

In-person or virtual

26<sup>th</sup> Annual  
**Compliance Institute**  
March 28–31, 2022 • Phoenix, AZ

The Compliance Institute (CI) is HCCA's primary educational and networking event for healthcare compliance professionals across the country, offering the latest in real-world compliance issues, emerging trends, and practical applications. This year the CI includes both in-person or virtual attendance options, so you can attend in whichever way works best for you!

**IN-PERSON | MARCH 28–31, 2022**

- Get four days of access to choose from **109** educational sessions
- Network face-to-face with attendees, speakers, and solution providers
- Earn up to **25.2** live CCB CEUs
- Take an optional CCB certification exam offered on the last day (CHC, CHPC, CHRC)

**VIRTUAL | MARCH 29–31, 2022**

- Get three days of access to **47** live broadcast educational sessions
- Explore a more robust virtual platform that allows you to engage during sessions via chat, ask questions of the speakers, take notes, and network virtually with fellow attendees
- Earn up to **18** live CCB CEUs
- Learn more about CCB's remote proctored exam option





Learn more  
[hcca-info.org/2022CI](https://hcca-info.org/2022CI)



# About the Compliance Institute

As our flagship healthcare compliance conference, HCCA's Compliance Institute (CI) has been providing educational resources and networking opportunities to healthcare compliance professionals for over two decades. This premiere event offers attendees the latest in real-world compliance issues, emerging trends, and practical solutions for strengthening a compliance and ethics program.

The CI's educational sessions are led by industry leaders and categorized by knowledge level: basic, intermediate, or advanced.

In-person or virtual opportunities	 IN-PERSON	 VIRTUAL
<b>Total sessions</b>	109	47
<b>Monday</b>		
Concurrent sessions to choose from	Yes	No
First-time attendee breakfast (invitation only)	Yes	No
On-site SpeedNetworking	Yes	No
<b>Tuesday – Thursday</b>		
Access to general sessions	Yes	Yes
Concurrent sessions to choose from	8	4
Exhibit hall	Connect with Solution Providers face to face	Download Solution Provider info and connect via email
Networking during breaks and meals	Yes	No
On-site volunteer project	Yes	No
Headshots	Yes	No
Tuesday evening social event	Yes	No
On-site certification exam option	Yes	No (remote testing is available)
<b>Session recordings</b>		
60-day access following the conference	Yes (all sessions)*	Yes (Tuesday–Thursday sessions only)*

\*Most sessions, not all sessions, will be recorded.

# Choosing your sessions

## Subject Areas

Sessions are categorized by topic to help you navigate your educational needs and interests.

### Auditing & Monitoring

Auditing and monitoring is key to measuring effectiveness and improvement. Gain the knowledge and tools that you need to read the vital signs of your compliance program.

### Behavioral Health

How do you balance behavior health compliance with quality patient care? Hear recommendations for identifying and mitigating compliance and privacy issues in a behavioral health environment.

### Compliance Law

Learn the legal basis for the healthcare compliance issues you manage, such as False Claims Act developments, fraud and abuse, and Stark Law violations. Sessions are presented by experienced and knowledgeable lawyers from both inside and outside the government.

### Discussion Group

These sessions are interactive and designed to involve everyone in the room. Join roundtable discussions on popular healthcare compliance issues facilitated by industry leaders.

### General Compliance/Hot Topics

Topics ranging from the basics of compliance programs to current, trending issues. Learn what you need to know from compliance industry experts.

### How to Succeed as a Compliance Professional

Your leadership abilities can have a drastic impact on your organization's compliance program. Develop your skills, increase your value, and improve your effectiveness.

### Investigations

No matter what role you play in compliance, odds are you will be involved with internal investigations at some point in your career. Improve your interviewing skills and pack your toolkit with valuable resources for each stage of the process, from initial inquiries to presenting the final report.

### Physician Compliance

Receive vital healthcare compliance information specifically related to small and large physician practices, academic medical centers, medical research facilities, hospitals, and health systems.

### Post-Acute Care

Learn about best practices, enforcement trends, and other compliance issues pertaining to hospices, home healthcare providers, and skilled nursing care facilities.

### Privacy & Security

Understand the privacy, breach, and information security compliance issues that continue to emerge. Learn how to integrate ways to mitigate these growing issues into your overall compliance program.

### Risk Management

Managing risk has become a top priority among healthcare organizations, as well as the government agencies that regulate them. Learn strategies and practical solutions to manage and mitigate organizational risk.

### Telehealth

Telehealth is changing the accessibility and availability of patient care. Gain tips and strategies for planning, implementing, and monitoring a telehealth program, while keeping legal and ethical considerations in mind.

## Early Bird Sessions

Expand your education and earn even more CEUs by attending an Early Bird session on Wednesday, 7:00–8:00 AM MST. The Early Bird sessions will also be broadcast live to the virtual attendee.

## Knowledge Levels

All breakout sessions have a knowledge level associated with them. These levels are just guidelines, and you are free to attend sessions of any level.

**Basic:** Program knowledge level most beneficial to compliance professionals new to a skill or an attribute. These individuals are often at the staff or entry level in organizations, although such programs may also benefit a seasoned professional with limited exposure to the area.

**Intermediate:** Program knowledge level that builds on a basic program. Most appropriate for compliance professionals with detailed knowledge in an area. Such persons are often at a mid-level within the organization, with operational or supervisory responsibilities, or both.

**Advanced:** This level focuses on the development of in-depth knowledge, a variety of skills, or a broader range of applications. Advanced level programs are often appropriate for seasoned professionals within organizations and professionals with specialized knowledge in a subject area.



# In-person activities and networking opportunities

**Onsite safety protocols** HCCA considers the safety of all those on-site a top priority.

[Click here](#) for a listing of all current safety protocols that will be in place on-site at the Compliance Institute.

Please note that as the guidance changes, updates will be made accordingly.

## First-Time Attendee Breakfast

Monday, March 28 • 7:15–8:00 AM MST

New to the Compliance Institute? Join us at our First-Time Attendee Breakfast on the opening day of the conference to meet other professionals and prepare for the week. Invitation required.

## SpeedNetworking

Monday, March 28 • 12:00–1:00 PM MST

Join us face to face and start networking again! Share your interests for a series of fast, fun meetings and leave the session with new compliance contacts. Pre-registration is required. A boxed lunch is provided for preregistered attendees only. [Register here.](#)

## Welcome Reception

Monday, March 28 • 4:30–6:00 PM MST

For 26 years, the CI has been bringing together healthcare compliance professionals from across the country! Join us as we celebrate these relationships, in style, for an evening of good food and good company. Connect with your peers to share your experiences and grow your network.

## Volunteer project

Tuesday, March 29 • 7:00 AM–4:30 PM MST

Wednesday, March 30 • 7:15 AM–12:15 PM MST

The Compliance Institute gives you the opportunity to make a difference in the Phoenix community. HCCA has partnered with PaintFest to bring a project on-site at this year's CI to benefit the Foundation for Hospital Art. Stop by to help contribute to a multi-canvas painting. All murals will be donated to Phoenix-area hospitals. The painting is set up through a color code technique and NO ARTISTIC EXPERIENCE is necessary. It's a great opportunity to meet your fellow conference attendees and let your creative side shine. [Click here](#) for more information on the Foundation for Hospital Art.

## Headshots

Tuesday, March 29 • 7:00 AM–4:30 PM MST

Wednesday, March 30 • 7:15 AM–12:15 PM MST

Update your professional headshot! Visit the exhibit hall to find the headshot booth. Take your complimentary photo and it will be emailed directly to you.

## Tuesday Evening Social Event

Tuesday, March 29 • 5:30-6:30 PM MST

Come together once again with peers and colleagues during our Tuesday Evening Social Event. This night of food and fun includes a request-driven, interactive piano show. Dance and sing along to all your favorite songs spanning many decades and genres—make sure to bring your requests!

## Check out COSMOS®

Navigate the compliance universe with help from our online content platform. It is interactive, versatile, and mobile-friendly. Visit the HCCA booth to see a demo of this exciting tool.

## Tweet about the conference

Join the online conversation. Include #HCCACi with your online posts.

## Connect with all exhibitors

Your badge barcode holds the demographic information you provided on your registration form (name, organization, address, city, state, zip code, phone number, and email address). Please note that any exchange of your contact information with exhibitors is at your discretion.



# Virtual attendee engagement and networking opportunities

## Create a profile

Use your profile to add your job title, organization name, credentials, contact details, and upload a headshot to put a face to your name.

## Engage in chat during sessions

When you're logged into a session, you'll see the chat window within the virtual player where you can say hello, introduce yourself, and engage with other participants. You can also privately chat with other attendees.

## Ask questions and get real-time answers

Use the question window within the virtual player to ask questions directly to speakers. Speakers will be able to answer in real-time.



# Interested in becoming certified?

Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC<sup>®</sup>), and Certified in Healthcare Research Compliance (CHRC)<sup>®</sup> exams will be offered at the in-person Compliance Institute on Thursday, March 31.

**Exam check-in:** 1:30 PM MST

**Exam time:** 1:45–4:45 PM MST

**Cost:** HCCA members: \$275 | Non-members: \$375

**Individuals must be preapproved to sit for the exams.** To qualify, 20 CCB CEUs and the necessary work experience are required.

Compliance Institute sessions qualify as follows: one clock hour equals 1.2 CCB CEUs.

For more information on how to apply for these exams, visit [hcca-info.org/certification](https://hcca-info.org/certification).

**Questions?** Email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org)

## Remote proctored exam option now available

Attending the virtual Compliance Institute? CCB also offers the flexibility for candidates to take their exam remotely or at a local testing site. Please visit [hcca-info.org/exam-information](https://hcca-info.org/exam-information) for more information.



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

## Sunday, March 27

1:00–6:00 PM MST Registration Open

## Monday, March 28 • Pre-Conference (In-Person Only)

7:00 AM–6:00 PM MST Registration Open

7:15–8:00 AM MST First-Time Attendee Breakfast (Invitation Only)

8:30–10:00 AM MST  
BREAKOUT SESSIONS

- P1 Compliance 101
- P2 A Recipe for Compliance: How to Effectively Cook Education into Your Privacy Program
- P3 Unpacking the CY2022 Split Shared CMS Final Rule
- P4 Compliance Professionals and the False Claims Act
- P5 Enforcement and Compliance Update for Physicians and Hospitals
- P6 Does Compliance Have a Role in ESG?

10:00–10:15 AM MST Coffee Break

10:15–11:45 AM MST  
BREAKOUT SESSIONS

- P7 International Plan of Mystery: What Is International Compliance and Why Do U.S. Companies Need an International Compliance Plan?
- P8 Employee Health Information: When Is It PHI Protected Under HIPAA?
- P9 Coding Basics for the Non-Coding Compliance Professional
- P10 Doing Better with Data: Data Driven Quality Improvement for Compliance
- P11 Win, Place, and Show: Making a Trifecta Bet on Revenue Integrity, Risk Management, and Quality in Chronic Care Management Services
- P12 Evidencing Compliance Program Effectiveness: A Workshop for Preparing Your Compliance Program Documentation

11:45 AM–1:15 PM MST Lunch (On Your Own)

12:00–1:00 PM MST Optional: SpeedNetworking (Pre-Registration Required)

1:15–2:45 PM MST  
BREAKOUT SESSIONS

- P13 Hospital Charity Care: Disclosure, Reporting, Compliance, and Enforcement Issues for Free and Discounted Care to Uninsured and Underinsured Patients
- P14 Cyber-Ransomware Incident: Lessons Learned from a Real-Life Incident
- P15 Successful Launch: Mastering First-Year Program Assessment and Mitigation
- P16 Administrative Enforcement (CMS and OIG)
- P17 Compliance Mistakes in Physician Practices
- P18 Building and Implementing an Arrangements Compliance Program: What is Required?

2:45–3:00 PM MST Coffee Break

3:00–4:30 PM MST  
BREAKOUT SESSIONS

- P19 Research Compliance 101: Fundamentals and Complexities
- P20 A Provider, an HIE, and a Health IT Developer Walk into a Bar... to Discuss the Information Blocking Rule
- P21 The Boiling Frog: How to Prevent Compliance Officer Burnout
- P22 Successful Risk-Based Audit Plan: Data Mining Methodologies and Communication
- P23 Seeing Risk in a Different Light
- P24 Compliance Session TBD

4:30–6:00 PM MST Welcome Reception in Exhibit Hall

### SUBJECT AREA KEY

■ Auditing & Monitoring  
 ■ Behavioral Health  
 ■ Compliance Law  
 ■ Discussion Group  
 ■ General Compliance/Hot Topics  
■ How to Succeed as a Compliance Professional  
 ■ Investigations  
 ■ Physician Compliance  
 ■ Post-Acute Care  
■ Privacy & Security  
 ■ Risk Management  
 ■ Telehealth



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

## Tuesday, March 29

7:00 AM–6:30 PM MST	Registration Open
7:00–8:00 AM MST	Continental Breakfast in Exhibit Hall
7:00 AM–4:30 PM MST	Volunteer Project
8:00–8:15 AM MST	Welcome and Opening Remarks • <i>LIVE BROADCAST</i>
8:15–8:45 AM MST	GENERAL SESSION: OIG Updates • <i>LIVE BROADCAST</i>
8:45–9:15 AM MST	GENERAL SESSION: CMS Updates • <i>LIVE BROADCAST</i>
9:15–9:45 AM MST	Coffee Break
9:45–10:45 AM MST BREAKOUT SESSIONS	101 Board Oversight of Compliance Programs: Helping Your Board Succeed • <i>LIVE BROADCAST</i>
	102 Healthcare Privacy & Security during the 2021–22 PHE & beyond from the USHHS Office for Civil Rights • <i>LIVE BROADCAST</i>
	103 How to Apply the New AKS and Stark Law Rules to Your Compliance Program • <i>LIVE BROADCAST</i>
	104 Planning and Preparing for the Investigation • <i>LIVE BROADCAST</i>
	105 Compliance Session TBD
	106 Compliance Needs Project Management Tool
	107 The CMS Comparative Billing Report (CBR) Program: Compliance Tools for Physicians and Other Outpatient Providers
	108 Drive Down Risk: New Strategies for Internal Audits
10:45–11:15 AM MST	Coffee Break
11:15 AM–12:15 PM MST BREAKOUT SESSIONS	201 Program Integrity: How Fraud and Abuse Has Evolved as a Result of the Pandemic • <i>LIVE BROADCAST</i>
	202 Your Audit Plan Is on Point... but How Is Your Monitoring Game? • <i>LIVE BROADCAST</i>
	203 Navigating the Challenges of “Other Duties as Assigned” • <i>LIVE BROADCAST</i>
	204 Caution Ahead: Hot Point Home Health Compliance Issues • <i>LIVE BROADCAST</i>
	205 Compliance Risks for Speakers’ Programs
	206 Mitigating Risks: A Primer on Understanding Government Contracting Compliance
	207 Security Incident Response: How to Prevent a Breach
	208 Enforcement Liability and Insolvency
12:15–1:00 PM MST	Lunch (Provided)
1:00–1:30 PM MST	Dessert in Exhibit Hall
1:30–2:30 PM MST BREAKOUT SESSIONS	301 EMTALA and Beyond: How to Create and Maintain an EMTALA Compliance Program to Address Unique Challenges • <i>LIVE BROADCAST</i>
	302 2021 & 2022 New E&M Changes: Simplifications, Challenges, and Other Risk Areas of Physician Practices • <i>LIVE BROADCAST</i>
	303 Casting Your Vendor Risk Management Net Wide Enough: Are You on the Hook? • <i>LIVE BROADCAST</i>
	304 Telehealth: Billing Compliance Updates • <i>LIVE BROADCAST</i>
	305 Why Health Systems Should Treat Compliance Like Preventive Care
	306 False Claims Act and Medical Necessity
	307 Retro Fraud: 25 DOs and DON’Ts from 25 Years in Compliance
	308 Enhancing the Value of Corporate Compliance to the C-Suite
2:30–3:00 PM MST	Coffee Break
3:00–4:00 PM MST BREAKOUT SESSIONS	401 Successful Completion of a Corporate Integrity Agreement: Compliance and Audit Lessons Learned • <i>LIVE BROADCAST</i>
	402 Information Blocking: A Page from the Patient Access Playbook • <i>LIVE BROADCAST</i>
	403 Reportable Events: How to Investigate, Respond, Self-Report, and Alternatives to Self-Reporting • <i>LIVE BROADCAST</i>
	404 The Certified Community Behavioral Health Clinic (CCBHC): What You Need to Know to Be in Compliance • <i>LIVE BROADCAST</i>
	405 Evolving Auditing Methodologies Are a Game Changer for Effective Compliance
	406 Rethinking Your Compliance Program by Integrating with Operations
	407 Keeping Physicians Compliant in a Pre- and Post-COVID-19 Environment
	408 Leveraging a Stakeholder Relationship Plan as a Key Part of a Continuous Risk Assessment Program
4:00–4:30 PM MST	Coffee Break
4:30–5:30 PM MST BREAKOUT SESSIONS	501 DC Update: Regulatory and Legislative Issues to Watch • <i>LIVE BROADCAST</i>
	502 One Goal, Two Teams: How to Align to Help Manage Risk and Perform Audits • <i>LIVE BROADCAST</i>
	503 Find your Compliance Superpowers! • <i>LIVE BROADCAST</i>
	504 Internal Investigations: Refining Your Interviewing Skills and Tackling Difficult Interviewees • <i>LIVE BROADCAST</i>
	505 Can They Do That? The Evolving Roles of Physician Assistants and Nurse Practitioners
	506 The Compliance Officer’s Journey through ERM
	507 The Complexities of Breach Notification: You Have HIPAA Breach Notification Down but What About Other Breach Notification Laws?
	508 Telehealth Fraud Enforcement Trends and Implementation of Compliance Best Practices
5:30–6:30 PM MST	Social Event

### SUBJECT AREA KEY

- Auditing & Monitoring
- Behavioral Health
- Compliance Law
- Discussion Group
- General Compliance/Hot Topics
- How to Succeed as a Compliance Professional
- Investigations
- Physician Compliance
- Post-Acute Care
- Privacy & Security
- Risk Management
- Telehealth

# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

## Wednesday, March 30

6:45 AM–4:30 PM MST	Registration Open
7:00–8:00 AM MST	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>Early Bird 1 Hotline Administration Pre- and Post-COVID • <i>LIVE BROADCAST</i></div> </div>
BREAKOUT SESSIONS	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>Early Bird 2 Proactive Risk Mitigation Strategies for Privacy and Compliance Professionals • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>Early Bird 3 Insider’s View on Acquisition Due Diligence and its Aftermath: Potholes and Opportunities • <i>LIVE BROADCAST</i></div> </div>
7:15–8:15 AM MST	Continental Breakfast in Exhibit Hall
7:15 AM–12:15 PM MST	Volunteer Project
8:15–8:30 AM MST	Award Presentation • <i>LIVE BROADCAST</i>
8:30–9:30 AM MST	GENERAL SESSION: What’s Next for Healthcare Compliance • <i>LIVE BROADCAST</i>
9:30–10:00 AM MST	Coffee Break
BREAKOUT SESSIONS	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>601 OIG Developments • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #008000; margin-right: 5px;"></div> <div>602 Collision of Two Public Health Emergencies: COVID-19 and the Opioid Crisis • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #808080; margin-right: 5px;"></div> <div>603 Incorporating COVID-19 Risks into Risk Assessment • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #ffa500; margin-right: 5px;"></div> <div>604 RoP—Phase 3: Did You Forget About This? • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #ffff00; margin-right: 5px;"></div> <div>605 View from a Business Associate</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #0000ff; margin-right: 5px;"></div> <div>606 Focus on Arrangements: How to Proactively Manage Risks by Viewing Regulatory Compliance through the Lens of an IRO</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #800080; margin-right: 5px;"></div> <div>607 Compliance and Internal Audit: Collaboration for Enhanced Compliance Program Effectiveness</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #add8e6; margin-right: 5px;"></div> <div>608 Education and Training: Taking Your Program From “Efficient v. Effective” to “Efficient + Effective”</div> </div>
11:00–11:45 AM MST	Lunch (Provided)
11:45 AM–12:15 PM MST	Dessert in Exhibit Hall
BREAKOUT SESSIONS	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>701 The 340B Drug Discount Program: Navigating a Sea of Critical Compliance Elements • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #ffa500; margin-right: 5px;"></div> <div>702 A New Healthcare Cybersecurity Law: Incentivizing for HIPAA Compliance • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #0000ff; margin-right: 5px;"></div> <div>703 Surprise! What Compliance Professionals Need to Know About Surprise Billing Legislation • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #800080; margin-right: 5px;"></div> <div>704 Telemedicine: The Only Thing the Same Is Everything Changes—What Happened during and What to Expect after the Public Health Emergency • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #800080; margin-right: 5px;"></div> <div>705 Find More Time in Your Day! Establish Data Analytics and Automate Various Aspects of Your Workload to Increase Efficiencies</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #add8e6; margin-right: 5px;"></div> <div>706 Better Outcomes Start with Authentic Leadership</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #008000; margin-right: 5px;"></div> <div>707 Physician Coding and Billing Cases Gone Badly</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #808080; margin-right: 5px;"></div> <div>708 Building an Effective Risk-Based Audit Plan</div> </div>
1:15– 1:45 PM MST	Coffee Break
BREAKOUT SESSIONS	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #c00000; margin-right: 5px;"></div> <div>801 OIG and the Health IT Community: Supporting the Information Blocking Journey to Compliance • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #800080; margin-right: 5px;"></div> <div>802 Appeals and Audit Update: Compliance Considerations • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #add8e6; margin-right: 5px;"></div> <div>803 Implementing LEAN Concepts to Improve Your Compliance Program • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #808080; margin-right: 5px;"></div> <div>804 Behavioral Health Care: Before, During and After the COVID-19 National Public Health Emergency • <i>LIVE BROADCAST</i></div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #008000; margin-right: 5px;"></div> <div>805 Using Data to Identify Risk that Merits Attention Amid a Crisis</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #808080; margin-right: 5px;"></div> <div>806 Reducing Healthcare Risk with Strong Reg Change Management</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #ffa500; margin-right: 5px;"></div> <div>807 MD Anderson Cancer Center v. Office for Civil Rights: A Case Analysis from MD Anderson and Counsel Who Appealed a \$4.3 Million Civil Money Penalty for Alleged HIPAA Violations</div> </div>
	<div style="display: flex; align-items: center;"> <div style="width: 15px; height: 15px; background-color: #0000ff; margin-right: 5px;"></div> <div>808 Healthcare Compliance Issues During Sale and Acquisition: Practical Approaches to Due Diligence and Beyond</div> </div>
2:45– 3:15 PM MST	Coffee Break
3:15–3:30 PM MST	Closing Remarks and Award Presentation • <i>LIVE BROADCAST</i>
3:30–4:30 PM MST	GENERAL SESSION: Cybersecurity Threats and Response • <i>LIVE BROADCAST</i>

### SUBJECT AREA KEY

■ Auditing & Monitoring  
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 ■ Investigations  
 ■ Physician Compliance  
 ■ Post-Acute Care  
■ Privacy & Security  
 ■ Risk Management  
 ■ Telehealth



# SCHEDULE AT A GLANCE

ALL TIMES LISTED ARE IN MOUNTAIN STANDARD TIME (MST)

## Thursday, March 31 • Post-Conference

8:00 AM–12:15 PM MST	Registration Open
8:30–9:30 AM MST BREAKOUT SESSIONS	<span style="color: red;">■</span> P25 Incorporating Compliance Ambassadors in Your Compliance Program • LIVE BROADCAST
	<span style="color: brown;">■</span> P26 Establishing an Enterprise ERM/GRC Strategy with Compliance in Mind • LIVE BROADCAST
	<span style="color: blue;">■</span> P27 Top Five Hacks for Compliance Professionals Using Word, Excel, and PowerPoint • LIVE BROADCAST
	<span style="color: teal;">■</span> P28 Avoiding Government Data Mining for Fraud
9:30–9:45 AM MST	Coffee Break
9:45–10:45 AM MST BREAKOUT SESSIONS	<span style="color: red;">■</span> P30 How to Assure that Your Next Compliance Program Review Confirms Performance and Helps Improve Your Compliance Program • LIVE BROADCAST
	<span style="color: green;">■</span> P31 Be Innovative! A Vital and Visual Risk Assessment Process for Provider Compensation Arrangements • LIVE BROADCAST
	<span style="color: blue;">■</span> P32 Emerging Trends in Physician Employment/Independent Contractor Contracting and Compensation Arrangements with Hospitals • LIVE BROADCAST
	<span style="color: yellow;">■</span> P33 Privacy Officer's Roundtable: Part 1
10:45–11:00 AM MST	Coffee Break
11:00 AM–12:00 PM MST BREAKOUT SESSIONS	<span style="color: red;">■</span> P35 Oversight Considerations for Ethical AI: Possible, Practical, and Essential • LIVE BROADCAST
	<span style="color: purple;">■</span> P36 The Art of Unbiased Compliance Auditing • LIVE BROADCAST
	<span style="color: blue;">■</span> P37 Harnessing Your Emotional Intelligence and Conquering Important Conversations • LIVE BROADCAST
	<span style="color: yellow;">■</span> P38 Privacy Officer's Roundtable: Part 2
1:30 PM MST	EXAMS CHECK-IN: Certified in Healthcare Compliance (CHC) <sup>®</sup> , Certified in Healthcare Privacy Compliance (CHPC) <sup>®</sup> , and Certified in Healthcare Research Compliance (CHRC) <sup>®</sup>
1:45–4:45 PM MST	EXAMS (OPTIONAL): Certified in Healthcare Compliance (CHC) <sup>®</sup> , Certified in Healthcare Privacy Compliance (CHPC) <sup>®</sup> , and Certified in Healthcare Research Compliance (CHRC) <sup>®</sup>

### SUBJECT AREA KEY

■ Auditing & Monitoring  
 ■ Behavioral Health  
 ■ Compliance Law  
 ■ Discussion Group  
 ■ General Compliance/Hot Topics  
■ How to Succeed as a Compliance Professional  
 ■ Investigations  
 ■ Physician Compliance  
 ■ Post-Acute Care  
■ Privacy & Security  
 ■ Risk Management  
 ■ Telehealth

Sunday, March 27

1:00–6:00 PM MST

Registration Open

Monday, March 28

PRE-CONFERENCE  
(IN-PERSON ONLY)

7:00 AM–6:00 PM MST

Registration Open

7:15–8:00 AM MST

First-Time Attendee  
Breakfast (Invitation Only)

8:30–10:00 AM MST

BREAKOUT SESSIONS

P1 Compliance 101

Subject Area: General Compliance/Hot Topics

Level: Basic

SHERYL VACCA, SVP/Chief Risk Officer, Providence St. Joseph Health; SCCE & HCCA Board Member

DEBBIE TROKLUS, President, Troklus Compliance Consulting; SCCE & HCCA Board Member

- Define the steps in developing an effective compliance program
- Outline the regulations that are critical for review and application in developing a CP
- Discuss how to implement the CP after the development stage

P2 A Recipe for Compliance:  
How to Effectively Cook  
Education into Your  
Privacy Program

Subject Area: Privacy & Security

Level: Intermediate

ANGELA M. ALTON, Vice President, Privacy Officer, Ann & Robert Lurie Children’s Hospital of Chicago

TRACY L. MCCULLOUGH, Director, Compliance & Integrity Education, Ann & Robert H. Lurie Children’s Hospital of Chicago

- Measure and mix the ingredients necessary to build a high-value and effective educational program
- Build a buffet of education material (from big to bite-sized) for optimal digestion by the workforce
- Impress critics (regulators and stakeholders) by compiling a menu demonstrating educational compliance

P3 Unpacking the CY2022  
Split Shared CMS Final Rule

Subject Area: Physician Compliance

Level: Basic

FMAYA TURNER, Lead Ambulatory Coding Analyst, Franciscan Alliance

- Understand Components of Split Shared Billing
- Define CMS’s definition of facility setting
- The difference between Split Shared and Incident To billing

P4 Compliance Professionals  
and the False Claims Act

Subject Area: Compliance Law

JACKLYN DEMAR, Director of Legal Education, Taxpayers Against Fraud Education Fund

GABRIEL IMPERATO, Managing Partner, Nelson Mullins Riley & Scarborough

MICHAEL THEIS, Partner, Hogan Lovells LLP

- False Claims Act developments and compliance risk
- Return of overpayments and deliberate ignorance and reckless disregard
- Retaliation and proposed legislative amendments

P5 Enforcement and  
Compliance Update for  
Physicians and Hospitals

Subject Area: Physician Compliance

Level: Advanced

SEAN MCKENNA, Partner, Spencer Fane LLP

D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Discuss important enforcement actions and cases that will inform and guide your compliance program
- Learn key elements of investigations, preparation, and defense from a current hospital compliance official and former federal prosecutor
- Advance your knowledge of emerging physician and hospital compliance risks based on trends and analysis of state and federal investigations and enforcement

P6 Does Compliance  
Have a Role in ESG?

Subject Area: Discussion Group

Level: Basic

NAKIS URFI, Product Compliance Officer, Babylon Health

ROY SNELL, ESG Officer, Author of *IntegrityWorks*, Co-founder of SCCE/HCCA, Osprey ESG Software

- Define environmental, social and governance (ESG)
- Discuss what roles compliance may play in ESG
- Identify keys to collaboration across business areas

10:00–10:15 AM MST

Coffee Break

10:15–11:45 AM MST

BREAKOUT SESSIONS

**P7 International Plan of Mystery: What Is International Compliance and Why Do U.S. Companies Need an International Compliance Plan?**

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

AUTUMN M. SMALLWOOD, Director, Compliance, Moffitt Cancer Center

MAGGIE F. RUBRECHT-SCAFFE, International Compliance Officer, Moffitt Cancer Center

DONNETTA HORSEMAN, Chief Compliance Officer, Moffitt Cancer Center

- Learn the various components of an international compliance program and whether you are at risk
- Understand why there is such a strong focus on foreign influence with US-based research/academia Learn about export controls, international data privacy laws, and other international laws that might affect your business even if you are 100 percent US based
- Obtain valuable tools and lessons learned to aid in building your international compliance program
- Hear from seasoned compliance professionals who have developed an international compliance program and the impact on the organization

**P8 Employee Health Information: When Is It PHI Protected Under HIPAA?**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

GAYLAND O. HETHCOAT, Senior Legal Counsel, Children’s Hospital Los Angeles (CHLA) |

- Understand how HIPAA regulates use and disclosure of health information pertaining to healthcare providers’ employees
- Distinguish between protected and unprotected employee health information under HIPAA
- Assess HIPAA privacy implications regarding healthcare provider employees’ COVID vaccination and testing information

**P9 Coding Basics for the Non-Coding Compliance Professional**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

- Discover definitive resources needed for coding and billing as a compliance professional
- Managing the appropriate coding, billing, and compliance staff
- Demonstrate the importance of correct coding and appropriate documentation

**P10 Doing Better with Data: Data Driven Quality Improvement for Compliance**

*Subject Area: Auditing & Monitoring*  
*Level: Intermediate*

JOHN BAUMANN, Associate Vice President for Research Compliance, Indiana University

- Discuss why we move beyond auditing/ monitoring toward quality improvement
- Identify best practices for institutionalizing quality improvement

**P11 Win, Place, and Show: Making a Trifecta Bet on Revenue Integrity, Risk Management, and Quality in Chronic Care Management Services**

*Subject Area: Risk Management*  
*Level: Basic*

SUSAN PRIOR, Principal, BerryDunn

REGINA K. ALEXANDER, Senior Manager & Director, IRO Services, BerryDunn

- Define CMS requirements for delivery and reimbursement of chronic care management services, including conditions of payment and program integrity enforcement trends
- Discuss key CCM compliance, revenue integrity, quality, and risk management considerations, as well as the financial and quality implications of incorporating CCM as a means of practice improvement; presenters will use real world case studies to illustrate
- Develop CCM operations strategies that satisfy risk management, achieve revenue integrity objectives, and further your organization’s journey toward healthcare’s quadruple aim

**P12 Evidencing Compliance Program Effectiveness: A Workshop for Preparing Your Compliance Program Documentation**

*Subject Area: Discussion Group*

*Level: Advanced*

DARRELL W. CONTRERAS, Chief Compliance Officer, Millennium Health

- Use OIG guidance to establish the framework for demonstrating compliance program effectiveness
- Translate the effectiveness framework into a board report on key compliance program metrics
- Apply the framework to begin documenting and scoring your compliance program effectiveness

11:45 AM–1:15 PM MST

**Lunch (On Your Own)**

12:00–1:00 PM MST

**Optional: SpeedNetworking (Pre-Registration Required)**

1:15–2:45 PM MST

**BREAKOUT SESSIONS**

**P13 Hospital Charity Care: Disclosure, Reporting, Compliance, and Enforcement Issues for Free and Discounted Care to Uninsured and Underinsured Patients**

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

JAMES G. SHEEHAN, Chief, Charities Bureau, NY Attorney General

AUDREY UDASHEN, Assistant Attorney General, Washington State Attorney General's Office

- Understand the state and federal reporting and disclosure duties of hospitals for charity care
- Learn charity care enforcement initiatives by state Attorneys General, tax, and health agencies
- Develop hospital compliance programs to address charity care responsibilities and risks

**P14 Cyber-Ransomware Incident: Lessons Learned from a Real-Life Incident**

*Subject Area: Privacy & Security*

*Level: Intermediate*

ADRIENNE K. CHASE, Compliance and Risk Officer, Dickinson County Healthcare System

AMANDA SHELAST, VP-Physician Services, DCHS

- Experience what a real-life ransomware incident feels like and walk through the incident
- Best practices including business continuity and complying with an investigation
- Lessons learned and tips from a real-life ransomware incident

**P15 Successful Launch: Mastering First-Year Program Assessment and Mitigation**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

ANGELA M. ALTON, Vice President, Privacy Officer, Ann & Robert Lurie Children's Hospital of Chicago

ANNE DALY, VP of Compliance, Samaritan Health System

ANA-CRISTINA NAVARRO, Deputy Chief Compliance Officer, HealthRIGHT360

- Confidently conduct and document a comprehensive compliance program effectiveness risk assessment
- Identify and implement needed program enhancements for managing incidents, policies, and contracts
- Develop internal resources to build and sustain an engaged compliance culture

**P16 Administrative Enforcement (CMS and OIG)**

*Subject Area: Compliance Law*

*Level: Intermediate*

JUDITH A. WALTZ, Partner, Foley & Lardner

- CMS: Billing privilege revocations—risks and strategies
- OIG: Civil monetary penalties and exclusions—risks and strategies
- Appeals of administrative actions

**P17 Compliance Mistakes in Physician Practices**

*Subject Area: Physician Compliance*

*Level: Intermediate*

SANDRA K. GIANGRECO BROWN, Director of Coding & Revenue Integrity, CliftonLarsonAllen, LLC

KIMBERLY G. HUEY, President, KGG Coding & Reimbursement Consulting

- Explore common mistakes in compliance efforts in physician practices
- Identify low-cost (or free) compliance resources for physician practices
- Establish a starting point for compliance efforts in physician practices

**P18 Building and Implementing an Arrangements Compliance Program: What is Required?**

*Subject Area: Discussion Group*

*Level: Advanced*

DWIGHT CLAUSTRE, Retired Compliance Professional

JIM PASSEY, Vice President, Chief Audit & Compliance Officer, HonorHealth

- Thorough review and discussion of the components of a successful arrangement compliance program
- Discussion of alternatives and solutions for common challenges and roadblocks
- Review of the substantive requirements for assuring arrangement remain compliant with Stark and AKS

2:45–3:00 PM MST

**Coffee Break**

3:00–4:30 PM MST

BREAKOUT SESSIONS

**P19 Research Compliance 101: Fundamentals and Complexities**

*Subject Area: General Compliance/Hot Topics*  
*Level: Basic*

WENDY SCHROEDER, Global Manager, IVD Clinical, NAMSA

KELLY WILLENBERG, CEO, Kelly Willenberg and Associates

- Describe research compliance underlying rules and regulations
- Review major topic area complexities: Human subject protection, animal research, foreign influence, federal awards, export controls, research misconduct, conflict of interest, and clinical trial billing
- Discuss where and how to address research compliance areas

**P20 A Provider, an HIE, and a Health IT Developer Walk into a Bar... to Discuss the Information Blocking Rule**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

MODERATOR: NICHOLAS M. WEIL, Consultant and Attorney, Epsilon Life Sciences

JOSH D. MAST, Strategist, Cerner

MARK NEU, Compliance and Privacy Officer, Alta Hospital System

JOSEPH WALKER, HIPAA Privacy Officer & Security Officer, MyHealth Access Network, Inc.

- Explore the new information blocking rule and its outsized impact on the healthcare industry
- Tackle common challenges in patient privacy, security, and interoperability among covered entities
- Learn techniques to comply with health information requirements and collaborate with data partners

**P21 The Boiling Frog: How to Prevent Compliance Officer Burnout**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

ELLEN M. HUNT, VP - Compliance Program Operations, Chief Privacy Officer, LifePoint Health

MELANIE A. SPONHOLZ, Chief Compliance Officer, Waud Capital Partners

- Recognize early signs of career burnout while there's still time to make effective changes
- Employ practical, everyday strategies to maintain equilibrium and enjoy what you do
- Learn how to build a professional network that gives you superpowers

**P22 Successful Risk-Based Audit Plan: Data Mining Methodologies and Communication**

*Subject Area: Auditing & Monitoring*

*Level: Advanced*

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- Engaging clinicians and the administrative matrix in the discussion of vulnerabilities and risk appetite
- Setting a standard audit approach with data mining, trending, and building educational channels and a report card
- Setting the tone of accountability by engaging all levels during the post-audit debrief/alignment with QIP/MIP

**P23 Seeing Risk in a Different Light**

*Subject Area: Risk Management*

*Level: Intermediate*

NATALIE BULGER, Risk Manager, VHACO Office of Integrity and Compliance (10OIC)

ANDRIA NICHOLS, Compliance Auditor, VHACO Office of Integrity and Compliance (10OIC)

NICHOLAS R. BOYD, Program Analyst, VHACO Office of Integrity and Compliance (10OIC)

- Apply strategies to implement standardized risk management processes across a diverse population
- Optimize agility and flexibility to enhance risk management strategies and risk culture
- Develop systems to support collaborative risk identification and risk management

**P24 Board Communication for Compliance and Privacy**

*Subject Area: Discussion Group*

R. BRETT SHORT, Chief Compliance Officer, UK HealthCare / Univ of KY

SAMANTHA KELEN, Chief Compliance Officer, Stellar Health

4:30–6:00 PM MST

**Welcome Reception in Exhibit Hall**



Tuesday, March 29

7:00 AM–6:30 PM MST

Registration Open

7:00–8:00 AM MST

Continental Breakfast in Exhibit Hall

8:00–8:15 AM MST

Welcome and Opening Remarks • LIVE BROADCAST

8:15–8:45 AM MST

GENERAL SESSION: **OIG Updates** • LIVE BROADCAST

CHRISTI A. GRIMM, Inspector General, OIG, Department of Health and Human Services

8:45–9:15 AM MST

GENERAL SESSION: **CMS Updates** • LIVE BROADCAST

JEAN MOODY-WILLIAMS, Deputy Center Director, CMS, Center for Clinical Standards and Quality

9:15–9:45 AM MST

Coffee Break

9:45–10:45 AM MST

BREAKOUT SESSIONS

**101 Board Oversight of Compliance Programs: Helping Your Board Succeed** • LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- Understand board fiduciary duties
- Review recent litigation and case law developments
- Discuss 10 practical strategies to help your board succeed

**102 Healthcare Privacy & Security during the 2021–22 PHE & beyond from the USHHS Office for Civil Rights** • LIVE BROADCAST

*Subject Area: Privacy & Security*  
*Level: Intermediate*

YUN-KYUNG LEE, Deputy Regional Manager, US DHHS Office for Civil Rights - Pacific Region

- Understand the practical applications of HHS/OCR COVID-19 related HIPAA/HITECH Notices and Guidance
- Track recent privacy and security incidents and HHS/OCR Resolution Agreements
- Learn preventive measures covered entities and business associates can take to safeguard PHI

**103 How to Apply the New AKS and Stark Law Rules to Your Compliance Program** • LIVE BROADCAST

*Subject Area: Compliance Law*  
*Level: Advanced*

TONY MAIDA, Partner, McDermott Will & Emery

- Gain insight into the most significant AKS and Stark rulemaking in many years
- Learn how to apply these new rules to your compliance arrangement's approval and auditing process
- Consider how your organization can use the new value-based safe harbors and exceptions

**104 Planning and Preparing for the Investigation** • LIVE BROADCAST

*Subject Area: Investigations*  
*Level: Intermediate*

MICHAEL SANDULAK, Executive Director, Investigations and Physical Security, Providence Health

- Developing an overall investigation plan, from the initial report to case completion
- Understanding the importance of building rapport and recognizing unconscious bias in conducting investigations
- Drafting an investigation report and preparing the response to wrongdoing

**105 Audit Framework for a COVID-19 Admissions Audit from Start to Finish**

*Subject Area: Auditing & Monitoring*  
*Level: Intermediate*

AMY NICEWANDER, Compliance Director, Integris Health

- Defining scope and determining what to audit through data
- Pulling it all together, quantification of any overpayments and accountability.
- Reporting the results

**106 Compliance Needs Project Management Too!**

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Basic*

DHARA SATIJA, Senior Manager, Deloitte & Touche

CAITLIN HOLLERAN, VP Corporate Compliance, Sharp Healthcare

- Understand what project management is and why it is important for effective compliance work
- Review overlaps in roles and responsibilities between project management and compliance activities
- Showcase effective and commonly used project management tools in compliance projects and share case studies

**107 The CMS Comparative Billing Report (CBR) Program: Compliance Tools for Physicians and Other Outpatient Providers**

*Subject Area: Physician Compliance*  
*Level: Basic*

ANNIE BARNABY, Outreach/Education Specialist, RELI Group

- Learn about the Comparative Billing Report CBR program created by CMS to provide education
- CBRs offer provider peer to peer comparison data for Medicare Part B claims
- CBRs support the auditing component of internal compliance programs

**108 Drive Down Risk: New Strategies for Internal Audits**

*Subject Area: Risk Management*

*Level: Intermediate*

SUSAN MARRE, Director of Billing Compliance, Dana-Farber Cancer Institute

DAWN CRUMP, Sr. Director Revenue Integrity Solutions, MRO

- Identify ways to enhance your internal audit process for compliance improvement and risk
- Analyze proven best practices implemented at Dana-Farber Cancer Institute to reduce risk and cost
- Define specific business intelligence data and analytics used to identify and resolve risks

10:45–11:15 AM MST

**Coffee Break**

11:15 AM–12:15 PM MST

**BREAKOUT SESSIONS**

**201 Program Integrity: How Fraud and Abuse Has Evolved as a Result of the Pandemic • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

ADRIAN CZAPLA, Manager–Healthcare Risk & Compliance, Protiviti

DENNIS TOOMEY, Director, Protiviti

FERNANDO ALVAREZ, Associate Director, Protiviti

- Post-pandemic fraud and abuse trends
- Best practices to detect, investigate, mitigate, and deter fraud and abuse
- How counter fraud technology has evolved and is being incorporated

**202 Your Audit Plan Is on Point... but How Is Your Monitoring Game? • LIVE BROADCAST**

*Subject Area: Auditing & Monitoring*

*Level: Basic*

TONJA WISE, Vice President of Corporate Compliance, Florida Cancer Specialists

- So many audits, so little time: Why do we keep monitoring?
- Deciding where to focus attention and why
- Managing time and leveraging resources: Make it work for you

**203 Navigating the Challenges of “Other Duties as Assigned” • LIVE BROADCAST**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

KELSEY C. LAWSON, Chief Risk & Compliance Officer, Hennepin Healthcare

- Discuss challenges that can develop when compliance professionals are asked to perform other roles
- Understand examples of roles that can present conflicts of interests for compliance professionals
- Identify tactics for navigating requests for performing other organizational roles and duties

**204 Caution Ahead: Hot Point Home Health Compliance Issues • LIVE BROADCAST**

*Subject Area: Post-Acute Care*

*Level: Intermediate*

SEAN FAHEY, Attorney, Hall Render Killian Heath & Lyman

- Discuss current types of home health compliance issues and enforcement trends
- Review home health operational compliance issues: medical director agreements and marketer payments
- Cover key issues in UPIC audits and kickbacks to discharge planners and case managers

**205 Compliance Risks for Speakers’ Programs**

*Subject Area: Physician Compliance*

*Level: Intermediate*

STACY PRITT, Associate Vice President, Research Support, UT Southwestern Medical Center

MEREDITH NOTO, COI Office Manager, UT Southwestern Medical Center

- Provide an in-depth description of Speakers’ Programs and the compliance risks identified by HHS OIG
- Describe strategies for educating physicians, identifying risky activities, and protecting patients
- Identify policy approaches for managing compliance and conflicts of interest with Speakers’ Programs

**206 Mitigating Risks: A Primer on Understanding Government Contracting Compliance**

*Subject Area: Risk Management*

*Level: Basic*

NOAH LEIDEN, Partner, Baker Tilly

- Healthcare organizations looking for revenue growth frequently pursue federal contracts as either a prime or subcontractor for sources of new revenue. These contracts contain unique and sometimes burdensome compliance requirements contractors must follow
- While healthcare organizations understand the requirements of 42 CFR, federal contracts include further provisions and clauses required by 48 CFR. Organizations must recognize the related administrative challenges and the financial and compliance risks
- It is critical for organizations to understand, develop, and maintain internal controls and the systems necessary to mitigate risks associated with federal contract provisions. By not doing so, an organization’s exposure to risk may outweigh expected benefits

**207 Security Incident Response: How to Prevent a Breach**

*Subject Area: Privacy & Security*

*Level: Intermediate*

KEN SATKUNAM, President, DueNorth Secure Healthcare

MARK SCHLADER, Principal Partner, DueNorth Information Security

BRANDON HAGGERTY, Principal Analyst, DueNorth Security, LLC

- Learn about common information security incidents, how they occur, and how they can lead to a breach
- Develop or improve your current incident response policy and procedure
- Train employees to better identify security incidents and follow your incident response procedures

**208 Enforcement Liability and Insolvency**

*Subject Area: Compliance Law*

*Level: Intermediate*

TOM MORAN

SAMUEL MAIZEL

GABRIEL IMPERATO, Managing Partner, Nelson Mullins Riley & Scarborough

LOUIS ROBICHAUX, Ankura

- Enforcement liability and bankruptcy and insolvency: Responsive strategy or fantasy
- Enforcement and/or overpayments: Insolvency and successor liability
- Twomey Hospital: Catastrophic judgment and resolution

12:15 PM–1:00 PM MST

Lunch (Provided)

1:00–1:30 PM MST

Dessert in Exhibit Hall

1:30–2:30 PM MST

BREAKOUT SESSIONS

**301 EMTALA and Beyond: How to Create and Maintain an EMTALA Compliance Program to Address Unique Challenges • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Basic*

NOELLE H. TRUE, Senior Compliance Manager, Univ of KY, UK HealthCare

- Know what EMTALA requires and when and where it applies
- Appreciate the importance of a clear EMTALA policy and a dynamic training program
- Understand how to structure an EMTALA compliance program when a hospital has multiple EDs and sites

**302 2021 & 2022 New E&M Changes: Simplifications, Challenges, and Other Risk Areas of Physician Practices • LIVE BROADCAST**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

ALKA D. KUMAR, Director of Compliance & Risk Management, HealthWorks for Northern Virginia

MADHAVI PERUMPALATH, Director-Physician Practice Compliance, Northeast Georgia Health System

- Identify the methods to improve the documentation appropriateness of 2021 E&M changes
- Present the proper documentation strategies with examples to maintain compliance and avoid red flags
- Understand the four key risk areas affecting the physician practices as outlined by OIG CPG

**303 Casting Your Vendor Risk Management Net Wide Enough: Are You on the Hook? • LIVE BROADCAST**

*Subject Area: Risk Management*  
*Level: Intermediate*

KELLIE J. WORLEY, Consultant, Professional Services, Clearwater

DAWN R. POLEN-MORGENSTERN, Senior Principal Consultant & Chief Privacy Officer, Clearwater

- Identify challenges in your third-party risk processes and your enterprise risk management
- Identify a framework, program elements, and technology to assess your third-party risk
- How to incorporate risk quantification and risk reduction into your decision-making

**304 Telehealth: Billing Compliance Updates • LIVE BROADCAST**

*Subject Area: Telehealth*  
*Level: Intermediate*

LISA HAIR, Compliance Manager II, MUSC Physicians

- Identify appropriate telehealth CPT codes based on documentation
- Apply the appropriate regulations to telehealth services
- Understand nuances between CMS physician fee schedule guidelines v. waivers provided for the PHE

**305 Why Health Systems Should Treat Compliance Like Preventive Care**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

NICHOLAS CULBERTSON, CEO/Co-Founder, Protenus

TAYLOR HAYS, Associate Director, Privacy and Regulatory Affairs, University of Miami Health System

- A discussion about the current security threats to your organization's patients' data
- Impact of COVID-19 on preventive compliance monitoring programs
- Hear a success story about compliance monitoring best practices at the University of Miami

**306 False Claims Act and Medical Necessity**

*Subject Area: Compliance Law*

ROSALIND CORDINI, Senior Vice President, Coding & Compliance Services, Coker Group

JEFFREY DICKSTEIN, Partner, Phillips & Cohen LLP

**307 Retro Fraud: 25 DOs and DON'Ts from 25 Years in Compliance**

*Subject Area: Auditing & Monitoring*  
*Level: Intermediate*

LISA D. TAYLOR, Founding Partner, Inglesino Webster Wyciskala & Taylor

ERIC RUBENSTEIN, Advize Health

- List compliance red flags for HHS/OIG special agents and the legal counsel for monitors
- How to ensure compliance with Stark and Anti-Kickback; learn how to spot when compliance is ignored
- List key statements, data trends, and referral patterns to indicate fraudulent activity

**308 Enhancing the Value of Corporate Compliance to the C-Suite**

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Intermediate*

ADAM RIBNER, Director of Integrity and Compliance, Holy Cross Hospital

DANIELLE C. GORDET, Associate, Akerman LLP

- Connect corporate compliance to organizational goals
- Understand the importance of framing compliance metrics to the audience
- Implement a process to add value to the corporate compliance program

2:30–3:00 PM MST

Coffee Break

3:00–4:00 PM MST

BREAKOUT SESSIONS

**401 Successful Completion of a Corporate Integrity Agreement: Compliance and Audit Lessons Learned • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

HARRIETT A. WALL, Principal, Chief Operating Officer, Chief Compliance Officer, LW Consulting Inc

DEBORAH MILLER, Chief Compliance & Privacy Officer, Ensign Facility Services, Inc.

- Identify key actions the OIG is looking for in successful close to a corporate integrity Agreement (CIA)
- Leverage flexibility of CIA to limit audit universe and develop risk-based recommendations
- Illustrate successful completion of CIA final report and continuation of key compliance elements

**402 Information Blocking: A Page from the Patient Access Playbook • LIVE BROADCAST**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

DAWN R. POLEN-MORGENSTERN, Senior Principal Consultant & Chief Privacy Officer, Clearwater

KELLIE J. WORLEY, Consultant, Professional Services, Clearwater

- Understanding the eight exceptions to information blocking
- Ensuring prompt patient access, exchange, and use
- Implementing an information blocking and exceptions policy

**403 Reportable Events: How to Investigate, Respond, Self-Report, and Alternatives to Self-Reporting • LIVE BROADCAST**

*Subject Area: Compliance Law*  
*Level: Advanced*

ROBERT A. WADE, Partner, Barnes & Thornburg LLP

- Sources of compliance discovery
- Internal investigation considerations
- Self-reporting considerations: Payers, CMS, OIG, DOJ

**404 The Certified Community Behavioral Health Clinic (CCBHC): What You Need to Know to Be in Compliance**

• LIVE BROADCAST

*Subject Area: Behavioral Health*  
*Level: Basic*

CINDY KENNEDY, Senior Compliance Officer, Rutgers University

DAVID CHIN, Director of Accreditation & Documentation, Rutgers Health UBHC

- Understand the purpose behind the CCBHC demonstration program authorized under Section 223 of the Protecting Access to Medicare Act (PAMA) and provide an overview of its objectives
- Learn from one provider’s experience with implementation of the CCBHC model of care and securing compliance with CCBHC requirements
- Raise awareness of potential risks and compliance hotspots

**405 Evolving Auditing Methodologies Are a Game Changer for Effective Compliance**

*Subject Area: Auditing & Monitoring*  
*Level: Advanced*

SHARON S. PARSLEY, President & Managing Director, Quest Advisory Group, LLC

AMY BAILEY, Principal, HBE Advisors

- Discuss the pros and cons of traditional documentation review methodologies
- Identify alternate and innovative auditing strategies to improve auditing results
- Apply real-life lessons learned to elevate your compliance program effectiveness

**406 Rethinking Your Compliance Program by Integrating with Operations**

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Intermediate*

JANINE S. FADUL, Director of Compliance & Privacy, GW Medical Faculty Associates

SCOTT K. INTNER, Chief Compliance Officer, GW Medical Faculty Associates

- Getting down to basics: Focusing on a culture of compliance
- Building sustainable structures: Measuring and managing risk
- Making it work: Operationalizing your compliance program

**407 Keeping Physicians Compliant in a Pre- and Post-COVID-19 Environment**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

MAYA TURNER, Lead Ambulatory Coding Analyst, Franciscan Alliance

- Keep your physicians engaged
- Provide additional sources
- Use national and local benchmarks

**408 Leveraging a Stakeholder Relationship Plan as a Key Part of a Continuous Risk Assessment Program**

*Subject Area: Risk Management*  
*Level: Basic*

ENEZE J. ANNAN, Director of Campus Compliance, New York Presbyterian

CHARLES J. MAZER, Director of Corporate Compliance, New York Presbyterian

- Understand the benefit of a stakeholder relationship plan
- Using stakeholder relationships to identify risks in a continuous risk assessment
- Build analytics to help manage identified risks

4:00–4:30 PM MST

Coffee Break

4:30–5:30 PM MST

BREAKOUT SESSIONS

**501 DC Update: Regulatory and Legislative Issues to Watch • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

KIMBERLY BRANDT, Partner, Tarplin, Downs & Young

- Present analysis of current CMS policy actions and what those mean for provider compliance
- Discussion of what it means now that the public health emergency (PHE) is coming to an end
- Discuss whether the CMS flexibilities granted by the PHE waivers will continue and in what form



**502 One Goal, Two Teams: How to Align to Help Manage Risk and Perform Audits • LIVE BROADCAST**

*Subject Area: Auditing & Monitoring*  
*Level: Intermediate*

DESTIN HARCUS, Executive Director, AdventHealth

DHARA SATIJA, Senior Manager, Deloitte & Touche

- Deepen understanding on the three lines model
- Discuss leading practices to help strengthen and sustain collaboration across lines model
- Learn from practical examples with key takeaways

**503 Find your Compliance Superpowers! • LIVE BROADCAST**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

D. SCOTT JONES, Chief Compliance Officer, Augusta Health

- Share five distinct, universal superpower tactics for success as a compliance professional, learned over the course of a long compliance career
- Overcome silos, break down barriers, and influence decision-makers with key skills and knowledge! Apply the five compliance superpowers to any situation; use these breakthrough skills to engage, influence, persuade, and convince key decision-makers
- Master the art of effective compliance and further your career as a compliance professional! Conquer the body of knowledge needed to be truly effective, and apply your compliance superpowers to build a strong, successful, and long-lasting career

**504 Internal Investigations: Refining Your Interviewing Skills and Tackling Difficult Interviewees • LIVE BROADCAST**

*Subject Area: Investigations*  
*Level: Intermediate*

JACKI WALTMAN, Mgr Health Information/ Corporate Privacy Officer, Hazelden Betty Ford Foundation

JACKIE STEMWEDEL, Director, Compliance, Hazelden Betty Ford Foundation

MELISSA EDSON, Clinical Compliance Specialist, Hazelden Betty Ford Foundation

- Skills/tips necessary for an effective investigative interview, both in-person and remote
- How to advance your interviewing skills
- Interviewing approaches for difficult interviewees

**505 Can They Do That? The Evolving Roles of Physician Assistants and Nurse Practitioners**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

KEVIN A. HICKMAN, Physician Assistant, Geisinger Medical Center

- Describe the federal and state government's role in defining PA and NP scope of practice
- Discuss compliance implications of PAs and NPs working within an academic healthcare organization
- Explain changes in federal regulations that impact the physician, PA, and NP team's claims submission

**506 The Compliance Officer's Journey through ERM**

*Subject Area: Risk Management*  
*Level: Intermediate*

CHERYL A. STRAUP, VP Chief Compliance and Privacy Officer, Advocare

KEVIN MCPOYLE, Chief Compliance Officer, AmeriBest Home Care

D. MICHELLE KINNEER, Senior Director, Corporate Compliance, Comprehensive Pharmacy Services

- Understand the value of incorporating ERM into your compliance program
- See how an ERM perspective helps you in understanding your organization's risk appetite
- Learn from the experience of three different compliance professionals on the different approaches of risk identification, response, and mitigation

**507 The Complexities of Breach Notification: You Have HIPAA Breach Notification Down but What About Other Breach Notification Laws?**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

JOSEPH DICKINSON, Partner, Michael, Best & Friedrich, LLP

ANDREW MAHLER, Manager, Privacy Services, CynergisTek, Inc.

- Determine if state breach notification laws apply to your data breach
- Identify the commonalities and unique features between various state breach notification laws
- Establish a practical approach to ensuring compliance with applicable state breach laws

**508 Telehealth Fraud Enforcement Trends and Implementation of Compliance Best Practices**

*Subject Area: Compliance Law*  
*Level: Intermediate*

DAVID G. LAZARUS, Partner, Health Care and White Collar Defense, Verrill Dana LLP

JEFFREY A. SMAGULA, Counsel, Verrill

- Analyze the changing landscape of telehealth during and after the COVID public health emergency
- Understand telehealth fraud enforcement priorities, trends, and investigatory methods
- Implement best practices to prevent, detect, and correct non-compliance and prepare for audits/exams

5:30–6:30 PM MST

**Social Event**



## Wednesday, March 30

6:45 AM–4:30 PM MST

### Registration Open

7:00–8:00 AM MST

### BREAKOUT SESSIONS

#### Early Bird 1 Hotline Administration Pre- and Post-COVID • LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

COLLEEN A. DENNIS, Compliance Analyst, Children's Hospital - Colorado Springs

KENNETH M. JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

- Uses of the hotline that cause unusual trends
- Managing/escalating anonymous complaints
- Trends, reporting, and administering the hotline

#### Early Bird 2 Proactive Risk Mitigation Strategies for Privacy and Compliance Professionals • LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

*Level: Intermediate*

ERIC RUBENSTEIN, Advize Health

JEANMARIE LORIA, Managing Director, Advize Health

- Tools/best practices for customizing your audit approach based on OIG best practices and real examples
- How to obtain real buy-in to prevent compliance problems beyond audits and education
- Key factors that reduce compliance adherence, compliance care, and skyrocket privacy risk

#### Early Bird 3 Insider's View on Acquisition Due Diligence and its Aftermath: Potholes and Opportunities • LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

*Level: Advanced*

DANIEL MEIER, Healthcare Regulatory and Transactional Attorney, Benesch Friedlander Coplan & Aronoff

REGINA F. GURVICH, Chief Compliance, Privacy, & Risk Officer, OMNI Ophthalmic Management Consultants

- General framework/workflow of due diligence: Role of equity partners, legal counsel, and internal team
- Clinical and OIG compliance, risk management, and credentialing: Minimum necessary v. best business practice
- Post-transaction action plan: Real cases resolving contingencies addressing escrows and risk vulnerability

7:15–8:15 AM MST

#### Continental Breakfast in Exhibit Hall

8:15–8:30 AM MST

#### Award Presentation

• LIVE BROADCAST

8:30–9:30 AM MST

#### GENERAL SESSION: What's Next for Healthcare Compliance • LIVE BROADCAST

R. BRETT SHORT

DEBBIE TROKLUS

MARGARET HAMBLETON

J. VERONICA XU

ADAM TURTELTAUB

- Navigating the new normal, whatever it may be
- Incorporating and adapting to the risks of new technologies
- Effectively responding to changes outside the walls of your facility

9:30–10:00 AM MST

#### Coffee Break

10:00 AM–11:00 AM MST

### BREAKOUT SESSIONS

#### 601 OIG Developments

• LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

GREGORY DEMSKE, Chief Counsel to the Inspector General, HHS-OIG

- OIG priorities
- Recent, ongoing, and planned audits and evaluations
- Major investigative and enforcement activities
- OIG guidance and other activities relevant for compliance professionals

#### 602 Collision of Two Public Health Emergencies: COVID-19 and the Opioid Crisis • LIVE BROADCAST

*Subject Area: Physician Compliance*

*Level: Intermediate*

CINDY W. HART, Compliance Professional

MARIE A. WAGNER, Operations Mgr, Corp Compliance, The Queen's Health Systems

JACKIE ROBERTSON-GUTSHALL, Compliance Officer, Advanced Regional Center for Ankle and Foot Care

- Explore the impact of COVID-19 on the opioid crisis
- Identify operational exceptions made during the pandemic that left organizations vulnerable
- Use the elements of a compliance program to find your way back to restoring confidence and compliance

**603 Incorporating COVID-19 Risks into Risk Assessment**

• **LIVE BROADCAST**

*Subject Area: Risk Management*

*Level: Advanced*

MODERATOR: ELIZABETH WADE, Chief Compliance & Ethics Officer, Corporate Compl, Signature Healthcare Consulting Services, LLC

R. BRETT SHORT, Chief Compliance Officer, UK HealthCare/Univ of KY

KARLA DREISBACH, VP Compliance, Friends Services Alliance

JEFFREY DRIVER, Principal & Chief Consultant, Soteria Risk Works, LLC

- Discuss risks that have been brought about by COVID-19 pandemic
- Identify areas that may be targets of CMS, OIG, OSHA and DOJ
- Provide practical takeaways for auditing and monitoring key COVID risks in acute care, physician practices, post-acute care, telehealth and other sectors

**604 RoP—Phase 3: Did You Forget About This? • LIVE BROADCAST**

*Subject Area: Post-Acute Care*

*Level: Intermediate*

DONNA THIEL, Chief Compliance Officer, ProviderTrust

JANINE VALDEZ, Vice President of Compliance, Genesis

- Review the updated Interpretive Guidelines for the Requirement of Participation Phase 3
- Mandatory Compliance Programs—how does it differ from what we do today?
- Review an Implementation Checklist/guideline for an easier implementation

**605 View from a Business Associate**

*Subject Area: Privacy & Security*

*Level: Intermediate*

KAREN D. BACH, AVP, Compliance, EXL Health

TARYN BEVILACQUA, AVP Healthcare Compliance, EXL Health

- Understand requirements for training and policies
- Manage internal and external audit requirements and expectations
- Evaluate your vendors to ensure compliance

**606 Focus on Arrangements: How to Proactively Manage Risks by Viewing Regulatory Compliance through the Lens of an IRO**

*Subject Area: Compliance Law*

*Level: Intermediate*

STEPHANIE SPRAGUE SOBKOWIAK, Partner, Murtha Cullina

REGINA K. ALEXANDER, Senior Manager & Director, IRO Services, BerryDunn

- Using real-world enforcement announcements and case studies, presenters will review the basics and interconnected healthcare compliance considerations of the Anti-Kickback Statute, Stark Law, and False Claims Act
- Applying their real-world experience serving as an IRO for clients under CIAs with annual arrangements reporting requirements, presenters will dissect a typical CIA appendix and discuss the applicability to risk assessments, policies, and more
- Attendees will take away practical tips for gaining stakeholder buy-in, designing a scalable and, equally important, sustainable system for tracking arrangements, and periodically auditing transactions

**607 Compliance and Internal Audit: Collaboration for Enhanced Compliance Program Effectiveness**

*Subject Area: Auditing & Monitoring*

*Level: Intermediate*

BLAKE BOLAND, Senior Director, Regulatory Compliance, Surgical Care Affiliates (SCA)

SARAH M. COUTURE, Managing Director, Ankura Consulting Group

- Understand the differences and similarities between compliance and internal audit
- Discuss common challenges with the compliance and internal audit relationship
- Partner strategically to accomplish compliance program goals

**608 Education and Training: Taking Your Program From “Efficient v. Effective” to “Efficient + Effective”**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

GARY JONES, Attorney, Midwest Compliance Associates, LLC

TOMI K. HAGAN, Chief Compliance Officer, Great River Health System

- Analyze the effectiveness and efficiency of various education delivery methods
- Apply guidance from the OIG, DOJ, and others to compliance education and training plans
- Develop strategies to improve compliance training effectiveness while maintaining efficiencies

**11:00–11:45 AM MST**

**Lunch (Provided)**

**11:45 AM–12:15 PM MST**

**Dessert in Exhibit Hall**

12:15–1:15 PM MST

## BREAKOUT SESSIONS

### 701 The 340B Drug Discount Program: Navigating a Sea of Critical Compliance Elements • LIVE BROADCAST

*Subject Area: General Compliance/Hot Topics*

*Level: Basic*

MEGAN LA SUER, Powers Pyles Sutter & Verville PC

MARK OGUNSUSI, Associate Attorney, Powers Pyles Sutter & Verville P

- Discuss 340B program basics, general compliance requirements, and common risks to covered entities
- Summarize key elements of 340B contract pharmacy arrangements and emerging compliance opportunities
- Explore developments and trends in government audits and tips for performing internal self-audits

### 702 A New Healthcare Cybersecurity Law: Incentivizing for HIPAA Compliance • LIVE BROADCAST

*Subject Area: Privacy & Security*

*Level: Intermediate*

TY GREENHALGH, Managing Member, Nuvolo

ERIKA RIETHMILLER, Chief Privacy Officer, UCHealth

- Understand recent legislation amending the HITECH Act to incentivize cybersecurity best practices
- Navigate the legal framework to mitigate fines, minimize audits, and reduce post-breach oversight
- Optimize risk mitigation strategy by aligning HDO cybersecurity with federally-recognized practices

### 703 Surprise! What Compliance Professionals Need to Know About Surprise Billing Legislation • LIVE BROADCAST

*Subject Area: Compliance Law*

*Level: Intermediate*

CIARA P. FORDHAM, Compliance Program Director, Ann & Robert H. Lurie Children's Hospital of Chicago

TRACY L. MCCULLOUGH, Director, Compliance & Integrity Education, Ann & Robert H. Lurie Children's Hospital of Chicago

- Understand the No Surprises Act and its impact on healthcare providers
- Maintain compliance with EMTALA under the No Surprises Act
- Operationalize the No Surprises Act so medical centers are not caught by surprise

### 704 Telemedicine: The Only Thing the Same Is Everything Changes—What Happened during and What to Expect after the Public Health Emergency • LIVE BROADCAST

*Subject Area: Telehealth*

*Level: Intermediate*

MARTI ARVIN, VP, Chief Compliance Officer, Erlanger Health System

- Articulate PHE telehealth changes in billing, privacy, security, licensure, scope of practice, etc.
- Identify likely PHE changes to telehealth that will stay and what will change post-PHE
- Prepare providers and patients for post-PHE telehealth to ensure ongoing compliance and satisfaction

### 705 Find More Time in Your Day! Establish Data Analytics and Automate Various Aspects of Your Workload to Increase Efficiencies

*Subject Area: Auditing & Monitoring*

*Level: Intermediate*

SCOTT M. LONG, VP, Chief Compliance Officer, St. Jude Children's Research Hospital

ALEX RODRIGUEZ, Compliance Program Manager, St. Jude Children's Research Hospital

- Collaborate with data owners in your organization to establish connectivity to the data elements
- Design a monitoring plan that makes sense for your organization and target those data points
- Increase compliance program touchpoints by freeing time to focus on other program elements

### 706 Better Outcomes Start with Authentic Leadership

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Advanced*

JESSICA HAMPTON, Practice Manager, Welbehealth

- Demonstrate understanding of the impacts of authentic leadership styles on employee engagement and its impacts on compliance
- Identify ways to support sustained employee engagement and productivity for improved healthcare outcomes
- Understand practical applications of authentic leadership

**707 Physician Coding and Billing Cases Gone Badly**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

C.J. WOLF, Faculty, BYU

- Coding and billing modifiers often bypass payor edits; discuss cases where this led to problems
- Tainted claims from violations of the Anti-Kickback Statute: Where do physicians make missteps?
- Upcoding still occurs in physician practices; explore cases where upcoding resulted in enforcement

**708 Building an Effective Risk-Based Audit Plan**

*Subject Area: Risk Management*  
*Level: Basic*

SEAN WEISS, Partner/VP & Chief Compliance Officer, DoctorsManagement LLC

- Get a firm understanding of the difference between risk-based and benchmarking methods
- Learn what tools and techniques payers use to identify high-risk events
- Utilize existing public data, such as CERT, the OIG workplan, PEPPER, and others to mitigate your risk
- 

**1:15– 1:45 PM MST**

**Coffee Break**

**1:45 PM–2:45 PM MST**

**BREAKOUT SESSIONS**

**801 OIG and the Health IT Community: Supporting the Information Blocking Journey to Compliance • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

ALLISON VIOLA, Senior Advisor, Health IT, HHS OIG

ANDREW VANLANDINGHAM, Senior Counselor for Medicaid Policy, HHS OIG

- Describe the concept of information blocking and the 21st Century Cures Act
- Provide an overview of the OIG's information blocking regulation
- List information sharing things to consider and penalties/enforcement

**802 Appeals and Audit Update: Compliance Considerations • LIVE BROADCAST**

*Subject Area: Auditing & Monitoring*  
*Level: Advanced*

TRACY M. FIELD, Partner, Parker Hudson Rainer & Dobbs LLP

- Review of current focus of government audits
- Tracking responses and preparing concise explanations to avoid adverse findings
- Updated appeal strategies and practical suggestions for management/potential refunds

**803 Implementing LEAN Concepts to Improve Your Compliance Program**

• **LIVE BROADCAST**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Intermediate*

LISA A. TAYLOR, Vice President and Chief Compliance Officer, UC Health

- Understand LEAN concepts and tools and how they impact effectiveness and efficiency
- Apply LEAN concepts and tools to your compliance program to improve effectiveness and efficiency
- Measure the effectiveness and efficiency of your program both before and after LEAN

**804 Behavioral Health Care: Before, During and After the COVID-19 National Public Health Emergency • LIVE BROADCAST**

*Subject Area: Behavioral Health*

*Level: Advanced*

JUD DELOSS, Chief Executive Officer, Illinois Association for Behavioral Health

MARLA BERKOW, Corporate Compliance Officer, Gateway Foundation, Inc.

- Review 42 CFR Part 2 emergency waivers and HIPAA discretionary enforcement
- Determine which waivers and exceptions should be implemented long-term and in good faith
- Look ahead to 42 CFR Part 2 changes and assess the impact on the provision of care in a new normal

**805 Using Data to Identify Risk that Merits Attention Amid a Crisis**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

VALERIE CLOUD, Chief Compliance Officer, Summa Health

- How to use data available to swiftly identify, track and mitigate emerging risks
- How using monitoring and self-reporting tools can better drive internal controls and mitigate risk
- Learn how to manage and monitor risk responses using your data

**806 Reducing Healthcare Risk with Strong Reg Change Management**

*Subject Area: Risk Management*  
*Level: Intermediate*

MODERATOR: JERRY SHAFRAN, Founder, YouCompli

SHAWN DEGROOT, President, Compliance Vitals

KENNETH ZEKO, Principal Advisor, Hall Render Advisory Services

CHARLES MAZER, Director of Corporate Compliance, NewYork-Prebyterian

- Identify best practices for navigating regulatory change management in your organization
- Elevate your skills in leading through influence
- Connect compliance mandates to patient care, business objectives and reduced risk

**807 MD Anderson Cancer Center v. Office for Civil Rights: A Case Analysis from MD Anderson and Counsel Who Appealed a \$4.3 Million Civil Money Penalty for Alleged HIPAA Violations**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

ALLYSON KINZEL, VP Chief Compliance Officer, UT MD Anderson Cancer Center

SCOTT MCBRIDE, Partner, Morgan, Lewis & Bockius LLP

- Hear the factual background to the MD Anderson case and how facts were portrayed in litigation
- Understand legal arguments raised in this first impression HIPAA regulatory enforcement action
- Consider how the case will impact HIPAA enforcement and apply lessons learned to compliance

**808 Healthcare Compliance Issues During Sale and Acquisition: Practical Approaches to Due Diligence and Beyond**

*Subject Area: Compliance Law*  
*Level: Intermediate*

CAROLYN S. BUCKLEY, Attorney, King & Jurgens, L.L.C.

NICHOLAS MERKIN, CEO, Compliagent

KIRK OGROSKY, Partner, Arnold & Porter

- Healthcare mergers, acquisitions, and sales dominate today's headlines
- This seminar dives into enforcement, risk, and compliance issues during sale and acquisition
- We will also cover the unique regulatory features of the healthcare mergers and acquisition world

2:45– 3:15 PM MST

Coffee Break

3:15–3:30 PM MST

Closing Remarks and Award Presentation • LIVE BROADCAST

3:30–4:30 PM MST

**GENERAL SESSION: Cybersecurity Threats and Response • LIVE BROADCAST**

MARK LANTERMAN, Chief Technology Officer, Computer Forensic Services, Inc.

- Learn the current developments in the digital underground
- Gain realistic advice for cyber protection, especially from the most dangerous types of threats
- Take away lessons from recent high-profile cybercrime events



Thursday, March 31  
POST-CONFERENCE

8:00 AM–12:15 PM MST

Registration Open

8:30–9:30 AM MST

BREAKOUT SESSIONS

**P25 Incorporating Compliance Ambassadors in Your Compliance Program • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Advanced*

LEA FOURKILLER, Corporate Compliance Officer, Integris Health

- Is your compliance program ready for Ambassadors?
- What is the scope of the Ambassador role?
- Who should be an Ambassador?

**P26 Establishing an Enterprise ERM/GRC Strategy with Compliance in Mind • LIVE BROADCAST**

*Subject Area: Risk Management*  
*Level: Intermediate*

JAMES ROSE, Managing Director, SunHawk Consulting, LLC

- Understand the concepts and alignment of enterprise risk management and governance, risk, and compliance
- Learn how to facilitate engagement across governance groups
- Identify the key benefits of an aligned ERM/GRC strategy

**P27 Top Five Hacks for Compliance Professionals Using Word, Excel, and PowerPoint • LIVE BROADCAST**

*Subject Area: How to Succeed as a Compliance Professional*  
*Level: Intermediate*

FRANK RUELAS, Corporate Responsibility Officer, CommonSpirit Health/SJHMC

- Save time and effort by learning some of the most useful functions in Word, Excel, and PowerPoint
- Use the interconnectivity between these programs to create more accurate and useful work product
- Take advantage of built-in functions designed to create more professional looking output

**P28 Avoiding Government Data Mining for Fraud**

*Subject Area: Compliance Law*  
*Level: Advanced*

STEPHEN D. BITTINGER, Health Care Audit and Integrity Partner, K & L Gates, LLP

- Learn how the government is data mining for fraud
- Learn how the government uses elements of compliance to hunt fraud with data
- Learn how to remain compliant and avoid being a target for fraud investigations

**P29 HIPAA Compliance Monitoring: How to Develop a Successful Walkthrough Tool to Gauge Compliance and Improve Processes**

*Subject Area: Auditing & Monitoring*  
*Level: Basic*

GEORGE MERIX, Privacy Analyst, Carilion Clinic

- How to develop a useful HIPAA walkthrough tool
- Compile and extract meaningful data from your results
- Take action to improve compliance efforts

9:30–9:45 AM MST

Coffee Break

9:45–10:45 AM MST

BREAKOUT SESSIONS

**P30 How to Assure that Your Next Compliance Program Review Confirms Performance and Helps Improve Your Compliance Program • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Intermediate*

ANNE DALY, VP of Compliance, Samaritan Health System

JUDY A. RINGHOLZ, VP of Compliance and Ethics & Chief Compliance Officer, Jackson Health System; SCCE & HCCA Board Member

STEVEN W. ORTQUIST, Founder & Principal, Arete Compliance Solutions, LLC

- What to ask and look for in effectiveness evaluation proposals
- Things to consider as your effectiveness review is being conducted
- Using review results to improve your compliance program's performance

**P31 Be Innovative! A Vital and Visual Risk Assessment Process for Provider Compensation Arrangements • LIVE BROADCAST**

*Subject Area: Physician Compliance*  
*Level: Intermediate*

JACKI JACOX, Director | MPA | CPA, Mazars USA LLP

- Overview of Provider Compensation Laws and Regulations
- Discover how to visualize a risk assessment using a heat map approach
- How to use an innovative approach to perform a visual risk assessment of provider compensation

**P32 Emerging Trends in Physician Employment/ Independent Contractor Contracting and Compensation Arrangements with Hospitals • LIVE BROADCAST**

*Subject Area: Compliance Law*  
*Level: Advanced*

EDWARD WHITE, Attorney, Nelson Mullins Law Firm

ROBERT WADE, Partner, Barnes & Thornburg LLP

- Emerging compensation arrangements including value-based/bundled arrangements, quality, and access
- How are emerging contracting compensation arrangements complying with legal/regulatory requirements?
- How do emerging contracting compensation arrangements further integration for hospitals/physicians?

**P33 Privacy Officer’s Roundtable: Part 1**

*Subject Area: Privacy & Security*  
*Level: Intermediate*

JOAN M. PODLESKI, Chief Privacy Officer, Children’s Health

MARTI ARVIN, VP, Chief Compliance Officer, Erlanger Health System

- Collaborative discussion of challenging issues privacy compliance officers, counsel, and staff encounter
- Audience-selected topics for discussion with the colleagues and session facilitators
- Sharing of practical solutions from experts and peers for the daunting day-to-day privacy struggles

**P34 Not Necessarily a Shootout at the O.K. Corral: A Compliance Risk Assessment Case Study**

*Subject Area: Risk Management*  
*Level: Intermediate*

REGINA VERDE, Chief Compliance & Privacy Officer, UVA Health

ANNETTE D. NORTON, Senior Compliance and Privacy Analyst, UVA Health

- Share how to approach a risk assessment in a collaborative, non-adversarial, and successful manner
- Reveal how we structured, analyzed, and followed up while engaging and collaborating with leaders
- Provide attendees with concrete ideas, helpful approaches, and examples of tools to facilitate them

10:45–11:00 AM MST

**Coffee Break**

11:00 AM–12:00 PM MST

**BREAKOUT SESSIONS**

**P35 Oversight Considerations for Ethical AI: Possible, Practical, and Essential • LIVE BROADCAST**

*Subject Area: General Compliance/Hot Topics*  
*Level: Advanced*

SHAWN E. MARCHESE, Global Head of Compliance, Babylon

NAKIS URFI, Product Compliance Officer, Babylon Health

KEITH GRIMES, Clinical Digital Health & Innovation Director, Babylon Health

- Examine current trends, controversies, and commonly published ethical principles in the field of AI
- Discuss why organizations should consider implementing an AI ethics oversight process
- Provide a practical guide to developing an AI ethics committee and other oversight mechanisms

**P36 The Art of Unbiased Compliance Auditing**

• **LIVE BROADCAST**

*Subject Area: Auditing & Monitoring*

*Level: Intermediate*

COLLEEN A. DENNIS, Compliance Analyst, Children's Hospital - Colorado Springs

KENNETH M. JENKINS, Adult Enterprise Compliance Officer, Vanderbilt University Medical Center

- We all have bias (conscious and unconscious)
- How to improve the audit process and standards knowing there is bias
- How to audit the auditors and improve on the product

**P37 Harnessing Your Emotional Intelligence and Conquering Important Conversations**

• **LIVE BROADCAST**

*Subject Area: How to Succeed as a Compliance Professional*

*Level: Basic*

LISA L. BOSTON, AVP of Compliance, FMOL Health System

- Identify and control your own biases/emotions in order to be effective in compliance
- Prepare and pick your battles for an important conversation
- Get back to the basics of your program during COVID-19

**P38 Privacy Officer's Roundtable: Part 2**

*Subject Area: Privacy & Security*

*Level: Intermediate*

JOAN M. PODLESKI, Chief Privacy Officer, Children's Health

MARTI ARVIN, VP, Chief Compliance Officer, Erlanger Health System

- Collaborative discussion of challenging issues privacy compliance officers, counsel, and staff encounter
- Audience-selected topics for discussion with the colleagues and session facilitators
- Sharing of practical solutions from experts and peers for the daunting day-to-day privacy struggles

**P39 Data Analytics, COVID-19, and Telehealth Coding Compliance**

*Subject Area: Physician Compliance*

*Level: Basic*

ANGELA DENEWETH, Advisor, Hall Render Advisory Services

DELENA HOWARD, Advisor, Hall Render Advisory Services

KENNETH ZEKO, Principal Advisor, Hall Render Advisory Services

- Delve into government and payor data analytics programs, along with the impact of the Yates Memo
- Gain insight into COVID-19 related testing codes, E/M coding, diagnosis codes, and modifiers
- Obtain an understanding of telemedicine during the pandemic

**1:30 PM MST**

**Exams Check-In**

Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC<sup>®</sup>), and Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>

**1:45–4:45 PM MST**

**Exams (Optional)**

Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC<sup>®</sup>), and Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>

# 26<sup>th</sup> Annual Compliance Institute



In-person • March 28–31, 2022 • Phoenix, AZ | Virtual • March 29–31, 2022

## SECTION 1 Attendee Information

Mr  Mrs  Ms  Dr  Other \_\_\_\_\_ Member/Account ID (if known/applicable) \_\_\_\_\_

First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Credentials (CHC, CCEP, etc.) \_\_\_\_\_ Job Title \_\_\_\_\_

Organization (name of employer) \_\_\_\_\_

Street Address \_\_\_\_\_ City/Town \_\_\_\_\_

State/Province \_\_\_\_\_ Zip/Postal Code \_\_\_\_\_ Country \_\_\_\_\_

Work Phone \_\_\_\_\_ Email (required) \_\_\_\_\_

## SECTION 2 Registration

### In-person: March 28–31

<input type="checkbox"/>	Member (Tuesday & Wednesday)	\$1,299
<input type="checkbox"/>	Non-Member (Tuesday & Wednesday)	\$1,629
<input type="checkbox"/>	Registration + First Time Membership*	\$1,519
<input type="checkbox"/>	Pre-Conference AM (Monday AM)**	\$175
<input type="checkbox"/>	Pre-Conference PM (Monday PM)**	\$175
<input type="checkbox"/>	Post-Conference (Thursday)**	\$175
<input type="checkbox"/>	Group Discount for 3–9***	(\$100)
<input type="checkbox"/>	Group Discount for 10 or More***	(\$150)

TOTAL \$ \_\_\_\_\_

\*Save by joining today (first-time members only). Dues renew at \$325.  
\*\*Free only with paid Tuesday & Wednesday conference registration.  
\*\*\*See Group Discount Policy in Acknowledgements below.

#### Dietary Needs Request

Dairy Free  Gluten Free  Kosher  Vegetarian  Vegan  Other \_\_\_\_\_

#### On-Site Cell Phone

(for emergency on-site use only) \_\_\_\_\_

### Virtual: March 29–31

<input type="checkbox"/>	Member (Tuesday–Thursday)	\$849
<input type="checkbox"/>	Non-Member (Tuesday–Thursday)	\$1,049
<input type="checkbox"/>	Registration + First-Time Membership*	\$1,069
<input type="checkbox"/>	Group Discount for 3–9**	(\$100)
<input type="checkbox"/>	Group Discount for 10 or More**	(\$150)

TOTAL \$ \_\_\_\_\_

\*Save by joining today (first-time members only). Dues renew at \$325.  
\*\*See Group Discount Policy in Acknowledgements below.

**HCCA Membership:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc). To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

#### Opt-Out: Select if you would like to opt-out of the following:

Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

## SECTION 3 Payment

Online registration at [hcca-info.org/2022CI](http://hcca-info.org/2022CI)

Mail to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA Fax to 952.988.0146

Email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) — Due to PCI compliance, do not provide credit card information via email. Email this form without credit card information, then call HCCA at 952.988.0141 or 888.580.8373 with your payment.

Invoice me  
 Check enclosed (payable to HCCA)  
 Use my conference credit  
 Wire transfer requested  
 I authorize HCCA to charge my credit card:  Visa  MasterCard  Discover  American Express

Credit Card Account Number \_\_\_\_\_ Expiration Date \_\_\_\_\_

Cardholder Name \_\_\_\_\_ Cardholder Signature \_\_\_\_\_

Billing Address \_\_\_\_\_ Billing Zip/Postal Code \_\_\_\_\_

## SECTION 4 Acknowledgements

By submitting this registration, you agree to the full event Terms and Conditions, viewable at [hcca-info.org/conference/tandc](http://hcca-info.org/conference/tandc), including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. To see the full use of your information or if you wish to opt-out, visit [hcca-info.org/privacy](http://hcca-info.org/privacy).

By registering for the in-person event, you also agree that you have read and agree to the Personal Accountability Commitment, the Assumption of Risk, and the Liability Waiver and Release viewable at [hcca-info.org/conference/tandc](http://hcca-info.org/conference/tandc) and on the last page of this document.

Registering for the virtual event allows only the registered attendee to stream, participate, and earn CEUs for the event. If a second person would like to participate, they must register themselves and have their own unique login to participate. No audio or video recording by attendees is allowed.

**Group Discount Policy:** Registration forms must be sent together to ensure that the discount is applied. The group discount is not available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**Photo/Video Release:** By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

**New Members:** By selecting the Registration + First-Time Membership Offer, you agree to the full membership Terms and Conditions, including the use of your information, viewable at [hcca-info.org/membership/tandc](http://hcca-info.org/membership/tandc).

Questions? Call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

## Frequently Asked Questions

### I'm attending in-person: Where will the conference take place?

Conference sessions & activities will take place at:

**Phoenix Convention Center**  
100 North 3rd Street  
Phoenix, AZ 85004

HCCA has reserved group rooms at the Sheraton Phoenix Downtown, Hyatt Regency Phoenix, and Renaissance Phoenix Downtown Hotel. Please visit our website at [hcca-info.org/conferences/national/26th-annual-compliance-institute/hotel-blocks](http://hcca-info.org/conferences/national/26th-annual-compliance-institute/hotel-blocks) for more information and to book a room.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

### I'm attending in-person: What COVID-19 safety precautions will be implemented?

HCCA considers the health and safety of all those at in-person programs a top priority. Although participants should recognize that there is risk involved in attending, HCCA will follow the safety recommendations/guidelines provided by the CDC and other state and local government agencies in place at the time of the event. Additionally, HCCA will follow the venue requirements and work with the venue to provide a safe and enjoyable environment for all participants.

### I'm attending virtually: What software is required to attend this event?

We use a variety of platforms based on the needs of each conference, but they are all web-based platforms and require a strong and stable internet connection. We highly recommend testing the platform once the access email is received to confirm you can access the platform, view the video player, and utilize the chat feature. Occasionally, those who access these digital platforms over a VPN can experience technical difficulties. Zoom will be utilized for small group discussions at applicable events, but downloading Zoom is not necessary, as they have a web-based version as well.

### I'm attending virtually: Can my co-workers listen in with me using screensharing or sitting together in the same room?

No, each individual who would like to participate in the conference needs to complete and submit an individual registration form. We do offer group discounts; please continue reading to see our group discount options.

### Can I see what sessions will be presented before the event begins?

Yes, program information is posted on the conference website.

### Will I receive a recording of this conference?

Yes, registered paid attendees (in-person and virtual) will receive 60 days access to session recordings approximately two weeks after the conference. Virtual attendees will have access to sessions from March 29–31 only. In-person attendees will have access to all sessions from March 28–31. Beyond 60 days, session recordings will be available for purchase. No audio or video recording by attendees is allowed.

### Is there a group discount—if so, what is it?

Yes, we offer discounts for groups of three or more from the same organization for all our live in-person and virtual events (excluding webinars). Please send all group registration forms together to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) for processing. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. For groups of 10 or more, please call 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org).

### What do I get with “Registration + First Time Membership?”

If you've never been an HCCA member, you can register as a First-Time Member. This gives you HCCA membership at a discounted rate for your first year. You also receive the member rate for the conference. As a member you receive all HCCA member benefits (discounts, *Compliance Today*® monthly magazine, *Ethikos*® digital quarterly newsletter, member-exclusive webinars, and more). A full list of benefits can be viewed at [hcca-info.org/membership](http://hcca-info.org/membership). Your membership will begin once payment is received.

### How do I use the credit on my account for this event?

You can complete the registration online and select the “Invoice Me” payment option at checkout. Once you receive your confirmation, email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) or call 952.988.0141 or 888.580.8373 to request your credit be applied toward the registration fee.

### Can I get the member rate if I am an SCCE member instead of HCCA or vice versa?

Yes. As a member of SCCE or HCCA, you can receive the membership discount for both organizations' conferences; however, this cannot be done online. Please send your registration form via email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) to complete your registration.

### How can I cancel my registration?

If you need to cancel your participation (or send a substitute), your request must be submitted by email to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org). A conference credit will be issued for all registration fees paid and will expire 12 months from the date of the original canceled event. Conference credits will not be issued if you do not attend the event and have not requested cancellation prior to the event start date. If sending a substitute, an additional fee may apply depending upon the membership status of the substitute.

### Who can I notify of special needs or accommodations prior to the conference?

Please call HCCA at 952.988.0141 or 888.580.8373 or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) if you have a special need and/or require an accommodation to participate.



## Continuing Education

### Can I earn continuing education units (CEUs) for attending this conference?

Yes. This conference offers live Compliance Certification Board (CCB)<sup>®</sup> continuing education units (CEUs) for participation as well as other external credit types. Virtual attendees seeking external credit types, you must participate in the conference using the online virtual conference format (not just using the dial-in) for attendance monitoring purposes. To see the most up-to-date CEU approval information, go to [hcca-info.org/2022CI](http://hcca-info.org/2022CI) and choose the Continuing Education option on the left-hand menu.

### How many CEUs will I earn from attending?

CEUs are assessed based on actual attendance and credit type requested. Should the overall number of education hours you attend or that the conference offers decrease or increase, the maximum number of CEUs available will be changed accordingly.

### How do I request CEUs following this conference?

Following this conference, you will be provided the Application for Continuing Education Units (CEUs). To receive CEUs, you must submit this completed application following the conference to [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Only registered attendees are eligible to request CEUs for participation.

### When will I receive my CEU certificate for participation?

Once your completed Application for Continuing Education Units (CEUs) has been received by our staff, your CEU account will be updated within 2–4 weeks. To view your CCB CEUs and access your certificate, you can login to your online [hcca-info.org](http://hcca-info.org) account, go to your Account Dashboard, and scroll down to View My CEUs.

### I would like to sit for one of the Compliance Certification Board (CCB)<sup>®</sup> exams following this conference; will I qualify?

In order to qualify for a CCB certification exam, you must review the applicable Candidate Handbook found at [hcca-info.org/candidate-handbooks](http://hcca-info.org/candidate-handbooks) to ensure you meet the CEU requirement as well as the work experience requirement.

### I have reviewed the Candidate Handbook and want to sit for the exam as soon as the conference concludes; what's next?

In-person attendees have the opportunity to sit for an optional on-site CCB certification exam immediately following the conclusion of the conference. A separate application and fee submitted directly to CCB is required. Virtual attendees have the opportunity to take their exam remotely or at a local testing site. Exam candidates can apply online at [hcca-info.org/apply-exam](http://hcca-info.org/apply-exam).

### Can I take my exam remotely?

Yes, CCB offers the flexibility for candidates to take their exam remotely, at a local testing site, or following certain HCCA conferences. To learn more about our various testing options, visit HCCA's website, [hcca-info.org/certification/become-certified/exam-information](http://hcca-info.org/certification/become-certified/exam-information).

### I have more questions about exams and seeking certification; who can help me?

For more questions about CCB certifications, call to speak to a Certification Specialist at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).

## Event Terms and Conditions for In-person Attendees

**Personal Accountability Commitment:** Any public space where other people are present holds an inherent risk of exposure to COVID-19 and other communicable diseases. I will take necessary precautions while at the event, including but not limited to, personal hygiene and hand sanitization, adherence to pathway signage, and self-monitoring and self-reporting.

You are asked to contact HCCA at [april.kiel@corporatecompliance.org](mailto:april.kiel@corporatecompliance.org) if you experience symptoms of COVID-19 within 10 days after participating in the HCCA event. Any private health or personal data that may be received by HCCA in connection with such measures and precautions will be treated as confidentially as possible. You should not attend an HCCA event if you are experiencing, or within the 10 days prior to the program have experienced, symptoms associated with the flu or COVID-19. You also should not attend if you believe that you may have been exposed to a confirmed or suspected case of COVID-19 or have been diagnosed with COVID-19 and are not yet cleared as non-contagious by state or local public health authorities or the healthcare team responsible for your treatment.

**Assumption of Risk:** By submitting this registration, I acknowledge the contagious nature of COVID-19 and other communicable diseases, and voluntarily assume the risk that I may be exposed to or infected by COVID-19 or other communicable disease by attending this HCCA event and the consequences of such exposure. It is my choice to participate in this event, knowing that attending this event may increase the risk of becoming exposed to and infected by COVID-19 or other communicable disease. I voluntarily agree to assume the risk of contracting COVID-19 or other communicable disease, and I accept sole responsibility for any injury or illness to myself or others.

**Liability Waiver and Release:** In consideration of being permitted to participate in the SCCE event, I hereby waive, release from liability, assume all risks, and covenant not to sue SCCE & HCCA or its officers, board members, employees, agents, and representatives (the "SCCE & HCCA Parties") for any expense, loss, damage, personal injury (including loss of life, disability, or serious harm), property damage or theft, negligence, or actions (each, a "Loss") resulting from or arising in connection with my travel to, attendance at, or participation in the HCCA event and any related activities unless said Loss is caused by the sole, gross negligence of HCCA. I further hereby release, agree not to sue, discharge, and hold harmless SCCE & HCCA, its officers, board members, employees, agents, and representatives, from all Losses relating to COVID-19 or other communicable diseases. I understand and agree that this release includes any and all claims based on the actions, omissions, or negligence of SCCE & HCCA, its officers, employees, agents, or representatives.

This assumption of risk and waiver applies even if the undersigned asserts that SCCE & HCCA was at fault for not taking greater precautions to manage exposure or infection from COVID-19 and other communicable diseases. I agree that this waiver and release shall bind me and my personal representatives, shall be enforceable to the fullest and broadest extent of the law, and, if any portion is held invalid, the remainder should continue in full legal force and effect.