## 2019

## Healthcare

Chief Compliance
Officer and Staff Salary Surveys

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The Health Care Compliance Association (HCCA) is pleased to provide you with the 2019 Healthcare Chief Compliance Officer and Staff Salary Surveys report.

This survey, last conducted in 2017, contains the latest information about compensation for the healthcare compliance community.

We have included compensation data for both the chief compliance officer and compliance staff. This data can help healthcare compliance professionals and their employers to better understand and evaluate compensation packages.

Ours is a fast-growing profession, and this data reflects its increasing expansiveness and HCCA's commitment to meet our members' needs. We hope you find the data from this survey to be useful.

Sincerely,


Adam Turteltaub, CCEP, CHC
Vice President of Strategic Initiatives \& International Programs
Society of Corporate Compliance and Ethics
\& Health Care Compliance Association

## CONFIDENTIALITY ASSURANCE

The 2019 Healthcare Chief Compliance Officer and Staff Salary Surveys report is based on a strictly confidential survey conducted of individuals working in the compliance healthcare profession. Upon receipt, all survey responses are assigned a confidential code number by Fondulas Strategic Research and any name or company identification is removed. Survey processing is then conducted on an absolutely anonymous basis. No HCCA staff member nor any industry company or individual, nor anyone else other than a select few Fondulas Research associates ever see any individual's results.

## Healthcare Chief Compliance Officer Salary Survey

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## Introduction

## About This Report

The 2019 Healthcare Chief Compliance Officers Salary Survey is another important service provided by the Society of Corporate Compliance and Ethics \& Health Care Compliance Association (SCCE \& HCCA). The information contained in this report offers salary and compensation data for individuals working in the healthcare compliance profession. The report is designed to allow organizations and individuals to compare their data with those of their peers.

The results throughout this report are presented for both the total sample of survey respondents, and for specific segments within the sample, to allow organizations to more easily compare its own data to the results of individuals with similar characteristics.

In addition to the total sample, the individual segments reported throughout include the following:

- Percentage of Company's Legal and Regulatory Risk Areas the Chief Compliance Officer (CCO) Is Involved in
- Number of Employees in the Compliance and Ethics Group Managed
- Number of Employees in Organization as a Whole
- Annual Revenues of the Organization as a Whole
- Number of Direct Reports to the CCO
- Annual Compliance Budget Managed
- Number of Employees in Portion of the Organization That CCO Runs Compliance for
- Annual Revenues in Portion of the Organization That CCO Runs Compliance for
- Number of Countries in Which Compliance Is Managed
- Number of Years Managing the Compliance Department
- Years in Compliance Profession
- Certifications Held
- Type of Organization
- Number of Compliance Program Elements the CCO Is Involved in
- Geographic Region
- Gender
- Age
- Highest Level of Education
- Contract Status
- Amount of Bonus Eligible
- Basis of Bonus
- Presence of Severance Clause in One's Contract

The goal of this survey is to report the salaries of chief compliance officers for an organization or division, versus leaders of specialized areas of compliance. To capture that broader audience, CCOs responsible for less than $26 \%$ of an organization's legal and regulatory risk are not included in the results reported here.

Please note that the salary and other data published in this report should be considered guidelines rather than absolute standards. Even organizations that are similar on some characteristics, such as location, size, industry, etc., will differ in other ways, making it difficult to draw exact comparisons. In the end, results in this report that are different from one's own experience are most likely an indication that other factors are at play.

Note that a minimum of five responses was required to show data for any question, from any individual segments. Also note that results based on relatively small responses (e.g., fewer than 25 ) are subject to higher levels of statistical error and should be interpreted with caution.

This study was conducted for SCCE \& HCCA by Fondulas Strategic Research LLC, an independent professional survey research firm located in East Sandwich, MA. The company specializes in surveys for trade and professional associations, including surveys measuring trends in salary levels over time and employee attitudes.

SCCE \& HCCA are proud to present the enclosed insights into the salary levels in the compliance industry. We wish to thank the professionals who submitted data for the study. Your support was essential in making this study a success.

## Survey Methodology

In June 2019, an email invitation was sent to approximately 50,000 individuals that make up SCCE \& HCCA's database. In total, 2,898 completed online submissions were received by mid-September. Of the 2,898 responses, 647 were selected on the basis of the following criteria:

- Worked for a healthcare provider as a chief compliance officer
- Were responsible for at least $26 \%$ of an organization's legal and regulatory risk

Fondulas Strategic Research maintains strict confidentiality standards in the research it conducts. For example, all data that could potentially identify individual respondents (name, organization, etc.) are stripped from the survey data and replaced with an identifying number. In addition, all results were checked, using both automated and manual processes, for internal consistency and accuracy.

Final results were tabulated by Fondulas Strategic Research, and the report was completed in October 2019.

## Definitions

Average (mean): the arithmetic average obtained by adding the individual values for all responses to a particular question and dividing by the total number answering; a measure of central value that can be distorted by extremely high or low values.

Median: a measure of central value that is not affected by extreme values; calculated by arranging all responses in numerical order and selecting the value that falls exactly in the middle, with half higher and half lower.

25th Percentile: when responses are ordered from lowest to highest, the lower quartile is the response $25 \%$ from the bottom. In other words, $75 \%$ of the responses are higher than this measure.

75th Percentile: when responses are ordered from the lowest to highest, the upper quartile is the response $25 \%$ from the top. In other words, $25 \%$ of the responses are higher than this measure.

## Respondent Profile

## Percentage of Company's Legal and Regulatory Risk That You Are Involved in

Half of qualifying chief compliance officer respondents report that they're involved in more than $75 \%$ of their organization's legal and regulatory risk. The smallest proportion (23\%) are involved in $26 \%-50 \%$ of their organization's risk.


## Annual Compliance Budget Managed

The largest proportion (42\%) manage a budget of less than $\$ 100,000$, while 1 in 5 manage budgets of \$1 million or more.
\$2 million or more, 12\%
\$1 million-\$1.9 million, 10\%
\$500 thousand-\$999.9
thousand, 9\%
\$250 thousand-\$499.9 thousand, $12 \%$


## Number of Employees in Organization as a Whole

Chief compliance officers work in organizations ranging from fewer than 250 employees in total (20\%) to 7,500 employees or more (17\%). The largest single segment: 33\% work in organizations with 250-999 employees.


## Number of Employees in Organization That CCO Runs Compliance for

Results are similarly varied when looking at the number of employees in the portion of the organization the CCO runs compliance for, with about 1 in 4 reporting the smallest number of employees (fewer than 250; $23 \%$ ) and about 1 in 5 reporting the largest (5,000 or more: 18\%).


## Annual Revenues of the Organization as a Whole

Company revenues also vary widely among these healthcare CCOs, but about 1 in 4 work in organizations with less than $\$ 15$ million in revenue, and another 1 in 4 work in organizations with revenues of $\$ 500$ million or more.


## Annual Revenues of the Organization That CCO Runs Compliance for

Revenues for the compliance-related portions of the business divide fairly evenly across different revenue bands. At the extremes, $27 \%$ of CCOs work in organizations with revenues of less than $\$ 15$ million, while $24 \%$ see $\$ 500$ million or more.


## Number of Countries in Which Compliance Is Managed

Virtually all healthcare CCOs manage compliance in only one country.


## Number of Years Managing the Compliance Department

Most healthcare CCOs are relatively new in their current position, with two-thirds having managed their department for less than five years. Fewer than 1 in 5 have managed their group for more than 10 years.


## Certifications

The most common certification held by healthcare CCOs, by far, is the CHC. CHPC ranks a distant second. About one-third have a variety of other certifications.

| Certified in Healthcare Compliance (CHC) | 58\% |
| :---: | :---: |
| Certified in Healthcare Privacy Compliance (CHPC) | 14\% |
| Certified in Healthcare Research Compliance (CHRC) | -5\% |
| Certified Compliance and Ethics Professional (CCEP) | - $4 \%$ |
| Certified Public Accountant (CPA) | \| 3\% |
| Certified Internal Auditor (CIA) | 2\% |
| Certified Fraud Examiner (CFE) | 2\% |
| Health Ethics Trust Certified Compliance Executive (CCE) | 1\% |
| Senior Professionals in Human Resources (SPHR) | 1\% |
| Professionals in Human Resources (PHR) | 1\% |
| Health Ethics Trust Certified Compliance Professional (CCP) | 1\% |
| Certified Information Privacy Professional (CIPP) | 1\% |
| Other | 36\% |
| None | 15\% |

## Type of Organization

The majority of healthcare CCOs work in a nonprofit organization (57\%) and another 7\% work in a government agency. Just about 1 in 4 work in a privately held firm (26\%).


## Geographic Region

Healthcare CCOs are well represented across the country, with the highest proportions found in the East North Central, Mid-Atlantic, South Atlantic, and Pacific regions.


South Atlantic, 15\%

| East North Central | East South Central | Mid-Atlantic | Mountain | New England | Pacific | South Atlantic | West North Central | West South Central |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Illinois | Alabama | New Jersey | Arizona | Connecticut | Alaska | Delaware | lowa | Arkansas |
| Indiana | Kentucky | New York | Colorado | Maine | California | Florida | Kansas | Louisiana |
| Michigan | Mississippi | Pennsylvania | Idaho | Massachusetts | Hawaii | Georgia | Minnesota | Oklahoma |
| Ohio | Tennessee |  | Montana | New Hampshire | Oregon | Maryland | Missouri | Texas |
| Wisconsin |  |  | Nevada | Rhode Island | Washington | North Carolina | Nebraska |  |
|  |  |  | New Mexico | Vermont |  | South Carolina | North Dakota |  |
|  |  |  | Utah |  |  | Virginia | South <br> Dakota |  |
|  |  |  | Wyoming |  |  | Washington DC |  |  |
|  |  |  |  |  |  | West Virginia |  |  |

## Gender

Nearly three-fourths of the healthcare CCOs responding to the survey are women.


The two highest age buckets for CCOs are 40-49 (29\%) and 50-59 (31\%). A full 1 in 5 are 60 or older, and the median age across the sample is 50 .

Younger than 30, 2\%
60 or older, $20 \%$ $\qquad$


Highest Level of Education
Nearly 7 in 10 CCOs have an advanced degree, beyond a bachelor's degree.


## Years in Compliance Profession

Although 52\% of healthcare CCOs have been in the compliance field for 10 years or more, another $22 \%$ are new to the profession, having worked in the field for less than five years. In fact, the median length of time in the field is 10 years.


## Do You Have a Contract?

Only 10\% of healthcare CCOs have a contract currently.


## Do You Have a Severance Clause in Your Contract (Among Those with a Contract)?

Among CCOs with a contract, results are fairly evenly split between those whose contract includes a severance clause, and those without a severance clause.


## Executive Summary

## Average Total Compensation by Percentage of Company's Legal and Regulatory Risk Areas CCO Involved in

Average total compensation for healthcare CCOs varies with percentage of managed risk, although it peaks at over \$154,000 among those who manage more than three-quarters of their organization's risk.


## Average Total Compensation by Number of Employees in the Compliance and Ethics Group Managed

Total compensation tends to increase with the number of employees in the compliance and ethics groupalthough we see a bit of a drop-off in average compensation once the number of employees goes beyond 20.


## Average Total Compensation by Annual Compliance Budget Managed

We see a more steadily linear relationship between total compensation and the amount of the organization's compliance budget managed by the CCO, with yearly compensation of over \$275,000 among those managing a budget of $\$ 2$ million or more.


## Average Total Compensation by Number of Employees That CCO Runs

## Compliance for

Similarly, compensation increases with the number of employees in the part of the organization that the respondent runs compliance for.


## Average Total Compensation by Revenue That CCO Runs Compliance for

Although total compensation doesn't vary much in firms with revenue up to $\$ 100$ million, compensation begins to increase dramatically after that level.


## Average Total Compensation by Number of Years Managing the Compliance Department

We see no strong pattern when looking at the relationship between total CCO compensation and years managing the compliance department-the results vary widely and inconsistently.


## Average Total Compensation by Years in Compliance Profession

However, what is more consistent is the relationship between compensation and total years of experience in the compliance profession, with income increasing steadily with each level of experience.


## Average Total Compensation by Type of Organization

Although only 5\% of healthcare CCOs work in publicly traded firms, they tend to have much higher levels of compensation than CCOs in nonprofit organizations or government agencies.


## Average Total Compensation and Average Base Salary by Geographic Region

Four geographic regions stand out as areas where total compensation tends to be the highest: East North Central, West North Central, Pacific, and East South Central.


## Average Total Compensation by Certification

Healthcare CCOs with CCEP certification have the highest compensation, followed by those with CHRCs and those with CPAs.


## Average Total Compensation by Age

Although the very youngest CCOs earn the least in terms of total compensation, amounts tend to vary once one hits 35 years of age.


## Amount of Bonus Eligible

Among those whose bonus is calculated on the basis of salary, most say their bonus is $10 \%$ or less of their salary.
$31 \%$ or more of salary, $5 \%$


## Basis of Bonus

A majority of healthcare CCOs receiving a bonus say the amount is based on a combination of personal and company performance. Among those singling out one or the other, more say it's based on company vs. personal performance.


## Average Total Compensation by Contract Status

CCOs with a contract earn considerably more than those without a contract.


## Average Total Compensation by Severance Clause Status

Those with a severance clause in their contract also earn much more than those who have a contract, but without a severance clause.


## Salary Data

## Chief Compliance Officer Salary Results Healthcare

|  | Base Salary |  |  |  |  |  | Total Compensation |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Responses | Average | 25th <br> Percentile | Median | 75th <br> Percentile | Average | 25th <br> Percentile | Median | 75th <br> Percentile |
| All Respondents | 645 | \$134,624 | \$86,000 | \$120,000 | \$167,000 | \$149,507 | \$88,850 | \$125,000 | \$185,000 |
|  |  |  |  |  |  |  |  |  |  |
| Percentage of company's legal and regulatory risk areas CCO involved in: |  |  |  |  |  |  |  |  |  |
| 26\%-50\% | 146 | \$134,081 | \$84,000 | \$125,000 | \$165,000 | \$147,172 | \$87,000 | \$130,250 | \$186,000 |
| 51\%-75\% | 182 | \$128,568 | \$85,000 | \$115,000 | \$156,000 | \$142,713 | \$87,500 | \$120,000 | \$170,500 |
| 76\%-100\% | 317 | \$138,351 | \$90,000 | \$122,000 | \$175,000 | \$154,483 | \$90,000 | \$130,000 | \$190,000 |
| Number of employees in the compliance and ethics group managed: |  |  |  |  |  |  |  |  |  |
| 1 | 221 | \$100,035 | \$75,000 | \$92,000 | \$130,000 | \$104,483 | \$75,000 | \$95,000 | \$135,000 |
| 2 to 5 | 249 | \$126,378 | \$95,000 | \$120,000 | \$150,000 | \$136,617 | \$100,000 | \$124,000 | \$160,000 |
| 6 to 10 | 72 | \$172,497 | \$110,500 | \$165,000 | \$211,500 | \$189,379 | \$120,500 | \$184,500 | \$235,750 |
| 11 to 15 | 28 | \$176,501 | \$113,000 | \$182,300 | \$223,750 | \$214,194 | \$139,792 | \$225,000 | \$288,750 |
| 16 to 20 | 24 | \$238,122 | \$185,500 | \$257,500 | \$300,000 | \$309,789 | \$193,000 | \$319,000 | \$375,000 |
| More than 20 | 51 | \$199,603 | \$92,000 | \$220,000 | \$276,000 | \$240,315 | \$92,000 | \$247,000 | \$345,000 |
| Annual compliance budget managed: |  |  |  |  |  |  |  |  |  |
| < \$100k | 272 | \$96,679 | \$70,000 | \$89,500 | \$120,000 | \$101,971 | \$70,100 | \$90,760 | \$130,000 |
| \$100k-\$249k | 98 | \$114,772 | \$90,000 | \$111,000 | \$134,000 | \$121,512 | \$92,000 | \$115,500 | \$143,000 |
| \$250k-\$499k | 78 | \$128,896 | \$100,000 | \$125,000 | \$150,000 | \$138,358 | \$105,000 | \$135,000 | \$170,000 |
| \$500k-\$999k | 60 | \$154,679 | \$112,000 | \$151,000 | \$168,000 | \$172,672 | \$116,750 | \$157,000 | \$194,150 |
| \$1m-\$1.9m | 63 | \$216,181 | \$174,000 | \$198,000 | \$265,000 | \$239,481 | \$180,000 | \$226,000 | \$308,545 |
| \$2m+ | 74 | \$220,735 | \$181,000 | \$230,000 | \$276,000 | \$277,680 | \$206,000 | \$278,000 | \$340,000 |
| Number of employees that CCO runs compliance for: |  |  |  |  |  |  |  |  |  |
| Less than 100 | 71 | \$92,017 | \$68,000 | \$90,000 | \$120,000 | \$99,487 | \$68,000 | \$92,000 | \$125,000 |
| 100-249 | 77 | \$89,459 | \$65,000 | \$80,132 | \$115,000 | \$93,922 | \$68,000 | \$83,000 | \$125,000 |
| 250-499 | 119 | \$103,195 | \$77,500 | \$90,000 | \$125,000 | \$110,876 | \$79,000 | \$95,000 | \$134,000 |
| 500-999 | 97 | \$117,790 | \$93,000 | \$115,000 | \$140,000 | \$128,814 | \$95,000 | \$115,000 | \$149,000 |
| 1,000-1,999 | 70 | \$132,369 | \$93,000 | \$117,500 | \$155,000 | \$139,610 | \$103,000 | \$119,500 | \$170,000 |
| 2,000-2,999 | 42 | \$148,742 | \$102,000 | \$150,000 | \$175,000 | \$160,947 | \$108,932 | \$156,500 | \$191,000 |
| 3,000-4,999 | 53 | \$150,232 | \$122,000 | \$145,000 | \$170,000 | \$165,600 | \$130,500 | \$155,000 | \$192,000 |
| 5,000-7,499 | 35 | \$196,914 | \$132,000 | \$200,000 | \$250,000 | \$222,886 | \$134,000 | \$215,000 | \$300,000 |
| 7,500-14,999 | 46 | \$230,522 | \$185,000 | \$227,500 | \$260,000 | \$268,543 | \$206,000 | \$256,775 | \$323,000 |
| 15,000+ | 35 | \$249,536 | \$200,000 | \$250,000 | \$289,000 | \$313,831 | \$221,000 | \$314,000 | \$375,366 |


|  | Base Salary |  |  |  |  |  | Total Compensation |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Responses | Average | 25th <br> Percentile | Median | 75th Percentile | Average | 25th <br> Percentile | Median | 75th Percentile |
| Annual revenues of part of organization that the CCO runs compliance for: |  |  |  |  |  |  |  |  |  |
| Less than \$5 million | 66 | \$90,353 | \$60,000 | \$85,000 | \$120,000 | \$96,763 | \$60,000 | \$86,500 | \$124,000 |
| \$5 million to less than \$15 million | 106 | \$101,556 | \$69,000 | \$91,500 | \$128,000 | \$108,372 | \$70,000 | \$94,500 | \$135,000 |
| \$15 million to less than \$30 million | 83 | \$102,702 | \$75,000 | \$95,000 | \$120,000 | \$109,469 | \$78,000 | \$100,000 | \$135,000 |
| \$30 million to less than \$50 million | 78 | \$112,000 | \$80,000 | \$112,000 | \$135,000 | \$120,475 | \$80,000 | \$115,000 | \$143,500 |
| \$50 million to less than \$100 million | 59 | \$115,209 | \$90,000 | \$105,000 | \$130,000 | \$119,852 | \$92,000 | \$111,300 | \$138,000 |
| \$100 million to less than \$500 million | 99 | \$136,682 | \$106,000 | \$130,000 | \$165,000 | \$148,233 | \$108,932 | \$136,000 | \$185,000 |
| \$500 million to less than \$1 billion | 59 | \$174,976 | \$125,000 | \$168,000 | \$203,000 | \$195,099 | \$137,000 | \$185,000 | \$230,000 |
| \$1 billion to less than \$3 billion | 61 | \$232,113 | \$185,000 | \$230,000 | \$265,000 | \$271,123 | \$195,000 | \$256,550 | \$330,000 |
| \$3 billion or more | 34 | \$236,258 | \$196,000 | \$237,000 | \$282,000 | \$302,340 | \$225,000 | \$292,500 | \$375,000 |
| Number of countries in which compliance is managed: |  |  |  |  |  |  |  |  |  |
| 1 | 629 | \$132,623 | \$85,000 | \$119,000 | \$165,000 | \$146,657 | \$88,000 | \$123,000 | \$180,000 |
| 2 or more | 16 | \$213,313 | \$150,000 | \$215,000 | \$282,000 | \$261,563 | \$161,750 | \$247,000 | \$343,750 |
| Number of years managing the compliance department: |  |  |  |  |  |  |  |  |  |
| 1 or less | 107 | \$138,915 | \$87,500 | \$130,000 | \$175,000 | \$157,131 | \$87,500 | \$134,500 | \$190,000 |
| 2 | 77 | \$135,142 | \$95,000 | \$120,000 | \$160,000 | \$144,921 | \$100,000 | \$125,000 | \$170,000 |
| 3 | 66 | \$138,242 | \$78,000 | \$122,500 | \$176,000 | \$157,131 | \$78,000 | \$132,500 | \$191,227 |
| 4 | 48 | \$124,757 | \$80,500 | \$112,500 | \$161,500 | \$136,376 | \$85,500 | \$117,000 | \$174,500 |
| 5 | 51 | \$138,736 | \$99,234 | \$116,000 | \$170,000 | \$153,518 | \$99,234 | \$125,000 | \$185,000 |
| 6-10 | 109 | \$137,027 | \$83,000 | \$125,000 | \$165,000 | \$151,525 | \$84,400 | \$135,000 | \$190,000 |
| 11-15 | 80 | \$131,639 | \$78,078 | \$111,500 | \$167,375 | \$150,829 | \$80,000 | \$111,500 | \$190,250 |
| 16 or more | 107 | \$129,980 | \$88,050 | \$115,000 | \$150,000 | \$141,415 | \$91,000 | \$116,000 | \$158,776 |
| Years in compliance profession: |  |  |  |  |  |  |  |  |  |
| <3 years | 68 | \$84,858 | \$69,000 | \$83,500 | \$94,975 | \$89,977 | \$70,000 | \$86,000 | \$104,750 |
| 3-5 years | 143 | \$102,494 | \$70,000 | \$95,000 | \$125,000 | \$109,728 | \$71,000 | \$99,000 | \$138,000 |
| 6-10 years | 148 | \$126,839 | \$88,013 | \$115,000 | \$150,000 | \$141,217 | \$90,000 | \$126,000 | \$165,000 |
| 11-15 years | 135 | \$156,659 | \$100,000 | \$140,000 | \$200,000 | \$178,353 | \$106,000 | \$150,000 | \$219,000 |
| 16-20 years | 95 | \$174,270 | \$110,000 | \$165,000 | \$230,000 | \$193,279 | \$115,000 | \$175,040 | \$255,000 |
| $21+$ years | 55 | \$177,252 | \$115,250 | \$155,000 | \$231,550 | \$201,875 | \$125,000 | \$170,000 | \$250,000 |


|  | Base Salary |  |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Responses | Average | 25th <br> Percentile | Median | 75th <br> Percentile | Average | 25th Percentile | Median | 75th <br> Percentile |
| Certifications: |  |  |  |  |  |  |  |  |  |
| Leading Professional in Ethics \& Compliance (LPEC) | 2 | \$33,000 | \$6,000 | \$33,000 | \$60,000 | \$33,250 | \$6,500 | \$33,250 | \$60,000 |
| Certified Public Accountant (CPA) | 21 | \$189,172 | \$147,500 | \$155,000 | \$230,000 | \$220,686 | \$147,500 | \$184,000 | \$276,000 |
| Certified Compliance and Ethics Professional (CCEP) | 28 | \$191,345 | \$130,500 | \$182,500 | \$266,750 | \$233,166 | \$134,250 | \$203,000 | \$329,000 |
| Certified Compliance and Ethics Professional-International (CCEP-I) | 1 | \$128,000 | \$128,000 | \$128,000 | \$128,000 | \$143,000 | \$143,000 | \$143,000 | \$143,000 |
| Certified in Healthcare Compliance (CHC) | 372 | \$145,192 | \$99,000 | \$132,000 | \$180,000 | \$162,614 | \$103,250 | \$140,000 | \$191,900 |
| Certified in Healthcare Research Compliance (CHRC) | 32 | \$197,221 | \$143,250 | \$196,500 | \$230,000 | \$222,560 | \$147,750 | \$221,000 | \$263,125 |
| Certified in Healthcare Privacy Compliance (CHPC) | 91 | \$165,290 | \$120,000 | \$160,000 | \$220,000 | \$191,133 | \$120,000 | \$170,500 | \$247,000 |
| Certified Fraud Examiner (CFE) | 10 | \$201,191 | \$125,000 | \$186,000 | \$250,000 | \$224,671 | \$139,800 | \$196,000 | \$300,000 |
| Certified Internal Auditor (CIA) | 13 | \$174,231 | \$95,000 | \$150,000 | \$188,000 | \$199,846 | \$95,000 | \$163,000 | \$208,000 |
| Certified Information Privacy Professional (CIPP) | 4 | \$216,500 | \$189,500 | \$230,000 | \$230,000 | \$235,000 | \$207,250 | \$247,000 | \$250,750 |
| Professionals in Human Resources (PHR) | 5 | \$96,800 | \$65,000 | \$104,000 | \$125,000 | \$102,000 | \$65,000 | \$104,000 | \$136,000 |
| Senior Professionals in Human Resources (SPHR) | 6 | \$135,549 | \$127,000 | \$127,534 | \$130,000 | \$138,049 | \$127,000 | \$128,534 | \$143,000 |
| Health Ethics Trust Certified Compliance Professional (CCP) | 4 | \$186,000 | \$111,250 | \$132,500 | \$314,250 | \$221,000 | \$128,750 | \$145,000 | \$389,250 |
| Health Ethics Trust Certified Compliance Executive (CCE) | 7 | \$252,571 | \$220,000 | \$230,000 | \$289,000 | \$292,767 | \$247,000 | \$252,000 | \$375,366 |
| Other | 232 | \$142,961 | \$88,000 | \$126,940 | \$173,500 | \$158,180 | \$90,000 | \$132,000 | \$189,750 |
| None | 100 | \$104,599 | \$65,000 | \$89,025 | \$125,000 | \$112,689 | \$68,250 | \$91,260 | \$137,750 |
| Type of organization: |  |  |  |  |  |  |  |  |  |
| Nonprofit | 369 | \$139,850 | \$85,000 | \$115,000 | \$175,000 | \$153,730 | \$85,000 | \$120,000 | \$192,000 |
| Privately held | 171 | \$122,938 | \$87,500 | \$122,000 | \$150,000 | \$137,168 | \$90,000 | \$130,000 | \$160,000 |
| Publicly traded | 32 | \$161,640 | \$118,750 | \$152,500 | \$182,950 | \$213,921 | \$135,000 | \$170,000 | \$323,188 |
| Governmental | 47 | \$104,502 | \$76,357 | \$99,500 | \$120,000 | \$108,885 | \$76,357 | \$100,000 | \$122,000 |
| Academic | 22 | \$171,835 | \$142,926 | \$182,000 | \$203,000 | \$178,881 | \$142,926 | \$182,000 | \$206,000 |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |  |
| 1-3 | 7 | \$110,357 | \$62,500 | \$85,000 | \$102,000 | \$120,214 | \$62,500 | \$88,000 | \$102,000 |
| 4-6 | 33 | \$93,155 | \$60,000 | \$80,000 | \$115,000 | \$103,914 | \$60,000 | \$85,000 | \$146,500 |
| 7-9 | 172 | \$115,882 | \$77,328 | \$102,750 | \$150,000 | \$126,925 | \$78,734 | \$106,501 | \$151,970 |
| All 10 | 433 | \$145,622 | \$94,000 | \$130,000 | \$181,000 | \$162,425 | \$98,000 | \$135,000 | \$195,000 |


|  | Responses | Average | Base Salary |  | 75th <br> Percentile | Average | Total Compensation |  | 75th <br> Percentile |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 25th <br> Percentile | Median |  |  | 25th <br> Percentile | Median |  |
| Geographic region: |  |  |  |  |  |  |  |  |  |
| New England | 31 | \$120,846 | \$90,000 | \$110,000 | \$135,000 | \$134,040 | \$93,000 | \$111,000 | \$165,000 |
| Mid-Atlantic | 95 | \$141,759 | \$95,000 | \$135,000 | \$185,000 | \$150,900 | \$95,000 | \$140,000 | \$185,000 |
| East North Central | 100 | \$148,286 | \$95,000 | \$124,500 | \$174,250 | \$164,023 | \$100,400 | \$130,000 | \$189,375 |
| West North Central | 65 | \$138,302 | \$75,000 | \$120,000 | \$170,000 | \$159,009 | \$82,000 | \$130,000 | \$191,600 |
| South Atlantic | 94 | \$136,644 | \$83,000 | \$123,461 | \$165,000 | \$145,976 | \$83,000 | \$133,500 | \$170,000 |
| East South Central | 54 | \$122,658 | \$78,000 | \$110,000 | \$175,000 | \$152,290 | \$82,700 | \$116,000 | \$184,000 |
| West South Central | 61 | \$125,773 | \$82,000 | \$105,000 | \$154,000 | \$143,860 | \$84,000 | \$116,000 | \$164,000 |
| Mountain | 47 | \$110,537 | \$79,500 | \$100,000 | \$130,000 | \$118,071 | \$79,500 | \$100,000 | \$146,000 |
| Pacific | 80 | \$140,482 | \$87,750 | \$127,500 | \$175,000 | \$154,247 | \$96,000 | \$136,140 | \$191,500 |
| Age: |  |  |  |  |  |  |  |  |  |
| Less than 30 | 10 | \$63,940 | \$60,000 | \$65,200 | \$70,000 | \$74,110 | \$61,000 | \$66,950 | \$78,500 |
| 30-34 | 32 | \$87,357 | \$72,750 | \$90,000 | \$104,501 | \$94,135 | \$72,750 | \$91,000 | \$110,000 |
| 35-39 | 81 | \$119,438 | \$77,000 | \$115,909 | \$145,000 | \$135,971 | \$78,000 | \$120,000 | \$160,000 |
| 40-44 | 89 | \$113,501 | \$80,000 | \$105,000 | \$134,000 | \$124,220 | \$81,500 | \$108,000 | \$145,000 |
| 45-49 | 100 | \$155,815 | \$109,250 | \$140,000 | \$200,000 | \$176,792 | \$110,000 | \$152,250 | \$222,000 |
| 50-54 | 95 | \$139,035 | \$95,000 | \$130,000 | \$165,000 | \$153,282 | \$100,200 | \$141,000 | \$189,000 |
| 55-59 | 106 | \$145,878 | \$90,000 | \$111,500 | \$174,000 | \$161,634 | \$90,000 | \$116,500 | \$191,000 |
| 60-64 | 95 | \$150,031 | \$100,000 | \$130,000 | \$187,000 | \$163,271 | \$100,000 | \$140,000 | \$206,000 |
| 65+ | 33 | \$130,780 | \$82,000 | \$134,000 | \$170,000 | \$147,257 | \$84,000 | \$140,000 | \$190,000 |
| Contract status: |  |  |  |  |  |  |  |  |  |
| Yes | 64 | \$168,206 | \$92,000 | \$151,500 | \$228,750 | \$189,191 | \$98,800 | \$167,500 | \$247,250 |
| No | 581 | \$130,925 | \$85,000 | \$115,909 | \$162,000 | \$145,136 | \$87,500 | \$122,000 | \$176,000 |
| If yes: Do you have a severance clause in contract? |  |  |  |  |  |  |  |  |  |
| Yes | 64 | \$168,206 | \$92,000 | \$151,500 | \$228,750 | \$189,191 | \$98,800 | \$167,500 | \$247,250 |
| No | 581 | \$130,925 | \$85,000 | \$115,909 | \$162,000 | \$145,136 | \$87,500 | \$122,000 | \$176,000 |

## Detailed Results

## Chief Compliance Officer Detailed Results Healthcare



|  | All Respondents | < \$100k | Annual Compliance Budget Managed |  |  | \$1m+ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \$100k-\$249k | \$250k-\$499k | $\begin{aligned} & \$ 500 \mathrm{k}- \\ & \$ 999 \mathrm{k} \end{aligned}$ |  |
| Annual compliance budget managed: |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| 75th Percentile | \$650,000 | \$11,500 | \$200,000 | \$350,000 | \$718,750 | \$3,787,532 |
| Median | \$150,000 | \$500 | \$150,000 | \$300,000 | \$600,000 | \$2,000,000 |
| 25th Percentile | \$5,000 | \$0 | \$100,000 | \$250,000 | \$500,000 | \$1,200,000 |
| Average | \$4,706,512 | \$13,346 | \$153,614 | \$315,051 | \$617,130 | \$21,329,694 |
| <\$100k | 42\% | 100\% | 0\% | 0\% | 0\% | 0\% |
| \$100k-\$249k | 15\% | 0\% | 100\% | 0\% | 0\% | 0\% |
| \$250k-\$499k | 12\% | 0\% | 0\% | 100\% | 0\% | 0\% |
| \$500k-\$999k | 9\% | 0\% | 0\% | 0\% | 100\% | 0\% |
| \$1m-\$1.9m | 10\% | 0\% | 0\% | 0\% | 0\% | 45\% |
| \$2m+ | 12\% | 0\% | 0\% | 0\% | 0\% | 55\% |
| Approximately how many employees work in your organization as a whole? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| Less than 100 | 8\% | 13\% | 8\% | 5\% | 3\% | 3\% |
| 100-249 | 12\% | 20\% | 14\% | 8\% | 0\% | 0\% |
| 250-499 | 18\% | 25\% | 21\% | 15\% | 10\% | 5\% |
| 500-999 | 16\% | 17\% | 26\% | 22\% | 10\% | 4\% |
| 1,000-1,999 | 10\% | 7\% | 14\% | 21\% | 12\% | 7\% |
| 2,000-2,999 | 6\% | 4\% | 8\% | 12\% | 10\% | 6\% |
| 3,000-4,999 | 7\% | 4\% | 6\% | 9\% | 27\% | 6\% |
| 5,000-7,499 | 6\% | 4\% | 1\% | 5\% | 8\% | 15\% |
| 7,500-9,999 | 3\% | 0\% | 0\% | 1\% | 3\% | 9\% |
| 10,000-14,999 | 4\% | 1\% | 0\% | 0\% | 5\% | 15\% |
| 15,000-19,999 | 2\% | 1\% | 0\% | 0\% | 2\% | 9\% |
| 20,000-29,999 | 1\% | 1\% | 0\% | 0\% | 2\% | 4\% |
| 30,000-49,999 | 2\% | 1\% | 0\% | 0\% | 2\% | 8\% |
| 50,000-74,999 | 1\% | 0\% | 1\% | 1\% | 2\% | 3\% |
| 75,000-99,999 | 0\% | 1\% | 0\% | 1\% | 0\% | 0\% |
| 100,000 + | 2\% | 1\% | 0\% | 0\% | 5\% | 6\% |


|  | Annual Compliance Budget Managed |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | < \$100k | \$100k-\$249k | \$250k-\$499k | $\begin{aligned} & \$ 500 \mathrm{k}- \\ & \$ 999 \mathrm{k} \end{aligned}$ | \$1m+ |
| Approximately how many employees work in the portion of the organization that you run compliance for? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| Less than 100 | 11\% | 15\% | 14\% | 8\% | 8\% | 4\% |
| 100-249 | 12\% | 21\% | 12\% | 10\% | 0\% | 1\% |
| 250-499 | 18\% | 25\% | 23\% | 17\% | 12\% | 6\% |
| 500-999 | 15\% | 17\% | 23\% | 22\% | 8\% | 4\% |
| 1,000-1,999 | 11\% | 8\% | 14\% | 19\% | 15\% | 8\% |
| 2,000-2,999 | 6\% | 4\% | 6\% | 9\% | 12\% | 8\% |
| 3,000-4,999 | 8\% | 6\% | 5\% | 8\% | 30\% | 6\% |
| 5,000-7,499 | 6\% | 3\% | 1\% | 6\% | 3\% | 15\% |
| 7,500-9,999 | 3\% | 0\% | 0\% | 1\% | 3\% | 10\% |
| 10,000-14,999 | 4\% | 1\% | 0\% | 0\% | 3\% | 17\% |
| 15,000-19,999 | 2\% | 0\% | 0\% | 0\% | 2\% | 8\% |
| 20,000-29,999 | 1\% | 0\% | 0\% | 0\% | 2\% | 5\% |
| 30,000-49,999 | 1\% | 0\% | 0\% | 0\% | 0\% | 5\% |
| 50,000-74,999 | 0\% | 0\% | 0\% | 0\% | 0\% | 2\% |
| 75,000-99,999 | 0\% | 0\% | 0\% | 0\% | 0\% | 1\% |
| 100,000 + | 0\% | 0\% | 0\% | 0\% | 2\% | 1\% |
| What are the annual revenues of the organization you work for? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| Less than \$5 million | 6\% | 12\% | 4\% | 4\% | 2\% | 1\% |
| \$5 million to less than \$15 million | 17\% | 25\% | 18\% | 14\% | 8\% | 4\% |
| \$15 million to less than \$30 million | 13\% | 21\% | 16\% | 8\% | 7\% | 1\% |
| \$30 million to less than \$50 million | 12\% | 15\% | 14\% | 15\% | 8\% | 4\% |
| \$50 million to less than \$100 million | 9\% | 7\% | 19\% | 13\% | 7\% | 4\% |
| \$100 million to less than \$500 million | 15\% | 11\% | 16\% | 28\% | 25\% | 10\% |
| \$500 million to less than \$1 billion | 9\% | 4\% | 9\% | 12\% | 18\% | 15\% |
| \$1 billion to less than \$3 billion | 11\% | 3\% | 1\% | 5\% | 12\% | 35\% |
| \$3 billion or more | 8\% | 3\% | 1\% | 1\% | 13\% | 25\% |
|  |  |  |  |  |  |  |


|  | Annual Compliance Budget Managed |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | < \$100k | \$100k-\$249k | \$250k-\$499k | $\begin{gathered} \$ 500 k- \\ \$ 999 k \end{gathered}$ | \$1m+ |
| What are the annual revenues for the portion of the organization that your part of the compliance and ethics program oversees? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| Less than \$5 million | 10\% | 18\% | 6\% | 6\% | 3\% | 4\% |
| \$5 million to less than \$15 million | 17\% | 24\% | 18\% | 17\% | 13\% | 3\% |
| \$15 million to less than \$30 million | 13\% | 20\% | 17\% | 8\% | 8\% | 1\% |
| \$30 million to less than \$50 million | 12\% | 15\% | 12\% | 18\% | 7\% | 4\% |
| \$50 million to less than \$100 million | 9\% | 7\% | 20\% | 13\% | 8\% | 4\% |
| \$100 million to less than \$500 million | 15\% | 11\% | 16\% | 26\% | 25\% | 13\% |
| \$500 million to less than \$1 billion | 9\% | 3\% | 9\% | 10\% | 18\% | 16\% |
| \$1 billion to less than \$3 billion | 10\% | 2\% | 0\% | 3\% | 12\% | 35\% |
| \$3 billion or more | 5\% | 0\% | 0\% | 0\% | 5\% | 22\% |
|  |  |  |  |  |  |  |
| For how many countries do you manage compliance? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| 1 | 98\% | 99\% | 100\% | 97\% | 98\% | 93\% |
| 2 or more | 2\% | 1\% | 0\% | 3\% | 2\% | 7\% |
| Where do you work? |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| United States | 99\% | 99\% | 100\% | 100\% | 98\% | 99\% |
| North America, other than the US | 0\% | 0\% | 0\% | 0\% | 2\% | 0\% |
| South America | 0\% | 1\% | 0\% | 0\% | 0\% | 1\% |
| Europe | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Asia | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Africa | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Australia | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
|  |  |  |  |  |  |  |
| Current age: |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| 75th Percentile | 58 | 56 | 59 | 59 | 58 | 59 |
| Median | 50 | 48 | 52 | 52 | 50 | 50 |
| 25th Percentile | 41 | 40 | 40 | 44 | 45 | 45 |
| Average | 49.3 | 47.6 | 49.5 | 51.6 | 50.3 | 51.0 |
|  |  |  |  |  |  |  |
| Gender: |  |  |  |  |  |  |
| Responses | 643 | 271 | 97 | 77 | 60 | 138 |
| Male | 28\% | 21\% | 20\% | 30\% | 27\% | 46\% |
| Female | 72\% | 79\% | 80\% | 70\% | 73\% | 54\% |
|  |  |  |  |  |  |  |





|  | Annual Compliance Budget Managed |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | < \$100k | \$100k-\$249k | \$250k-\$499k | $\begin{aligned} & \$ 500 \mathrm{k}- \\ & \$ 999 \mathrm{k} \end{aligned}$ | \$1m+ |
| If yes, what percent of your annual salary is it equivalent to? |  |  |  |  |  |  |
| Responses | 28 | 5 | 2 | 1 | 3 | 17 |
| <20\% | 4\% | 0\% |  |  |  | 0\% |
| 20\%-29\% | 7\% | 0\% |  |  |  | 6\% |
| 30\%-49\% | 4\% | 0\% |  |  |  | 6\% |
| 50\%+ | 32\% | 20\% |  |  |  | 35\% |
| My severance does not translate into this measure | 54\% | 80\% |  |  |  | 53\% |
|  |  |  |  |  |  |  |
| Number of compliance elements involved in: |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| 1-3 | 1\% | 1\% | 0\% | 0\% | 0\% | 2\% |
| 4-6 | 5\% | 8\% | 1\% | 1\% | 7\% | 3\% |
| 7-9 | 27\% | 33\% | 26\% | 23\% | 27\% | 16\% |
| All 10 | 67\% | 57\% | 73\% | 76\% | 67\% | 79\% |
|  |  |  |  |  |  |  |
| Geographic region: |  |  |  |  |  |  |
| Responses | 647 | 272 | 98 | 78 | 60 | 139 |
| New England | 5\% | 7\% | 3\% | 5\% | 5\% | 1\% |
| Mid-Atlantic | 15\% | 12\% | 21\% | 21\% | 15\% | 12\% |
| East North Central | 15\% | 16\% | 10\% | 13\% | 10\% | 22\% |
| West North Central | 10\% | 11\% | 10\% | 6\% | 17\% | 8\% |
| South Atlantic | 15\% | 15\% | 11\% | 14\% | 10\% | 17\% |
| East South Central | 8\% | 7\% | 11\% | 5\% | 12\% | 9\% |
| West South Central | 9\% | 9\% | 9\% | 12\% | 10\% | 9\% |
| Mountain | 7\% | 9\% | 6\% | 9\% | 7\% | 4\% |
| Pacific | 13\% | 10\% | 15\% | 15\% | 12\% | 14\% |
| Unknown US Region | 2\% | 3\% | 2\% | 0\% | 2\% | 2\% |
| Outside US | 1\% | 1\% | 0\% | 0\% | 2\% | 1\% |


|  |  |  |  | er of | in O | tion As $A$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All <br> Respondents | Less <br> than 250 | 250-999 | $\begin{gathered} 1,000- \\ 2,999 \end{gathered}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{gathered} \text { 15,000- } \\ 29,999 \end{gathered}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| Are you the compliance officer f |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Your organization as a whole | 84\% | 95\% | 93\% | 91\% | 79\% | 84\% | 46\% | 25\% |
| A division or operating unit of the organization | 16\% | 5\% | 7\% | 9\% | 21\% | 16\% | 54\% | 75\% |
| Percentage indicating involveme | in the followin | complianc | lements: |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Compliance Auditing/Monitoring | 97\% | 98\% | 97\% | 93\% | 98\% | 95\% | 96\% | 100\% |
| Compliance Education | 98\% | 97\% | 99\% | 97\% | 99\% | 100\% | 100\% | 98\% |
| Compliance Investigations | 98\% | 96\% | 99\% | 97\% | 99\% | 98\% | 100\% | 100\% |
| Hot Line/Anonymous Reporting | 92\% | 78\% | 94\% | 96\% | 97\% | 98\% | 100\% | 98\% |
| Reporting to the Board | 90\% | 84\% | 94\% | 95\% | 93\% | 89\% | 83\% | 78\% |
| Compliance Risk Assessments | 95\% | 91\% | 95\% | 96\% | 94\% | 100\% | 100\% | 98\% |
| Compliance Discipline/Incentives | 79\% | 69\% | 77\% | 79\% | 85\% | 93\% | 88\% | 85\% |
| Code of Conduct | 91\% | 90\% | 93\% | 93\% | 91\% | 95\% | 83\% | 90\% |
| Policies and Procedures | 98\% | 98\% | 98\% | 99\% | 99\% | 98\% | 96\% | 95\% |
| Measuring Program Effectiveness | 88\% | 81\% | 88\% | 87\% | 92\% | 98\% | 100\% | 90\% |
| How much of your company's le | and regulator | risk areas | you respon | for man |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 26\%-50\% | 23\% | 14\% | 22\% | 26\% | 27\% | 18\% | 25\% | 38\% |
| 51\%-75\% | 28\% | 29\% | 27\% | 30\% | 29\% | 27\% | 33\% | 28\% |
| 76\%-100\% | 49\% | 57\% | 51\% | 44\% | 44\% | 55\% | 42\% | 35\% |
| How many employees, including | u, are in the co | mpliance a | thics grou | manage |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 1 | 34\% | 60\% | 42\% | 34\% | 9\% | 7\% | 8\% | 10\% |
| 2 to 5 | 39\% | 28\% | 47\% | 47\% | 52\% | 11\% | 8\% | 23\% |
| 6 to 10 | 11\% | 5\% | 4\% | 15\% | 21\% | 20\% | 25\% | 23\% |
| 11 to 15 | 4\% | 1\% | 3\% | 1\% | 9\% | 14\% | 17\% | 5\% |
| 16 to 20 | 4\% | 1\% | 1\% | 2\% | 2\% | 30\% | 13\% | 3\% |
| More than 20 | 8\% | 6\% | 3\% | 1\% | 7\% | 18\% | 29\% | 38\% |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | $\begin{aligned} & \text { Less } \\ & \text { than } 250 \end{aligned}$ | 250-999 | $\begin{array}{r} 1,000- \\ 2,999 \end{array}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{aligned} & \text { 15,000- } \\ & 29,999 \end{aligned}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| Annual compliance budget managed: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 75th Percentile | \$650,000 | \$100,000 | \$250,000 | \$500,000 | \$1,200,000 | \$2,500,000 | \$2,400,000 | \$5,000,000 |
| Median | \$150,000 | \$10,000 | \$62,000 | \$288,500 | \$500,000 | \$1,850,000 | \$1,200,000 | \$1,250,000 |
| 25th Percentile | \$5,000 | \$0 | \$0 | \$81,250 | \$100,000 | \$1,000,000 | \$591,750 | \$165,000 |
| Average | \$4,706,512 | \$302,588 | \$10,997,469 | \$1,027,152 | \$1,871,843 | \$2,258,182 | \$1,714,265 | \$5,605,000 |
| <\$100k | 42\% | 70\% | 53\% | 27\% | 24\% | 9\% | 21\% | 23\% |
| \$100k-\$249k | 15\% | 17\% | 21\% | 20\% | 8\% | 0\% | 0\% | 3\% |
| \$250k-\$499k | 12\% | 8\% | 13\% | 23\% | 12\% | 2\% | 0\% | 5\% |
| \$500k-\$999k | 9\% | 2\% | 6\% | 12\% | 24\% | 11\% | 8\% | 13\% |
| \$1m-\$1.9m | 10\% | 0\% | 2\% | 13\% | 19\% | 30\% | 42\% | 10\% |
| \$2m+ | 12\% | 3\% | 4\% | 5\% | 13\% | 48\% | 29\% | 48\% |
| Approximately how many employees work in your organization as a whole? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Less than 100 | 8\% | 41\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 100-249 | 12\% | 59\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 250-499 | 18\% | 0\% | 53\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 500-999 | 16\% | 0\% | 47\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 1,000-1,999 | 10\% | 0\% | 0\% | 61\% | 0\% | 0\% | 0\% | 0\% |
| 2,000-2,999 | 6\% | 0\% | 0\% | 39\% | 0\% | 0\% | 0\% | 0\% |
| 3,000-4,999 | 7\% | 0\% | 0\% | 0\% | 54\% | 0\% | 0\% | 0\% |
| 5,000-7,499 | 6\% | 0\% | 0\% | 0\% | 46\% | 0\% | 0\% | 0\% |
| 7,500-9,999 | 3\% | 0\% | 0\% | 0\% | 0\% | 39\% | 0\% | 0\% |
| 10,000-14,999 | 4\% | 0\% | 0\% | 0\% | 0\% | 61\% | 0\% | 0\% |
| 15,000-19,999 | 2\% | 0\% | 0\% | 0\% | 0\% | 0\% | 67\% | 0\% |
| 20,000-29,999 | 1\% | 0\% | 0\% | 0\% | 0\% | 0\% | 33\% | 0\% |
| 30,000-49,999 | 2\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 38\% |
| 50,000-74,999 | 1\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 20\% |
| 75,000-99,999 | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 8\% |
| 100,000 + | 2\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 35\% |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | Less <br> than 250 | 250-999 | $\begin{gathered} 1,000- \\ 2,999 \end{gathered}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{gathered} 15,000- \\ 29,999 \end{gathered}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| Approximately how many employees work in the portion of the organization that you run compliance for? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Less than 100 | 11\% | 43\% | 4\% | 3\% | 2\% | 2\% | 0\% | 3\% |
| 100-249 | 12\% | 56\% | 1\% | 2\% | 2\% | 0\% | 0\% | 0\% |
| 250-499 | 18\% | 1\% | 52\% | 2\% | 3\% | 2\% | 4\% | 0\% |
| 500-999 | 15\% | 0\% | 43\% | 2\% | 2\% | 2\% | 0\% | 0\% |
| 1,000-1,999 | 11\% | 0\% | 0\% | 58\% | 3\% | 2\% | 4\% | 8\% |
| 2,000-2,999 | 6\% | 0\% | 0\% | 33\% | 2\% | 0\% | 0\% | 8\% |
| 3,000-4,999 | 8\% | 0\% | 0\% | 0\% | 48\% | 2\% | 13\% | 15\% |
| 5,000-7,499 | 6\% | 0\% | 0\% | 0\% | 35\% | 2\% | 4\% | 8\% |
| 7,500-9,999 | 3\% | 0\% | 0\% | 0\% | 1\% | 39\% | 0\% | 0\% |
| 10,000-14,999 | 4\% | 0\% | 0\% | 0\% | 0\% | 48\% | 8\% | 13\% |
| 15,000-19,999 | 2\% | 0\% | 0\% | 0\% | 0\% | 0\% | 50\% | 3\% |
| 20,000-29,999 | 1\% | 0\% | 0\% | 0\% | 0\% | 0\% | 17\% | 10\% |
| 30,000-49,999 | 1\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 18\% |
| 50,000-74,999 | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 8\% |
| 75,000-99,999 | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 3\% |
| 100,000 + | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 8\% |
| What are the annual revenues of the organization you work for? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Less than \$5 million | 6\% | 20\% | 7\% | 2\% | 0\% | 0\% | 0\% | 0\% |
| \$5 million to less than \$15 million | 17\% | 42\% | 19\% | 9\% | 4\% | 0\% | 4\% | 0\% |
| \$15 million to less than \$30 million | 13\% | 19\% | 20\% | 9\% | 6\% | 0\% | 0\% | 3\% |
| \$30 million to less than \$50 million | 12\% | 9\% | 24\% | 8\% | 2\% | 2\% | 4\% | 3\% |
| \$50 million to less than \$100 million | 9\% | 6\% | 15\% | 12\% | 3\% | 0\% | 8\% | 0\% |
| \$100 million to less than \$500 million | 15\% | 4\% | 13\% | 40\% | 19\% | 5\% | 0\% | 5\% |
| \$500 million to less than \$1 billion | 9\% | 1\% | 1\% | 17\% | 37\% | 2\% | 4\% | 8\% |
| \$1 billion to less than \$3 billion | 11\% | 0\% | 1\% | 2\% | 21\% | 77\% | 29\% | 13\% |
| \$3 billion or more | 8\% | 0\% | 0\% | 1\% | 7\% | 14\% | 50\% | 70\% |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | $\begin{aligned} & \text { Less } \\ & \text { than } 250 \end{aligned}$ | 250-999 | $\begin{array}{r} 1,000- \\ 2,999 \end{array}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{aligned} & 15,000- \\ & 29,999 \end{aligned}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| What are the annual revenues for the portion of the organization that your part of the compliance and ethics program oversees? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Less than \$5 million | 10\% | 26\% | 11\% | 4\% | 2\% | 2\% | 0\% | 8\% |
| \$5 million to less than \$15 million | 17\% | 38\% | 18\% | 10\% | 8\% | 0\% | 4\% | 3\% |
| \$15 million to less than \$30 million | 13\% | 19\% | 18\% | 9\% | 6\% | 2\% | 4\% | 8\% |
| $\$ 30$ million to less than $\$ 50$ million | 12\% | 8\% | 26\% | 6\% | 3\% | 2\% | 8\% | 0\% |
| \$50 million to less than \$100 million | 9\% | 5\% | 14\% | 15\% | 2\% | 2\% | 8\% | 5\% |
| $\$ 100$ million to less than $\$ 500$ million | 15\% | 4\% | 11\% | 38\% | 21\% | 5\% | 13\% | 13\% |
| \$500 million to less than \$1 billion | 9\% | 1\% | 1\% | 16\% | 37\% | 5\% | 4\% | 5\% |
| \$1 billion to less than \$3 billion | 10\% | 0\% | 1\% | 1\% | 19\% | 70\% | 25\% | 13\% |
| \$3 billion or more | 5\% | 0\% | 0\% | 1\% | 1\% | 11\% | 33\% | 48\% |
| For how many countries do you manage compliance? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 1 | 98\% | 99\% | 99\% | 99\% | 94\% | 98\% | 100\% | 85\% |
| 2 or more | 2\% | 1\% | 1\% | 1\% | 6\% | 2\% | 0\% | 15\% |
| Where do you work? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| United States | 99\% | 100\% | 100\% | 100\% | 98\% | 98\% | 100\% | 100\% |
| North America, other than the US | 0\% | 0\% | 0\% | 0\% | 0\% | 2\% | 0\% | 0\% |
| South America | 0\% | 0\% | 0\% | 0\% | 2\% | 0\% | 0\% | 0\% |
| Europe | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Asia | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Africa | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Australia | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Current age: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 75th Percentile | 58 | 59 | 57 | 59 | 57 | 60 | 59 | 54 |
| Median | 50 | 50 | 50 | 49 | 48 | 53 | 50 | 50 |
| 25th Percentile | 41 | 40 | 40 | 40 | 42 | 45 | 45 | 42 |
| Average | 49.3 | 49.0 | 49.1 | 49.3 | 49.2 | 52.3 | 49.2 | 49.3 |
|  |  |  |  |  |  |  |  |  |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | Less than 250 | 250-999 | $\begin{gathered} 1,000- \\ 2,999 \end{gathered}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{aligned} & \text { 15,000- } \\ & 29,999 \end{aligned}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| Gender: |  |  |  |  |  |  |  |  |
| Responses | 643 | 126 | 213 | 108 | 88 | 44 | 24 | 40 |
| Male | 28\% | 18\% | 20\% | 31\% | 39\% | 43\% | 33\% | 45\% |
| Female | 72\% | 82\% | 80\% | 69\% | 61\% | 57\% | 67\% | 55\% |
| Years in compliance profession: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 75th Percentile | 15 | 11 | 15 | 15 | 18 | 20 | 19 | 19 |
| Median | 10 | 6 | 7 | 9 | 12 | 15 | 15 | 15 |
| 25th Percentile | 5 | 3 | 4 | 5 | 8 | 13 | 7 | 8 |
| Average | 10.8 | 8.1 | 10.0 | 10.1 | 12.8 | 15.8 | 13.1 | 14.0 |
| Years with current employer: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 75th Percentile | 12 | 11 | 12 | 10 | 12 | 13 | 15 | 15 |
| Median | 5 | 5 | 5 | 5 | 5 | 6 | 8 | 7 |
| 25th Percentile | 2 | 2 | 2 | 2 | 3 | 2 | 4 | 3 |
| Average | 8.3 | 7.9 | 8.2 | 7.2 | 9.0 | 8.9 | 11.2 | 9.6 |
| Years holding current job title: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 75th Percentile | 6 | 5 | 6 | 8 | 6 | 10 | 10 | 5 |
| Median | 3 | 3 | 3 | 4 | 4 | 3 | 4 | 3 |
| 25th Percentile | 2 | 2 | 2 | 2 | 2 | 2 | 3 | 2 |
| Average | 5.1 | 4.9 | 4.8 | 5.3 | 5.1 | 5.6 | 6.0 | 4.8 |
| Industry: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Healthcare Provider | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% |
|  |  |  |  |  |  |  |  |  |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | $\begin{aligned} & \text { Less } \\ & \text { than } 250 \end{aligned}$ | 250-999 | $\begin{gathered} 1,000- \\ 2,999 \end{gathered}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{gathered} 15,000- \\ 29,999 \end{gathered}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| How many years have you run the compliance department? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Average | 5.7 | 5.1 | 4.9 | 5.5 | 6.9 | 7.6 | 6.6 | 6.8 |
| 1 | 17\% | 20\% | 19\% | 18\% | 13\% | 11\% | 17\% | 15\% |
| 2 | 15\% | 14\% | 19\% | 19\% | 9\% | 16\% | 8\% | 10\% |
| 3 | 15\% | 17\% | 15\% | 12\% | 16\% | 11\% | 17\% | 18\% |
| 4 | 8\% | 9\% | 9\% | 6\% | 9\% | 5\% | 8\% | 8\% |
| 5 | 9\% | 10\% | 10\% | 9\% | 6\% | 9\% | 0\% | 8\% |
| 6 to 10 | 19\% | 15\% | 17\% | 22\% | 25\% | 11\% | 29\% | 18\% |
| 11 to 15 | 9\% | 11\% | 5\% | 8\% | 9\% | 25\% | 17\% | 8\% |
| 16 or more | 7\% | 3\% | 6\% | 6\% | 13\% | 11\% | 4\% | 18\% |
| Type of organization: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Nonprofit | 57\% | 50\% | 59\% | 51\% | 62\% | 61\% | 75\% | 65\% |
| Privately held | 26\% | 34\% | 30\% | 38\% | 20\% | 2\% | 4\% | 8\% |
| Publicly traded | 5\% | 3\% | 2\% | 4\% | 6\% | 14\% | 0\% | 23\% |
| Governmental | 7\% | 13\% | 7\% | 7\% | 4\% | 7\% | 4\% | 0\% |
| Academic | 3\% | 0\% | 1\% | 0\% | 7\% | 16\% | 17\% | 5\% |
| Other | 1\% | 0\% | 1\% | 0\% | 1\% | 0\% | 0\% | 0\% |
|  |  |  |  |  |  |  |  |  |
| Percentage holding the following certifications: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Leading Professional in Ethics \& Compliance (LPEC) | 0\% | 1\% | 0\% | 0\% | 1\% | 0\% | 0\% | 0\% |
| Certified Public Accountant (CPA) | 3\% | 2\% | 2\% | 5\% | 0\% | 5\% | 4\% | 13\% |
| Certified Compliance and Ethics Professional (CCEP) | 4\% | 2\% | 2\% | 4\% | 10\% | 11\% | 0\% | 8\% |
| Certified Compliance and Ethics Professional-International (CCEP-I) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Certified in Healthcare <br> Compliance (CHC) | 58\% | 42\% | 47\% | 69\% | 74\% | 68\% | 75\% | 75\% |
| Certified in Healthcare Research Compliance (CHRC) | 5\% | 0\% | 2\% | 3\% | 16\% | 9\% | 13\% | 10\% |
| Certified in Healthcare Privacy Compliance (CHPC) | 14\% | 6\% | 9\% | 19\% | 21\% | 20\% | 25\% | 28\% |
| Advanced Practitioner in Ethics and Compliance Certification (APEX) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Certified Fraud Examiner (CFE) | 2\% | 2\% | 0\% | 2\% | 0\% | 7\% | 4\% | 3\% |
| Certified Internal Auditor (CIA) | 2\% | 0\% | 1\% | 4\% | 0\% | 5\% | 8\% | 5\% |
| Certified Information Privacy Professional (CIPP) | 1\% | 0\% | 0\% | 0\% | 3\% | 0\% | 4\% | 0\% |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | Less <br> than 250 | 250-999 | $\begin{gathered} 1,000- \\ 2,999 \end{gathered}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{aligned} & \text { 15,000- } \\ & 29,999 \end{aligned}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| Professionals in Human Resources (PHR) | 1\% | 0\% | 1\% | 1\% | 1\% | 0\% | 0\% | 0\% |
| Senior Professionals in Human Resources (SPHR) | 1\% | 0\% | 1\% | 4\% | 0\% | 0\% | 0\% | 0\% |
| Health Ethics Trust Certified Compliance Professional (CCP) | 1\% | 1\% | 0\% | 1\% | 1\% | 2\% | 0\% | 0\% |
| Health Ethics Trust Certified Compliance Executive (CCE) | 1\% | 0\% | 0\% | 0\% | 4\% | 5\% | 0\% | 3\% |
| Accredited Healthcare Fraud Investigator (AHFI) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Other | 36\% | 38\% | 36\% | 30\% | 37\% | 41\% | 38\% | 38\% |
| None | 15\% | 23\% | 21\% | 11\% | 4\% | 9\% | 8\% | 8\% |
| Highest level of education attained: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Some college | 7\% | 12\% | 10\% | 4\% | 7\% | 0\% | 0\% | 0\% |
| Bachelor's degree | 24\% | 33\% | 24\% | 24\% | 21\% | 11\% | 21\% | 15\% |
| MBA | 13\% | 14\% | 11\% | 16\% | 12\% | 16\% | 4\% | 13\% |
| Master's degree (non-MBA) | 31\% | 30\% | 28\% | 35\% | 28\% | 23\% | 38\% | 48\% |
| JD | 22\% | 10\% | 21\% | 18\% | 28\% | 50\% | 38\% | 25\% |
| PhD | 3\% | 1\% | 6\% | 4\% | 3\% | 0\% | 0\% | 0\% |
| Amount of bonus eligible: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| None | 48\% | 65\% | 56\% | 48\% | 30\% | 32\% | 29\% | 13\% |
| 0\%-10\% of your salary | 28\% | 27\% | 33\% | 31\% | 22\% | 5\% | 29\% | 33\% |
| 11\%-20\% of your salary | 12\% | 6\% | 6\% | 11\% | 26\% | 27\% | 17\% | 15\% |
| 21\%-30\% of your salary | 7\% | 1\% | 3\% | 8\% | 13\% | 23\% | 8\% | 20\% |
| 31\%-40\% of your salary | 2\% | 1\% | 0\% | 1\% | 2\% | 9\% | 17\% | 3\% |
| $41 \%$ or more of your salary | 3\% | 2\% | 1\% | 0\% | 6\% | 5\% | 0\% | 18\% |
| If you receive a bonus, what is it based on? |  |  |  |  |  |  |  |  |
| Responses | 394 | 61 | 112 | 66 | 69 | 32 | 19 | 35 |
| Company performance | 25\% | 21\% | 19\% | 29\% | 20\% | 28\% | 42\% | 37\% |
| Personal performance | 14\% | 26\% | 20\% | 17\% | 9\% | 0\% | 5\% | 0\% |
| Both | 61\% | 52\% | 62\% | 55\% | 71\% | 72\% | 53\% | 63\% |
| Do you have a contract? |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| Yes | 10\% | 6\% | 9\% | 9\% | 18\% | 11\% | 13\% | 10\% |
| No | 90\% | 94\% | 91\% | 91\% | 82\% | 89\% | 88\% | 90\% |
|  |  |  |  |  |  |  |  |  |


|  | Number of Employees in Organization As A Whole |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | $\begin{aligned} & \text { Less } \\ & \text { than } 250 \end{aligned}$ | 250-999 | $\begin{array}{r} 1,000- \\ 2,999 \end{array}$ | $\begin{gathered} 3,000- \\ 7,499 \end{gathered}$ | $\begin{aligned} & 7,500- \\ & 14,999 \end{aligned}$ | $\begin{aligned} & \text { 15,000- } \\ & \text { 29,999 } \end{aligned}$ | $\begin{gathered} 30,000 \text { or } \\ \text { more } \end{gathered}$ |
| If yes, do you have a severance clause in your contract? |  |  |  |  |  |  |  |  |
| Responses | 66 | 8 | 20 | 10 | 16 | 5 | 3 | 4 |
| Yes | 44\% | 25\% | 35\% | 20\% | 56\% | 100\% |  |  |
| No | 56\% | 75\% | 65\% | 80\% | 44\% | 0\% |  |  |
| If yes, what percent of your annual salary is it equivalent to? |  |  |  |  |  |  |  |  |
| Responses | 28 | 2 | 6 | 2 | 9 | 5 | 1 | 3 |
| <20\% | 4\% |  | 17\% |  | 0\% | 0\% |  |  |
| 20\%-29\% | 7\% |  | 17\% |  | 0\% | 0\% |  |  |
| 30\%-49\% | 4\% |  | 17\% |  | 0\% | 0\% |  |  |
| 50\%+ | 32\% |  | 0\% |  | 44\% | 40\% |  |  |
| My severance does not translate into this measure | 54\% |  | 50\% |  | 56\% | 60\% |  |  |
|  |  |  |  |  |  |  |  |  |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| 1-3 | 1\% | 2\% | 0\% | 1\% | 1\% | 2\% | 0\% | 0\% |
| 4-6 | 5\% | 8\% | 5\% | 6\% | 4\% | 0\% | 0\% | 5\% |
| 7-9 | 27\% | 36\% | 27\% | 24\% | 18\% | 16\% | 29\% | 30\% |
| All 10 | 67\% | 54\% | 67\% | 69\% | 76\% | 82\% | 71\% | 65\% |
| Geographic region: |  |  |  |  |  |  |  |  |
| Responses | 647 | 127 | 215 | 108 | 89 | 44 | 24 | 40 |
| New England | 5\% | 5\% | 5\% | 6\% | 4\% | 2\% | 4\% | 5\% |
| Mid-Atlantic | 15\% | 12\% | 13\% | 21\% | 21\% | 9\% | 17\% | 5\% |
| East North Central | 15\% | 8\% | 13\% | 18\% | 21\% | 9\% | 21\% | 38\% |
| West North Central | 10\% | 13\% | 10\% | 7\% | 7\% | 9\% | 17\% | 10\% |
| South Atlantic | 15\% | 13\% | 16\% | 14\% | 15\% | 25\% | 4\% | 5\% |
| East South Central | 8\% | 6\% | 9\% | 6\% | 7\% | 23\% | 8\% | 5\% |
| West South Central | 9\% | 12\% | 7\% | 14\% | 4\% | 14\% | 4\% | 10\% |
| Mountain | 7\% | 13\% | 7\% | 3\% | 4\% | 2\% | 13\% | 8\% |
| Pacific | 13\% | 15\% | 15\% | 12\% | 11\% | 2\% | 8\% | 10\% |
| US region not reported | 2\% | 5\% | 5\% | 6\% | 4\% | 2\% | 4\% | 5\% |
| Outside US | 1\% | 0\% | 0\% | 0\% | 2\% | 2\% | 0\% | 0\% |

## 2019 Healthcare Staff Salary Survey

## Introduction

## About This Report

The 2019 Healthcare Staff Salary Survey is another important service provided by the Society of Corporate Compliance and Ethics \& Health Care Compliance Association (SCCE \& HCCA). The information contained in this report offers salary and compensation data for individuals working in the healthcare compliance profession. The report is designed to allow organizations and individuals to compare their data with those of their peers.

The results throughout this report are presented for both the total sample of survey respondents, and for specific segments within the sample, to allow organizations to more easily compare its own data to the results of individuals with similar characteristics.

In addition to the total sample, the individual segments reported throughout include the following:

- Title/level
- Number of Employees in Organization as a Whole
- Number of Employees in the Portion of the Organization That the Compliance Program Oversees
- Annual Revenues of the Organization as a Whole
- Annual Revenues of the Portion of the Organization That the Compliance Program Oversees
- Number of Employees in the Compliance and Ethics Group
- Annual Compliance Budget
- Number of Employees in the Compliance Portion of the Organization
- Number of Direct Reports to the Individual
- Annual Revenues in Compliance Portion of the Organization
- Number of Countries in Which Compliance Is Managed
- Number of Years in the Compliance Department
- Years in Compliance Profession
- Certifications Held
- Type of Organization
- Amount of Company's Legal and Regulatory Risk Areas Compliance Department is Responsible for Managing
- Number of Compliance Program Elements the Individual Is Involved in
- Geographic Region
- Gender
- Age
- Highest Level of Education
- Contract Status
- Presence of Severance Clause in One's Contract

Please note that the salary and other data published in this report should be considered guidelines rather than absolute standards. Even organizations that are similar on some characteristics, such as location, size, industry, etc., will differ in other ways, making it difficult to draw exact comparisons. In the end, results in this report that are different from one's own experience are most likely an indication that other factors are at play.

Note that a minimum of five responses was required to show data for any question, from any individual segments. Also note that results based on relatively small responses (e.g., fewer than 25) are subject to higher levels of statistical error and should be interpreted with caution.

This study was conducted for SCCE \& HCCA by Fondulas Strategic Research LLC, an independent professional survey research firm located in East Sandwich, MA. The company specializes in surveys for trade and professional
associations, including surveys measuring trends in salary levels over time and employee attitudes.

SCCE \& HCCA are proud to present the enclosed insights into the salary levels in the compliance industry. We wish to thank the professionals who submitted data for the study. Your support was essential in making this study a success.

## Survey Methodology

In June 2019, an email invitation was sent to approximately 50,000 individuals that make up SCCE \& HCCA's database. In total, 2,898 completed online submissions were received by mid-September. Of the 2,898 responses, 593 worked for a healthcare provider organization and were not a chief compliance officer. This report is based solely on this group.

Fondulas Strategic Research maintains strict confidentiality standards in the research it conducts. For example, all data that could potentially identify individual respondents (name, organization, etc.) are stripped from the survey data and replaced with an identifying number. In addition, all results were checked, using both automated and manual processes, for internal consistency and accuracy.

Final results were tabulated by Fondulas Strategic Research, and the report was completed in October 2019.

## Definitions

Average (mean): the arithmetic average obtained by adding the individual values for all responses to a particular question and dividing by the total number answering; a measure of central value that can be distorted by extremely high or low values.

Median: a measure of central value that is not affected by extreme values; calculated by arranging all responses in numerical order and selecting the value that falls exactly in the middle, with half higher and half lower.

25th Percentile: when responses are ordered from lowest to highest, the lower quartile is the response $25 \%$ from the bottom. In other words, $75 \%$ of the responses are higher than this measure.

75th Percentile: when responses are ordered from the lowest to highest, the upper quartile is the response $25 \%$ from the top. In other words, $25 \%$ of the responses are higher than this measure.

## Respondent Profile

## Title/Level

Assistant/specialist is the most common title among healthcare compliance staff professionals, followed by manager, then director. Two percent of respondents in the survey have the title of vice president.


## Number of Employees in Organization as a Whole

As is the case with CCOs, the organizations that staff professionals work in are highly varied when it comes to employee size.


## Number of Employees in the Portion of the Organization That the Compliance

 Program OverseesThe same holds true for the number of employees in the organization overseen by the compliance program-wide variation across employee-size bands, although 1 in 5 work in organizations covering fewer than 100 employees, and another 1 in 5 in organizations covering 15,000 or more.


## Annual Revenues of the Organization as a Whole

While one in five compliance staff professionals work in organizations with less than $\$ 15$ million dollars in annual revenue, $32 \%$ work in organizations at the other extreme: $\$ 1$ billion in revenue or more

Less than \$5 million, 8\%
\$3 billion or more, 18\%
\$1 billion-\$2.9
billion, 14\%
\$500 million-\$999.9
million, 9\%
\$100 million-\$499.9 million, 12\% \$50 million-99.9 million, 9\%

## Annual Revenues of the Portion of the Organization That the Compliance Program Oversees

We find a similar distribution when staff professionals report the revenues for that part of the organization overseen by the compliance program, including $25 \%$ who work in organizations with a compliance program responsible for $\$ 1$ billion or more in revenue.
\$3 billion or more, 12\%


## Number of Countries in Which Compliance Is Managed

As with CCOs, virtually all healthcare compliance staff professionals work in compliance groups responsible for one country only.


## Number of Years in the Compliance Department

Two-thirds of compliance staff professionals have worked in their department for five years or less; onethird have been with the group for more than 5 years. The median length of time across the sample is 5 years.


## Type of Organization

The types of organizations that staff professionals work for mirror what we saw for CCOs: the majority work in nonprofit organizations.


## Geographic Region

Health care compliance staff professionals are widely, and nearly evenly, distributed by geographic region.


| East North Central | East South Central | Mid-Atlantic | Mountain | New England | Pacific | South Atlantic | West North Central | West South Central |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Illinois | Alabama | New Jersey | Arizona | Connecticut | Alaska | Delaware | lowa | Arkansas |
| Indiana | Kentucky | New York | Colorado | Maine | California | Florida | Kansas | Louisiana |
| Michigan | Mississippi | Pennsylvania | Idaho | Massachusetts | Hawaii | Georgia | Minnesota | Oklahoma |
| Ohio | Tennessee |  | Montana | New Hampshire | Oregon | Maryland | Missouri | Texas |
| Wisconsin |  |  | Nevada | Rhode Island | Washington | North Carolina | Nebraska |  |
|  |  |  | New Mexico | Vermont |  | South Carolina | North Dakota |  |
|  |  |  | Utah |  |  | Virginia | South Dakota |  |
|  |  |  | Wyoming |  |  | Washington DC |  |  |
|  |  |  |  |  |  | West Virginia |  |  |

How much of your company's legal and regulatory risk areas would you estimate the compliance department is responsible for managing?

Directors and assistants/specialists work for departments with the greatest proportion of risk involvement managed; vice presidents and managers fall in between.

|  | Vice <br> President* | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: | :---: | :---: |
| $0-25 \%$ | $23 \%$ | $16 \%$ | $11 \%$ | $13 \%$ |
| $26 \%-50 \%$ | $23 \%$ | $22 \%$ | $32 \%$ | $23 \%$ |
| $51 \%-75 \%$ | $31 \%$ | $35 \%$ | $39 \%$ | $35 \%$ |
| $76 \%-100 \%$ | $23 \%$ | $27 \%$ | $19 \%$ | $29 \%$ |

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## Elements of the Compliance Program That Staff Are Primarily Involved in

Auditing, policies and procedures, education, investigations, and risk assessment are the compliance elements that staff professionals focus on in their work.

|  | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Compliance <br> Auditing/Monitoring | $90 \%$ | $83 \%$ | $87 \%$ |
| Policies and <br> Procedures | $90 \%$ | $82 \%$ | $79 \%$ |
| Compliance Education | $89 \%$ | $84 \%$ | $78 \%$ |
| Compliance <br> Investigations | $88 \%$ | $82 \%$ | $73 \%$ |
| Compliance Risk <br> Assessments | $87 \%$ | $69 \%$ | $58 \%$ |
| Hot Line/Anonymous <br> Reporting | $71 \%$ | $55 \%$ | $54 \%$ |
| Measuring Program <br> Effectiveness | $68 \%$ | $53 \%$ | $41 \%$ |
| Code of Conduct | $64 \%$ | $47 \%$ | $42 \%$ |
| Compliance <br> Discipline/Incentives | $55 \%$ | $36 \%$ | $27 \%$ |
| Reporting to the <br> Board | $45 \%$ | $32 \%$ | $22 \%$ |

## How Many People Report Up Through To The Individual?

Three in four directors have people who report to them. Half of managers have direct reports; almost all managers with direct reports have staffs of between 1 and 5 .

|  | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| 0 | $26 \%$ | $49 \%$ | $91 \%$ |
| $1-2$ | $28 \%$ | $26 \%$ | $6 \%$ |
| $3-5$ | $19 \%$ | $20 \%$ | $1 \%$ |
| $6-10$ | $15 \%$ | $1 \%$ | $1 \%$ |
| 11 or more | $12 \%$ | $3 \%$ | $1 \%$ |

## Certifications

The CHC is by far the most common certification among healthcare staff members, including 58\% of directors who have the credential. Ranked second is the CHPC.

|  | Director | Manager | Assistant/ Specialist |
| :---: | :---: | :---: | :---: |
| Certified in Healthcare Compliance (CHC) | 58\% | 43\% | 42\% |
| Certified in Healthcare Privacy Compliance (CHPC) | 21\% | 18\% | 12\% |
| Certified in Healthcare Research Compliance (CHRC) | 5\% | 5\% | 2\% |
| Certified Compliance and Ethics Professional (CCEP) | 4\% | 5\% | 1\% |
| Certified Internal Auditor (CIA) | 2\% | 1\% | 1\% |
| Certified Information Privacy Professional (CIPP) | 2\% | 1\% | 0\% |
| Professionals in Human Resources (PHR) | 2\% | 1\% | 0\% |
| Senior Professionals in Human Resources (SPHR) | 0\% | 1\% | 0\% |
| Health Ethics Trust Certified Compliance Professional (CCP) | 0\% | 1\% | 0\% |
| Health Ethics Trust Certified Compliance Executive (CCE) | 0\% | 0\% | 0\% |
| Accredited Healthcare Fraud Investigator (AHFI) | 0\% | 1\% | 0\% |
| Other | 32\% | 32\% | 38\% |
| None | 12\% | 19\% | 18\% |

## Do You Have A Contract?

No more than 6\% of staff members, at each level, have a contract.

|  | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Yes | $2 \%$ | $6 \%$ | $6 \%$ |
| No | $98 \%$ | $94 \%$ | $94 \%$ |

## Do You Have A Severance Clause in Your Contract?

Among the few managers with a contract, $54 \%$ say it includes a severance clause. The comparable percentage for assistants/specialists is $25 \%$.

| \% Among Those With | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Contract | $*$ | $54 \%$ | $25 \%$ |
| Yes | $*$ | $46 \%$ | $75 \%$ |
| No |  |  |  |
| *Insufficient data |  |  |  |

## Highest Level of Education

The highest level of education for approximately one-third of staff members is a bachelor's degree. Directors are the most likely to have an advanced degree.

|  | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Some college | $8 \%$ | $11 \%$ | $19 \%$ |
| Bachelor's degree | $28 \%$ | $34 \%$ | $37 \%$ |
| MBA | $15 \%$ | $10 \%$ | $6 \%$ |
| Master's degree (non-MBA) | $30 \%$ | $28 \%$ | $23 \%$ |
| JD | $17 \%$ | $17 \%$ | $13 \%$ |
| PhD | $2 \%$ | $2 \%$ | $1 \%$ |

## Gender

The majority of staff members, across titles, are women.

|  | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Men | $25 \%$ | $19 \%$ | $23 \%$ |
| Women | $75 \%$ | $81 \%$ | $77 \%$ |

## Executive Summary

## Average Total Compensation by Title/Level

Not surprisingly, we see a steady progression in total compensation from director to assistant/specialist level.


## Average Total Compensation by Annual Revenues in the Portion of the Organization That the Individual Works in Compliance for

Director and manager compensation tends to increase linearly with the amount of compliance-managed organization revenue. For assistant/specialists, compensation hardly varies by organization revenue (with one outlying exception).

| Annual Revenue in Compliance Portion of Organization | Director | Manager | Assistant/ Specialist |
| :---: | :---: | :---: | :---: |
| Less than \$5 million | \$97,187 | \$96,339 | \$72,618 |
| \$5-less than \$15 million | \$126,283 | \$80,950 | \$70,552 |
| \$15-less than \$30 million | \$104,013 | \$85,418 | \$73,970 |
| \$30-less than \$50 million | \$123,273 | \$102,099 | \$66,744 |
| \$50-less than \$100 million | \$107,098 | \$83,196 | \$69,217 |
| \$100-less than \$500 million | \$126,100 | \$92,315 | \$72,188 |
| \$500 million-less than \$1 billion | \$150,317 | \$101,651 | \$115,447 |
| \$1 billion-less than \$3 billion | \$141,705 | \$104,576 | \$75,703 |
| \$3 billion or more | \$183,918 | \$115,143 | \$76,874 |

## Average Total Compensation by Number of Employees in the Portion of the Organization That the Individual Works in Compliance for

We see a similar pattern when it comes to compensation by number of compliance employees: for directors, a generally linear relationship; for assistants/specialists, no strong pattern.

| Employees in Compliance Portion <br> of Organization | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Less than 100 | $\$ 120,272$ | $\$ 82,024$ | $\$ 77,205$ |
| $100-249$ | $\$ 86,357$ | $\$ 112,946$ | $\$ 69,110$ |
| $250-499$ | $\$ 112,800$ | $\$ 88,005$ | $\$ 59,157$ |
| $500-999$ | $\$ 112,314$ | $\$ 84,416$ | $\$ 65,446$ |
| $1,000-1,999$ | $\$ 107,607$ | $\$ 99,443$ | $\$ 63,630$ |
| $2,000-2,999$ | $\$ 129,579$ | $\$ 98,040$ | $\$ 69,494$ |
| $3,000-4,999$ | $\$ 126,559$ | $\$ 98,089$ | $\$ 77,296$ |
| $5,000-7,499$ | $\$ 149,033$ | $\$ 103,468$ | $\$ 69,196$ |
| $7,500-14,999$ | $\$ 158,894$ | $\$ 97,839$ | $\$ 102,784$ |
| 15,000 or more | $\$ 156,755$ | $\$ 108,388$ | $\$ 77,498$ |

## Average Total Compensation by Number of Employees Reporting Up Through To Individual

Directors with more direct reports tend to have higher levels of compensation than those with fewer reports; the relationship among other titles is not as clear.

| Employees Reporting To <br> Individual | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| 0 | $\$ 121,052$ | $\$ 92,073$ | $\$ 74,791$ |
| $1-2$ | $\$ 127,189$ | $\$ 102,813$ | $\$ 88,143$ |
| $3-5$ | $\$ 130,387$ | $\$ 102,120$ | $*$ |
| $6-10$ | $\$ 143,155$ | $*$ | $*$ |
| 11 or more | $\$ 137,038$ | $\$ 91,333$ | $*$ |
| *Insufficient data |  |  |  |

## Average Total Compensation by Type of Organization

With the exception of directors, where those in publicly traded firms have the highest compensation, we see very few patterns when we look at compensation by type of organization for managers and assistants/specialists.

| Type of Organization | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Publicly Traded | $\$ 159,889$ | $\$ 107,576$ | $\$ 80,050$ |
| Privately Held | $\$ 133,088$ | $\$ 100,655$ | $\$ 65,132$ |
| Academic | $\$ 127,047$ | $\$ 120,833$ | $\$ 81,708$ |
| Non-Profit | $\$ 126,246$ | $\$ 92,398$ | $\$ 74,088$ |
| Governmental | $*$ | $\$ 96,775$ | $\$ 131,992$ |
| *Insufficient data |  |  |  |

## Average Total Compensation by Certifications Held

No type of certification stands out when it comes to total compensation, although those with any certification have higher compensation levels than those with none.

| Certifications Held | Director | Manager | Assistant/ <br> Specialist |
| :--- | :--- | :--- | :---: |
| Certified Compliance and Ethics Professional (CCEP) | $\$ 146,534$ | $\$ 106,932$ | $*$ |
| Certified in Healthcare Research Compliance <br> (CHRC) | $\$ 140,533$ | $\$ 104,652$ | $\$ 80,884$ |
| Certified in Healthcare Privacy Compliance (CHPC) | $\$ 135,751$ | $\$ 94,757$ | $\$ 76,200$ |
| Certified in Healthcare Compliance (CHC) | $\$ 135,282$ | $\$ 100,464$ | $\$ 73,491$ |
| Other | $\$ 127,530$ | $\$ 99,432$ | $\$ 82,728$ |
| None | $\$ 114,390$ | $\$ 83,919$ | $\$ 64,979$ |
| *Insufficient data |  |  |  |

## Average Total Compensation by Elements of a Compliance Program the Individual Is Involved in

Total compensation tends to be higher among staff members who specialize in a smaller number of compliance program elements.

| Number of Compliance <br> Elements Involved In | Director | Manager | Assistant/ <br> Specialist |
| :--- | :--- | :--- | :--- |
| $1-3$ | $\$ 149,589$ | $\$ 104,779$ | $\$ 94,124$ |
| $4-6$ | $\$ 136,666$ | $\$ 97,272$ | $\$ 69,732$ |
| $7-9$ | $\$ 128,008$ | $\$ 95,503$ | $\$ 71,837$ |
| All 10 | $\$ 120,567$ | $\$ 86,114$ | $\$ 67,230$ |

## Average Total Compensation by Contract Status

We see no consistent correlation between total compensation and whether the staff member has a contract.

| Contract Status | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Have contract | $*$ | $\$ 109,458$ | $\$ 60,296$ |
| No contract | $\$ 129,578$ | $\$ 95,930$ | $\$ 76,317$ |
| *Insufficient data |  |  |  |

## Average Total Compensation Severance Clause Status

Managers, the only segment with large enough sample to draw comparisons, have higher compensation levels with a severance clause vs. without.

| Severance Clause In Contract | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| Yes | $*$ | $\$ 122,000$ | * |
| No | $*$ | $\$ 87,417$ | $\$ 60,162$ |
| *Insufficient data |  |  |  |

## Amount of Bonus Eligible

As one might expect, directors are more likely than managers or assistant/specialists to receive a bonusand to have bonuses tied to higher proportions of salary.

| Bonus As \% of Salary | Director | Manager | Assistant/ <br> Specialist |
| :--- | :---: | :---: | :---: |
| None | $38 \%$ | $54 \%$ | $75 \%$ |
| 0-10\% of salary | $26 \%$ | $35 \%$ | $24 \%$ |
| $11 \%-20 \%$ of salary | $26 \%$ | $9 \%$ | $2 \%$ |
| $21 \%-30 \%$ of salary | $8 \%$ | $3 \%$ | $0 \%$ |
| $31 \%-40 \%$ of salary | $1 \%$ | $0 \%$ | $0 \%$ |
| $41 \%$ or more of salary | $0 \%$ | $0 \%$ | $0 \%$ |

## Salary Data

# Director Salary Results <br> Health Care 

|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | 25th <br> Percentile | Median | 75th <br> Percentile | Average | 25th <br> Percentile | Median | 75th <br> Percentile |
| All Respondents | 130 | \$119,880 | \$95,000 | \$113,500 | \$136,700 | \$129,744 | \$100,000 | \$122,500 | \$152,500 |
| Percentage of company's legal and regulatory risk areas involved in: |  |  |  |  |  |  |  |  |  |
| 0\%-25\% | 21 | \$119,327 | \$93,000 | \$110,000 | \$139,000 | \$133,560 | \$93,400 | \$113,000 | \$165,000 |
| 26\%-50\% | 29 | \$122,722 | \$100,000 | \$120,000 | \$138,000 | \$136,685 | \$100,000 | \$135,000 | \$160,000 |
| 51\%-75\% | 45 | \$122,148 | \$105,000 | \$114,000 | \$140,000 | \$129,689 | \$108,000 | \$123,000 | \$150,000 |
| 76\%-100\% | 35 | \$114,943 | \$91,000 | \$110,000 | \$128,000 | \$121,775 | \$97,000 | \$113,500 | \$141,119 |
| Number of employees in the compliance and ethics group: |  |  |  |  |  |  |  |  |  |
| 1 | 8 | \$95,700 | \$68,600 | \$101,500 | \$114,000 | \$96,950 | \$69,600 | \$103,000 | \$114,000 |
| 2 to 5 | 36 | \$102,513 | \$88,000 | \$100,000 | \$112,250 | \$113,483 | \$91,000 | \$105,000 | \$122,750 |
| 6 to 10 | 25 | \$112,980 | \$90,000 | \$109,000 | \$120,000 | \$121,024 | \$100,000 | \$109,000 | \$137,000 |
| 11 to 15 | 17 | \$123,627 | \$120,000 | \$128,500 | \$135,000 | \$128,744 | \$120,000 | \$130,000 | \$146,201 |
| 16 to 20 | 15 | \$145,738 | \$110,000 | \$135,500 | \$173,000 | \$155,344 | \$121,000 | \$152,500 | \$177,000 |
| More than 20 | 29 | \$138,488 | \$112,611 | \$138,000 | \$145,000 | \$153,841 | \$126,651 | \$146,000 | \$169,000 |
| Annual compliance budget: |  |  |  |  |  |  |  |  |  |
| < \$100k | 4 |  |  |  |  |  |  |  |  |
| \$100k-\$249k | 8 | \$94,025 | \$73,000 | \$88,600 | \$125,500 | \$97,150 | \$75,000 | \$95,600 | \$127,750 |
| \$250k-\$499k | 6 | \$116,500 | \$90,000 | \$101,500 | \$116,000 | \$140,000 | \$90,000 | \$104,500 | \$228,000 |
| \$500k-\$999k | 4 |  |  |  |  |  |  |  |  |
| \$1m-\$1.9m | 8 | \$146,963 | \$122,500 | \$134,851 | \$173,750 | \$156,213 | \$125,000 | \$145,601 | \$176,875 |
| \$2m+ | 8 | \$130,713 | \$107,000 | \$133,350 | \$145,000 | \$137,088 | \$112,750 | \$137,500 | \$156,675 |
|  |  |  |  |  |  |  |  |  |  |
| Number of employees that department runs compliance for: |  |  |  |  |  |  |  |  |  |
| Less than 100 | 18 | \$107,044 | \$90,000 | \$108,500 | \$117,000 | \$120,272 | \$90,400 | \$109,500 | \$146,000 |
| 100-249 | 7 | \$85,286 | \$80,000 | \$88,000 | \$90,000 | \$86,357 | \$80,000 | \$91,000 | \$91,500 |
| 250-499 | 10 | \$108,900 | \$90,000 | \$104,000 | \$114,000 | \$112,800 | \$91,000 | \$107,000 | \$114,000 |
| 500-999 | 12 | \$103,647 | \$74,750 | \$101,000 | \$130,651 | \$112,314 | \$83,250 | \$106,500 | \$145,901 |
| 1,000-1,999 | 14 | \$103,821 | \$76,000 | \$103,000 | \$125,000 | \$107,607 | \$84,000 | \$107,000 | \$125,000 |
| 2,000-2,999 | 7 | \$112,139 | \$95,000 | \$114,000 | \$128,500 | \$129,579 | \$116,000 | \$123,000 | \$155,555 |
| 3,000-4,999 | 14 | \$119,102 | \$105,000 | \$116,306 | \$135,000 | \$126,559 | \$105,000 | \$126,500 | \$141,119 |
| 5,000-7,499 | 15 | \$137,800 | \$108,000 | \$128,000 | \$173,000 | \$149,033 | \$108,000 | \$135,000 | \$195,500 |
| 7,500-14,999 | 13 | \$144,250 | \$111,000 | \$125,000 | \$167,000 | \$158,894 | \$125,000 | \$139,350 | \$177,000 |
| 15,000+ | 20 | \$143,987 | \$121,275 | \$139,500 | \$153,588 | \$156,755 | \$125,488 | \$154,500 | \$176,628 |



|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile |
| Certifications: |  |  |  |  |  |  |  |  |  |
| Certified Public <br> Accountant (CPA) | 0 |  |  |  |  |  |  |  |  |
| Certified Compliance and Ethics Professional (CCEP) | 5 | \$137,860 | \$114,000 | \$150,000 | \$155,800 | \$146,534 | \$114,000 | \$161,000 | \$165,000 |
| Certified Compliance and Ethics ProfessionalInternational (CCEP-I) | 1 |  |  |  |  |  |  |  |  |
| Certified in Healthcare Compliance (CHC) | 75 | \$126,126 | \$105,000 | \$118,000 | \$141,000 | \$135,282 | \$109,000 | \$131,000 | \$156,000 |
| Certified in Healthcare Research Compliance (CHRC) | 6 | \$134,533 | \$106,000 | \$136,100 | \$151,000 | \$140,533 | \$106,000 | \$151,750 | \$155,700 |
| Certified in Healthcare Privacy Compliance (CHPC) | 27 | \$127,574 | \$102,897 | \$126,651 | \$138,736 | \$135,751 | \$112,000 | \$128,500 | \$161,000 |
| Certified Fraud Examiner (CFE) | 0 |  |  |  |  |  |  |  |  |
| Certified Internal Auditor (CIA) | 3 |  |  |  |  |  |  |  |  |
| Certified Information Privacy Professional (CIPP) | 3 |  |  |  |  |  |  |  |  |
| Other | 41 | \$121,979 | \$93,000 | \$111,000 | \$137,119 | \$127,530 | \$93,000 | \$120,000 | \$150,000 |
| None | 15 | \$93,823 | \$75,000 | \$91,000 | \$108,000 | \$114,390 | \$81,000 | \$91,500 | \$139,350 |
|  |  |  |  |  |  |  |  |  |  |
| Type of organization: |  |  |  |  |  |  |  |  |  |
| Nonprofit | 82 | \$119,108 | \$90,400 | \$113,306 | \$137,119 | \$126,246 | \$93,400 | \$123,500 | \$146,201 |
| Privately held | 24 | \$117,958 | \$95,000 | \$115,000 | \$120,000 | \$133,088 | \$101,750 | \$122,500 | \$154,750 |
| Publicly traded | 9 | \$129,889 | \$105,000 | \$117,000 | \$158,000 | \$159,889 | \$121,000 | \$152,000 | \$210,000 |
| Governmental | 4 |  |  |  |  |  |  |  |  |
| Academic | 10 | \$121,860 | \$102,897 | \$109,500 | \$136,700 | \$127,047 | \$107,000 | \$112,633 | \$155,700 |
|  |  |  |  |  |  |  |  |  |  |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |  |
| 1-3 | 9 | \$137,145 | \$119,350 | \$135,000 | \$145,000 | \$149,589 | \$130,000 | \$145,000 | \$157,000 |
| 4-6 | 32 | \$122,384 | \$89,750 | \$116,000 | \$138,750 | \$136,666 | \$95,500 | \$122,500 | \$165,500 |
| 7-9 | 56 | \$119,635 | \$93,500 | \$114,000 | \$137,014 | \$128,008 | \$95,050 | \$124,000 | \$150,550 |
| All 10 | 33 | \$113,162 | \$93,000 | \$105,000 | \$125,100 | \$120,567 | \$100,000 | \$109,000 | \$135,000 |
|  |  |  |  |  |  |  |  |  |  |
| Geographic region: |  |  |  |  |  |  |  |  |  |
| New England | 10 | \$120,412 | \$74,000 | \$114,500 | \$162,000 | \$129,612 | \$74,000 | \$115,500 | \$162,000 |
| Mid-Atlantic | 17 | \$127,757 | \$90,000 | \$120,000 | \$135,000 | \$131,139 | \$91,500 | \$125,000 | \$160,000 |
| East North Central | 24 | \$119,342 | \$105,000 | \$122,500 | \$138,750 | \$126,508 | \$105,000 | \$134,500 | \$149,050 |
| West North Central | 12 | \$105,917 | \$92,000 | \$102,500 | \$123,750 | \$108,583 | \$92,500 | \$106,000 | \$131,500 |
| South Atlantic | 17 | \$118,348 | \$110,000 | \$111,500 | \$135,500 | \$124,552 | \$110,000 | \$125,000 | \$138,736 |
| East South Central | 15 | \$109,867 | \$99,000 | \$109,000 | \$116,000 | \$131,273 | \$109,000 | \$114,000 | \$156,000 |
| West South Central | 9 | \$119,889 | \$93,000 | \$105,000 | \$141,000 | \$142,778 | \$100,000 | \$121,000 | \$169,000 |
| Mountain | 14 | \$116,983 | \$102,897 | \$116,675 | \$126,651 | \$125,366 | \$114,266 | \$124,050 | \$139,350 |
| Pacific | 11 | \$132,600 | \$90,000 | \$150,000 | \$170,000 | \$146,134 | \$90,400 | \$165,000 | \$195,500 |


|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile | Average | 25th | Median | 75th <br> Percentile |
| Age: |  |  |  |  |  |  |  |  |  |
| Less than 30 | 5 | \$102,500 | \$77,000 | \$100,000 | \$128,500 | \$102,500 | \$77,000 | \$100,000 | \$128,500 |
| 30-34 | 15 | \$119,120 | \$105,000 | \$116,000 | \$139,000 | \$128,192 | \$105,000 | \$133,611 | \$153,000 |
| 35-39 | 22 | \$117,975 | \$102,897 | \$105,500 | \$126,651 | \$130,213 | \$105,000 | \$119,133 | \$150,000 |
| 40-44 | 23 | \$111,800 | \$91,000 | \$108,000 | \$125,000 | \$121,817 | \$91,000 | \$112,000 | \$152,000 |
| 45-49 | 25 | \$117,305 | \$99,000 | \$111,500 | \$138,736 | \$123,225 | \$99,000 | \$122,000 | \$145,000 |
| 50-54 | 11 | \$116,055 | \$105,000 | \$116,000 | \$135,500 | \$131,873 | \$105,000 | \$134,000 | \$155,700 |
| 55-59 | 17 | \$138,353 | \$97,000 | \$118,000 | \$180,000 | \$154,324 | \$110,000 | \$131,000 | \$222,000 |
| 60-64 | 8 | \$125,884 | \$109,000 | \$130,500 | \$136,589 | \$128,634 | \$109,000 | \$132,000 | \$139,589 |
| 65+ | 4 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Contract status: |  |  |  |  |  |  |  |  |  |
| Yes | 3 |  |  |  |  |  |  |  |  |
| No | 127 | \$120,319 | \$95,000 | \$114,000 | \$137,119 | \$129,578 | \$100,000 | \$123,000 | \$152,500 |
| Are your compliance responsibilities: |  |  |  |  |  |  |  |  |  |
| Broad, covering a range of compliance-related risks | 99 | \$114,927 | \$93,000 | \$110,000 | \$130,000 | \$124,728 | \$93,400 | \$115,000 | \$145,000 |
| Focused on a particular risk area (i.e. privacy, safety) | 31 | \$135,699 | \$110,000 | \$135,500 | \$145,000 | \$145,766 | \$113,000 | \$146,000 | \$161,000 |
|  |  |  |  |  |  |  |  |  |  |
| If focused: Which legal and regulatory risk areas are you primarily focused on? |  |  |  |  |  |  |  |  |  |
| Privacy | 13 | 115,076 | 85,000 | 111,500 | 126,651 | 124,768 | 112,000 | 124,000 | 138,736 |
| IT | 0 |  |  |  |  |  |  |  |  |
| Occupational health and safety | 1 |  |  |  |  |  |  |  |  |
| Other | 17 | 153,863 | 135,500 | 139,000 | 185,000 | 164,103 | 146,000 | 153,000 | 185,000 |
| Number of people that report up through you: |  |  |  |  |  |  |  |  |  |
| 0 | 34 | \$108,888 | \$93,000 | \$110,500 | \$120,000 | \$121,052 | \$93,000 | \$114,000 | \$140,000 |
| 1 to 2 | 36 | \$116,387 | \$102,224 | \$115,000 | \$131,125 | \$127,189 | \$105,250 | \$127,576 | \$140,677 |
| 3 to 5 | 25 | \$121,592 | \$92,000 | \$120,000 | \$139,000 | \$130,387 | \$93,400 | \$125,000 | \$167,000 |
| 6 to 10 | 19 | \$134,786 | \$105,000 | \$134,201 | \$145,000 | \$143,155 | \$109,000 | \$145,000 | \$157,000 |
| 11 or more | 16 | \$130,725 | \$91,550 | \$110,000 | \$164,250 | \$137,038 | \$91,550 | \$111,500 | \$171,250 |
|  |  |  |  |  |  |  |  |  |  |
| Number of employees in compliance and ethics group: |  |  |  |  |  |  |  |  |  |
| 1 | 8 | \$95,700 | \$68,600 | \$101,500 | \$114,000 | \$96,950 | \$69,600 | \$103,000 | \$114,000 |
| 2 to 5 | 36 | \$102,513 | \$88,000 | \$100,000 | \$112,250 | \$113,483 | \$91,000 | \$105,000 | \$122,750 |
| 6 to 10 | 25 | \$112,980 | \$90,000 | \$109,000 | \$120,000 | \$121,024 | \$100,000 | \$109,000 | \$137,000 |
| 11 to 15 | 17 | \$123,627 | \$120,000 | \$128,500 | \$135,000 | \$128,744 | \$120,000 | \$130,000 | \$146,201 |
| 16 to 20 | 15 | \$145,738 | \$110,000 | \$135,500 | \$173,000 | \$155,344 | \$121,000 | \$152,500 | \$177,000 |
| More than 20 | 29 | \$138,488 | \$112,611 | \$138,000 | \$145,000 | \$153,841 | \$126,651 | \$146,000 | \$169,000 |



## Manager Salary Results <br> Healthcare

|  |  |  | Base | alary |  |  | Total Co | ensation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Responses | Average | 25th <br> Percentile | Median | 75th Percentile | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile |
| All Respondents | 188 | \$92,607 | \$74,175 | \$93,400 | \$107,375 | \$96,794 | \$76,760 | \$96,140 | \$111,750 |
|  |  |  |  |  |  |  |  |  |  |
| Percentage of com | gal and regu | tory risk invo | ed in: |  |  |  |  |  |  |
| 0\%-25\% | 20 | \$102,960 | \$67,425 | \$89,000 | \$111,500 | \$110,470 | \$77,525 | \$97,600 | \$115,750 |
| 26\%-50\% | 60 | \$90,710 | \$74,250 | \$93,000 | \$107,763 | \$93,762 | \$76,875 | \$93,500 | \$110,687 |
| 51\%-75\% | 73 | \$92,323 | \$78,900 | \$97,000 | \$105,000 | \$96,632 | \$78,900 | \$100,000 | \$111,000 |
| 76\%-100\% | 35 | \$90,536 | \$67,000 | \$93,800 | \$107,000 | \$94,513 | \$67,000 | \$95,000 | \$113,500 |
|  |  |  |  |  |  |  |  |  |  |
| Number of emplo | he complianc | and ethics grour |  |  |  |  |  |  |  |
| 1 | 15 | \$94,671 | \$62,000 | \$67,000 | \$93,000 | \$95,624 | \$64,000 | \$67,700 | \$93,000 |
| 2 to 5 | 65 | \$84,975 | \$68,000 | \$87,200 | \$102,000 | \$87,426 | \$68,000 | \$89,450 | \$105,000 |
| 6 to 10 | 30 | \$91,209 | \$79,500 | \$92,000 | \$105,000 | \$93,534 | \$79,500 | \$92,375 | \$106,000 |
| 11 to 15 | 23 | \$86,907 | \$74,700 | \$90,000 | \$102,000 | \$93,433 | \$78,000 | \$100,200 | \$110,916 |
| 16 to 20 | 9 | \$103,875 | \$97,000 | \$110,000 | \$116,000 | \$110,597 | \$98,000 | \$125,000 | \$134,850 |
| More than 20 | 46 | \$104,276 | \$93,000 | \$100,500 | \$113,000 | \$111,518 | \$95,000 | \$107,442 | \$123,000 |
|  |  |  |  |  |  |  |  |  |  |
| Annual complian |  |  |  |  |  |  |  |  |  |
| < \$100k | 3 |  |  |  |  |  |  |  |  |
| \$100k-\$249k | 2 |  |  |  |  |  |  |  |  |
| \$250k-\$499k | 0 |  |  |  |  |  |  |  |  |
| \$500k-\$999k | 3 |  |  |  |  |  |  |  |  |
| \$1m-\$1.9m | 3 |  |  |  |  |  |  |  |  |
| \$2m+ | 4 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Number of emplo | department | uns complian |  |  |  |  |  |  |  |
| Less than 100 | 33 | \$79,418 | \$61,000 | \$83,000 | \$101,000 | \$82,024 | \$61,000 | \$87,500 | \$102,060 |
| 100-249 | 14 | \$106,782 | \$72,000 | \$83,225 | \$94,500 | \$112,946 | \$73,300 | \$90,725 | \$114,500 |
| 250-499 | 22 | \$82,653 | \$60,000 | \$81,750 | \$104,520 | \$88,005 | \$60,000 | \$85,000 | \$104,520 |
| 500-999 | 14 | \$83,491 | \$67,000 | \$82,918 | \$102,000 | \$84,416 | \$67,000 | \$83,293 | \$106,000 |
| 1,000-1,999 | 12 | \$94,257 | \$74,697 | \$93,400 | \$105,750 | \$99,443 | \$74,885 | \$99,000 | \$106,500 |
| 2,000-2,999 | 11 | \$96,813 | \$87,200 | \$95,745 | \$105,000 | \$98,040 | \$88,200 | \$95,745 | \$105,000 |
| 3,000-4,999 | 9 | \$95,422 | \$60,000 | \$107,000 | \$120,000 | \$98,089 | \$60,000 | \$107,000 | \$120,000 |
| 5,000-7,499 | 11 | \$102,064 | \$92,700 | \$98,000 | \$107,000 | \$103,468 | \$92,750 | \$101,200 | \$107,000 |
| 7,500-14,999 | 21 | \$94,124 | \$88,000 | \$97,884 | \$101,300 | \$97,839 | \$88,000 | \$98,000 | \$107,884 |
| 15,000+ | 41 | \$101,292 | \$87,000 | \$105,000 | \$115,900 | \$108,388 | \$87,547 | \$113,000 | \$123,000 |


|  | Base Salary |  |  |  |  |  | Total Compensation |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Responses | Average | 25th <br> Percentile | Median | 75th <br> Percentile | Average | 25th <br> Percentile | Median | 75th <br> Percentile |
| Annual revenues of part of organization that department runs compliance for: |  |  |  |  |  |  |  |  |  |
| Less than \$5 million | 27 | \$94,650 | \$62,000 | \$83,000 | \$102,000 | \$96,339 | \$63,000 | \$87,500 | \$102,000 |
| \$5 million to less than \$15 million | 21 | \$78,069 | \$58,000 | \$81,450 | \$105,000 | \$80,950 | \$59,500 | \$89,450 | \$105,000 |
| \$15 million to less than $\$ 30$ million | 18 | \$83,096 | \$63,024 | \$86,100 | \$92,000 | \$85,418 | \$65,000 | \$88,600 | \$96,000 |
| $\$ 30$ million to less than \$50 million | 13 | \$96,299 | \$80,000 | \$94,000 | \$110,000 | \$102,099 | \$80,000 | \$105,000 | \$110,000 |
| \$50 million to less than \$100 million | 18 | \$80,855 | \$69,000 | \$87,000 | \$95,000 | \$83,196 | \$70,000 | \$87,100 | \$98,000 |
| \$100 million to less than $\$ 500$ million | 20 | \$88,703 | \$67,250 | \$96,900 | \$113,854 | \$92,315 | \$67,250 | \$97,250 | \$118,750 |
| \$500 million to less than \$1 billion | 15 | \$98,271 | \$90,000 | \$98,000 | \$107,850 | \$101,651 | \$92,700 | \$100,000 | \$115,000 |
| $\$ 1$ billion to less than \$3 billion | 27 | \$99,440 | \$88,000 | \$99,700 | \$115,000 | \$104,576 | \$92,000 | \$104,280 | \$115,900 |
| \$3 billion or more | 29 | \$106,177 | \$94,000 | \$108,000 | \$116,000 | \$115,143 | \$94,000 | \$116,000 | \$124,685 |
| Number of countries in which compliance works: |  |  |  |  |  |  |  |  |  |
| 1 | 174 | \$91,662 | \$73,000 | \$92,000 | \$107,000 | \$95,070 | \$74,000 | \$93,900 | \$107,884 |
| 2 or more | 14 | \$104,357 | \$100,000 | \$103,500 | \$115,000 | \$118,214 | \$110,000 | \$116,500 | \$122,000 |
| Number of years in the compliance department: |  |  |  |  |  |  |  |  |  |
| 1 or less | 35 | \$94,033 | \$85,000 | \$95,000 | \$107,500 | \$97,747 | \$85,000 | \$100,000 | \$107,500 |
| 2 | 23 | \$90,000 | \$68,000 | \$94,500 | \$106,000 | \$95,074 | \$68,000 | \$104,000 | \$116,000 |
| 3 | 15 | \$75,008 | \$58,000 | \$76,836 | \$102,000 | \$79,268 | \$60,000 | \$77,586 | \$103,000 |
| 4 | 13 | \$93,985 | \$78,000 | \$88,000 | \$115,000 | \$99,383 | \$78,000 | \$100,200 | \$120,000 |
| 5 | 19 | \$85,263 | \$72,000 | \$87,500 | \$100,000 | \$86,750 | \$73,300 | \$90,000 | \$100,000 |
| 6-10 | 38 | \$98,125 | \$74,000 | \$93,350 | \$110,000 | \$102,187 | \$74,000 | \$96,000 | \$113,500 |
| 11-15 | 22 | \$102,985 | \$92,000 | \$104,760 | \$115,900 | \$109,826 | \$93,000 | \$105,500 | \$118,000 |
| 16 or more | 23 | \$90,767 | \$67,000 | \$95,000 | \$105,000 | \$93,950 | \$67,000 | \$95,000 | \$120,000 |
| Years in compliance profession: |  |  |  |  |  |  |  |  |  |
| <3 years | 25 | \$78,128 | \$60,000 | \$83,000 | \$94,500 | \$80,804 | \$62,500 | \$85,000 | \$95,000 |
| 3-5 years | 56 | \$94,290 | \$73,250 | \$88,000 | \$102,000 | \$98,365 | \$74,000 | \$92,000 | \$105,000 |
| 6-10 years | 60 | \$94,645 | \$82,974 | \$97,942 | \$110,854 | \$100,092 | \$89,588 | \$104,750 | \$119,500 |
| 11-15 years | 26 | \$94,844 | \$79,000 | \$99,850 | \$110,000 | \$97,083 | \$79,000 | \$100,350 | \$112,000 |
| 16-20 years | 17 | \$95,973 | \$90,000 | \$98,000 | \$112,000 | \$100,032 | \$90,000 | \$98,000 | \$123,000 |
| 21+ years | 4 |  |  |  |  |  |  |  |  |


|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | 25th Percentile | Median | 75th Percentile | Average | 25th Percentile | Median | 75th Percentile |
| Certifications: |  |  |  |  |  |  |  |  |  |
| Certified Public Accountant (CPA) | 2 |  |  |  |  |  |  |  |  |
| Certified Compliance and Ethics Professional (CCEP) | 9 | \$96,698 | \$98,000 | \$102,000 | \$120,000 | \$106,932 | \$102,060 | \$118,000 | \$120,000 |
| Certified Compliance and Ethics ProfessionalInternational (CCEP-I) | 2 |  |  |  |  |  |  |  |  |
| Certified in Healthcare Compliance (CHC) | 80 | \$96,646 | \$82,000 | \$98,000 | \$110,312 | \$100,464 | \$82,250 | \$102,000 | \$112,750 |
| Certified in Healthcare Research Compliance (CHRC) | 9 | \$101,385 | \$90,000 | \$98,000 | \$105,000 | \$104,652 | \$90,000 | \$101,200 | \$105,000 |
| Certified in Healthcare <br> Privacy Compliance <br> (CHPC) | 33 | \$92,270 | \$85,000 | \$95,000 | \$102,000 | \$94,757 | \$85,000 | \$100,000 | \$107,000 |
| Certified Fraud Examiner (CFE) | 1 |  |  |  |  |  |  |  |  |
| Certified Internal Auditor (CIA) | 2 |  |  |  |  |  |  |  |  |
| Certified Information Privacy Professional (CIPP) | 2 |  |  |  |  |  |  |  |  |
| Other | 60 | \$96,236 | \$80,363 | \$95,000 | \$114,250 | \$99,432 | \$82,000 | \$97,140 | \$115,750 |
| None | 36 | \$80,177 | \$60,000 | \$83,500 | \$98,750 | \$83,919 | \$60,000 | \$87,600 | \$104,375 |
| Type of organization: |  |  |  |  |  |  |  |  |  |
| Nonprofit | 118 | \$89,646 | \$74,000 | \$91,000 | \$105,000 | \$92,398 | \$74,100 | \$92,725 | \$107,000 |
| Privately held | 30 | \$94,933 | \$62,000 | \$85,350 | \$105,000 | \$100,655 | \$67,700 | \$92,250 | \$107,000 |
| Publicly traded | 24 | \$98,826 | \$92,250 | \$102,000 | \$110,250 | \$107,576 | \$100,000 | \$111,000 | \$122,750 |
| Governmental | 10 | \$89,705 | \$73,000 | \$94,873 | \$107,000 | \$96,775 | \$89,000 | \$101,250 | \$110,000 |
| Academic | 6 | \$119,167 | \$102,000 | \$116,500 | \$135,000 | \$120,833 | \$102,000 | \$121,500 | \$135,000 |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |  |
| 1-3 | 29 | \$100,867 | \$83,000 | \$94,500 | \$102,000 | \$104,779 | \$85,000 | \$95,000 | \$111,000 |
| 4-6 | 70 | \$93,661 | \$78,000 | \$96,140 | \$110,416 | \$97,272 | \$78,000 | \$100,000 | \$115,000 |
| 7-9 | 73 | \$89,951 | \$76,836 | \$92,000 | \$102,000 | \$95,503 | \$78,000 | \$94,500 | \$110,000 |
| All 10 | 16 | \$85,146 | \$62,750 | \$84,000 | \$107,750 | \$86,114 | \$65,000 | \$84,000 | \$112,125 |
| Geographic region: |  |  |  |  |  |  |  |  |  |
| New England | 14 | \$98,451 | \$90,000 | \$94,400 | \$105,000 | \$98,880 | \$92,000 | \$94,400 | \$105,000 |
| Mid-Atlantic | 23 | \$87,571 | \$63,000 | \$79,500 | \$110,000 | \$88,776 | \$63,000 | \$79,500 | \$110,000 |
| East North Central | 23 | \$93,980 | \$87,000 | \$95,000 | \$102,000 | \$100,046 | \$90,000 | \$100,000 | \$117,000 |
| West North Central | 16 | \$80,186 | \$58,500 | \$85,000 | \$98,875 | \$83,093 | \$60,000 | \$95,140 | \$102,425 |
| South Atlantic | 29 | \$88,415 | \$74,700 | \$87,500 | \$100,000 | \$90,155 | \$74,700 | \$92,000 | \$100,000 |
| East South Central | 8 | \$87,500 | \$73,000 | \$92,500 | \$98,750 | \$90,063 | \$73,375 | \$95,000 | \$104,500 |
| West South Central | 23 | \$109,013 | \$83,000 | \$105,000 | \$110,416 | \$116,834 | \$85,000 | \$107,000 | \$123,000 |
| Mountain | 17 | \$95,441 | \$74,000 | \$94,000 | \$115,000 | \$101,559 | \$74,000 | \$105,000 | \$115,000 |
| Pacific | 30 | \$90,310 | \$73,000 | \$96,873 | \$113,000 | \$94,229 | \$76,500 | \$96,873 | \$120,000 |


|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile |
| Age: |  |  |  |  |  |  |  |  |  |
| Less than 30 | 10 | \$76,800 | \$62,000 | \$62,000 | \$83,500 | \$80,195 | \$62,000 | \$66,350 | \$90,000 |
| 30-34 | 21 | \$88,038 | \$63,000 | \$94,000 | \$108,000 | \$93,181 | \$63,000 | \$104,000 | \$116,000 |
| 35-39 | 35 | \$87,567 | \$76,788 | \$90,000 | \$107,000 | \$92,665 | \$77,586 | \$92,750 | \$110,916 |
| 40-44 | 31 | \$98,731 | \$79,000 | \$97,884 | \$115,000 | \$105,389 | \$79,000 | \$102,060 | \$124,700 |
| 45-49 | 34 | \$88,446 | \$67,000 | \$98,000 | \$106,000 | \$91,534 | \$67,000 | \$98,000 | \$112,000 |
| 50-54 | 22 | \$91,655 | \$72,000 | \$91,000 | \$111,000 | \$95,864 | \$74,100 | \$94,750 | \$111,000 |
| 55-59 | 24 | \$108,489 | \$87,287 | \$95,000 | \$107,250 | \$110,539 | \$87,710 | \$99,600 | \$114,000 |
| 60-64 | 10 | \$97,052 | \$89,000 | \$101,500 | \$104,520 | \$98,402 | \$89,500 | \$101,500 | \$104,520 |
| 65+ | 0 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Contract status: |  |  |  |  |  |  |  |  |  |
| Yes | 12 | \$98,625 | \$63,125 | \$103,000 | \$125,750 | \$109,458 | \$83,000 | \$115,000 | \$137,750 |
| No | 176 | \$92,197 | \$74,175 | \$93,000 | \$107,000 | \$95,930 | \$75,150 | \$95,373 | \$110,687 |
| Are your compliance responsibilities: |  |  |  |  |  |  |  |  |  |
| Broad, covering a range of compliancerelated risks | 134 | \$89,795 | \$70,000 | \$92,000 | \$107,000 | \$93,926 | \$73,000 | \$94,250 | \$113,000 |
| Focused on a particular risk area (i.e. privacy, safety) | 54 | \$99,585 | \$85,000 | \$97,082 | \$108,000 | \$103,910 | \$87,500 | \$100,000 | \$108,000 |
| If focused: Which legal and regulatory risk areas are you primarily focused on? |  |  |  |  |  |  |  |  |  |
| Privacy | 25 | \$101,383 | \$83,000 | \$94,800 | \$99,700 | \$103,230 | \$87,000 | \$95,745 | \$102,000 |
| IT | 0 |  |  |  |  |  |  |  |  |
| Occupational health and safety | 1 |  |  |  |  |  |  |  |  |
| Other | 29 | \$95,319 | \$87,000 | \$100,000 | \$110,000 | \$100,402 | \$90,000 | \$101,200 | \$123,000 |
| Number of people that report up through to you: |  |  |  |  |  |  |  |  |  |
| 0 | 93 | \$88,708 | \$71,000 | \$90,000 | \$100,000 | \$92,073 | \$73,000 | \$92,000 | \$105,000 |
| 1 to 2 | 49 | \$97,088 | \$85,000 | \$100,000 | \$115,000 | \$102,813 | \$86,000 | \$104,520 | \$120,000 |
| 3 to 5 | 38 | \$97,367 | \$83,000 | \$99,600 | \$113,000 | \$102,120 | \$87,547 | \$100,450 | \$123,000 |
| 6 to 10 | 2 |  |  |  |  |  |  |  |  |
| 11 or more | 6 | \$89,167 | \$61,000 | \$82,500 | \$110,000 | \$91,333 | \$61,000 | \$82,500 | \$110,000 |
|  |  |  |  |  |  |  |  |  |  |
| Number of employees in compliance and ethics group: |  |  |  |  |  |  |  |  |  |
| 1 | 15 | \$94,671 | \$62,000 | \$67,000 | \$93,000 | \$95,624 | \$64,000 | \$67,700 | \$93,000 |
| 2 to 5 | 65 | \$84,975 | \$68,000 | \$87,200 | \$102,000 | \$87,426 | \$68,000 | \$89,450 | \$105,000 |
| 6 to 10 | 30 | \$91,209 | \$79,500 | \$92,000 | \$105,000 | \$93,534 | \$79,500 | \$92,375 | \$106,000 |
| 11 to 15 | 23 | \$86,907 | \$74,700 | \$90,000 | \$102,000 | \$93,433 | \$78,000 | \$100,200 | \$110,916 |
| 16 to 20 | 9 | \$103,875 | \$97,000 | \$110,000 | \$116,000 | \$110,597 | \$98,000 | \$125,000 | \$134,850 |
| More than 20 | 46 | \$104,276 | \$93,000 | \$100,500 | \$113,000 | \$111,518 | \$95,000 | \$107,442 | \$123,000 |


|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | 25th Percentile | Median | 75th Percentile | Average | 25th <br> Percentile | Median | 75th Percentile |
| Highest level of education attained: |  |  |  |  |  |  |  |  |  |
| Some college | 20 | \$84,626 | \$63,500 | \$92,350 | \$100,221 | \$88,861 | \$64,250 | \$94,223 | \$110,221 |
| Bachelor's Degree | 63 | \$90,400 | \$65,000 | \$87,500 | \$99,700 | \$93,082 | \$65,000 | \$90,000 | \$104,000 |
| MBA | 18 | \$85,635 | \$64,000 | \$93,000 | \$105,000 | \$94,232 | \$79,500 | \$96,000 | \$122,000 |
| Master's Degree (nonMBA) | 52 | \$94,552 | \$79,125 | \$98,000 | \$110,750 | \$98,180 | \$79,125 | \$101,600 | \$116,000 |
| JD | 32 | \$99,118 | \$90,000 | \$97,400 | \$109,500 | \$102,555 | \$90,500 | \$102,150 | \$110,687 |
| PhD | 3 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| If have contract: Do you have a severance clause in contract? |  |  |  |  |  |  |  |  |  |
| Yes | 7 | \$112,286 | \$80,000 | \$125,000 | \$138,000 | \$122,000 | \$80,000 | \$137,000 | \$144,000 |
| No | 6 | \$76,583 | \$50,000 | \$74,750 | \$101,000 | \$87,417 | \$59,500 | \$96,500 | \$110,000 |

# Assistant/Specialist Salary Results 

Healthcare

|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | 25th <br> Percentile | Median | 75th Percentile | Average | 25th <br> Percentile | Median | 75th <br> Percentile |
| All Respondents | 262 | \$73,185 | \$57,657 | \$67,000 | \$82,560 | \$75,339 | \$58,000 | \$68,071 | \$85,000 |
| Percentage of company's legal and regulatory risk areas involved in? |  |  |  |  |  |  |  |  |  |
| 0\%-25\% | 35 | \$67,011 | \$54,000 | \$62,000 | \$79,000 | \$69,058 | \$54,200 | \$65,245 | \$81,000 |
| 26\%-50\% | 59 | \$72,194 | \$60,000 | \$71,000 | \$83,200 | \$74,909 | \$61,000 | \$73,250 | \$84,000 |
| 51\%-75\% | 92 | \$71,203 | \$52,000 | \$65,250 | \$81,961 | \$73,419 | \$52,938 | \$67,500 | \$85,000 |
| 76\%-100\% | 76 | \$79,198 | \$58,060 | \$65,500 | \$83,000 | \$80,889 | \$58,680 | \$67,000 | \$85,210 |
| Number of employees in the compliance and ethics group: |  |  |  |  |  |  |  |  |  |
| 1 | 3 |  |  |  |  |  |  |  |  |
| 2 to 5 | 129 | \$69,016 | \$50,000 | \$62,000 | \$75,000 | \$71,251 | \$50,000 | \$62,000 | \$78,516 |
| 6 to 10 | 39 | \$77,146 | \$60,000 | \$73,000 | \$93,000 | \$78,044 | \$61,380 | \$73,250 | \$93,500 |
| 11 to 15 | 36 | \$81,045 | \$60,000 | \$67,925 | \$84,500 | \$85,194 | \$61,125 | \$70,500 | \$85,000 |
| 16 to 20 | 20 | \$75,415 | \$60,500 | \$76,327 | \$86,500 | \$76,225 | \$61,400 | \$76,327 | \$86,500 |
| More than 20 | 35 | \$75,968 | \$61,200 | \$74,000 | \$85,000 | \$77,916 | \$63,200 | \$74,000 | \$90,000 |
| Annual compliance budget: |  |  |  |  |  |  |  |  |  |
| < \$100k | 5 | \$49,398 | \$43,000 | \$44,990 | \$58,000 | \$49,708 | \$43,000 | \$44,990 | \$58,000 |
| \$100k-\$249k | 4 |  |  |  |  |  |  |  |  |
| \$250k-\$499k | 2 |  |  |  |  |  |  |  |  |
| \$500k-\$999k | 0 |  |  |  |  |  |  |  |  |
| \$1m-\$1.9m | 2 |  |  |  |  |  |  |  |  |
| \$2m+ | 4 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Number of employees that department runs compliance for: |  |  |  |  |  |  |  |  |  |
| Less than 100 | 59 | \$75,544 | \$54,000 | \$71,000 | \$85,000 | \$77,205 | \$54,200 | \$72,000 | \$86,000 |
| 100-249 | 8 | \$67,823 | \$57,350 | \$61,090 | \$85,500 | \$69,110 | \$59,145 | \$63,900 | \$85,725 |
| 250-499 | 24 | \$58,413 | \$45,190 | \$55,000 | \$71,000 | \$59,157 | \$45,190 | \$55,000 | \$71,450 |
| 909-999-400-1, | 18 | \$64,512 | \$49,920 | \$62,000 | \$79,850 | \$65,446 | \$49,920 | \$62,000 | \$79,850 |
| 1,000-1,999 | 21 | \$59,718 | \$48,000 | \$60,000 | \$72,000 | \$63,630 | \$49,400 | \$60,750 | \$72,000 |
| 2,000-2,999 | 16 | \$65,423 | \$49,250 | \$62,000 | \$83,640 | \$69,494 | \$49,250 | \$65,075 | \$88,500 |
| 3,000-4,999 | 27 | \$73,383 | \$60,000 | \$71,000 | \$85,000 | \$77,296 | \$60,000 | \$71,000 | \$90,000 |
| 5,000-7,499 | 16 | \$68,665 | \$60,250 | \$65,123 | \$82,250 | \$69,196 | \$60,250 | \$65,123 | \$82,250 |
| 7,500-14,999 | 29 | \$101,953 | \$62,000 | \$79,000 | \$85,000 | \$102,784 | \$65,000 | \$79,000 | \$87,000 |
| 15,000+ | 44 | \$74,416 | \$60,250 | \$71,750 | \$83,822 | \$77,498 | \$61,595 | \$74,086 | \$89,250 |



|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th Percentile | Average | $\begin{aligned} & \text { 25th } \\ & \text { Percentile } \end{aligned}$ | Median | 75th <br> Percentile |
| Certifications: |  |  |  |  |  |  |  |  |  |
| Certified Public Accountant (CPA) | 2 |  |  |  |  |  |  |  |  |
| Certified Compliance and Ethics Professional (CCEP) | 3 |  |  |  |  |  |  |  |  |
| Certified Compliance and Ethics Professional-International (CCEP-I) | 1 |  |  |  |  |  |  |  |  |
| Certified in Healthcare Compliance (CHC) | 109 | \$71,168 | \$58,000 | \$68,071 | \$85,000 | \$73,491 | \$60,000 | \$71,500 | \$90,000 |
| Certified in Healthcare Research Compliance (CHRC) | 6 | \$80,884 | \$73,652 | \$73,827 | \$82,000 | \$80,884 | \$73,652 | \$73,827 | \$82,000 |
| Certified in Healthcare Privacy Compliance (CHPC) | 32 | \$73,761 | \$60,000 | \$69,900 | \$85,000 | \$76,200 | \$65,000 | \$71,900 | \$85,558 |
| Certified Fraud Examiner (CFE) | 1 |  |  |  |  |  |  |  |  |
| Certified Internal Auditor (CIA) | 2 |  |  |  |  |  |  |  |  |
| Certified Information Privacy Professional (CIPP) | 0 |  |  |  |  |  |  |  |  |
| Other | 100 | \$80,142 | \$58,100 | \$70,750 | \$80,257 | \$82,728 | \$59,250 | \$71,000 | \$83,800 |
| None | 47 | \$63,028 | \$48,000 | \$60,000 | \$72,000 | \$64,979 | \$48,000 | \$60,000 | \$73,250 |
| Type of organization: |  |  |  |  |  |  |  |  |  |
| Nonprofit | 184 | \$72,165 | \$57,657 | \$67,425 | \$80,000 | \$74,088 | \$58,000 | \$68,000 | \$81,750 |
| Privately held | 34 | \$63,407 | \$53,000 | \$60,000 | \$68,000 | \$65,132 | \$57,000 | \$62,350 | \$73,000 |
| Publicly traded | 15 | \$78,250 | \$43,000 | \$86,000 | \$107,000 | \$80,050 | \$43,000 | \$86,000 | \$107,000 |
| Governmental | 6 | \$131,992 | \$47,450 | \$80,000 | \$90,000 | \$131,992 | \$47,450 | \$80,000 | \$90,000 |
| Academic | 20 | \$78,533 | \$64,163 | \$81,780 | \$88,750 | \$81,708 | \$73,740 | \$83,780 | \$93,924 |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |  |
| 1-3 | 54 | \$93,564 | \$58,400 | \$72,000 | \$86,000 | \$94,124 | \$60,000 | \$72,350 | \$87,692 |
| 4-6 | 114 | \$67,025 | \$52,000 | \$65,000 | \$79,850 | \$69,732 | \$52,000 | \$66,750 | \$83,200 |
| 7-9 | 84 | \$69,498 | \$60,000 | \$65,250 | \$80,000 | \$71,837 | \$60,000 | \$67,000 | \$80,750 |
| All 10 | 10 | \$64,350 | \$54,000 | \$71,250 | \$83,000 | \$67,230 | \$54,000 | \$71,250 | \$84,000 |
| Geographic region: |  |  |  |  |  |  |  |  |  |
| New England | 22 | \$68,845 | \$61,000 | \$69,900 | \$79,000 | \$72,602 | \$61,000 | \$71,900 | \$79,850 |
| Mid-Atlantic | 19 | \$61,286 | \$45,000 | \$60,000 | \$78,516 | \$61,865 | \$45,000 | \$60,000 | \$78,516 |
| East North Central | 43 | \$73,351 | \$57,657 | \$72,000 | \$85,000 | \$74,122 | \$57,657 | \$72,000 | \$87,692 |
| West North Central | 31 | \$65,461 | \$58,000 | \$64,000 | \$78,000 | \$66,127 | \$58,240 | \$64,500 | \$78,300 |
| South Atlantic | 46 | \$81,863 | \$53,000 | \$65,123 | \$75,000 | \$82,718 | \$53,000 | \$67,536 | \$75,000 |
| East South Central | 15 | \$70,985 | \$56,000 | \$60,000 | \$80,000 | \$79,319 | \$56,000 | \$68,000 | \$107,000 |
| West South Central | 25 | \$69,176 | \$61,000 | \$65,000 | \$81,000 | \$74,650 | \$61,000 | \$65,500 | \$90,000 |
| Mountain | 18 | \$73,900 | \$67,850 | \$74,000 | \$84,000 | \$78,133 | \$67,850 | \$74,500 | \$84,000 |
| Pacific | 40 | \$83,707 | \$52,500 | \$69,850 | \$92,750 | \$84,565 | \$52,738 | \$71,850 | \$93,125 |


|  | Responses | Base Salary |  |  |  | Total Compensation |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Average | 25th <br> Percentile | Median | 75th <br> Percentile | Average | 25th <br> Percentile | Median | 75th <br> Percentile |
| Age: |  |  |  |  |  |  |  |  |  |
| Less than 30 | 34 | \$60,507 | \$52,000 | \$60,500 | \$66,000 | \$65,302 | \$52,250 | \$61,100 | \$70,000 |
| 30-34 | 41 | \$61,688 | \$47,450 | \$60,180 | \$68,000 | \$62,366 | \$48,000 | \$61,000 | \$68,000 |
| 35-39 | 36 | \$68,163 | \$57,743 | \$64,623 | \$81,000 | \$72,605 | \$59,250 | \$67,036 | \$85,913 |
| 40-44 | 36 | \$72,324 | \$60,000 | \$71,000 | \$84,760 | \$74,352 | \$60,000 | \$71,025 | \$85,820 |
| 45-49 | 49 | \$70,727 | \$59,781 | \$69,000 | \$85,000 | \$72,538 | \$60,000 | \$72,700 | \$85,000 |
| 50-54 | 18 | \$111,569 | \$60,000 | \$74,086 | \$82,560 | \$111,986 | \$67,000 | \$74,086 | \$82,560 |
| 55-59 | 23 | \$94,490 | \$71,000 | \$78,000 | \$98,000 | \$95,372 | \$71,000 | \$78,300 | \$98,000 |
| 60-64 | 19 | \$72,353 | \$60,000 | \$72,000 | \$92,000 | \$72,508 | \$61,200 | \$72,000 | \$92,000 |
| 65+ | 4 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Contract status: |  |  |  |  |  |  |  |  |  |
| Yes | 16 | \$59,734 | \$42,500 | \$56,000 | \$76,250 | \$60,296 | \$43,250 | \$56,000 | \$76,250 |
| No | 246 | \$74,060 | \$58,000 | \$68,000 | \$83,000 | \$76,317 | \$59,000 | \$69,900 | \$85,000 |
|  |  |  |  |  |  |  |  |  |  |
| Are your compliance responsibilities: |  |  |  |  |  |  |  |  |  |
| Broad, covering a range of compliance-related risks | 191 | \$73,905 | \$56,000 | \$65,500 | \$81,000 | \$76,043 | \$56,800 | \$67,000 | \$84,000 |
| Focused on a particular risk area (i.e. privacy, safety) | 71 | \$71,249 | \$59,781 | \$71,000 | \$85,000 | \$73,444 | \$60,000 | \$72,700 | \$85,000 |
|  |  |  |  |  |  |  |  |  |  |
| If focused: Which legal and regulatory risk areas are you primarily focused on? |  |  |  |  |  |  |  |  |  |
| Privacy | 36 | \$67,239 | \$58,063 | \$63,500 | \$83,630 | \$71,007 | \$60,000 | \$68,000 | \$85,000 |
| IT | 1 |  |  |  |  |  |  |  |  |
| Occupational health and safety | 0 |  |  |  |  |  |  |  |  |
| Other | 34 | \$74,061 | \$60,000 | \$74,500 | \$83,000 | \$74,656 | \$62,000 | \$74,500 | \$83,000 |
|  |  |  |  |  |  |  |  |  |  |
| Number of people that report up through to you |  |  |  |  |  |  |  |  |  |
| 0 | 238 | \$72,800 | \$57,000 | \$66,000 | \$80,163 | \$74,791 | \$58,000 | \$68,000 | \$82,560 |
| 1 to 2 | 15 | \$83,133 | \$65,000 | \$85,000 | \$113,000 | \$88,143 | \$65,000 | \$85,400 | \$115,100 |
| 3 to 5 | 3 |  |  |  |  |  |  |  |  |
| 6 to 10 | 3 |  |  |  |  |  |  |  |  |
| 11 or more | 3 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| Number of employees in compliance and ethics group: |  |  |  |  |  |  |  |  |  |
| 1 | 3 |  |  |  |  |  |  |  |  |
| 2 to 5 | 129 | \$69,016 | \$50,000 | \$62,000 | \$75,000 | \$71,251 | \$50,000 | \$62,000 | \$78,516 |
| 6 to 10 | 39 | \$77,146 | \$60,000 | \$73,000 | \$93,000 | \$78,044 | \$61,380 | \$73,250 | \$93,500 |
| 11 to 15 | 36 | \$81,045 | \$60,000 | \$67,925 | \$84,500 | \$85,194 | \$61,125 | \$70,500 | \$85,000 |
| 16 to 20 | 20 | \$75,415 | \$60,500 | \$76,327 | \$86,500 | \$76,225 | \$61,400 | \$76,327 | \$86,500 |
| More than 20 | 35 | \$75,968 | \$61,200 | \$74,000 | \$85,000 | \$77,916 | \$63,200 | \$74,000 | \$90,000 |



## Detailed Results




|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |



|  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | All Respondents | VP | Director | Manager | Assistant/ Specialist |
| Years holding current job title: |  |  |  |  |  |
| Responses | 593 | 13 | 130 | 188 | 262 |
| 75th Percentile | 4 | 5 | 5 | 4 | 4 |
| Median | 2 | 1 | 3 | 2 | 2 |
| 25th Percentile | 1 | 1 | 1 | 1 | 1 |
| Average | 3.3 | 3.2 | 3.7 | 3.3 | 3.1 |
| Industry: |  |  |  |  |  |
| Responses | 593 | 13 | 130 | 188 | 262 |
| Healthcare Provider | 100\% | 100\% | 100\% | 100\% | 100\% |
| How many years have you worked in the compliance department? |  |  |  |  |  |
| Responses | 593 | 13 | 130 | 188 | 262 |
| Average | 5.2 | 6.5 | 6.6 | 5.8 | 4.0 |
| 1 | 20\% | 15\% | 9\% | 16\% | 27\% |
| 2 | 16\% | 0\% | 16\% | 14\% | 18\% |
| 3 | 16\% | 15\% | 10\% | 12\% | 21\% |
| 4 | 7\% | 8\% | 7\% | 8\% | 7\% |
| 5 | 9\% | 8\% | 12\% | 11\% | 6\% |
| 6 to 10 | 21\% | 46\% | 26\% | 24\% | 14\% |
| 11 to 15 | 8\% | 0\% | 15\% | 9\% | 4\% |
| 16 or more | 4\% | 8\% | 5\% | 6\% | 2\% |
| Type of organization: |  |  |  |  |  |
| Responses | 593 | 13 | 130 | 188 | 262 |
| Nonprofit | 65\% | 15\% | 63\% | 63\% | 70\% |
| Privately held | 16\% | 62\% | 18\% | 16\% | 13\% |
| Publicly traded | 8\% | 15\% | 7\% | 13\% | 6\% |
| Governmental | 3\% | 0\% | 3\% | 5\% | 2\% |
| Academic | 6\% | 8\% | 8\% | 3\% | 8\% |
| Other | 1\% | 0\% | 1\% | 0\% | 1\% |


|  |  |  |  | Title |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | All Respondents |  |  |  | VP |  |




|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Are your compliance responsibilities: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Broad, covering a range of compliance-related risks | 73\% | 85\% | 83\% | 73\% | 72\% | 62\% | 56\% | 59\% |
| Focused on a particular risk area (i.e. privacy, safety) | 27\% | 15\% | 17\% | 27\% | 28\% | 38\% | 44\% | 41\% |
| If focused, which legal and regulatory risk areas are you primarily focused on? |  |  |  |  |  |  |  |  |
| Responses | 159 | 4 | 41 | 26 | 22 | 17 | 34 | 15 |
| Privacy | 47\% |  | 63\% | 50\% | 50\% | 29\% | 44\% | 13\% |
| IT | 1\% |  | 0\% | 8\% | 0\% | 0\% | 0\% | 0\% |
| Occupational health and safety | 1\% |  | 0\% | 4\% | 0\% | 0\% | 0\% | 7\% |
| Other | 51\% |  | 37\% | 38\% | 50\% | 71\% | 56\% | 80\% |
| Percentage indicating involvement in the following compliance elements: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Compliance Auditing/Monitoring | 86\% | 88\% | 88\% | 89\% | 87\% | 87\% | 79\% | 76\% |
| Compliance Education | 83\% | 92\% | 84\% | 83\% | 87\% | 73\% | 79\% | 70\% |
| Compliance Investigations | 80\% | 85\% | 84\% | 79\% | 78\% | 73\% | 71\% | 76\% |
| Hot Line/Anonymous Reporting | 58\% | 62\% | 61\% | 58\% | 51\% | 53\% | 62\% | 54\% |
| Reporting to the Board | 32\% | 42\% | 31\% | 32\% | 30\% | 27\% | 37\% | 24\% |
| Compliance Risk Assessments | 69\% | 73\% | 67\% | 73\% | 65\% | 80\% | 67\% | 65\% |
| Compliance Discipline/Incentives | 37\% | 42\% | 37\% | 30\% | 38\% | 36\% | 38\% | 43\% |
| Code of Conduct | 48\% | 62\% | 57\% | 44\% | 43\% | 42\% | 36\% | 43\% |
| Policies and Procedures | 83\% | 88\% | 88\% | 77\% | 77\% | 91\% | 81\% | 70\% |
| Measuring Program Effectiveness | 52\% | 50\% | 53\% | 58\% | 47\% | 47\% | 47\% | 54\% |
| How much of your company's legal and regulatory risk areas is the compliance department responsible for managing? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 0\%-25\% | 13\% | 31\% | 13\% | 11\% | 22\% | 13\% | 6\% | 5\% |
| 26\%-50\% | 25\% | 23\% | 22\% | 27\% | 25\% | 24\% | 28\% | 38\% |
| 51\%-75\% | 36\% | 27\% | 34\% | 39\% | 32\% | 40\% | 46\% | 32\% |
| 76\%-100\% | 25\% | 19\% | 31\% | 23\% | 22\% | 22\% | 19\% | 24\% |
| How many employees, including you, are in the compliance and ethics group you work in? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 1 | 4\% | 100\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 2 to 5 | 39\% | 0\% | 100\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| 6 to 10 | 16\% | 0\% | 0\% | 100\% | 0\% | 0\% | 0\% | 0\% |
| 11 to 15 | 13\% | 0\% | 0\% | 0\% | 100\% | 0\% | 0\% | 0\% |
| 16 to 20 | 8\% | 0\% | 0\% | 0\% | 0\% | 100\% | 0\% | 0\% |
| More than 20 | 19\% | 0\% | 0\% | 0\% | 0\% | 0\% | 100\% | 100\% |


|  | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Respondents | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Annual compliance budget: |  |  |  |  |  |  |  |  |
| Responses | 77 | 5 | 26 | 11 | 16 | 7 | 12 | 0 |
| 75th Percentile | \$2,000,000 | \$170,000 | \$400,000 | \$1,300,000 | \$2,000,000 | \$3,000,000 | \$4,000,000 |  |
| Median | \$500,000 | \$150,000 | \$175,000 | \$200,000 | \$1,550,000 | \$2,200,000 | \$2,800,000 |  |
| 25th Percentile | \$150,000 | \$100,000 | \$50,000 | \$150,000 | \$1,000,000 | \$1,400,000 | \$1,820,000 |  |
| Average | \$8,610,302 | \$303,600 | \$249,154 | \$45,907,273 | \$5,907,438 | \$3,099,753 | \$2,816,667 |  |
| <\$100k | 16\% | 20\% | 35\% | 18\% | 0\% | 0\% | 0\% |  |
| \$100k-\$249k | 18\% | 60\% | 19\% | 36\% | 13\% | 0\% | 0\% |  |
| \$250k-\$499k | 12\% | 0\% | 27\% | 0\% | 0\% | 14\% | 8\% |  |
| \$500k-\$999k | 9\% | 0\% | 19\% | 9\% | 6\% | 0\% | 0\% |  |
| \$1m-\$1.9m | 19\% | 20\% | 0\% | 27\% | 38\% | 29\% | 25\% |  |
| \$2m+ | 26\% | 0\% | 0\% | 9\% | 44\% | 57\% | 67\% |  |
| Approximately how many employees work in your organization as a whole? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Less than 100 | 3\% | 8\% | 4\% | 3\% | 1\% | 0\% | 0\% | 0\% |
| 100-249 | 4\% | 15\% | 6\% | 3\% | 1\% | 0\% | 0\% | 3\% |
| 250-499 | 8\% | 15\% | 17\% | 4\% | 1\% | 0\% | 0\% | 0\% |
| 500-999 | 7\% | 23\% | 9\% | 7\% | 4\% | 2\% | 1\% | 0\% |
| 1,000-1,999 | 8\% | 8\% | 13\% | 10\% | 6\% | 2\% | 0\% | 0\% |
| 2,000-2,999 | 6\% | 4\% | 10\% | 7\% | 0\% | 4\% | 0\% | 3\% |
| 3,000-4,999 | 11\% | 4\% | 16\% | 9\% | 9\% | 13\% | 8\% | 0\% |
| 5,000-7,499 | 8\% | 4\% | 7\% | 11\% | 18\% | 9\% | 4\% | 0\% |
| 7,500-9,999 | 6\% | 0\% | 4\% | 4\% | 13\% | 18\% | 3\% | 5\% |
| 10,000-14,999 | 7\% | 0\% | 3\% | 7\% | 14\% | 16\% | 14\% | 3\% |
| 15,000-19,999 | 6\% | 0\% | 2\% | 8\% | 9\% | 11\% | 10\% | 0\% |
| 20,000-29,999 | 9\% | 4\% | 3\% | 6\% | 14\% | 13\% | 26\% | 3\% |
| 30,000-49,999 | 7\% | 15\% | 2\% | 5\% | 5\% | 9\% | 21\% | 19\% |
| 50,000-74,999 | 3\% | 0\% | 2\% | 4\% | 0\% | 0\% | 8\% | 14\% |
| 75,000-99,999 | 2\% | 0\% | 1\% | 3\% | 3\% | 2\% | 3\% | 8\% |
| 100,000 + | 5\% | 0\% | 2\% | 5\% | 3\% | 0\% | 4\% | 43\% |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Approximately how many employees work in the portion of the organization that you work in compliance for? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Less than 100 | 19\% | 31\% | 18\% | 20\% | 19\% | 24\% | 10\% | 22\% |
| 100-249 | 5\% | 15\% | 6\% | 8\% | 1\% | 0\% | 1\% | 8\% |
| 250-499 | 9\% | 19\% | 17\% | 4\% | 4\% | 4\% | 1\% | 5\% |
| 500-999 | 7\% | 23\% | 9\% | 11\% | 5\% | 0\% | 1\% | 3\% |
| 1,000-1,999 | 8\% | 4\% | 12\% | 10\% | 6\% | 4\% | 3\% | 0\% |
| 2,000-2,999 | 6\% | 4\% | 8\% | 3\% | 3\% | 9\% | 6\% | 0\% |
| 3,000-4,999 | 9\% | 0\% | 13\% | 7\% | 6\% | 9\% | 8\% | 3\% |
| 5,000-7,499 | 7\% | 4\% | 7\% | 7\% | 13\% | 7\% | 5\% | 5\% |
| 7,500-9,999 | 5\% | 0\% | 3\% | 6\% | 8\% | 16\% | 3\% | 5\% |
| 10,000-14,999 | 6\% | 0\% | 2\% | 6\% | 13\% | 7\% | 10\% | 8\% |
| 15,000-19,999 | 5\% | 0\% | 1\% | 5\% | 8\% | 11\% | 12\% | 0\% |
| 20,000-29,999 | 5\% | 0\% | 2\% | 3\% | 9\% | 7\% | 18\% | 3\% |
| 30,000-49,999 | 4\% | 0\% | 1\% | 3\% | 5\% | 2\% | 13\% | 11\% |
| 50,000-74,999 | 2\% | 0\% | 1\% | 2\% | 0\% | 0\% | 5\% | 8\% |
| 75,000-99,999 | 1\% | 0\% | 0\% | 2\% | 1\% | 0\% | 1\% | 0\% |
| 100,000 + | 2\% | 0\% | 0\% | 0\% | 0\% | 0\% | 3\% | 19\% |
| What are the annual revenues of the organization you work for? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Less than \$5 million | 8\% | 23\% | 10\% | 5\% | 10\% | 9\% | 4\% | 0\% |
| \$5 million to less than \$15 million | 12\% | 23\% | 21\% | 9\% | 5\% | 7\% | 3\% | 0\% |
| \$15 million to less than \$30 million | 9\% | 15\% | 16\% | 9\% | 4\% | 2\% | 1\% | 0\% |
| \$30 million to less than \$50 million | 7\% | 12\% | 11\% | 7\% | 6\% | 4\% | 0\% | 3\% |
| $\$ 50$ million to less than $\$ 100$ million | 9\% | 4\% | 7\% | 20\% | 9\% | 9\% | 6\% | 5\% |
| $\$ 100$ million to less than \$500 million | 12\% | 8\% | 13\% | 13\% | 14\% | 4\% | 17\% | 11\% |
| \$500 million to less than \$1 billion | 9\% | 8\% | 6\% | 9\% | 15\% | 7\% | 10\% | 11\% |
| \$1 billion to less than \$3 billion | 14\% | 0\% | 10\% | 14\% | 16\% | 31\% | 22\% | 11\% |
| \$3 billion or more | 18\% | 8\% | 6\% | 14\% | 20\% | 27\% | 37\% | 59\% |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | $\text { than } 50$ |
| What are the annual revenues for the portion of the organization that your part of the compliance and ethics program oversees? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Less than \$5 million | 18\% | 42\% | 19\% | 18\% | 19\% | 16\% | 10\% | 11\% |
| \$5 million to less than \$15 million | 13\% | 8\% | 22\% | 10\% | 6\% | 7\% | 4\% | 5\% |
| \$15 million to less than \$30 million | 9\% | 19\% | 14\% | 9\% | 8\% | 2\% | 0\% | 3\% |
| \$30 million to less than \$50 million | 7\% | 8\% | 9\% | 7\% | 6\% | 9\% | 4\% | 5\% |
| $\$ 50$ million to less than $\$ 100$ million | 8\% | 4\% | 8\% | 13\% | 6\% | 4\% | 8\% | 3\% |
| $\$ 100$ million to less than $\$ 500$ million | 11\% | 15\% | 11\% | 11\% | 11\% | 9\% | 14\% | 8\% |
| \$500 million to less than \$1 billion | 9\% | 4\% | 6\% | 9\% | 11\% | 13\% | 9\% | 11\% |
| \$1 billion to less than \$3 billion | 13\% | 0\% | 9\% | 10\% | 19\% | 24\% | 19\% | 11\% |
| \$3 billion or more | 12\% | 0\% | 1\% | 11\% | 13\% | 16\% | 32\% | 43\% |
| For how many countries do you work in compliance? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 1 | 95\% | 96\% | 97\% | 97\% | 91\% | 98\% | 92\% | 89\% |
| 2 or more | 5\% | 4\% | 3\% | 3\% | 9\% | 2\% | 8\% | 11\% |
|  |  |  |  |  |  |  |  |  |
| Where do you work? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| United States | 99\% | 100\% | 99\% | 99\% | 97\% | 100\% | 100\% | 97\% |
| North America, other than the US | 0\% | 0\% | 0\% | 1\% | 0\% | 0\% | 0\% | 0\% |
| South America | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 3\% |
| Europe | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Asia | 0\% | 0\% | 0\% | 0\% | 3\% | 0\% | 0\% | 0\% |
| Africa | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Australia | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
|  |  |  |  |  |  |  |  |  |
| Current age: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 75th Percentile | 51 | 56 | 51 | 52 | 49 | 52 | 48 | 55 |
| Median | 43 | 49 | 42 | 43 | 44 | 49 | 43 | 44 |
| 25th Percentile | 36 | 43 | 34 | 37 | 34 | 37 | 37 | 35 |
| Average | 43.7 | 47.7 | 42.6 | 44.9 | 43.1 | 45.6 | 43.2 | 45.2 |
|  |  |  |  |  |  |  |  |  |
| Gender: |  |  |  |  |  |  |  |  |
| Responses | 592 | 26 | 231 | 96 | 79 | 45 | 78 | 37 |
| Male | 23\% | 23\% | 17\% | 25\% | 20\% | 29\% | 26\% | 46\% |
| Female | 77\% | 77\% | 83\% | 75\% | 80\% | 71\% | 74\% | 54\% |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Years in compliance profession: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 75th Percentile | 10 | 10 | 8 | 10 | 15 | 19 | 11 | 15 |
| Median | 5 | 6 | 5 | 6 | 6 | 10 | 7 | 8 |
| 25th Percentile | 3 | 2 | 2 | 3 | 3 | 4 | 3 | 4 |
| Average | 7.6 | 7.4 | 6.3 | 7.2 | 8.7 | 11.7 | 8.0 | 9.4 |
| Years with current employer: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 75th Percentile | 10 | 12 | 9 | 13 | 10 | 8 | 11 | 12 |
| Median | 5 | 5 | 4 | 6 | 5 | 3 | 5 | 5 |
| 25th Percentile | 2 | 5 | 2 | 2 | 2 | 2 | 2 | 2 |
| Average | 7.2 | 10.5 | 6.6 | 8.1 | 6.9 | 5.8 | 7.6 | 7.7 |
| Years holding current job title: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 75th Percentile | 4 | 7 | 4 | 4 | 5 | 4 | 3 | 5 |
| Median | 2 | 5 | 2 | 2 | 2 | 3 | 2 | 3 |
| 25th Percentile | 1 | 2 | 1 | 1 | 1 | 1 | 1 | 2 |
| Average | 3.3 | 5.3 | 3.1 | 3.3 | 3.5 | 3.3 | 2.6 | 4.0 |
| Industry: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Healthcare Provider | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% | 100\% |
| How many years have you worked in the compliance department? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Average | 5.2 | 6.0 | 4.3 | 5.1 | 6.3 | 6.1 | 5.5 | 6.7 |
| 1 | 20\% | 8\% | 24\% | 18\% | 11\% | 18\% | 24\% | 16\% |
| 2 | 16\% | 27\% | 18\% | 17\% | 14\% | 11\% | 13\% | 8\% |
| 3 | 16\% | 8\% | 19\% | 9\% | 19\% | 18\% | 9\% | 16\% |
| 4 | 7\% | 4\% | 6\% | 11\% | 8\% | 11\% | 6\% | 5\% |
| 5 | 9\% | 15\% | 9\% | 10\% | 8\% | 7\% | 9\% | 5\% |
| 6 to 10 | 21\% | 23\% | 17\% | 27\% | 19\% | 13\% | 26\% | 30\% |
| 11 to 15 | 8\% | 8\% | 4\% | 5\% | 18\% | 13\% | 9\% | 5\% |
| 16 or more | 4\% | 8\% | 3\% | 2\% | 4\% | 9\% | 4\% | 14\% |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Type of organization: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Nonprofit | 65\% | 58\% | 69\% | 67\% | 61\% | 64\% | 62\% | 62\% |
| Privately held | 16\% | 31\% | 20\% | 19\% | 10\% | 9\% | 12\% | 8\% |
| Publicly traded | 8\% | 4\% | 6\% | 7\% | 8\% | 11\% | 9\% | 27\% |
| Governmental | 3\% | 0\% | 2\% | 5\% | 5\% | 11\% | 3\% | 0\% |
| Academic | 6\% | 8\% | 3\% | 2\% | 14\% | 4\% | 14\% | 3\% |
| Other | 1\% | 0\% | 0\% | 0\% | 3\% | 0\% | 1\% | 0\% |
| Percentage holding the following certifications: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Leading Professional in Ethics \& Compliance (LPEC) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Certified Public Accountant (CPA) | 1\% | 0\% | 1\% | 0\% | 0\% | 0\% | 0\% | 3\% |
| Certified Compliance and Ethics Professional (CCEP) | 3\% | 4\% | 2\% | 3\% | 3\% | 2\% | 3\% | 8\% |
| Certified Compliance and Ethics Professional-International (CCEPI) | 1\% | 0\% | 0\% | 1\% | 4\% | 0\% | 0\% | 0\% |
| Certified in Healthcare Compliance (CHC) | 46\% | 38\% | 45\% | 45\% | 44\% | 51\% | 55\% | 43\% |
| Certified in Healthcare Research Compliance (CHRC) | 4\% | 4\% | 0\% | 5\% | 4\% | 11\% | 9\% | 3\% |
| Certified in Healthcare Privacy Compliance (CHPC) | 16\% | 12\% | 16\% | 14\% | 18\% | 20\% | 23\% | 8\% |
| Advanced Practitioner in Ethics and Compliance Certification (APEX) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 1\% | 0\% |
| Certified Fraud Examiner (CFE) | 0\% | 0\% | 0\% | 0\% | 0\% | 2\% | 1\% | 0\% |
| Certified Internal Auditor (CIA) | 1\% | 0\% | 1\% | 0\% | 3\% | 4\% | 1\% | 0\% |
| Certified Information Privacy Professional (CIPP) | 1\% | 0\% | 1\% | 0\% | 0\% | 2\% | 1\% | 3\% |
| Professionals in Human Resources (PHR) | 1\% | 0\% | 1\% | 2\% | 0\% | 0\% | 0\% | 0\% |
| Senior Professionals in Human Resources (SPHR) | 0\% | 0\% | 0\% | 1\% | 1\% | 0\% | 0\% | 0\% |
| Health Ethics Trust Certified Compliance Professional (CCP) | 0\% | 0\% | 1\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Health Ethics Trust Certified Compliance Executive (CCE) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
| Accredited Healthcare Fraud Investigator (AHFI) | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 1\% | 0\% |
| Other | 34\% | 31\% | 31\% | 32\% | 46\% | 24\% | 41\% | 35\% |
| None | 17\% | 19\% | 20\% | 22\% | 10\% | 14\% | 6\% | 22\% |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Highest level of education attained: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Some college | 14\% | 12\% | 15\% | 16\% | 11\% | 11\% | 14\% | 11\% |
| Bachelor's degree | 34\% | 38\% | 42\% | 27\% | 34\% | 29\% | 21\% | 32\% |
| MBA | 10\% | 8\% | 8\% | 8\% | 13\% | 16\% | 10\% | 8\% |
| Master's degree (non-MBA) | 25\% | 35\% | 21\% | 30\% | 25\% | 27\% | 29\% | 24\% |
| JD | 16\% | 8\% | 13\% | 18\% | 15\% | 18\% | 26\% | 16\% |
| PhD | 2\% | 0\% | 2\% | 1\% | 1\% | 0\% | 0\% | 8\% |
|  |  |  |  |  |  |  |  |  |
| Amount of bonus eligible: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| None | 59\% | 58\% | 66\% | 53\% | 67\% | 58\% | 50\% | 30\% |
| 0\%-10\% of your salary | 27\% | 35\% | 28\% | 33\% | 18\% | 16\% | 32\% | 22\% |
| 11\%-20\% of your salary | 10\% | 8\% | 3\% | 10\% | 14\% | 20\% | 13\% | 27\% |
| 21\%-30\% of your salary | 3\% | 0\% | 2\% | 3\% | 0\% | 2\% | 4\% | 22\% |
| $31 \%-40 \%$ of your salary | 1\% | 0\% | 0\% | 0\% | 1\% | 4\% | 1\% | 0\% |
| $41 \%$ or more of your salary | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% | 0\% |
|  |  |  |  |  |  |  |  |  |
| If you receive a bonus, what is it based on? |  |  |  |  |  |  |  |  |
| Responses | 330 | 11 | 119 | 55 | 45 | 26 | 46 | 28 |
| Company performance | 31\% | 18\% | 30\% | 33\% | 33\% | 42\% | 37\% | 14\% |
| Personal performance | 12\% | 18\% | 11\% | 16\% | 11\% | 8\% | 11\% | 7\% |
| Both | 57\% | 64\% | 59\% | 51\% | 56\% | 50\% | 52\% | 79\% |
|  |  |  |  |  |  |  |  |  |
| Do you have a contract? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Yes | 6\% | 0\% | 7\% | 6\% | 5\% | 7\% | 1\% | 5\% |
| No | 94\% | 100\% | 93\% | 94\% | 95\% | 93\% | 99\% | 95\% |
|  |  |  |  |  |  |  |  |  |
| If yes, do you have a severance clause in your contract? |  |  |  |  |  |  |  |  |
| Responses | 34 | 1 | 17 | 6 | 4 | 3 | 1 | 2 |
| Yes | 35\% |  | 29\% | 17\% | 50\% | 67\% |  |  |
| No | 65\% |  | 71\% | 83\% | 50\% | 33\% |  |  |
|  |  |  |  |  |  |  |  |  |
| If yes, what percent of your annual salary is it equivalent to? |  |  |  |  |  |  |  |  |
| Responses | 11 | 0 | 5 | 1 | 2 | 2 | 0 | 1 |
| <20\% | 18\% |  | 20\% |  |  |  |  |  |
| 20\%-29\% | 9\% |  | 0\% |  |  |  |  |  |
| 30\%-49\% | 0\% |  | 0\% |  |  |  |  |  |
| 50\%+ | 9\% |  | 0\% |  |  |  |  |  |
| My severance does not translate into this measure | 64\% |  | 80\% |  |  |  |  |  |


|  | All Respondents | Number of Employees in Compliance/Ethics Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 2 to 5 | 6 to 10 | 11 to 15 | 16 to 20 | 21-50 | than 50 |
| Number of compliance elements involved in: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 1-3 | 16\% | 15\% | 13\% | 15\% | 19\% | 18\% | 15\% | 27\% |
| 4-6 | 37\% | 27\% | 36\% | 40\% | 37\% | 36\% | 45\% | 30\% |
| 7-9 | 37\% | 35\% | 41\% | 35\% | 35\% | 36\% | 33\% | 30\% |
| All 10 | 10\% | 23\% | 10\% | 10\% | 9\% | 11\% | 6\% | 14\% |
| How many people report up through you? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| 0 | 62\% | 77\% | 69\% | 63\% | 61\% | 49\% | 54\% | 35\% |
| 1-2 | 17\% | 8\% | 19\% | 17\% | 11\% | 20\% | 13\% | 30\% |
| 3-5 | 12\% | 12\% | 7\% | 13\% | 15\% | 16\% | 17\% | 16\% |
| 6-10 | 4\% | 0\% | 2\% | 4\% | 6\% | 4\% | 9\% | 8\% |
| 11 or more | 5\% | 4\% | 3\% | 4\% | 6\% | 11\% | 8\% | 11\% |
|  |  |  |  |  |  |  |  |  |
| Geographic region: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| New England | 8\% | 8\% | 13\% | 6\% | 6\% | 0\% | 4\% | 3\% |
| Mid-Atlantic | 10\% | 12\% | 14\% | 6\% | 8\% | 13\% | 4\% | 8\% |
| East North Central | 16\% | 15\% | 9\% | 20\% | 27\% | 9\% | 26\% | 11\% |
| West North Central | 10\% | 0\% | 12\% | 11\% | 9\% | 11\% | 6\% | 8\% |
| South Atlantic | 16\% | 15\% | 16\% | 16\% | 13\% | 24\% | 24\% | 0\% |
| East South Central | 7\% | 12\% | 6\% | 10\% | 8\% | 9\% | 1\% | 3\% |
| West South Central | 10\% | 15\% | 6\% | 8\% | 13\% | 11\% | 13\% | 24\% |
| Mountain | 8\% | 15\% | 5\% | 6\% | 8\% | 13\% | 9\% | 24\% |
| Pacific | 14\% | 8\% | 17\% | 14\% | 8\% | 9\% | 13\% | 16\% |
| Unknown US | 1\% | 0\% | 1\% | 1\% | 0\% | 0\% | 0\% | 0\% |
| Outside US | 1\% | 0\% | 1\% | 1\% | 3\% | 0\% | 0\% | 3\% |
|  |  |  |  |  |  |  |  |  |
| Job title: |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Vice President | 2\% | 0\% | 1\% | 2\% | 4\% | 2\% | 6\% | 0\% |
| Director | 22\% | 31\% | 16\% | 26\% | 22\% | 33\% | 19\% | 38\% |
| Manager | 32\% | 58\% | 28\% | 31\% | 29\% | 20\% | 41\% | 38\% |
| Assistant/Specialist | 44\% | 12\% | 56\% | 41\% | 46\% | 44\% | 33\% | 24\% |
|  |  |  |  |  |  |  |  |  |
| Do you know your department's compliance budget? |  |  |  |  |  |  |  |  |
| Responses | 593 | 26 | 232 | 96 | 79 | 45 | 78 | 37 |
| Yes | 13\% | 19\% | 11\% | 11\% | 20\% | 16\% | 15\% | 0\% |
| No | 87\% | 81\% | 89\% | 89\% | 80\% | 84\% | 85\% | 100\% |

# Become Certified 



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[^0]:    *Note: due to small sample size, results for Vice Presidents are not included in the remaining Respondent Profile charts.

