Review: Strong Partnerships Can Protect Everyone
	308 How to Develop an Effective Risk Management Program
2:30–3:00 PM PT	Coffee Break
3:00–4:00 PM PT BREAKOUT SESSIONS	401 TBA • Live Broadcast
	402 Changing Expectations of Compliance Officers: Are You Ready? • Live Broadcast
	403 EEEkkk: The Three E's of a Program Assessment • Live Broadcast
	404 Observation Status: Huge Difference in Revenue, Don't Get in a Trick Bag • Live Broadcast
	405 Keeping Pace with New Federal Rules on Patient Transparency for the Behavioral Health Provider • Live Broadcast
	406 Privacy Problems in the Pediatric Environment
	407 Update on Laboratory Issues
	408 Top Cyber-Risks to Include in Your Audit Plan: 2023 Update
4:00–4:30 PM PT	Coffee Break
4:30–5:30 PM PT BREAKOUT SESSIONS	501 The Zen of ESG Program Development: How to Create an ESG Program Aligned with the Elements of an Effective Compliance Program • Live Broadcast
	502 Breach Response: Covered Entity and Business Associate—Whose Role Is It Anyway? • Live Broadcast
	503 Strengthening Your Compliance Program against Anti-kickback Risk • Live Broadcast
	504 Ensuring Correct Billing and Coding for Provider Based Departments • Live Broadcast
	505 Building an Effective Investigation Triad: The Collaboration of Compliance, Quality and Human Resources • Live Broadcast
	506 An Engaging Compliance Program on a Budget: It's Possible!
	507 Coding Compliance Monitoring and Auditing for Physician Practices
	508 Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing between Regulatory Requirements and Guidance
5:30–6:30 PM PT	Social Event

SESSION TRACK KEY

- Auditing & Monitoring
- Behavioral Health
- Case Studies
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- General Compliance/Hot Topics
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- Investigations
- Managed Care
- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Risk Management
- Telehealth

Schedule at a glance

ALL TIMES LISTED ARE IN PACIFIC TIME (PT)

Tuesday, April 25

7:00 AM–5:00 PM PT	Registration Open
7:15–8:15 AM PT BREAKOUT SESSIONS	EB1 Culture of Integrity Boos and Cheers: Which Companies Have Ghosted Ethical Cultures and Which Are Living Their Best Lives? • Live Broadcast
	EB2 Fiduciary Duties and Compliance Program Oversight: Helping Your Board Succeed • Live Broadcast
	EB3 Maintaining Compliance through Patient-Centric Approaches • Live Broadcast
	EB4 Cybersecurity & Incident Response: 2023 Update on Threat Actors and Best Practices for Detecting, Avoiding and/or Responding to a Cybersecurity Incident • Live Broadcast
7:30–8:30 AM PT	Continental Breakfast in Exhibit Hall
8:30–8:45 AM PT	Opening Remarks & Awards Presentation • Live Broadcast
8:45–9:45 AM PT	GS2 GENERAL COMPLIANCE SESSION • Live Broadcast
9:45–10:15 AM PT	Coffee Break
10:15–11:15 AM PT BREAKOUT SESSIONS	601 Enhancing a DEA Compliant Control Substances Program within a Dynamic Research Environment • Live Broadcast
	602 Rising Strong, Building High Performing Compliance Teams • Live Broadcast
	603 How Might We? A Design Thinking Framework for Co-creating Effective Compliance Risk Mitigation • Live Broadcast
	604 ACOs and CINs: Auditing & Monitoring for Compliance Hotspots • Live Broadcast
	605 Medical Directors, Attending Physicians: How to Stay in Compliance with Regulations, Contracting and Credentialing • Live Broadcast
	606 Beyond HIPAA: Protecting Health Data in the Next Generation of Consumer Privacy Laws
	607 Compliance, Quality of Care, and Patient Safety
	608 What Healthcare Compliance Professionals Should Know about Cost Reports
11:15 AM–12:30 PM PT	Lunch (provided)
12:30–1:30 PM PT BREAKOUT SESSIONS	701 Point and Counterpoint: Enforcement and Defense Perspectives in Investigations • Live Broadcast
	702 It's De-identified, or Is It? • Live Broadcast
	703 Discovery Hot Topics in FCA Qui Tam Litigation • Live Broadcast
	704 Sampling and Extrapolations: How to Apply to Your Proactive and Problematic Audits • Live Broadcast
	705 Telehealth Post PHE • Live Broadcast
	706 Using Flowcharts to Reinforce and Strengthen the Efficiency of Compliance Program Activities, Project Management, and Process and Procedure Design
	707 Evaluation and Management (E/M) Coding and Documentation Guidelines for Physicians
	708 Discuss HHS-OIG Advisory Opinions with Former OIG Officials: Everything You've Always Wanted to Know about the Advisory Opinion Process (but Were Afraid to Ask)
1:30–2:00 PM PT	Coffee Break
2:00–3:00 PM PT BREAKOUT SESSIONS	801 The Compliance Committee: How to Change It from Just Another Meeting to a Vital Component of Your Compliance Program • Live Broadcast
	802 Extreme Makeover E&C Edition: ROI Pitch Fixer-Upper • Live Broadcast
	803 Enterprise Risk Management Black Swan Event: Never Saw It Coming • Live Broadcast
	804 The Anatomy and Pathology of the Deal: A Post Mortem Analysis • Live Broadcast
	805 Managing the Disclosure of PHI to Law Enforcement during Crisis Encounters • Live Broadcast
	806 How to Secure the Remote Worker
	807 Do's and Don'ts of Overpayment Audits
	808 Clinical Research Billing Auditing: A Simplified Approach to Effective Risk Mitigation
3:00–3:30 PM PT	Coffee Break
3:30–3:45 PM PT	Closing Remarks & Award Presentation • Live Broadcast
3:45–4:45 PM PT	GS3 GENERAL COMPLIANCE SESSION • Live Broadcast

SESSION TRACK KEY

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 ■ Investigations
 ■ Managed Care
■ Physician Compliance
 ■ Post-Acute Care
 ■ Privacy & Security
 ■ Risk Management
 ■ Telehealth

Schedule at a glance

ALL TIMES LISTED ARE IN PACIFIC TIME (PT)

Wednesday, April 26

7:00 AM–12:15 PM PT	Registration Open
7:15–8:15 AM PT BREAKOUT SESSIONS	WEB1 Using Data to Reduce False Claims Act (FCA) Risks • <i>Live Broadcast</i>
	WEB2 Third Party: Effective Management of Third Party Risk • <i>Live Broadcast</i>
	WEB3 Health Care and Private Equity: Compliance, Control, Ethical and Mission Risks • <i>Live Broadcast</i>
	WEB4 Dealing with Stakeholder Pushback • <i>Live Broadcast</i>
8:15–8:30 AM PT	Coffee Break
8:30–9:30 AM PT BREAKOUT SESSIONS	W1 Harmonizing Your Compliance Program across Lines of Business • <i>Live Broadcast</i>
	W2 What We Can Learn from a Review of 100 Standards of Conduct and How They May Help in the Development of Our Own Standards of Conduct • <i>Live Broadcast</i>
	W3 Issues Whistleblowers Are Calling about in 2023 and Compliance Steps to Avoid Problems • <i>Live Broadcast</i>
	W4 Auditing Leased Instances of EMRs: Whose Job Is It Anyway? • <i>Live Broadcast</i>
	W5 Building a Strong Compliance Infrastructure: Lessons Learned from Physician Practice Diligence
	W6 Telehealth: The Past, Present, Future
9:30–9:45 AM PT	Coffee Break
9:45–10:45 AM PT BREAKOUT SESSIONS	W7 AI Ethics & Compliance: The Next Frontier • <i>Live Broadcast</i>
	W8 Compliance 3.0: Lessons Learned in Rebuilding a Program and Putting It to the Test When OCR Comes Knocking • <i>Live Broadcast</i>
	W9 Ripped from the Headlines: Fraud Trends of Physician Assistant and Nurse Practitioner Practice • <i>Live Broadcast</i>
	W10 When Outside Interests Become Inside Problems: Challenges, Successes, and Pragmatic Solutions for Conflicts of Interest Programs • <i>Live Broadcast</i>
	W11 Risk Stratification and Auditing under PDPM
10:45–11:00 AM PT	Coffee Break
11:00 AM–12:00 PM PT BREAKOUT SESSIONS	W13 Compliance and Internal Audit: Conducting and Coordinating Successful Joint Risk Assessments & Work Plans • <i>Live Broadcast</i>
	W14 Driving Compliance after the Deal: Learning from Integration Planning 1, 2, 3 Years Post-acquisition • <i>Live Broadcast</i>
	W15 Research and HIPAA: Why Is It Still a Problem • <i>Live Broadcast</i>
	W16 Creating and Demonstrating the Value of Regulatory Compliance and Shaping a Culture of Compliance in Healthcare • <i>Live Broadcast</i>
	W17 Systemic Approach to Data-Driven Risk and Issue Management
1:30 PM PT	EXAMS CHECK-IN: Certified in Healthcare Compliance (CHC) [®] , Certified in Healthcare Privacy Compliance (CHPC) [®] , and Certified in Healthcare Research Compliance (CHRC) [®]
1:45–4:45 PM PT	EXAMS (OPTIONAL): Certified in Healthcare Compliance (CHC) [®] , Certified in Healthcare Privacy Compliance (CHPC) [®] , and Certified in Healthcare Research Compliance (CHRC) [®]

SESSION TRACK KEY

■ Auditing & Monitoring
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 ■ How to Succeed as a Compliance Professional
 ■ Investigations
 ■ Managed Care
■ Physician Compliance
 ■ Post-Acute Care
 ■ Privacy & Security
 ■ Risk Management
 ■ Telehealth

Saturday, April 22

1:00–6:00 PM PT

Registration Open

Sunday, April 23

7:00 AM–6:00 PM PT

Registration Open

7:15–8:00 AM PT

First-time Attendee Breakfast (invitation only)

8:30–10:00 AM PT

P1 Compliance: The Basics

Track: General Compliance/Hot Topics

Level: Basic

DEBBIE TROKLUS, President, Troklus Compliance Consulting, SCCE & HCCA Board Member

SHERYL VACCA, SVP/Chief Risk Officer, Providence St. Joseph Health, SCCE & HCCA Board Member

- Understand the seven elements and how to implement them
- Discuss compliance program implementation
- Understanding the effectiveness attributes of a compliance program

P2 Conducting a Privacy Program Review

Track: Privacy & Security

Level: Basic

MICKI R. JERNIGAN, Privacy and Compliance Professional

KELLIE J. WORLEY, Senior Associate, Schellman

- Whether your organization's privacy program is new or old, how to start a privacy program review
- How to apply the 7 elements of the U.S. sentencing guidelines to the privacy program review
- Integrate the findings into work plans for remediation and maturation of the privacy program

P3 Calling All Compliance Officers! Setting Up for Success from Day One

Track: How to Succeed as a Compliance Professional

Level: Intermediate

ALKA D. KUMAR, Privacy & Compliance Consultant, Clearwater

SUSAN K. CRAIG, Senior Associate, Schellman & Company

- Assess the organization's culture, break down silos, identify compliance partners, and integrate compliance into strategic initiatives
- Conduct a high-level compliance risk assessment to establish priorities
- Implement different strategies to leverage limited resources to achieve compliance goals

P4 Swimming with Sharks: A Compliance Officer's Guide on Working with Legal Counsel

Track: Compliance Law

Level: Intermediate

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

NIURKA I. ADORNO-DAVIES, Associate Vice President Compliance, Molina Healthcare of South Carolina & Molina Healthcare of Puerto Rico, SCCE & HCCA Board Member

- Friend or Foe: Navigating the different roles and approaches by exploring the different perspectives that compliance and legal counsel bring and how to navigate the relationship
- Aquarium and Ocean: Navigating the differences between working with in-house vs. outside legal counsel
- A Symbiotic Relationship: The why, when and how to partner with legal counsel to conduct investigations under the attorney-client privilege

P5 Don't Be Surprised! How Ambulatory Care Providers Can Streamline Compliance with the No Surprises Act and Its Good Faith Estimate Requirements While Increasing Patient Engagement and Maintaining Revenue Integrity

Track: Physician Compliance

Level: Basic

SUSAN PRIOR, Principal, BerryDunn

ROBYN M. HOFFMANN, Senior Manager, BerryDunn

- Define key components of the No Surprises Act requiring provision of a Good Faith Estimate, the anatomy of a compliant GFE, and HHS enforcement mechanisms for non-compliance
- Discuss the panoply of applicable laws and regulations to consider in addition to NSA when developing financial assistance and cost-sharing policies, such as state balance billing laws, Beneficiary Inducement, Fair Credit Reporting, MFN rules, and ERISA
- Apply standardized methodologies and the tools shared by the presenters to develop patient-friendly, NSA compliant, scalable workflows for any size or specialty medical practice or ambulatory services provider

P6 Best Practices for New/Expanded Managed Care Program Implementations

Track: Managed Care

Level: Intermediate

HEATHER KNEA, Medicaid Product Architect, Medica

ERIN MISKELL, Consultant, ATTAC Consulting Group LLC

JENNIFER VENDITTI, ATTAC Consulting Group

- Ensure that your implementation balances new requirements with existing business operations
- Address Privacy and Security: Impacts for HIE, consumer experience/outreach and vendor partners
- Align system configuration and business rules with regulatory and operational policies

P7 TBD

Track: Discussion Group

10:00–10:15 AM PT

Coffee Break

10:15 – 11:45 AM PT

P8 Millennial Mindset: Later Culture & Its Impact on the Workforce

Track: General Compliance/Hot Topics

Level: Intermediate

KIRSTEN E. LISTON, Founder & Principal, Rethink Compliance LLC

GIOVANNI GALLO, Co-CEO and Chief Development Officer, ComplianceLine

NICK GALLO, Co-CEO, Chief Servant, ComplianceLine

- Understand how life experiences have shaped the mindset: How Millennials compare and contrast to Gen Z
- Discover how this combined group can be actualized to help crowdsource risk at scale sustainably
- Learn how to activate them as human sensors to reinforce an authentic culture of integrity

P9 ONC's Information Blocking Rule: Requirements, Timelines, Exceptions, and How to Make It All Work

Track: Privacy & Security

Level: Advanced

JEANNETTE DIAZ, Director, Summit Health and CityMD

MICHELLE O'NEILL, Senior Vice President, Compliance, Summit Health and CityMD

- What is information blocking?
- Compliance requirements and timelines
- Exceptions and overcoming obstacles

P10 Avoiding Death by PowerPoint as a Compliance Professional

Track: How to Succeed as a Compliance Professional

Level: Basic

DR. JOE PEREZ, Senior Systems Analyst, NC Department of Health & Human Services

- A recent UCLA study found that for the most effective communication, 36% of the message comes from your voice, 57% of the message comes from your nonverbal communication, and only the remaining 7% comes from your content: This should direct your focus
- Watching paint dry is more interesting than enduring a flat, lifeless presentation from a speaker who subjected you to the dreaded Death by PowerPoint syndrome: Find out how to break this mold and avoid doing the same thing to your audience
- Discover the principles that could revolutionize your speaking style: See the three personalities you want to shy away from as you adopt the four Essential E's of dynamic speaking, thereby overcoming four unknown enemies and avoiding Death by PowerPoint

P11 Adapting Available Resources for Creating a Site-Specific Audit Guide

Track: Auditing & Monitoring

Level: Basic

ASHLYNN MARTIN, Senior Compliance Professional, Renown Health

AMY J. SCOTT, Compliance Coordinator, Renown Health

- Creating a non-internal audit department guide for an effective, efficient, and ethical audit
- Is everyone auditing the same? Standardizing audit processes and procedures
- Creating consistent templates and tools: Do you have what you need to document?

P12 Implementation of Risk University

Track: Risk Management

Level: Basic

MARY ANN MURDOCH

NATALIE BULGER, Risk Manager, VHACO Office of Integrity and Compliance (10OIC)

ANDRIA NICHOLS, Compliance Auditor, VHACO Office of Integrity and Compliance (10OIC)

- Understand the value of training an entire work unit vs. classes of individuals
- Gain an appreciation of the importance of integrating policy, tools and training
- Develop a collaborative approach to risk management from the top down and the bottom up

P13 Medicare, Medicaid, and Federal Exchange/ Commercial Risk Areas

Track: Managed Care

Level: TBA

LAURENA LOCKNER, Director Monitoring & Compliance, HealthPartners

STEPHANIE MOSCETTI, Senior Manager, HealthPartners

STEVE BUNDE, Vice President Integrity & Compliance & Internal Audit, HealthPartners

P14 Research Compliance Roundtable

Track: Discussion Group

Level: TBA

KELLY M. WILLENBERG, CEO, Kelly Willenberg and Associates, SCCE & HCCA Board Member

- Analyze the demands you face daily
- Formulate strategies and solutions
- Measure success while moving forward and solutions happening in research compliance

11:45 AM – 1:15 PM PT

Lunch (on your own)

12:00 – 1:00 PM PT

Optional: SpeedNetworking
(pre-registration required)

1:15 – 2:45 PM PT

P15 Project Lifecycle from Launching to Landing

Track: General Compliance/Hot Topics

Level: Intermediate

CALIN M. ELARDI, Senior Compliance Consultant, Protiviti

KAYLA M. HUISENGA, Compliance Manager, Protiviti

- Project Management is more than just the nuts and bolts of “doing the work”
- Learn steps that are involved in not only launching but landing a project successfully
- Overview of tools that will help you manage projects in an efficient manner

P16 Compliance Investigations: a ‘How To’ Guide For A Successful Investigation

Track: Investigations

Level: Intermediate

MARIAH E. MILLER, Compliance Specialist, Keystone Human Services

LINDSAY M. LEBO, Director of Corporate Compliance & Privacy Officer, Keystone Human Services

- Understanding the components of an internal investigation
- Analyze evidence for the development of internal reports and debriefs
- Applying attained investigative knowledge through a case study

P17 Artistry in Motion, How to Mature the Program with Flair!

Track: How to Succeed as a Compliance Professional

Level: Advanced

VALERIE T. CLOUD, Chief Compliance Officer, Summa Health

MICHELE R. DRAKE, Regional Sales Manager, Emerging Solutions, Imprivata

- Master the art of delivering the news to mature the effectiveness of your compliance program
- Position your program dashboard to meet compliance objectives, brand your message with simplicity
- Use recent events to develop and deliver effective scenario-based education

P18 340B Drug Discount Program: Key Compliance Elements and Updates

Track: Compliance Law

Level: Basic

WILLIAM VON OEHSEN, Principal, Powers Pyles Sutter & Verville PC

MARK OGUNSUSI, Associate Attorney, Powers Pyles Sutter & Verville PC

- Discuss 340B Program basics, general compliance requirements, and common risks
- Summarize key elements of 340B contract pharmacy arrangements and emerging compliance opportunities
- Explore developments in patient eligibility, government audits, and self-auditing best practices

P19 Make Your FMV Policy Work for You (and Not the Other Way Around)

Track: Physician Compliance

Level: Intermediate

TERENCE OU, Vice President of Compliance, Internal Audit, Risk Management, Huntington Hospital

BRIAN S. COLONNA, Director of Compliance, Renown Health

ALLISON PULLINS, Vice President, Chief Strategy and Operating Officer, MD Ranger Inc

- Understand the regulations that govern physician transactions and the risks associated with them
- Learn to design simple and compliant FMV policies that work for your organization, not against it
- Remove points of friction in the FMV process and apply strategies to lower cost and complexity

P20 TBD

Track: Managed Care

P21 Privacy Officers Roundtable: Part 1

Track: Discussion Group

Level: Advanced

MARTI ARVIN, Vice President, Chief Compliance Officer, Erlanger Health System

JOAN M. PODLESKI, Chief Privacy Officer, Children’s Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

- Collaborative discussion of challenging issues privacy professionals are facing
- Audience-driven topics for discussion with colleagues and facilitators
- Sharing practical solutions from experts and peers for the never ending day-to-day privacy struggles

2:45 – 3:00 PM PT

Coffee Break

3:00 – 4:30 PM PT

P22 Building a Focus Arrangements Compliance Program: Facilitating Compliance with Stark & AKS for Your Referral Source Arrangements

Track: General Compliance/Hot Topics

Level: Advanced

DWIGHT CLAUSTRE, Retired Compliance Professional

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Discuss strategies for assuring compliance with various types of referral source arrangements
- Review and understand the key components of a successful focus arrangements compliance program
- Participate in exercises that will prepare you to implement a focus arrangements compliance program

P23 Reshaping Your Compliance Program Post COVID

Track: Post-Acute Care

Level: Intermediate

DONNA L. GOSSELIN, Director of Corporate Compliance and Quality, Maine Veterans' Homes

DEB FOURNIER, Chief Operations Officer retired 7/1/2022, Maine Veterans' Homes

- Describe compliance program elements to include in an effective compliance program
- Differentiate compliance programs pre and post COVID-19 pandemic
- Identify four strategies and action steps to strengthen your facility compliance program

P24 Engaging Clinicians in the Compliance Effort

Track: How to Succeed as a Compliance Professional

Level: Intermediate

COLLEEN A. DENNIS, Audit & Compliance Supervisor, Children's Hospital – Colorado Springs

KENNETH M. JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

- Explore engaging clinicians in compliance training initiatives
- Explore engaging clinicians in relationship building to facilitate a stronger compliance culture
- Explore how engaging clinicians can help improve accountability in reviews and corrective action plans

P25 Medical Necessity: Adding Proactive Medical Necessity Monitoring to Your Compliance Program

Track: Auditing & Monitoring

Level: Intermediate

C.J. WOLF, Faculty, Brigham Young University-Idaho

- Discuss the differences between proactive and reactive monitoring for medical necessity
- Compare 'medical necessity' from a clinician's perspective vs. payor policy perspective
- Highlight enforcement cases involving allegations of medically unnecessary services

P26 2023 OIG Risk Areas

Track: Risk Management

Level: Basic

SEAN M. WEISS, Partner, Vice President & Chief Compliance Officer, Doctors Management LLC

- Learn the importance of a practice-wide compliance program and how to set one up
- Mitigate risks by learning where to focus audit efforts
- Discover how the False Claims Act could impact your practice

P27 Not Another FYRE Festival—Don't Get Stuck on an Island: A Primer on Understanding Compliance with Medicare Marketing Guidelines

Track: Managed Care

Level: TBA

SUSAN ANDERSON, Vice President Corporate Compliance Officer, CareSource

P28 Privacy Officers Roundtable: Part 2

Track: Discussion Group

Level: Advanced

MARTI ARVIN, Vice President, Chief Compliance Officer, Erlanger Health System

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

- Collaborative discussion of challenging issues privacy professionals are facing
- Audience-driven topics for discussion with colleagues and facilitators
- Sharing practical solutions from experts and peers for the never ending day-to-day privacy struggles

4:30 – 6:00 PM PT

Welcome Reception in Exhibit Hall

Monday, April 24

7:00 AM – 6:30 PM PT

Registration Open

7:00 – 8:00 AM PT

Continental Breakfast in Exhibit Hall

8:00 – 8:15 AM PT

Welcome & Opening Remarks

• *Live Broadcast*

8:15 – 9:15 AM PT

GS1 GENERAL COMPLIANCE SESSION • *Live Broadcast*

9:15 – 9:45 AM PT

Coffee Break

9:45 – 10:45 AM PT

101 No More Surprises: How to Comply with the No Surprises Act • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Basic

NOELLE H. TRUE, Senior Compliance Manager, University of Kentucky HealthCare

- Understand the requirements of the No Surprises Act
- Appreciate the importance of involving multiple stakeholders in NSA compliance
- Gain tips on how to maintain and monitor NSA compliance

102 Office for Civil Rights: Enforcement Update • *Live Broadcast*

Track: Privacy & Security

Level: TBA

SPEAKERS TBA

103 False Claims Act: Compliance, Enforcement and Legal Standpoint • *Live Broadcast*

Track: Compliance Law

Level: Intermediate

RONALD CHAPMAN II, Attorney, Chapman Law Group

ERIC RUBENSTEIN, Advize Health

SEAN M. WEISS, Partner, Vice President & Chief Compliance Officer, Doctors Management LLC

- Enhance knowledge of impacts of the False Claims Act on practices
- Discover current trends in healthcare fraud enforcements
- Identify suspected overpayments and how best to handle

104 Developing an Audit Engagement Scope and Approach While Leveraging External Resources • *Live Broadcast*

Track: Auditing & Monitoring

Level: Intermediate

SARA E. COVERSTONE, Chief Compliance & Privacy Officer, Northern Arizona Healthcare

JAMES A. ROSE, Managing Director, SunHawk Consulting LLC

- How to assess the risk of non-compliance
- Designing the audit approach: Controls testing vs substantive testing
- Reporting your audit opinion: Adequacy of process design and effectiveness of process implementation

105 Health Care Compliance Investigations Involving Senior Leaders or Board Members • *Live Broadcast*

Track: Investigations

Level: Intermediate

AUTUMN M. SMALLWOOD, Deputy Chief Compliance Officer, Moffitt Cancer Center

DONNETTA HORSEMAN, Chief Compliance Officer, Moffitt Cancer Center

- Learn how to navigate an investigation that leads to those at the top of the organization
- Walk through real-life case studies of investigations involving senior leaders/board members
- Understand the importance of securing protection of your position as Compliance Officer

106 How to Successfully Launch Compliance in a Small Organization

Track: How to Succeed as a Compliance Professional

Level: Basic

DIANNE KOVAL, Chief Operations Officer, MedAllies, Inc.

- Learn where you can start with an example of a year one compliance plan
- Understand how culture and leadership can motivate employees to want to be a part of compliance
- Obtain low cost and free tools and resources used to promote compliance

107 Physician Compensation: Managing and Monitoring Compliance Risks

Track: Physician Compliance

Level: Intermediate

ANDREA C. MERRITT, Principal, Athena Compliance Partners

- Address key risks and mitigating factors associated with physician compensation arrangements
- Review physician compensation models, including employed and contracted
- Discuss various monitoring techniques to control risk and ensure compliance

108 Patient Safety: Where Are My Gaps?

Track: Risk Management

Level: Advanced

DEB MCCracken, Chief Risk & Corporate Compliance Officer, Benefis Health System

JULIE WALL, Senior Vice President, Benefis Health System

- Identify key gaps in patient safety that occur in healthcare organizations
- List strategies to effectively close patient safety gaps
- Apply patient safety assessment and improvement processes

10:45 – 11:15 AM PT

Coffee Break

11:15 AM – 12:15 PM PT

201 The Pandemic Is Ending: What Providers Can Expect Next • [Live Broadcast](#)

Track: General Compliance/Hot Topics
Level: Intermediate

KIMBERLY L. BRANDT, Partner, Tarplin, Downs & Young

- CMS flexibilities (1135 and regulatory flexibilities) overview
- Current status of waivers, regulatory flexibilities and supplemental funding
- What can providers expect next in terms of permanent flexibilities, audits and enforcement activity?

202 The Seven Habits of an Effective Compliance and Ethics Professional • [Live Broadcast](#)

Track: How to Succeed as a Compliance Professional
Level: Basic

DANIEL R. ROACH, Chief Compliance Officer, Optum 360

- Our success is driven both by others and by how we approach our work: Understanding how to be more effective at a personal level is the first step in being a more effective and respected leader in your organization
- Understand common behaviors that promote and detract from our effectiveness, and how to mitigate our weaknesses and build on our strengths
- Ultimately, we are responsible for our own success: Explore numerous concrete examples of how you can position yourself for success in both your current role and in future roles

203 Reducing Risk in a Risk Adjustment Model • [Live Broadcast](#)

Track: Risk Management
Level: Intermediate

KIMBERLY A. LANSFORD, Executive Vice President, Business Risk Services & CCO, Penn State Health

AMY BAILEY, Principal, HBE Advisors

- Review operational considerations and impact of risk adjustment coding
- Examine most recent settlements
- Discuss auditing and monitoring recommendations

204 Enforcement and Liability Update • [Live Broadcast](#)

Track: Physician Compliance
Level: Intermediate

SEAN MCKENNA, Spencer Fane, LLP

LAUREN NELSON, Spencer Fane, LLP

- Learn from former enforcement attorneys about liability
- Obtain insight to investigations and responses
- Hear tips regarding compliance mitigation strategies

205 Safeguarding a Proactive Culture of Compliance with the Contract Therapy Provider in Skilled Nursing Facilities • [Live Broadcast](#)

Track: Post-Acute Care
Level: Intermediate

TRACY CONNOR, VP of Compliance, Nexion Health

AMY PHIPPS, Chief Clinical and Compliance Officer, Reliant Rehabilitation

- Develop key quality and performance indicators to assess the compliance partnership effectiveness
- Differentiate between proactive and reactive cultures of compliance and the risks associated
- Define steps for establishing, monitoring, and implementing a partnership of compliance integrity

206 Medical Device Privacy & Security Compliance: From Development, Deployment, Maintenance, to Retirement

Track: Privacy & Security
Level: Basic

LAUREN WHITE, Associate Director Health Information Security, MCRA, LLC

MELISSA L. PAPER, Vice President Healthcare Compliance, MCRA

- Medical device cybersecurity by design and FDA guidance
- Medical device privacy and cybersecurity deployment risks and consideration
- Medical device program compliance from maintenance to end of life

207 EMTALA: To Self-Report Violations (or Not) and What To Do Next

Track: Compliance Law
Level: Intermediate

MARY ELLEN PALOWITZ, Senior Managing Director, DENTONS

SAUNDRA DENHAM, Senior Vice President, Compliance, Risk & Audit Services, University of Louisville Health, Inc.

- Review federal and state requirements to report possible violations of EMTALA
- Identify benefits of self-reporting while juggling the organization's business decision
- Address the noncompliance and develop a plan of correction

208 Where Ethics Meets Operations

Track: Auditing & Monitoring
Level: Basic

MCKENZIE FRAZIER, Vice President, Compliance & Compliance Officer, Central Health

- Differentiate between the importance of the four core ethical values
- Understand the importance of having a code of conduct
- Establishing an environment of awareness

12:15 – 1:30 PM PT

Lunch (provided)

1:30 – 2:30 PM PT

301 Current OIG Concerns, Revisions to the CIA, and What Can Be Learned from Them • [Live Broadcast](#)

Track: General Compliance/Hot Topics

SPEAKERS TBA

- Current concerns OIG is seeing in their monitoring of CIAs and how OIG is addressing them in the newest CIAs
- Compliance officer responsibility creep
- Claims and arrangements reviews

302 HIPAA's Red-Headed Step Child: Group Health Plan Compliance • *Live Broadcast*

Track: Privacy & Security

Level: Intermediate

ADAM GREENE, Partner, Davis Wright Tremaine, LLP

- Identify when the group health plan can disclose protected health information to the plan sponsor
- Differentiate between compliance obligations that fall on the insurance issuer, TPA, and employer
- Analyze strategies for combining group health plan and health care provider data

303 Trending Fraud and Abuse Developments and How to Address Them • *Live Broadcast*

Track: Compliance Law

Level: Advanced

TONY MAIDA, Partner, McDermott Will & Emery

ROBERT WADE

- Review key enforcement actions and guidance on Anti-Kickback Statute, Stark, EKRA, and CMPs
- Explore how organizations are using the value-based safe harbors and exceptions
- Identify compliance program and risk management best practices

304 Successful Risk-Based Audit Plan: Aligning Data-Mining Methodologies & Communication • *Live Broadcast*

Track: Auditing & Monitoring

Level: Advanced

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- Engaging clinicians and administrative matrix in discussion of vulnerabilities and risk appetite
- Standardizing audit approach with data-mining, trending, and building educational channels, report card
- Setting the tone of accountability by engaging all levels post-audit debrief: Alignment with QIP/MIP

305 Incorporating Telehealth into Your Compliance Workplan • *Live Broadcast*

Track: Telehealth

Level: Basic

HOLLY HESTER, Senior Director, Strategic Client Partnerships, Net Health

YOLUNDA DOCKETT, Chief Compliance Officer, Anne Arundel Dermatology

- Review current telehealth regulations and their impact on service delivery
- Identify risks associated with the delivery of telehealth services
- Establish an effective telehealth auditing and monitoring workplan

306 High Risk Compliance: How to Protect Your Organization and Yourself

Track: How to Succeed as a Compliance Professional

Level: Intermediate

ROBERT G. TRUSIAK, Compliance Officer, Catholic Charities Diocese of Rochester

REGINA G. MORANO, Chief Legal & Compliance Officer, Premier Biotech

- Assess the heightened risk to compliance officers under newly proposed DOJ certification requirement
- Recognize high-risk corporate actors and environments, and learn how to effectively manage high-risk conduct in a start-up, CIA or M & A environment
- Be well prepared for the possibility of getting drawn into legal action as a compliance officer, and identify key steps to protect yourself in the event of subpoena or deposition

307 Peer Review: Strong Partnerships Can Protect Everyone

Track: Physician Compliance

Level: Intermediate

ALLISON ANDERSON, Associate General Counsel, Charleston Area Medical Center, Inc.

MYRANDA J. PIKE, Healthcare Consulting Senior Manager, Baker Tilly

- Learn the basics of peer review processes and protections
- Examine real life cases of peer review gone wrong
- Learn how to partner with stakeholders for risk mitigation

308 How to Develop an Effective Risk Management Program

Track: Risk Management

Level: Basic

BRITTANI SUMMERS, Senior Compliance Manager, Sprinter Health

JESSENIA CORNEJO, Chief Compliance Officer, Bridge Diagnostics, LLC

- How can you identify your organization's risk areas, using multiple resources
- Once identified, how can you safeguard those risks
- Finally, how to continuously monitor and re-assess your risks

2:30 – 3:00 PM PT

Coffee Break

3:00 – 4:00 PM PT

401 TBA • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

SPEAKERS TBA

402 Changing Expectations of Compliance Officers: Are You Ready? • *Live Broadcast*

Track: How to Succeed as a Compliance Professional

Level: Advanced

R. BRETT SHORT, Chief Compliance Officer, UK HealthCare / University of Kentucky, SCCE & HCCA Board Member

LISA A. TAYLOR, Vice President & Chief Compliance Officer, UC Health

- The changing role of the CCO: Are you ready to sign a certification of compliance with the DOJ?
- Review of recent CIAs and requirements: What should you implement in your compliance program?
- Stressed yet? The race to stay ahead of requirements and how to use information for your program

403 EEEkkk: The Three E's of a Program Assessment • *Live Broadcast*

Track: Risk Management

Level: Basic

SAMANTHA E. KELEN, Chief Compliance Officer, Stellar Health, SCCE & HCCA Board Member

KELLY M. WILLENBERG, CEO, Kelly Willenberg and Associates, SCCE & HCCA Board Member

- Evaluate controls and gaps by understanding the risk environment and exploring business operations
- Explain program universe by documenting the findings in a tool, executive summary, or dashboard
- Employ action plans based on the findings to close gaps

404 Observation Status: Huge Difference in Revenue, Don't Get in a Trick Bag • *Live Broadcast*

Track: Physician Compliance

Level: Intermediate

MAYA TURNER, Lead Ambulatory Coding Analyst, Franciscan Alliance

- Major difference between OBS and Full Admit
- Knowing the key documentation triggers in medical necessity for OBS vs inpatient
- Medical decision making and your physician documentation: Reducing the denials of full admission

405 Keeping Pace with New Federal Rules on Patient Transparency for the Behavioral Health Provider • *Live Broadcast*

Track: Behavioral Health

Level: Basic

CINDY KENNEDY, Senior Compliance Officer, Rutgers University

DAVID H. CHIN, Director of Accreditation & Documentation, Rutgers Health UBHC

- Understand what is covered and required under the No Surprises Act and the 21st Century Cures Act-Information Blocking and ONC Health IT Certification
- Review compliance dates and the new federal protections afforded to consumers
- Share practical strategies to minimize the potential for penalties and maintain compliance

406 Privacy Problems in the Pediatric Environment

Track: Privacy & Security

Level: Intermediate

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

- Privacy Right of Access with parents and legal guardians
- Whose rights win: Parents and adolescents in healthcare
- What rights do non-parents have? The problems of extended families, foster parents and others

407 Update on Laboratory Issues

Track: Compliance Law

SPEAKERS TBA

408 Top Cyber-Risks to Include in Your Audit Plan: 2023 Update

Track: Auditing & Monitoring

Level: Basic

JOHAN LIDROS, President, Eminere Group

- Learn why the secure and effective use of IT is a critical success factor for healthcare compliance
- Discuss the latest IT and cyber threats challenging healthcare and how to protect against them
- Take a deep dive into best practices for ensuring effective compliance and monitoring of cyber risks

4:00 – 4:30 PM PT

Coffee Break

4:30 – 5:30 PM PT

501 The Zen of ESG Program Development: How to Create an ESG Program Aligned with the Elements of an Effective Compliance Program • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

GWENDOLYN L. HASSAN, Compliance Officer/Counsel, In Transition

ELLEN M. HUNT, Principal Consultant & Advisor, Spark Compliance Consulting

MELANIE A. SPONHOLZ, Chief Compliance Officer, Waud Capital Partners

- Understand the overlap of the elements of a great ESG program and an effective compliance program
- Leverage your existing compliance skills and experience to align your ESG and compliance programs
- Develop strategies to evaluate ESG program maturity and address ESG issues in the context of M&A

502 Breach Response: Covered Entity and Business Associate—Whose Role Is It Anyway? • *Live Broadcast*

Track: Privacy & Security

Level: Intermediate

THORA A. JOHNSON, Partner, Orrick Herrington & Sutcliffe LLP

MARK J. FOX, Privacy and Research Compliance Officer, American College of Cardiology

- Discuss the importance of proactively identifying roles and responsibilities of a CE and BA
- Examine the challenges of differing risk postures and how it impacts breach response
- Dive into the importance of identifying applicable enforcement agencies during a large breach

503 Strengthening Your Compliance Program against Anti-kickback Risk • *Live Broadcast*

Track: Compliance Law

SPEAKERS TBA

- Processes governing arrangements from idea to performance
- Common kickback risks to watch out for (including how the government evaluates potential FCA matters)
- Pre-acquisition due diligence

504 Ensuring Correct Billing and Coding for Provider Based Departments • *Live Broadcast*

Track: Auditing & Monitoring

Level: Intermediate

MELODY W. MULAİK, President, Revenue Cycle Coding Strategies

- Review regulatory guidelines that guide and define Provider Based Departments
- Discuss the key steps in ensuring PBDs are set up correctly prior to providing services
- Discuss ongoing internal audits to identify areas of risks and how to remediate areas of concern

505 Building an Effective Investigation Triad: The Collaboration of Compliance, Quality and Human Resources • *Live Broadcast*

Track: Investigations

Level: Basic

ELIZABETH P. BOERKOEL, Managing Director of Compliance Operations, HealthRIGHT360

PATRICIA ESPARZA, Managing Director of Compliance Operations, HealthRIGHT360

ANA-CRISTINA NAVARRO, Deputy Chief Compliance Officer, HealthRIGHT360

- Understand the respective roles of Compliance, Quality and Human Resources in investigations
- Build and utilize incident and policy management systems to support effective investigations
- Develop work flows for uncovering facts, interviewing staff and addressing accountability

506 An Engaging Compliance Program on a Budget: It's Possible!

Track: How to Succeed as a Compliance Professional

Level: Basic

KRISTI F. LEWIS, Vice President, Corporate Compliance Officer, Covenant Physician Partners

CHRIS B. DENDY, Privacy Director, Covenant Physician Partners

- Identify common barriers of engagement in a compliance program
- Explore budget-friendly solutions to engage your workforce
- Walk away with tangible cost-saving measures to apply to your compliance programs

507 Coding Compliance Monitoring and Auditing for Physician Practices

Track: Physician Compliance

Level: Intermediate

ANGELA DENEWETH, Advisor, Hall Render Advisory Services

DELENA S. HOWARD, Advisor, Hall Render Advisory Services

KENNETH ZEKO, Principal Advisor, Hall Render Advisory Services

- Revisiting the OIG's Compliance Program Guidance for Physician Practices
- Gain insight into coding compliance monitoring and auditing activities
- Hot topics in physician practice coding and billing

508 Not Everything Is Illegal! Mitigating Risk by Clearly Distinguishing between Regulatory Requirements and Guidance

Track: Risk Management

Level: Intermediate

DAVID M. GLASER, Shareholder, Fredrikson & Byron PA

- Suggestions for improving your hiring process for compliance professionals
- Tips for explaining complicated rules and legal hierarchy to compliance and medical professionals
- Strategies for analyzing contradictory "expert" opinions and reducing legal anxiety

5:30 – 6:30 PM PT

Social Event

Tuesday, April 25

7:00 AM – 5:00 PM PT

Registration Open

7:15 – 8:15 AM PT

EB1 Culture of Integrity Boos and Cheers: Which Companies Have Ghosted Ethical Cultures and Which Are Living Their Best Lives? • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Intermediate

SAMANTHA E. KELEN, Chief Compliance Officer, Stellar Health, SCCE & HCCA Board Member

MARY SHIRLEY, Head of Culture of Integrity and Compliance Education, Fresenius Medical Care North America

- Discussion of what the culture of integrity data says and myth busting a common misconception
- Best practice examples and analysis from shining stars and lessons learned from egregious fails
- Identifying common threads to help practitioners continue living their best lives in integrity

EB2 Fiduciary Duties and Compliance Program Oversight: Helping Your Board Succeed • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Understand Board of Directors' duty of loyalty and duty of care
- Review recent case law and settlement developments affecting the board's oversight duties
- Discuss strategies for helping your board succeed in its oversight role

EB3 Maintaining Compliance through Patient-Centric Approaches • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

DAWN N. PITTINGER, Research Financial Compliance Officer, Moffitt Cancer Center

- Evaluating financial toxicity in clinical research studies to prevent financial toxicity for patient
- Contemplate awareness of staffing around medical billing and potential risks
- Manage negative outcomes by being culturally sensitive to preventing financial loss

EB4 Cybersecurity & Incident Response: 2023 Update on Threat Actors and Best Practices for Detecting, Avoiding and/or Responding to a Cybersecurity Incident • *Live Broadcast*

Track: Privacy & Security

Level: Basic

SCOTT WROBEL, Managing Member, N1 Discovery

DEBRA A. GEROUX, Shareholder, Butzel Long

- Current cyber threats: Update on trends in cyberattacks and risk areas for healthcare providers
- Incident Response: Real-world examples of how to handle incident response, including notification
- Lessons learned from recent breach response, insurance coverage and best practices

7:30 – 8:30 AM PT

Continental Breakfast in Exhibit Hall

8:30 – 8:45 AM PT

Opening Remarks & Awards Presentation

• *Live Broadcast*

8:45 – 9:45 AM PT

GS2 GENERAL COMPLIANCE SESSION • *Live Broadcast*

9:45 – 10:15 AM PT

Coffee Break

10:15 – 11:15 AM PT

601 Enhancing a DEA Compliant Control Substances Program within a Dynamic Research Environment • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Basic

SCOTT M. LONG, Vice President, Chief Compliance Officer, St Jude Children's Research Hospital

- Maintain a compliance structure to track the life cycle of controlled substances
- Promote education and training to create a controlled substance compliance culture
- Standardize practices and devise risk mitigation strategies to combat diversion

602 Rising Strong, Building High Performing Compliance Teams • *Live Broadcast*

Track: How to Succeed as a Compliance Professional

Level: Intermediate

ABIGAIL JACKSON, Director, Privacy Officer, UT Southwestern Medical Center

NATALIE A. RAMELLO, Vice President Chief Institutional Compliance Officer, UT Southwestern Medical Center

- Understand what high performing teams look like and how to cultivate them
- Share lessons learned, tools and methods for working with compliance teams to ensure success
- Discuss best practices for team performance and how you can get the most out of your team members

603 How Might We? A Design Thinking Framework for Co-creating Effective Compliance Risk Mitigation • [Live Broadcast](#)

Track: Risk Management

Level: Intermediate

JEFFREY F. DRIVER, Professor of Innovation & Regulatory Sciences, Arizona State University

- Learn a 6-step design thinking process to create impact and value in compliance risk mitigation
- Apply the 6-step design thinking model to improve a compliance practice: A compliance case study
- Estimate internal rate of return (IRR) to drive effectiveness of compliance risk mitigation

604 ACOs and CINs: Auditing & Monitoring for Compliance Hotspots • [Live Broadcast](#)

Track: Physician Compliance

Level: Advanced

MARK NEU, Chief Compliance Officer, Renown Health

JAMES M. DANIEL, Director, Hancock Daniel

- Differentiate between ACOs and CINs and their respective compliance needs and challenges
- Define antitrust presumption and auditing & monitoring techniques for your compliance program
- Discuss tools and strategies for auditing and monitoring various types of waiver programs

605 Medical Directors, Attending Physicians: How to Stay in Compliance with Regulations, Contracting and Credentialing • [Live Broadcast](#)

Track: Post-Acute Care

Level: Intermediate

LAURA CARRICO, Chief Compliance & Privacy Officer, American Health Partners

DONNA THIEL, Chief Compliance Officer, ProviderTrust

- Identify strategies to ensure attending physicians are meeting the regulatory requirements for physician visits
- Describe approaches to managing medical director stipends to ensure compliance with their agreement
- How to ensure these practitioners are properly credentialed to care for your residents

606 Beyond HIPAA: Protecting Health Data in the Next Generation of Consumer Privacy Laws

Track: Privacy & Security

Level: Intermediate

AASTHA FARR, Senior Legal Counsel, SCAN Health Plan

LAUREL TIERNEY, Deputy General Counsel, Alignment Healthcare

BRANDON REILLY, Partner, Manatt, Phelps & Phillips LLP

- Privacy compliance no longer ends with HIPAA, as new laws increasingly regulate health data
- Innovations in telehealth, adtech, and martech demand datasets on the frontier of traditional PHI
- Threat actors are innovating, too, presenting dynamic security risks to our data-rich environments

607 Compliance, Quality of Care, and Patient Safety

Track: Compliance Law

Level: TBA

SPEAKERS TBA

608 What Healthcare Compliance Professionals Should Know about Cost Reports

Track: Auditing & Monitoring

Level: Basic

SARA PRATT, Healthcare Consulting Manager, Arnett Carbis Toothman, LLP

MYRANDA J. PIKE, Healthcare Consulting Senior Manager, Baker Tilly

- Learn Medicare cost report basics
- Identify key compliance risk areas and how they may impact reimbursement
- Understand how to include cost reporting in your auditing and monitoring plan

11:15 AM – 12:30 PM PT

Lunch (provided)

12:30 – 1:30 PM PT

701 Point and Counterpoint: Enforcement and Defense Perspectives in Investigations • [Live Broadcast](#)

Track: General Compliance/Hot Topics

Level: Advanced

GERALD T. ROY, Vice President, Chief Compliance & Privacy Officer, Phoenix Children's Hospital

FRANK E. SHEEDER, Partner, Alston & Bird LLP

- Differing government and defense perspectives in an investigation
- Points of agreement and disagreement
- How to successfully navigate a government investigation in light of this insight

702 It's De-identified, or Is It? • [Live Broadcast](#)

Track: Privacy & Security

Level: Advanced

THORA A. JOHNSON, Partner, Orrick Herrington Sutcliffe LLP

MARK J. FOX, Privacy and Research Compliance Officer, American College of Cardiology

- Explore the HIPAA Safe Harbor and Expert Determination Methods of De-identification
- Compare and contrast HIPAA De-identification with Anonymization/Pseudonymization under GDPR
- Examine evolving technologies and methodologies used to match disparate data sets

703 Discovery Hot Topics in FCA Qui Tam Litigation • [Live Broadcast](#)

Track: Compliance Law

Level: Advanced

ARTHUR S. DI DIO, Senior Trial Attorney, U.S. Department of Justice

EDGAR D. BUENO, Partner, Nelson Mullins

- Understanding what types of discovery issues arise in qui tam litigation
- Employing effective strategies when responding to discovery requests
- Special FCA discovery considerations: Technology assisted review, maintaining privilege, experts

704 Sampling and Extrapolations: How to Apply to Your Proactive and Problematic Audits • *Live Broadcast*

Track: Auditing & Monitoring
Level: Intermediate

FRANK C. CASTRONOVA, Part-Time Faculty, Wayne State University

ANDREA C. MERRITT, Principal, Athena Compliance Partners

- Review the various types of sampling used in compliance auditing
- Address the approach to extrapolations and related calculations
- Review the steps to take when your audit results are extrapolated to the population

705 Telehealth Post PHE

• *Live Broadcast*

Track: Telehealth
Level: Advanced

CHRISTINE S. HALL, CHC, CPC, CPB, CPMA, CRC, CPC-I, Stirling Global Solutions LLC

- A brief review of the CMS telehealth components required for reimbursement
- The extended telehealth services post PHE, from the 2023 MPFS final rule
- Tele-Mental Health expanded services and the future of telehealth

706 Using Flowcharts to Reinforce and Strengthen the Efficiency of Compliance Program Activities, Project Management, and Process and Procedure Design

Track: How to Succeed as a Compliance Professional

Level: Intermediate

FRANK RUELAS JR, Compliance Manager, Primary Care Physicians of Arizona

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health/St. Joseph's Hospital and Medical Center

- Achieve higher efficiency, consistency, and accuracy by using flowcharts in process development
- See how flowcharts drive increased collaboration within an organization when other processes fail
- Learn the critical components and methods used in constructing flowcharts including free options

707 Evaluation and Management (E/M) Coding and Documentation Guidelines for Physicians

Track: Physician Compliance
Level: Intermediate

C.J. WOLF, Faculty, Brigham Young University-Idaho

- Discuss how updates in E/M coding and documentation requirements impact your compliance program
- Analyze strategies for education and training for E/M documentation
- Explore examples of medical decision making as a requirement of E/M coding and documentation

708 Discuss HHS-OIG Advisory Opinions with Former OIG Officials: Everything You've Always Wanted to Know about the Advisory Opinion Process (but Were Afraid to Ask)

Track: Risk Management
Level: Intermediate

STEWART KAMEEN, Counsel, Bass Barry & Sims

MATTHEW F. TORMEY, Chief Compliance Officer, Ensemble Health Partners

JENNIFER MICHAEL, Member, Bass Berry & Sims

- Hear a Chief Compliance Officer discussion with former HHS-OIG officials who drafted advisory opinions and anti-kickback statute safe harbors, including when and why an organization should consider submitting an advisory opinion request
- Understand how to successfully navigate the advisory opinion process with an eye towards a favorable opinion (and learn when it's best to avoid asking OIG altogether)
- Emerge with a clear understanding of when your organization should present the "next big idea" to OIG, and how to structure a request to maximize the chances of receiving a favorable opinion

1:30 – 2:00 PM PT

Coffee Break

2:00 – 3:00 PM PT

801 The Compliance Committee: How to Change It from Just Another Meeting to a Vital Component of Your Compliance Program • *Live Broadcast*

Track: General Compliance/Hot Topics
Level: TBA

SPEAKERS TBA

- Managing the basics: Who is on the committee, how often does it meet, how large is it, and other fascinating questions
- Having committee members engaged in committee work and commit positively to the program
- New compliance committee responsibilities: Policies, training, the Compliance Risk Assessment

802 Extreme Makeover E&C Edition: ROI Pitch Fixer-Upper • *Live Broadcast*

Track: How to Succeed as a Compliance Professional

Level: Advanced

MELANIE SPONHOLZ, Chief Compliance Officer, Waud Capital Partners

GIOVANNI GALLO, Co-CEO and Chief Development Officer, ComplianceLine

NICK GALLO, Co-CEO, Chief Servant, ComplianceLine

- Assess the weak points of a standard compliance budget proposal
- Reinvent with a data-driven approach that speaks board and finance language to gain buy-in
- End with an extreme makeover of both your ROI case and your proposal deck in easy-to-follow principles

803 Enterprise Risk Management Black Swan Event: Never Saw It Coming • *Live Broadcast*

Track: Risk Management

Level: Intermediate

BETH WITTE, Senior Vice President, Corporate Compliance and Privacy Officer, Community Health Systems

ANDREA L. EKLUND, Senior Vice President, Chief Compliance Officer, UnityPoint Health

TORI K. STAFFORD, Vice President, Compliance Operations and Enterprise Risk Management, UnityPoint Health

- Risk, compliance, and strategy, a 20/20 view
- The Rx to help organizations move unknown risk to known risk
- How to bring focus to leadership and the board

804 The Anatomy and Pathology of the Deal: A Post Mortem Analysis

• *Live Broadcast*

Track: Physician Compliance

Level: Advanced

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- A case study: deal gone awry including next steps for growth, disclosures, and equitable claims
- The morning after: escrows, R&W insurance, Root Cause Analysis, audits, aligning controls and P&Ps
- Post mortem: Avoid missteps through contract review, security assessment, in-depth coding analysis

805 Managing the Disclosure of PHI to Law Enforcement during Crisis Encounters • *Live Broadcast*

Track: Behavioral Health

Level: Intermediate

CHRIS HUMPHREYS, Law Enforcement Officer Liaison Supervisor, Community Counseling Solutions

KIMBERLY LINDSAY, Executive Director, Community Counseling Solutions

TIM TIMMONS, Compliance and Privacy Officer, Community Counseling Solutions

- What information does law enforcement need and why do they need it when responding to a crisis?
- How can we meet their needs and still protect a client's protected health information?
- How can we avoid conflicts with law enforcement and meet the needs of our clients when they are in crisis?

806 How to Secure the Remote Worker

Track: Privacy & Security

Level: Intermediate

KEN SATKUNAM, President, DueNorth Secure Healthcare

BRANDON HAGGERTY, Principal Analyst, DueNorth Information Security

MARK SCHLADER, Principal Partner, DueNorth Information Security

- Know the information security risks associated with remote workers
- Understand the basics in protecting PHI that may be viewed or created in the home environment
- Understand the risks with contractors and vendors with remote access

807 Do's and Don'ts of Overpayment Audits

Track: Compliance Law

Level: Intermediate

SUSAN BANKS, Partner, Dentons US LLP

- Understand the federal overpayments statute: what it covers (and doesn't) and what's required
- Identify key do's and don'ts of internal audit design and recognize potential pitfalls
- Know when to involve legal to help assess and scope a potential overpayment concern

808 Clinical Research Billing Auditing: A Simplified Approach to Effective Risk Mitigation

Track: Auditing & Monitoring

Level: Basic

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

KATHERINE B. COHEN, Chief Compliance Officer, Southern Illinois University Medicine

- Explore the basics of clinical research billing and primary risks faced when billing for research
- Identify the primary challenges to compliant billing and the controls that can mitigate risk
- Discuss a suggested framework for designing your own practical and risk based approach to auditing

3:00–3:30 PM PT

Coffee Break

3:30–3:45 PM PT

Closing Remarks & Award Presentation

• *Live Broadcast*

3:45–4:45 PM PT

GS3 GENERAL COMPLIANCE SESSION • *Live Broadcast*

Wednesday, April 26

7:00 AM – 12:15 PM PT

Registration Open

7:15 – 8:15 AM PT

WEB1 Using Data to Reduce False Claims Act (FCA) Risks • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Intermediate

MARK R. JAECKLE, Senior Vice President, Capital One

STEPHANIE F. JOHNSON, Partner, King & Spalding, LLP

- Examine the government's use of data to shape healthcare enforcement priorities and expectations
- Identify internal and external key data sources to incorporate into a quality and compliance program
- Better understand how to use data analytics to drive quality and compliance initiatives

WEB2 Third Party: Effective Management of Third Party Risk • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

LISA A. TAYLOR, Vice President & Chief Compliance Officer, UC Health

- Understanding regulations and compliance risks in the use of third parties
- Exploring challenges to effective third party management
- Implementing effective solutions to third party compliance management

WEB3 Health Care and Private Equity: Compliance, Control, Ethical and Mission Risks • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Advanced

DAVID HOFFMAN, Practice Professor of Law, Drexel University Online

JAMES G. SHEEHAN, Chief, Charities Bureau, New York Attorney General

- Recognize compliance issues and risk management concerns with private equity in health care: History, control, quality, access, ethical duties for professionals
- Conduct due diligence in uncovering and evaluating compliance risks from private equity partners: Entities, individuals, business relationships
- Understand special risk concerns for nonprofit health entities and physician groups in private equity relationships

WEB4 Dealing with Stakeholder Pushback • *Live Broadcast*

Track: How to Succeed as a Compliance Professional

Level: Advanced

GERALD T. ROY, Vice President, Chief Compliance & Privacy Officer, Phoenix Children's Hospital

FRANK E. SHEEDER, Partner, Alston & Bird LLP

- Common stakeholder pushback themes
- Some of the best responses to pushback we have seen
- How to support a culture of compliance in light of pushback

8:15 – 8:30 AM PT

Coffee Break

8:30 – 9:30 AM PT

W1 Harmonizing Your Compliance Program across Lines of Business • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Intermediate

LAURA RANGE, Senior Director--H&W Compliance, Walmart, Inc.

JOANN M. STEVENS, Senior Director, U.S. Ethics and Compliance, Health and Wellness Operations Compliance, Walmart

- Ensuring compliance information flows bi-directionally in large or decentralized organizations
- Managing a compliance program when business strategies differ without re-creating your program
- Best practices for harmonizing compliance program elements (risk assessment, etc.) across business

W2 What We Can Learn from a Review of 100 Standards of Conduct and How They May Help in the Development of Our Own Standards of Conduct • *Live Broadcast*

Track: Case Studies

Level: Basic

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health/St. Joseph's Hospital and Medical Center

J. VERONICA, Chief Compliance Officer, Saber Healthcare Group, SCCE & HCCA Board Member

JODI RIDDER, Compliance Officer, Buchanan County Health Center

- Learn to identify key risk areas accurately and efficiently
- Discuss major barriers and challenges in developing, revising and enhancing the standards of conduct
- Explore options and solutions to strengthen our own standards of conduct

W3 Issues Whistleblowers Are Calling about in 2023 and Compliance Steps to Avoid Problems • [Live Broadcast](#)

Track: Compliance Law
Level: Intermediate

JACK D. ROSS, Assistant United States Attorney, United States Attorney's Office, Central District of California

JUSTIN T. BERGER, Partner, Cotchett Pitre & McCarthy LLP

MICHAEL E. PAULHUS, Partner, King & Spalding LLP

- Understand the types of issues currently being pursued by whistleblowers and the government
- Understand the complexities of pandemic-related enforcement issues
- Gain a better understanding of the more traditional enforcement areas currently receiving attention

W4 Auditing Leased Instances of EMRs: Whose Job Is It Anyway? • [Live Broadcast](#)

Track: Auditing & Monitoring
Level: Intermediate

MICHAEL G. BILLINGS, Senior Privacy Auditor, Piedmont Helathcare, Inc.

TASHA BAILEY, Director, Corporate Compliance, Piedmont Healthcare Inc

CAREY L. COTHRAN, Executive Director, Regulatory Compliance and Privacy, Piedmont Healthcare

- Understanding record ownership of leased instances of the EMR
- Understanding the STARK implications of auditing for non-employed providers using a leased instance
- Constructing contracts that clearly delineate auditing duties

W5 Building a Strong Compliance Infrastructure: Lessons Learned from Physician Practice Diligence

Track: Physician Compliance
Level: Intermediate

VALERIE G. ROCK, Principal, PYA

KRISTEN M. LILLY DAVIDSON, Senior Manager, PYA

- The key components of a strong foundation often missing
- Common cracks in the wall of infrastructure
- How to self-assess to identify areas of focus for reinforcing the house

W6 Telehealth: The Past, Present, Future

Track: Telehealth
Level: TBA

SPEAKERS TBA

- Pre-pandemic, pandemic, and post-pandemic telehealth regulations and guidance
- Fraud schemes involving telehealth
- Compliance considerations

9:30 – 9:45 AM PT

Coffee Break

9:45 – 10:45 AM PT

W7 AI Ethics & Compliance: The Next Frontier • [Live Broadcast](#)

Track: General Compliance/Hot Topics
Level: Advanced

SHAWN MARCHESE, Global Head of Compliance & Risk, Babylon

NAKIS URFI, Product Compliance Officer, Babylon Health

- Examine current trends, controversies, legislation and ethical principles in the field of AI
- Discuss how organizations can implement an AI ethics oversight and compliance process
- Provide a practical guide to developing an AI compliance plan and other oversight mechanisms

W8 Compliance 3.0: Lessons Learned in Rebuilding a Program and Putting It to the Test When OCR Comes Knocking • [Live Broadcast](#)

Track: Case Studies
Level: Intermediate

BRIAN S. COLONNA, Director of Compliance, Renown Health

- How to assess your program and create strategies and work plans
- Tips on responding to oversight agency investigations
- Taking your program to the next level using simple tools

W9 Ripped from the Headlines: Fraud Trends of Physician Assistant and Nurse Practitioner Practice • [Live Broadcast](#)

Track: Physician Compliance
Level: Intermediate

KEVIN HICKMAN, Physician Assistant, A.T. Still University

- Examine recent federal cases demonstrating fraudulent activities in PA and NP practice
- Discuss PA and NP scope of practice, and related compliance considerations
- Design a strategy to educate and engage PAs and NPs on compliance considerations of practice

W10 When Outside Interests Become Inside Problems: Challenges, Successes, and Pragmatic Solutions for Conflicts of Interest Programs • *Live Broadcast*

Track: Risk Management

Level: Intermediate

ALICIA A. BOWERS, Senior Vice President and Chief Privacy and Compliance Officer, Atrium Health

TED R. LOTCHIN, Vice President, Chief Compliance & Privacy Officer, WakeMed Health & Hospitals

MATTHEW D. VOGELIEN, Division Corporate Responsibility Officer, AdventHealth

- Hear conflict of interest management challenges, successes, and focus areas from three large health systems
- Discuss case scenarios, elements of an effective conflict of interest program, and solutions for risk mitigation
- Share experiences, examples, and practical ideas for engaging physicians and executives in a conflicts program

W11 Risk Stratification and Auditing under PDPM

Track: Auditing & Monitoring

Level: Advanced

DEBORAH M. MILLER, Chief Compliance & Privacy Officer, Ensign Facility Services, Inc.

KAY HASHAGEN, Senior Consultant, LW Consulting, Inc

- Discuss the value of using internal and external sources to analyze trends
- Review development of a Risk Matrix utilizing benchmarks
- Learn to evaluate if your data matches your business profile

W12 Challenges of Psychiatric Patients in the ED

Track: Behavioral Health

Level: Intermediate

MELISSA C. SINGLETON, Director, Compliance Risk Monitoring, Piedmont Healthcare, Inc.

CAREY L. COTHRAN, Executive Director, Regulatory Compliance and Privacy, Piedmont Healthcare

- Determining next steps for transfer/discharge
- Understanding law enforcement's responsibility
- Ensuring EMTALA obligations are fulfilled

10:45 – 11:00 AM PT

Coffee Break

11:00 AM – 12:00 PM PT

W13 Compliance and Internal Audit: Conducting and Coordinating Successful Joint Risk Assessments & Work Plans • *Live Broadcast*

Track: General Compliance/Hot Topics

Level: Intermediate

KEVIN L. NELSON, Chief Compliance Officer, Cottage Health

CHARLIE C. JOHNSON, Senior Manager, BKD CPAs & Advisors

- Obtain components of annual risk assessment and best practices to create annual workplans
- Learn how compliance and internal audit can successfully conduct joint annual risk assessments
- Identify successful practices of coordinated compliance and internal audit functions

W14 Driving Compliance after the Deal: Learning from Integration Planning 1, 2, 3 Years Post-acquisition • *Live Broadcast*

Track: Case Studies

Level: Intermediate

ELIZABETH M. WINCHELL, Senior Corporate Counsel, Best Buy Co., Inc.

JOSI HELLIER, Senior Manager, Best Buy

- Compare M&A process across four recent deals, highlighting compliance due diligence and integration
- Learn how to foster healthy cross-functional partnership and prioritize key compliance risks
- Explore Best Buy Health's strategy within a new sector, using a recap of relevant compliance topics

W15 Research and HIPAA: Why Is It Still a Problem

• *Live Broadcast*

Track: Privacy & Security

Level: Advanced

JOSEPH DICKINSON, Member, Nexsen Pruet, PLLC

MARTI ARVIN, Vice President, Chief Compliance Officer, Erlanger Health System

- Uses and disclosures of PHI for research remains a problem for covered entities and researchers
- Why the improper use and disclosure of PHI for research is a risk for patients/subjects
- Confusion over the role of the IRB, requirements of the Common Rule and the HIPAA regulations

W16 Creating and Demonstrating the Value of Regulatory Compliance and Shaping a Culture of Compliance in Healthcare • *Live Broadcast*

Track: How to Succeed as a Compliance Professional

Level: Intermediate

ELIZABETH HEROTA, Director of Compliance & Privacy, Vail Health

MAEVE O'NEILL, Chief Regulatory Officer, Rogers Behavioral Health

R. BRETT SHORT, Chief Compliance Officer, UK HealthCare / Univ of Kentucky, SCCE & HCCA Board Member

- Identify effective ways to shape and measure compliance culture, from front-line workers to the CEO
- Begin to embed regulatory change management process to enable value creation and culture shift
- Show and communicate the value compliance creates, i.e. patient care, revenue, and avoided fines

W17 Systemic Approach to Data-Driven Risk and Issue Management

Track: Risk Management

Level: Advanced

CORY POTOMIS, Director, Ethics and Compliance Strategy and Ops, Novo Nordisk

- Learnings from Novo Nordisk Inc.'s approach to implementing a system for risk and issue management
- Roll-out and change management of system: Continuous improvement efforts
- Best practices for leveraging data and analytics to enhance risk and issue management efforts

W18 TBA

Track: TBA

Level: TBA

SPEAKERS TBA

1:30 PM PT

Exams Check-In (optional)

Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®]

1:45 PM – 4:45 PM PT

Exams (optional)

Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®]

27th Annual Compliance Institute



April 23–26, 2023 • Anaheim, CA // April 24–26, 2023 • Virtual

SECTION 1 Attendee Information

Mr Mrs Ms Dr Other _____ Member/Account ID (if known/applicable) _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

In-Person Options (April 23–26)	On/Before 2/1/23	After 2/1/23
<input type="checkbox"/> Member (Monday–Wednesday)	\$1,299	\$1,399
<input type="checkbox"/> Non-Member (Monday–Wednesday)	\$1,599	\$1,729
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$1,524	\$1,624
<input type="checkbox"/> Pre-Conference (Sunday AM)	FREE**	\$175
<input type="checkbox"/> Pre-Conference (Sunday PM)	FREE**	\$175

*Membership + Registration discount is only available for first-time members who register for the event and membership at the same time. Dues renew at \$325. See "Acknowledgements" below for details.

**Free only with paid Monday–Wednesday conference registration.

Group Discount

<input type="checkbox"/> Group Discount for 3–9***	(\$100)	(\$100)
<input type="checkbox"/> Group Discount for 10 or More***	(\$150)	(\$150)

***Subtract the discount amount from your registration price. See "Acknowledgements" below for more details.

TOTAL \$ _____

Dietary Needs Request

Dairy Free Gluten Free Kosher Vegetarian Vegan Other _____

On-Site Cell Phone (for emergency on-site use only) _____

Virtual Options (April 24–26)	On/Before 2/1/23	After 2/1/23
<input type="checkbox"/> Member (Monday–Wednesday)	\$899	\$999
<input type="checkbox"/> Non-Member (Monday–Wednesday)	\$1,099	\$1,199
<input type="checkbox"/> Registration + First-Time Membership Offer*	\$1,124	\$1,224

*Membership + Registration discount is only available for first-time members who register for the event and membership at the same time. Dues renew at \$325. See "Acknowledgements" below for details.

Group Discount

<input type="checkbox"/> Group Discount for 3–9***	(\$100)	(\$100)
<input type="checkbox"/> Group Discount for 10 or More***	(\$150)	(\$150)

***Subtract the discount amount from your registration price. See "Acknowledgements" below for more details.

TOTAL \$ _____

HCCA Membership: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

Opt-Out: Select if you would like to opt-out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

SECTION 3 Payment

Online registration at hcca-info.org/2023CI

Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146

Email to helpteam@hcca-info.org — Due to PCI compliance, do not provide credit card information via email. Email this form without credit card information, then call HCCA at 952.988.0141 or 888.580.8373 with your payment.

Invoice me (your registration is not complete until you confirm your payment) Purchase Order Number _____

Check enclosed (payable to HCCA)

Wire transfer requested

I authorize HCCA to charge my credit card: Visa MasterCard Discover American Express

Credit Card Account Number _____ Expiration Date _____

Cardholder Name _____ Cardholder Signature _____

Billing Address _____ Billing Zip/Postal Code _____

SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at hcca-info.org/conference/tandc, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit hcca-info.org/privacy.

By registering for this event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at hcca-info.org/conference/tandc.

Registration Payment Terms: Your registration is not complete until the full registration payment is received. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event.

Group Discount Policy: Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Subtract the discount amount from your registration price. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

Photo/Video Release: By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

New Members: By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at hcca-info.org/membership/tandc.

Questions? Call 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org

Frequently Asked Questions

Where will the conference take place?

Conference sessions & activities will take place at:

Anaheim Convention Center, 800 W Katella Ave, Anaheim, CA 92802

HCCA has room blocks at Hilton Anaheim and Anaheim Marriott. Both are directly across from the Anaheim Convention Center. Please visit our website at hcca-info.org/conferences/national/27th-annual-compliance-institute/location for more information and to book a room.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the contact information listed on the conference website. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

What is included in the cost of my attendance?

You will receive access to the sessions, supplemental conference materials, networking opportunities, and exhibitor booths.

What COVID-19 safety precautions will be implemented?

HCCA considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, HCCA will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, HCCA will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

Can I see what sessions will be presented before I arrive on-site?

Yes, program information is posted on the conference website.

Will I receive a recording of this conference?

Yes, registered paid attendees (in-person and virtual) will receive 60 days access to session recordings approximately two weeks after the conference. Beyond 60 days, session recordings will be available for purchase. No audio or video recording by attendees is allowed.

Is there a group discount, and if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to helpteam@hcca-info.org for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 20 or more, please call +1 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org.

What do I get with “Registration + First Time Membership?”

If you've never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*® magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at hcca-info.org/membership. Your membership will begin once payment is received.

Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to helpteam@hcca-info.org to complete your registration.

How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to helpteam@hcca-info.org. Cancellations received less than 14 calendar days prior to an event start date are subject to a \$75 cancellation fee. No refunds will be given for cancellations received on or after the start date of the event.

Alternatively, you may choose to send a substitute attendee in your place up to two business days prior to the event date. An additional fee may apply depending upon the membership status of the substitute.

Who can I notify of special needs or concerns prior to the conference?

Please call HCCA at +1 952.988.0141 or 888.580.8373 or email helpteam@hcca-info.org if you have a special need and/or require an accommodation to participate.

Continuing Education

Can I learn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)® continuing education units (CEUs) for participation as well as other external credit types. Virtual attendees seeking external credit types, you must participate in the conference using the online virtual conference format (not just using the dial-in) for attendance monitoring purposes. To see the most up-to-date CEU approval information, go to hcca-info.org/2023CI and choose the Continuing Education option on the left-hand menu.

How many CEUs will I learn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to ccb@compliancecertification.org. Only registered attendees are eligible to request CEUs for participation.

When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can log in to your online hcca-info.org account, go to your Account Dashboard, and scroll down to View My CEUs.

I would like to sit for one of the Compliance Certification Board (CCB)® exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at hcca-info.org/candidate-handbooks to ensure you meet the CEU requirement as well as the work experience requirement.

I have reviewed the Candidate Handbook and want to sit for the exam as soon as the conference concludes; what's next?

In-person attendees have the opportunity to sit for an optional on-site CCB certification exam immediately following the conclusion of the conference. A separate application and fee submitted directly to CCB is required. Virtual attendees have the opportunity to take their exam remotely or at a local testing site. Exam candidates can apply online at hcca-info.org/apply-exam.

Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA's website, hcca-info.org/certification/become-certified/exam-information.

I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.988.0141 or 888.580.8373 or email ccb@compliancecertification.org.

Event Terms and Conditions for In-person Attendees

Personal Accountability Commitment: Any public space where other people are present holds an inherent risk of exposure to COVID-19 and other communicable diseases. I will take necessary precautions while at the event, including but not limited to, personal hygiene and hand sanitization, adherence to pathway signage, and self-monitoring and self-reporting.

You are asked to contact HCCA at april.kiel@corporatecompliance.org if you experience symptoms of COVID-19 within 10 days after participating in the HCCA event. Any private health or personal data that may be received by HCCA in connection with such measures and precautions will be treated as confidentially as possible. You should not attend an HCCA event if you are experiencing, or within the 10 days prior to the program have experienced, symptoms associated with the flu or COVID-19. You also should not attend if you believe that you may have been exposed to a confirmed or suspected case of COVID-19 or have been diagnosed with COVID-19 and are not yet cleared as non-contagious by state or local public health authorities or the healthcare team responsible for your treatment.

Assumption of Risk: By submitting this registration, I acknowledge the contagious nature of COVID-19 and other communicable diseases, and voluntarily assume the risk that I may be exposed to or infected by COVID-19 or other communicable disease by attending this HCCA event and the consequences of such exposure. It is my choice to participate in this event, knowing that attending this event may increase the risk of becoming exposed to and infected by COVID-19 or other communicable disease. I voluntarily agree to assume the risk of contracting COVID-19 or other communicable disease, and I accept sole responsibility for any injury or illness to myself or others.

Liability Waiver and Release: In consideration of being permitted to participate in the HCCA event, I hereby waive, release from liability, assume all risks, and covenant not to sue SCCE & HCCA or its officers, board members, employees, agents, and representatives (the "SCCE & HCCA Parties") for any expense, loss, damage, personal injury (including loss of life, disability, or serious harm), property damage or theft, negligence, or actions (each, a "Loss") resulting from or arising in connection with my travel to, attendance at, or participation in the HCCA event and any related activities unless said Loss is caused by the sole, gross negligence of HCCA. I further hereby release, agree not to sue, discharge, and hold harmless SCCE & HCCA, its officers, board members, employees, agents, and representatives, from all Losses relating to COVID-19 or other communicable diseases. I understand and agree that this release includes any and all claims based on the actions, omissions, or negligence of SCCE & HCCA, its officers, employees, agents, or representatives.

This assumption of risk and waiver applies even if the undersigned asserts that SCCE & HCCA was at fault for not taking greater precautions to manage exposure or infection from COVID-19 and other communicable diseases. I agree that this waiver and release shall bind me and my personal representatives, shall be enforceable to the fullest and broadest extent of the law, and, if any portion is held invalid, the remainder should continue in full legal force and effect.